

# The u-Plane Integral As A Tool In The Theory Of Four-Manifolds

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# Introduction

Most of this talk reviews work done around 1997-1998:

Moore & Witten

Marino & Moore

Marino, Moore, & Peradze

Overlapping work: Losev, Nekrasov, & Shatashvili

Central Question: Given the successful application of N=2 SYM for SU(2) to the theory of 4-manifold invariants, are there interesting applications of OTHER N=2 field theories?

Recently re-visited with Iurii Nidaiev

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# Review: Derivation Of Witten Conjecture From SU(2) SYM

$X$ : Smooth, compact,  $\partial X = \emptyset$ , oriented,  $(\pi_1(X) = 0)$

Twisted N=2 SYM on  $X$  for simple Lie group  $G$ : Sum over connections  $A \in \mathcal{A}(P)$  on all  $G_{adj}$  bundles  $P \rightarrow X$  with fixed 't Hooft flux  $\xi \in H^2(X; \pi_1(G_{adj}))$  together with various fields valued in  $\text{ad } P$ :

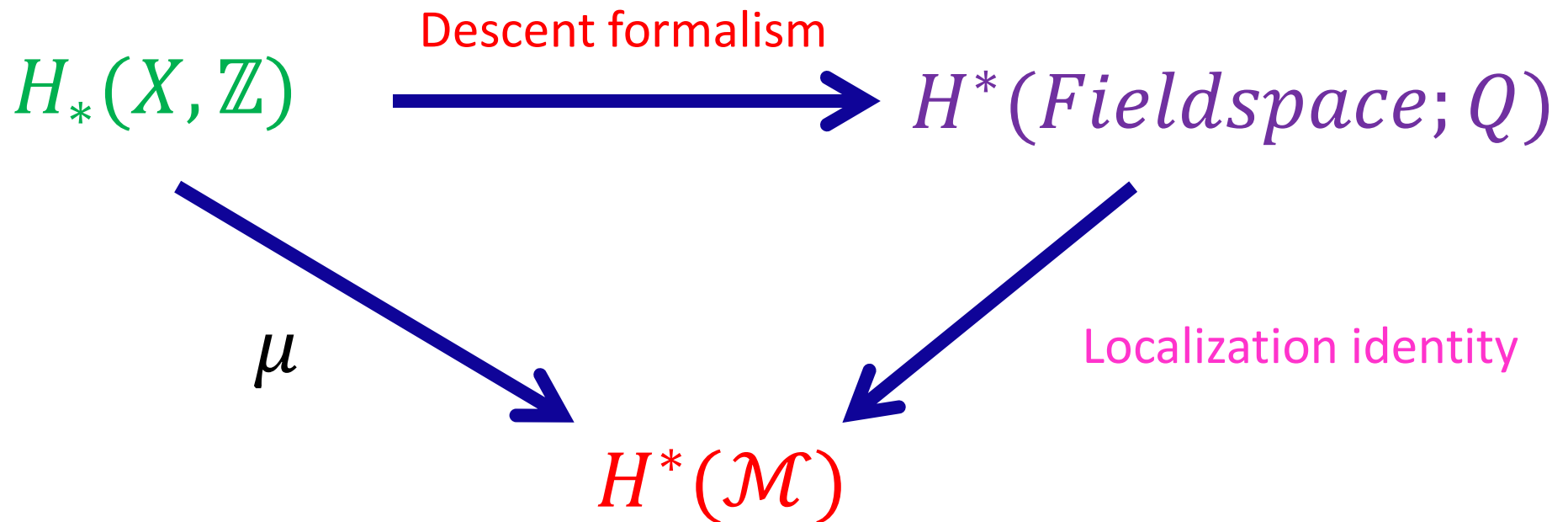
$$\phi \in \Omega^0(\text{ad}P \otimes \mathbb{C}) \quad \chi \in \Pi\Omega^{2,+}(\text{ad}P) \quad \eta \in \Pi\Omega^0(\text{ad}P) \quad \psi \in \Pi\Omega^1(\text{ad}P)$$

Formally: Correlation functions of Q-invariant operators localize to integrals over the finite-dimensional moduli spaces of G-ASD conn's.

Witten's proposal: For  $G = SU(2)$  correlation functions of Q-invariant operators are the Donaldson polynomials.

# Local Observables

$$U \in \text{Inv}(\mathfrak{g}) \Rightarrow U(\phi)$$



$$\mathfrak{g} = \mathfrak{su}(2) \quad U = \text{Tr}_2 \left( \frac{\phi^2}{8\pi^2} \right) \quad U(S) \sim \int_S \text{Tr}(\phi F + \psi^2)$$

# Donaldson-Witten Partition Function

$$Z_{DW}^{\xi}(p, s) = \langle e^{2p U + s^a U(S_a)} \rangle_{\Lambda}$$

$$= \Lambda^{-\frac{3}{4}(\chi + \sigma)} \sum \frac{(\Lambda^2 p)^{\ell} (\Lambda s)^r}{\ell! r!} \wp_D(p t^{\ell} S^r)$$

