

# Lecture 2

## Constraints

- Ex.: 1) rigid bodies (all  $r_{ij}$  distances unchanged)  
 2) particle placed on the surface of a solid sphere

Holonomic constraints:

$$f(\vec{r}_1, \dots, \vec{r}_N, t) = 0 \quad (*)$$

→ Rigid body:  $(\vec{r}_i - \vec{r}_j)^2 = C_{ij}^2$ ,  $\forall i, j$  s.t.  $j \neq i$

→ a particle constrained to move along any curve or on any surface

However, a particle in Ex. (2) is described by a non-holonomic constraint:

$$r^2 \geq d^2$$

i.e., holonomic  $\swarrow$  sphere radius

[ Constraints of type (\*) may or may not be explicitly time-dependent. ]

with constraints, not all  $\vec{r}_i$ 's are independent. also, the forces of constraint are not explicitly known.

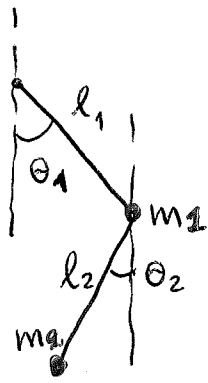
The first problem is solved by introducing

$$3N - k \text{ generalized coords} \Rightarrow q_1, q_2, \dots, q_{3N-k}$$

# particles      # holonomic constraints

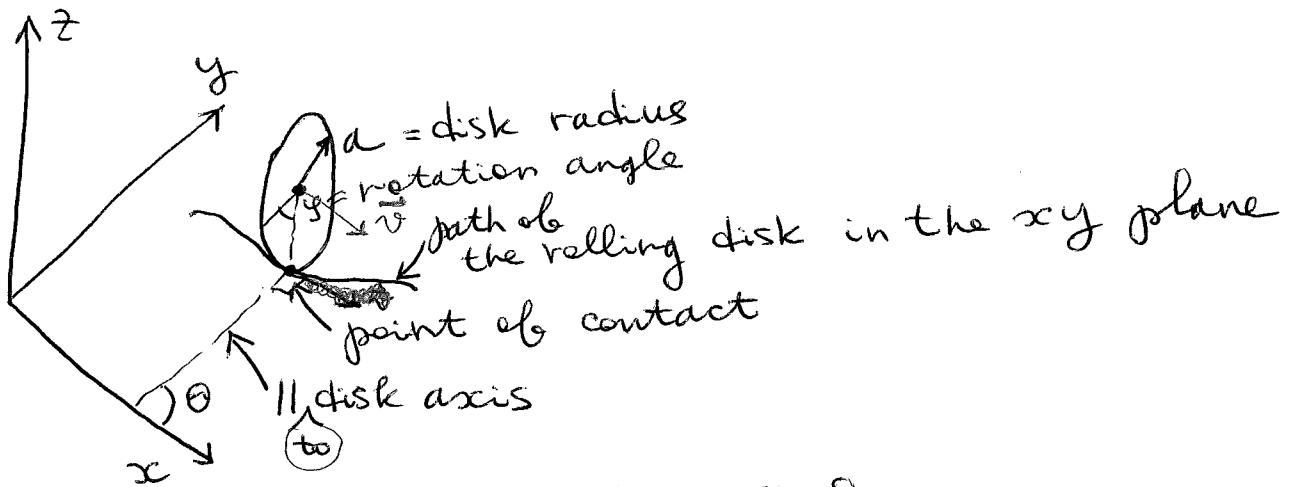
$$\text{transform'n eq's} \Rightarrow \begin{cases} \vec{r}_1 = \vec{r}_1(q_1, \dots, q_{3N-k}, t) \\ \vdots \\ \vec{r}_N = \vec{r}_N(q_1, \dots, q_{3N-k}, t) \end{cases}$$

Generalized coords are problem-dependent:  
 e.g. for a double pendulum



$\{\theta_1, \theta_2\}$  is an obvious choice

Consider now a disk rolling on its edge on a flat plane:



$$v = a\dot{\varphi}$$

$$\begin{cases} \dot{x} = v \sin \theta, \\ \dot{y} = -v \cos \theta \end{cases}$$

Then 
$$\begin{cases} dx - a \sin \theta dy = 0, & (**) \\ dy + a \cos \theta d\varphi = 0 \end{cases}$$

(\*\*) cannot be integrated in general, so cannot yield smth. like  $f(x, y, \theta, \varphi) = 0$ . Thus, (\*\*) are non-holonomic constraints.