Mathai-Quillen & Atiyah-Jeffrey:  
Path integral formally localizes:

$$\mathcal{M} \hookrightarrow \mathcal{A}/\mathcal{G}$$

Strategy: Evaluate in LEET:  
Integrate over vacua on  $\mathbb{R}^4$

# Spontaneous Symmetry Breaking

$SU(2) \rightarrow U(1)$  by vev of  
adjoint Higgs field  $\phi$ :

Order parameter:

$$u = \langle U(\phi) \rangle$$

Coulomb branch:  $\mathcal{B} = \mathfrak{t} \otimes \mathbb{C}/W \cong \mathbb{C}$       $adP \rightarrow L^2 \oplus \mathcal{O} \oplus L^{-2}$

Photon: Connection  $A$  on  $L$       $U(1)$  VM:  $(a^q, A, \chi, \psi, \eta)$

$a^q$ : complex scalar field on  $\mathbb{R}^4$ :

Do path integral of quantum fluctuations around

$$a^q(x) = a + \delta a(x)$$

What is the relation of  $a = \langle a^q(x) \rangle$  to  $u$ ?

What are the couplings in the LEET for the  $U(1)$  VM?



# LEET: Constraints of N=2 SUSY

General result on N=2 abelian gauge theory with Lie algebra  $\mathfrak{t} \cong \mathfrak{u}(1) \oplus \cdots \oplus \mathfrak{u}(1)$ : Action determined by a family of Abelian varieties and an "N=2 central charge function":

$$\mathcal{A} \rightarrow \mathfrak{t} \otimes \mathbb{C} - \mathcal{D} \quad \Gamma := H_1(\mathcal{A}; \mathbb{Z})$$

$$Z: \Gamma \rightarrow \mathbb{C} \quad \langle dZ, dZ \rangle = 0$$

Duality Frame:  $\Gamma \cong \Gamma^{electric} \oplus \Gamma^{magnetic}$

$$a^I = Z(\alpha^I) \quad a_{D,I} := Z(\beta_I) = \left( \frac{\partial \mathcal{F}}{\partial a^I} \right) \quad \tau_{IJ} := \frac{\partial a_{D,I}}{\partial a^J}$$

$$Action \sim \int_X \bar{\tau} (F^+)^2 + \tau (F^-)^2 + da^q * (Im \tau) d\bar{a}^q + \dots$$

# Seiberg-Witten Theory:

For  $G=\text{SU}(2)$  SYM  $\mathfrak{X}$  is a family of elliptic curves:

$$E_u: y^2 = x^2(x - u) + \frac{\Lambda^4}{4}x \quad u \in \mathbb{C}$$

$$Z(\gamma) = \oint_{\gamma} \lambda \quad \lambda = \frac{dx}{y}(x - u)$$

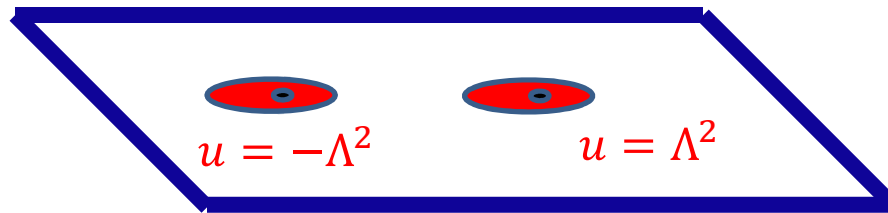
$$u \rightarrow \infty: \text{Invariant cycle } A: \quad a(u) = \oint_A \lambda$$

Choose B-cycle:  $\Rightarrow \tau(a) \Rightarrow$  Action for LEET

LEET breaks down at  $u = \pm\Lambda^2$  where  $\text{Im}(\tau) \rightarrow 0$

# Seiberg-Witten Theory - II

LEET breaks down because there are new massless fields associated to BPS states



$$U(1)_D \text{ VM: } (a_D, A_D, \chi_D, \psi_D, \eta_D)$$

Near  $\mathcal{U}_{\Lambda^2}$ :

+

$$\text{Charge 1 HM: } (M = q \oplus \tilde{q}^*, \dots)$$

$$Z_{DW}^{\xi}(p, s) = Z_u + Z_{\Lambda^2} + Z_{-\Lambda^2}$$

# u-Plane Integral $Z_u$

Can be computed explicitly from QFT of LEET

Vanishes if  $b_2^+ > 1$

$$Z_u = \int da d\bar{a} \left( \frac{du}{da} \right)^{\frac{\chi}{2}} \Delta^{\frac{\sigma}{8}} e^{2pu + S^2 T(u)} \Theta$$

$$\Delta = (u - \Lambda^2)(u + \Lambda^2)$$

$$\text{Contact term: } T(u) = \left( \frac{du}{da} \right)^2 E_2(\tau) - 8u$$

$\Theta$ : Sum over line bundles for the U(1) photon.

# Photon Theta Function

$$\Theta = e^{y^{-1} \left(\frac{du}{da}\right)^2 s_+^2} \sum_{\lambda = \lambda_0 + H^2(X, \mathbb{Z})} y^{-\frac{1}{2}} e^{-i \pi \bar{\tau} \lambda_+^2 - i \pi \tau \lambda_-^2} \underbrace{(-1)^{w_2(X) \cdot (\lambda - \lambda_0)}}_{\text{orange}} \underbrace{e^{-i \left(\frac{du}{da}\right) s \cdot \lambda_-}}_{\text{pink}} \left( \frac{d\bar{\tau}}{d\bar{a}} \right) \left( \lambda_+ + \frac{1}{4\pi y} s_+ \left( \frac{du}{da} \right) \right)$$

$$\tau = x + i y$$

$2\lambda_0$  is an integral lift of  $\xi = w_2(P)$

**Metric dependent!  $\lambda = \lambda_+ + \lambda_-$**

# Contributions From $\mathcal{U}_{\Lambda^2}$

Path integral for  $U(1)_D$  VM + HM:  
General considerations imply:

$$\sum_{\lambda \in \frac{1}{2}w_2(X) + H^2(X, \mathbb{Z})} SW(\lambda) e^{2\pi i \lambda \cdot \lambda_0} R_\lambda(p, S)$$

$$R_\lambda(p, S) = \text{Res} \left[ \left( \frac{da_D}{a_D^{1 + \frac{d(\lambda)}{2}}} \right) e^{2pu + S^2 T(u) + i \left( \frac{du}{da_D} \right) S \cdot \lambda} C(u)^{\lambda^2} P(u)^\sigma E(u)^\chi \right]$$

$$d(\lambda) = \frac{(2\lambda)^2 - c_1^2}{4}$$

$$u = \Lambda^2 + \text{Series } a_D$$

$$c_1^2 = 2\chi + 3\sigma$$

C, P, E : Universal functions. In principle computable.

# Deriving C,P,E From Wall-Crossing

$$\frac{d}{dg_{\mu\nu}} Z_u = \int \text{Tot deriv} = \oint_{\infty} du(\dots) + \oint_{\Lambda^2} du(\dots) + \oint_{-\Lambda^2} du(\dots)$$

$Z_u$  piecewise constant: Discontinuous jumps across walls:

$$\Delta_{\infty} Z_u: W(\lambda): \quad \lambda_+ = 0 \quad \lambda = \lambda_0 + H^2(X, \mathbb{Z})$$

Precisely matches formula of Göttsche!

$$\Delta_{\pm\Lambda^2} Z_u: W(\lambda): \quad \lambda_+ = 0 \quad \lambda = \frac{1}{2} w_2(X) + H^2(X, \mathbb{Z})$$

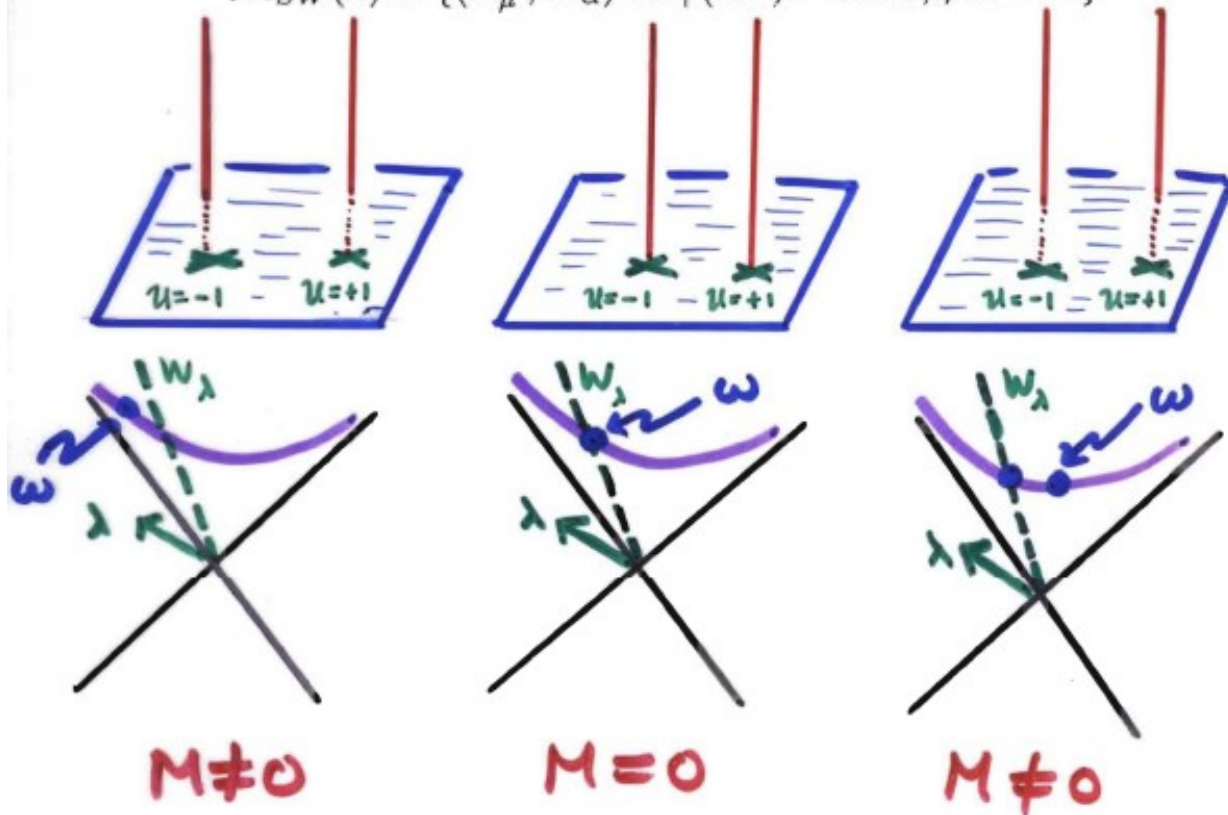
$$\Delta_{\Lambda^2} Z_u + \Delta Z_{\Lambda^2} = 0 \Rightarrow C(u), P(u), E(u)$$