Specifically, if

$$\sum_{i=1}^n g_i(x_1, \dots, x_n) dx_i = 0 \quad \text{corresponds to}$$

$df = 0$  for some  $f = f(x_1, \dots, x_n)$ , we must have  $g_i = \frac{\partial f}{\partial x_i}$ .

but then  $\frac{\partial g_i}{\partial x_j} = \frac{\partial^2 f}{\partial x_i \partial x_j} = \frac{\partial g_j}{\partial x_i} \quad \forall i, j$

In our case, (\*\*) yield:

$$dx - a \sin \theta dy + \phi \cdot d\theta + \phi \cdot dy = 0$$

$$\frac{\partial}{\partial \theta} \left( \frac{\partial f}{\partial y} \right) = \frac{\partial}{\partial \theta} (-a \sin \theta) = a \cos \theta$$

$$\frac{\partial}{\partial y} \left( \frac{\partial f}{\partial \theta} \right) = \frac{\partial}{\partial y} (\phi) = 0 \quad \neq$$

So, the 1<sup>st</sup> eq'n in (\*\*) corresponds to a non-holonomic constraint.

The 2<sup>nd</sup> eq'n in (\*\*) is

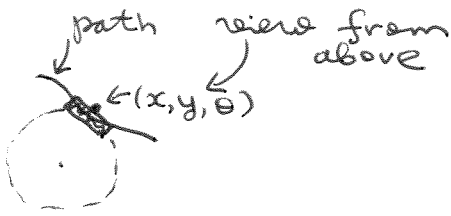
$$\phi \cdot dx + dy + a \cos \theta dy + \phi \cdot d\theta = 0$$

Again,  $\frac{\partial}{\partial y} \left( \frac{\partial f}{\partial \theta} \right) = 0$  while

$$\frac{\partial}{\partial \theta} \left( \frac{\partial f}{\partial y} \right) = \frac{\partial}{\partial \theta} (a \cos \theta) = -a \sin \theta \neq 0 \quad \text{in general}$$

So this constraint is also non-holonomic.

Physically, starting from any  $(x, y, \theta)$ , the disk can be rolled in a circle, of an arbitrary radius: tangent to the path



This will increment  $\varphi$  by an arbitrary amount ~~while~~ while leaving  $(x, y, \theta)$  the same. Thus there's no function

$f(x, y, \theta, \varphi) = \text{const}$ , unless it does not depend on  $\varphi$ .

# D'Alembert's principle and Lagrange's equations

Consider a mechanical system at time  $t$ , subject to forces & constraints. A virtual displacement  $\delta \vec{r}_i$  of particle  $i$  is a change in the particle's position in time  $\delta t$  if the forces & constraints are "frozen" to their values @ time  $t$ .

If the system is at equilibrium,

$$\underbrace{\vec{F}_i}_{\substack{\text{total force} \\ \text{on particle } i}} = 0, \forall i \Rightarrow \sum_i \vec{F}_i \cdot \delta \vec{r}_i = 0$$

Since  $\vec{F}_i = \vec{F}_i^{(a)} + \vec{f}_i$ , we have:

$$\sum_i \vec{F}_i^{(a)} \cdot \delta \vec{r}_i + \sum_i \vec{f}_i \cdot \delta \vec{r}_i = 0$$

Now, assume that  $\sum_i \vec{f}_i \cdot \delta \vec{r}_i = 0$   
[true for rigid bodies or for particles constrained to move along curves or on surfaces, since ~~then~~ in the latter case  $\vec{f}_i \perp \delta \vec{r}_i$ ,  $\forall i$ . The constraints may even be  $t$ -dependent since in the concept of virtual displacements all constraints are "frozen" @  $t$ .]