$$Z_{DW} = \langle e^{p\mathcal{O} + I(S)} \rangle_{\text{micro}} = Z_{\text{Coulomb}} + Z_{\text{Higgs}} = Z_u + Z_{SW}$$

Donaldson polynomials do *not* jump at SW walls  $\Rightarrow$

$$0 = \delta Z_{DW} = \delta Z_{\text{Coulomb}} + \delta Z_{\text{Higgs}}$$

$$\mathcal{M}_{SW}(\lambda) = \{(A_\mu^D, M_\alpha) : F_+(A^D) = \bar{M}M, \not{D}M = 0\}$$





# Witten Conjecture

Now, with C,P,E known one takes  $b_2^+(X) > 1$  and SWST to recover the Witten conjecture:

$$Z_{DW}^\xi(p, s) = 2^{c^2 - \chi_h} \left( e^{\frac{1}{2}s^2 + 2p} \sum_{\lambda} SW(\lambda) e^{2\pi i \lambda \cdot \lambda_0} e^{2s \cdot \lambda} + e^{-\frac{1}{2}s^2 - 2p} \sum_{\lambda} SW(\lambda) e^{2\pi i \lambda \cdot \lambda_0} e^{-2is \cdot \lambda} \right)$$

$$\chi_h = \frac{\chi + \sigma}{4} \quad c^2 = 2\chi + 3\sigma$$

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# N=2 Theories

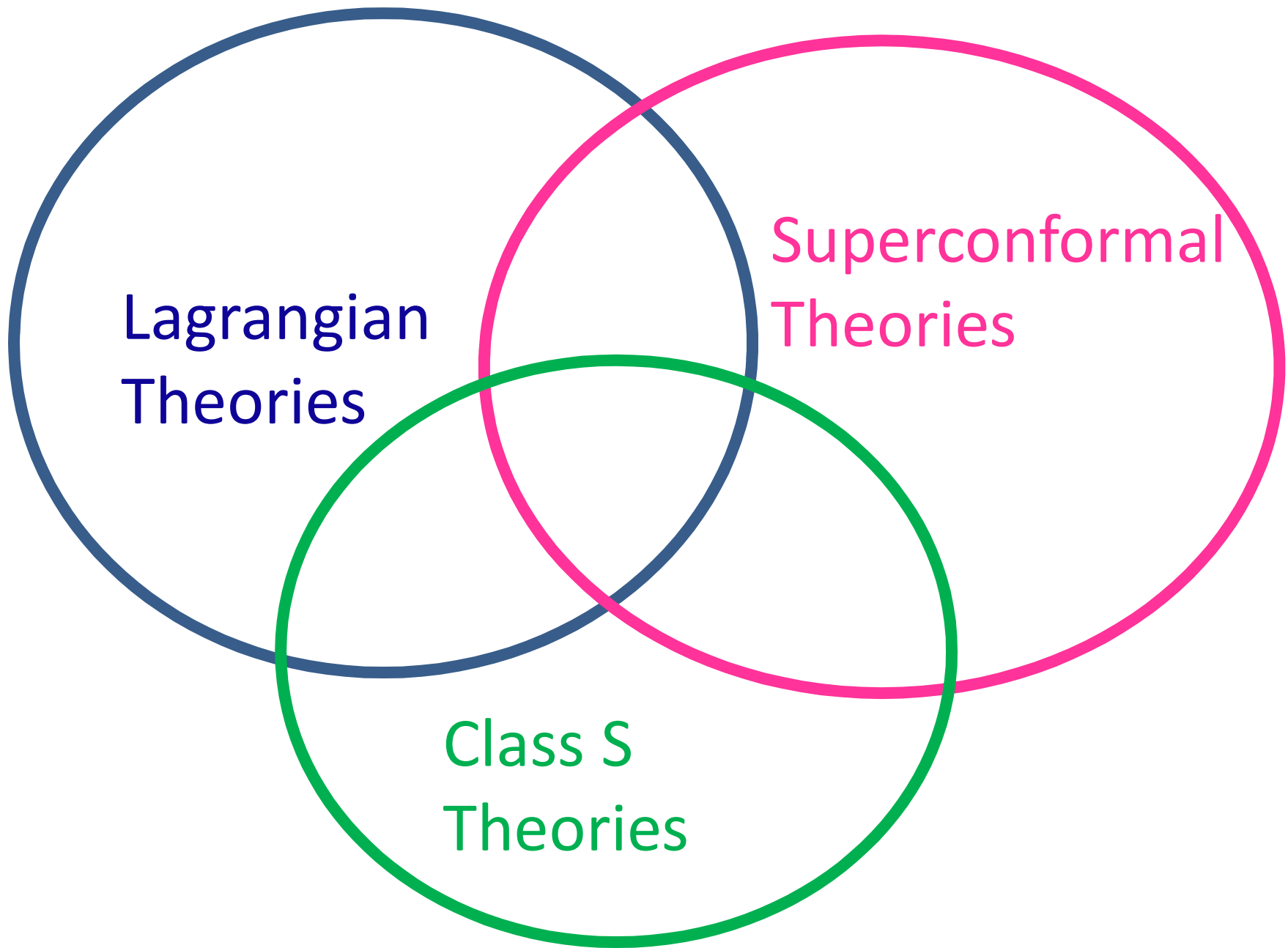
Lagrangian theories: Compact Lie group  $G$ ,  
quaternionic representation  $\mathcal{R}$  with  $G$ -invariant metric,

$$\tau_0 \in \prod_{\text{simple factors}} \mathcal{H} \quad m \in \text{Lie}(G_f) \quad G_f = Z(G) \subset O(\mathcal{R})$$

Class S: Theories associated to Hitchin  
systems on Riemann surfaces.

Superconformal theories

Couple to N=2 supergravity



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# G-Donaldson Invariants

Pure VM theory for  $G$  a compact simple Lie group of rank  $r$

0,2 observables derived from independent invariant polynomials  $U, V \in \text{Inv}(\mathfrak{g})$

$$V(S) \sim \int_S V_{ab} (\phi^a F^b + \psi^a \psi^b)$$

$$Z_{DW}^{G, \xi}(U, V(S)) = \langle e^{U+V(S)} \rangle_{\Lambda}$$

Formally the path integral localizes to  $G$ -ASD moduli space

Generating function of generalization of Donaldson polynomials for any  $G$ .

Rigorous setup: Kronheimer & Mrowka

# LEET On Coulomb Branch

Coulomb branch:  $\mathcal{B} = \mathfrak{t} \otimes \mathbb{C} / W$

SSB:  $G \rightarrow T \Rightarrow$  Abelian VM's valued in  $T$

Example of SW Geometry :  $G=\text{SU}(N)$

$$\Sigma_u: \quad y^2 = P(x)^2 - \Lambda^{2N} \quad P(x) = x^N + u_2 x^{N-2} + \cdots + u_N$$

$$\mathfrak{A}_u = \text{Jac}(\Sigma_u) \quad \Gamma_u = H_1(\Sigma_u; \mathbb{Z})$$

$$Z(\gamma) = \oint_{\gamma} \lambda \quad \lambda = x d \log \frac{y + P}{y - P}$$

# u-Plane Integral

Can compute u-plane integral explicitly from QFT:

$\exists$  almost canonical duality frame in weak-coupling region at  $\alpha$

$\Rightarrow$   $\mathfrak{t}$ -valued VM:  $(a, A, \chi, \eta, \psi)$

$$Z_u = \int_{\mathfrak{t}_c} [da] A^\chi B^\sigma e^{U+S^2 T_V} \Theta$$

$$A = \alpha \left( \text{Det} \left( \frac{\partial u^I}{\partial a^J} \right) \right)^{\frac{1}{2}} \quad B = \beta \Delta^{\frac{1}{8}}$$

$\Delta$ : Holomorphic function vanishing along “discriminant locus”  $\mathcal{D}$

$T_V$ : Contact term. General theory Losev-Nekrasov-Shatashvili; Edelstein, Gomez-Reino, Marino

For quadratic Casimir:  $T_{u_2} \sim 2 u_2 - a^I \frac{\partial u_2}{\partial a^I}$



# Theta Function

$\Theta$ : Theta function for abelian gauge fields remaining after SSB

Reduction of structure group  $G_{adj} \rightarrow T$

Classes for fluxes in a torsor for  $H^2(X; \Lambda_{wt}(\mathfrak{g})) \cong \Lambda_{wt}(\mathfrak{g}) \otimes H^2(X; \mathbb{Z})$

$$[F] = 4\pi\lambda \quad \lambda \in \Lambda_{wt}(\mathfrak{g}) \otimes H^2(X; \mathbb{Z}) + \lambda_0 := \Lambda$$

$$\Theta \sim \sum_{\lambda \in \Lambda} e^{-i\pi\lambda_+ \bar{\tau}\lambda_+ - i\pi\lambda_- \tau\lambda_-} e^{i\pi(\lambda - \lambda_0) \cdot \rho \otimes w_2(X)} e^{i \frac{\partial V}{\partial a^I} \lambda_-^I \cdot s}$$

Metric dependent  $\Rightarrow$  Possible wall-crossing

# Discriminant Locus

Just as in rank 1, the integrand is singular along a “discriminant locus”  $\mathcal{D}$  where BPS states become massless and some  $U(1) \subset T$  becomes strongly coupled.

$$\mathcal{D} = \cup_i \mathcal{D}_i$$

$\mathcal{D}_i$  generalizes  $u = \pm\Lambda^2$

Higher rank: complicated intersections where multiple BPS states become massless, i.e. multiple periods of the curve vanish.