In this case,

$$\sum_i \vec{F}_i^{(a)} \cdot \delta \vec{r}_i = 0$$

principle of virtual work, system @ equil.

However, unlike  $\vec{F}_i$ ,  $\vec{F}_i^{(a)} \neq 0$  in general; not all  $\delta \vec{r}_i$ 's are independent since they are connected by the constraints.

When the system is moving,

$$\vec{F}_i = \dot{\vec{p}}_i \quad \text{gives} \quad \sum_i (\vec{F}_i - \dot{\vec{p}}_i) \cdot \delta \vec{r}_i = 0, \text{ or}$$

$$\sum_i (\vec{F}_i^{(a)} - \dot{\vec{p}}_i) \cdot \delta \vec{r}_i + \underbrace{\sum_i \vec{f}_i \cdot \delta \vec{r}_i}_{\text{assume this is } = 0} = 0$$

$\Downarrow$

$$\sum_i (\vec{F}_i^{(a)} - \dot{\vec{p}}_i) \cdot \delta \vec{r}_i = 0$$

D'Alembert's principle

Recall that

$$\vec{r}_i = \vec{r}_i(q_1, \dots, q_n, t)$$

# indep. coords

$$\text{Then } \dot{\vec{r}}_i = \frac{d\vec{r}_i}{dt} = \frac{\partial \vec{r}_i}{\partial t} + \sum_k \frac{\partial \vec{r}_i}{\partial q_k} \dot{q}_k$$

$$\text{Likewise, } \delta \vec{r}_i = \sum_j \frac{\partial \vec{r}_i}{\partial q_j} \delta q_j$$

$\Rightarrow$  no st by definition

Consequently,

$$\sum_i \vec{F}_i^{(a)} \cdot \delta \vec{r}_i = \sum_{i,j} \vec{F}_i^{(a)} \cdot \frac{\partial \vec{r}_i}{\partial q_j} \delta q_j = \sum_j \underbrace{Q_j}_{\text{generalized force}} \delta q_j$$

Further,  $\sum_i \dot{\vec{p}}_i \cdot \delta \vec{r}_i = \sum_i m_i \ddot{\vec{r}}_i \cdot \delta \vec{r}_i =$

$$= \sum_{i,j} m_i \ddot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} \delta q_j$$

Now, consider

$$\sum_i m_i \ddot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} = \sum_i \left[ \frac{d}{dt} \left( m_i \dot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} \right) - m_i \dot{\vec{r}}_i \cdot \frac{d}{dt} \left( \frac{\partial \vec{r}_i}{\partial q_j} \right) \right] \equiv$$

$$\frac{d}{dt} \left( \frac{\partial \vec{r}_i}{\partial q_j} \right) = \frac{\partial^2 \vec{r}_i}{\partial q_j \partial t} + \sum_k \frac{\partial^2 \vec{r}_i}{\partial q_j \partial q_k} \dot{q}_k = \frac{\partial \vec{v}_i}{\partial q_j}$$

Moreover,

$$\frac{\partial \vec{v}_i}{\partial \dot{q}_j} = \frac{\partial}{\partial \dot{q}_j} \left[ \sum_k \frac{\partial \vec{r}_i}{\partial q_k} \dot{q}_k + \frac{\partial \vec{r}_i}{\partial t} \right] = \frac{\partial \vec{r}_i}{\partial q_j}$$

Thus we have

$$\equiv \sum_i \left[ \frac{d}{dt} \left( m_i \vec{v}_i \cdot \frac{\partial \vec{v}_i}{\partial \dot{q}_j} \right) - m_i \vec{v}_i \cdot \frac{\partial \vec{v}_i}{\partial q_j} \right]$$