Integral  $Z_u$  must be regularized by cutting out tubular regions around  $\mathcal{D}_i$

# General Form Of $Z_{DW}$

$$Z_{DW}^{G,\xi}(p,s) = Z_u + \sum_i Z_{\mathcal{D}_i} + \sum_{i \neq j} Z_{\mathcal{D}_{ij}} + \cdots + Z_{mx}$$

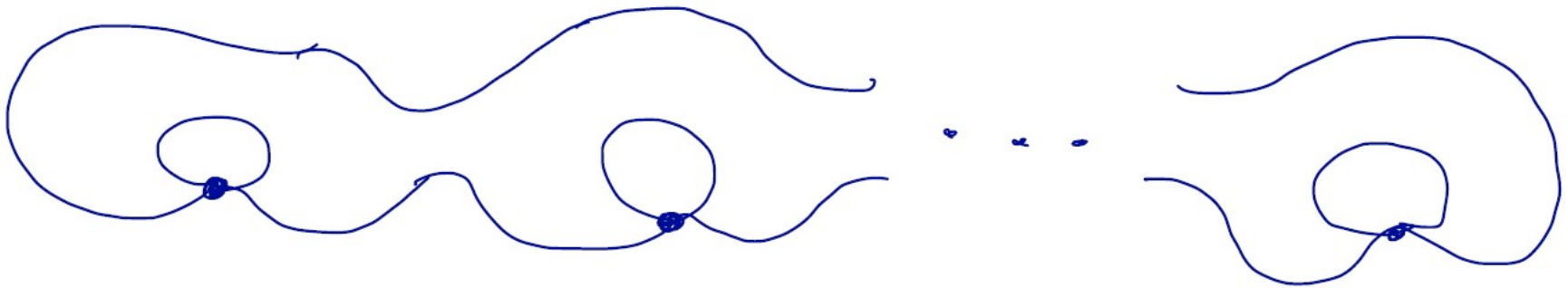
Cancelling wall-crossing inductively determines  $Z_{\mathcal{D}_i}$  from  $Z_u$  and  $Z_{\mathcal{D}_{ij}}$  from  $Z_{\mathcal{D}_i}$ , etc.

All  $Z_{\mathcal{D}_{i_1 \dots i_k}}$  vanish for  $b_2^+ > 1$  EXCEPT  $Z_{mx}$

So for  $b_2^+ > 1$  the answer is given entirely by  $Z_{mx}$

# The “N=1 Vacua”

$\mathcal{D}_{max}$  contains  $h = h^V(G)$  isolated points  $v_\alpha$   
permutated by spontan. broken  $\mathbb{Z}/h\mathbb{Z}$  R-symmetry



$$b_2^+ > 1 \quad Z_{DW}^{G,\xi}(U, V) = \sum_{v_\alpha} Z_{DW}^{G,\xi}(U, V; v_\alpha)$$

In principle, other maximal degenerations – corresponding to superconformal points- might have contributed.

But detailed analysis shows they do not for  $G=SU(3)$  and it is natural to conjecture that this is the case for all  $G$ .

# Analog Of Witten Conjecture

In duality frame where max degeneration is  $a_D^I = 0$   
 the  $\Theta$  function is a sum over

$$\lambda \in \frac{1}{2}\rho \otimes w_2(X) + \Lambda_{\text{wt}}(\mathfrak{g}) \otimes H^2(X; \mathbb{Z})$$

r independent spin-c structures :  $f_I \in \frac{1}{2}w_2(X) + H^2(X; \mathbb{Z})$

$$SW(\lambda) := \prod_I SW(f_I)$$

$$Z_{DW}^{G, \xi}(U, V; v_a) = e^{i\theta_a} \sum_{\lambda} e^{(2\pi i \lambda \cdot \lambda_0)} SW(\lambda) R_{\lambda}(U; V)$$

$$R_{\lambda}(U, V) = \text{Res} \left[ (da_D^1 \wedge \cdots \wedge da_D^r) / \prod_I (a_D^I)^{1 + \frac{d(f_I)}{2}} \right] \mathcal{E}(a_D^I) e^{Us + S^2 T_V + i \frac{\partial V}{\partial a_D^I} S \cdot f_I}$$

All computable from the degenerate curve  
 and its first order variation.

# Example Of SU(N)

Thus we can derive the  $SU(N)$  Donaldson invariants.