Finally,

$$\sum_i (\vec{F}_i^{(a)} - \dot{\vec{p}}_i) \cdot \delta \vec{r}_i = \sum_j Q_j \delta q_j$$

$$\sum_j \delta q_j \left\{ \sum_i \left[ \frac{d}{dt} \left( \frac{m_i}{2} \frac{\partial v_i^2}{\partial \dot{q}_j} \right) - \frac{m_i}{2} \frac{\partial v_i^2}{\partial q_j} \right] \right\} = 0, \text{ or}$$

$$\sum_j \delta q_j \left\{ \frac{d}{dt} \left[ \frac{\partial}{\partial \dot{q}_j} \left( \underbrace{\sum_i \frac{m_i}{2} v_i^2}_{T, \text{ total kinetic energy of the system}} \right) \right] - \frac{\partial}{\partial q_j} \left[ \sum_i \frac{m_i}{2} v_i^2 \right] - Q_j \right\} = 0.$$

$$\text{So, } \sum_j \delta q_j \left\{ \frac{d}{dt} \left( \frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial T}{\partial q_j} - Q_j \right\} = 0.$$

For indep. virtual displacements  $\delta q_j$ , we obtain:

$$\frac{d}{dt} \left( \frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial T}{\partial q_j} = Q_j, \quad j=1, \dots, n$$

For conservative forces,

$$\vec{F}_i^{(a)} = -\vec{\nabla}_i V, \quad \text{and} \quad Q_j = \sum_i \vec{F}_i^{(a)} \cdot \frac{\partial \vec{r}_i}{\partial q_j} =$$

$$= - \sum_i \vec{\nabla}_i V \cdot \frac{\partial \vec{r}_i}{\partial q_j} = - \frac{\partial V}{\partial q_j}, \quad \text{yielding}$$

$$\frac{d}{dt} \left( \frac{\partial T}{\partial \dot{q}_j} \right) - \frac{\partial (T-V)}{\partial q_j} = 0.$$



Moreover,  $V$  does not depend on  $\dot{q}_j$ :  
 $\leftarrow$  Lagrange eq's

$$(*) \quad \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{q}_j} \right) - \frac{\partial \mathcal{L}}{\partial q_j} = 0, \text{ where}$$

$\mathcal{L} = T - V$  is the Lagrangian

Note that  $\mathcal{L}$  satisfying EoM (\*) is not unique:  $\mathcal{L}'(\{q_j\}, \{\dot{q}_j\}, t) = \mathcal{L}(\{q_j\}, \{\dot{q}_j\}, t) + \frac{dF(\{q_j\}, t)}{dt}$

will also satisfy Lagrange eq's.

Indeed, 
$$\begin{cases} \frac{\partial \mathcal{L}'}{\partial q_i} = \frac{\partial \mathcal{L}}{\partial q_i} + \frac{\partial}{\partial q_i} \frac{dF}{dt}, \\ \frac{\partial \mathcal{L}'}{\partial \dot{q}_i} = \frac{\partial \mathcal{L}}{\partial \dot{q}_i} + \frac{\partial}{\partial \dot{q}_i} \frac{dF}{dt}. \end{cases}$$

$$\frac{dF}{dt} = \sum_i \frac{\partial F}{\partial q_i} \dot{q}_i + \frac{\partial F}{\partial t} \Rightarrow \frac{\partial}{\partial \dot{q}_j} \frac{dF}{dt} = \frac{\partial F}{\partial q_j}$$

Then 
$$\frac{d}{dt} \frac{\partial \mathcal{L}'}{\partial \dot{q}_j} = \frac{d}{dt} \frac{\partial \mathcal{L}}{\partial \dot{q}_j} + \frac{d}{dt} \underbrace{\frac{\partial}{\partial \dot{q}_j} \frac{dF}{dt}}_{\frac{\partial F}{\partial q_j}}$$

Finally, 
$$\frac{\partial \mathcal{L}'}{\partial q_j} - \frac{d}{dt} \frac{\partial \mathcal{L}'}{\partial \dot{q}_j} = \frac{\partial \mathcal{L}}{\partial q_j} + \frac{\partial}{\partial q_j} \frac{dF}{dt} -$$

$$- \frac{d}{dt} \frac{\partial \mathcal{L}}{\partial \dot{q}_j} - \frac{d}{dt} \frac{\partial F}{\partial q_j} = 0.$$

So  $\mathcal{L}'$  satisfies the Lagrange eq's as well.