Corollary:  $X$  of simple type,  $b_1 = 0, b_2^+ > 1$ :

Only the  $\mathcal{N} = 1$  points contribute. Local analysis near  $\mathcal{N} = 1$  points  $\Rightarrow$

$$\langle e^{U+I_2(S)} \rangle_{SU(N)} = \tilde{\alpha}_N^\chi \tilde{\beta}_N^\sigma \sum_{k=0}^{N-1} \sum_{\lambda^I} \omega^{k(N^2-1)\delta} \left( \prod_{I=1}^{N-1} SW(\lambda^I) \right) \cdot \exp \left[ \sum_{s=1}^{\lfloor \frac{N-1}{2} \rfloor} p_{2s} \omega^{2ks} u_{2s} + 2\omega^{2k} S^2 + 4\omega^k \sum_{I=1}^{N-1} (S, \lambda^I) \sin \frac{\pi I}{N} \right]$$

$$\omega = \exp[i\pi/N] \quad \delta = (\chi + \sigma)/4 \quad u_{2s} = 4^s \binom{2s}{s} N$$

The sum  $\sum_{\lambda^I}$  is over the finite set of SW classes with:  $4\lambda^2 = 3\chi + 2\sigma$

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# Including Matter

Now consider the general Lagrangian theory:

Data:  $G, \mathcal{R}, m, \Lambda$  or  $q = e^{2\pi i \tau}$

Twisted N=2 theory is again of MQ form:

Localize on moduli space of generalized monopole equations.

Q: Differential for  $G_f$ -equivariant cohomology  
with parameters  $m \in Lie(G_f)$

Labastida & Marino; Losev-Nekrasov-Shatashvili

$$Z(U, V(S)) = \sum_{\ell, r} \frac{1}{\ell! r!} \int_{\mathcal{M}} \omega_U^\ell \omega_{V(S)}^r \text{Eul}(\text{Cok}(\mathbb{F}))$$



# SU(2) With Fundamental Hypers

Moore & Witten

$$\mathcal{R} = N_{fl}(2 \oplus 2^*) \quad Spin(2N_{fl}) \subset G_f$$

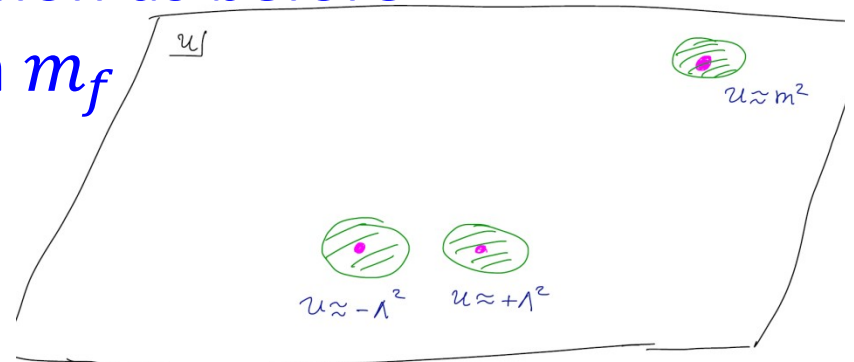
Mass parameters  $m_f \in \mathbb{C}, f = 1, \dots, N_{fl}$

Must take  $\xi = w_2(X)$

Seiberg-Witten:  $E_u : y^2 = x^3 + a_2 x^2 + a_4 x + a_6$   
 $a_k$ : Polynomials in  $\Lambda, m_f, u$

$Z_u$  has exactly the same expression as before  
 but now, e.g.  $da/du$  depends on  $m_f$

New ingredient:  $\mathcal{D}$  has  $2 + N_{fl}$   
 points  $u_i$ :  $\Delta = \prod_i (u - u_i)$



# Analog Of Witten Conjecture

$$b_2^+ > 1 \quad Z(p; s; m_f) = \sum_{j=1}^{2+N_{fl}} Z(p, s; m_f; u_j)$$

$$Z(p, s; m_f; u_j) = \tilde{\alpha}^\chi \tilde{\beta}^\sigma \sum_{\lambda} SW(\lambda) e^{2\pi i \lambda \cdot \lambda_0} R_j(p, s)$$

**X is SWST  $\Rightarrow$**

$$R_j(p, s) = \kappa_j^{\chi_h} \left( \frac{du}{da} \right)^{\chi_h + \sigma} \exp \left( 2p u_j + S^2 T(u_j) - i \left( \frac{du}{da} \right)_j S \cdot \lambda \right)$$

$$u = u_j + \kappa_j q_j + \mathcal{O}(q_j^2)$$

Everything computable explicitly as functions of the masses from first order degeneration of the SW curve.

# Superconformal Points

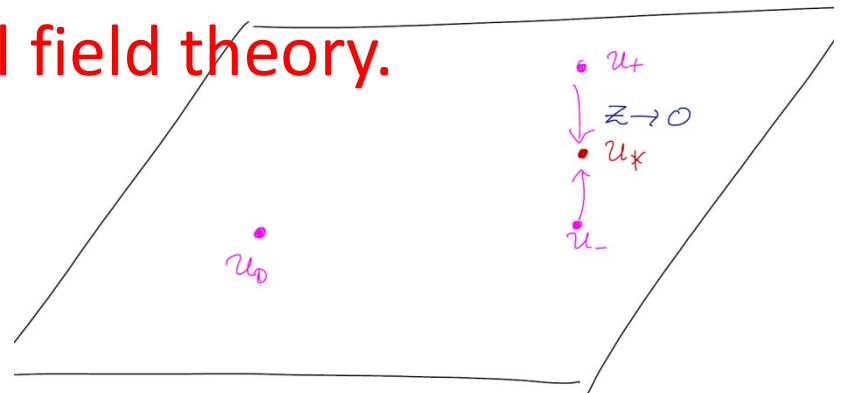
Consider  $N_{fl} = 1$ . At a critical point  $m = m_*$  two singularities  $u_{\pm}$  collide at  $u = u_*$  and the SW curve becomes a cusp:  $y^2 = x^3$  [Argyres, Plesser, Seiberg, Witten]

Two mutually nonlocal BPS states have vanishing mass:

$$\oint_{\gamma_1} \lambda \rightarrow 0 \quad \oint_{\gamma_2} \lambda \rightarrow 0 \quad \gamma_1 \cdot \gamma_2 \neq 0$$

Physically: No local Lagrangian for the LEET :  
Signals a nontrivial superconformal field theory.

$$m = m_* + z$$



# Superconformal Simple Type – 1/2

Analyze contributions at the two colliding points  $u_{\pm}$

$$R_j(p, s) = \kappa_j^{\chi_h} \left( \frac{du}{da} \right)^{\chi_h + \sigma} \exp \left( 2p u_j + S^2 T(u_j) - i \left( \frac{du}{da} \right)_j S \cdot \lambda \right)$$

$$= \text{const.} e^{2pu_* + S^2 T(u_*)} e^{i\theta_{\mp}} z^{\frac{c^2 - \chi_h}{2}} \left( 1 + \text{Series in } z^{\frac{1}{2}} \right)$$

$$\exp \left( e^{i\theta_{\pm}} z^{\frac{1}{4}} \left( 1 + \text{Series in } z^{\frac{1}{2}} \right) S \cdot \lambda \right)$$

$$\frac{c^2 - \chi_h}{2} = \frac{7\chi + 11\sigma}{8} < 0 \quad \text{Perfectly reasonable!}$$

$$\text{Physics: } \lim_{z \rightarrow 0} Z_{DW} (p, s; m_* + z) < \infty$$

# Superconformal Simple Type – 2/2

Physics:  $\lim_{z \rightarrow 0} Z_{DW}(p, s; m_* + z) < \infty$

No IR divergences on  $X$     No noncompact moduli spaces of vacua

Form of explicit answer implies the only way this can hold for all polynomials in  $p$  and  $s$  is for a series expansion in  $z$  with coefficients made from  $SW(\lambda)$  to be regular

Theorem [MMP]: There is no divergence in  $Z_{DW}$  if :

a.)  $\chi_h - c^2 - 3 \leq 0$

b.)  $\sum_{\lambda} SW(\lambda) e^{2\pi i \lambda \cdot \lambda_0} \lambda^k = 0 \quad 0 \leq k \leq \chi_h - c^2 - 4$

Conditions a,b define SST.

MMP checked that all known (c. 1998)

4-folds with  $b_2^+ > 1$  are SST.

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# Two Possible Future Directions

Invariants for families of 4-manifolds

New invariants

(or new facts about old invariants)

from superconformal theories??

# Families Of Four-Manifolds – 1/5

Donaldson invariants can be generalized to families of four-manifolds: Donaldson, Durham lectures 1989

Naïve attempt at a physical approach:

Couple N=2 field theory to N=2 supergravity:

$$g_{\mu\nu}, \psi_{\mu\alpha}^A, \bar{\psi}_{\mu\dot{\alpha}}^A, \dots$$

Topological twist:  $\Rightarrow g_{\mu\nu}, \Psi_{\mu\nu}, \phi^\mu, \dots$

$$Qg_{\mu\nu} = \Psi_{\mu\nu}, \quad Q\Psi_{\mu\nu} = D_\mu\phi_\nu + D_\nu\phi_\mu, \quad Q\phi^\mu = 0, \dots$$

Superfields describe (Cartan model) for  $\text{diff}(X)$  – equivariant cohomology of  $\Omega^*(\text{Met}(X))$



# Families Of Four-Manifolds – 2/5

$$S = \{ Q, V \} + \text{const} \int \text{tr} F \wedge F$$

$$T_{\mu\nu} = \{ Q, \Lambda_{\mu\nu} \} \quad D^\mu \Lambda_{\mu\nu} = \{ Q, Z_\nu \}$$

$$Q \left( S + \int_X \text{vol}(g) \Psi^{\mu\nu} \Lambda_{\mu\nu} + \text{vol}(g) \phi^\mu Z_\mu \right) = 0$$

(For a fixed volume form  $\text{vol}(g)$ .)

# Families Of Four-Manifolds – 3/5

$$Z[g_{\mu\nu}, \Psi_{\mu\nu}, \phi^\mu] = \int d[A, \phi, \chi, \psi, \eta] \exp(S + \int_X \Psi^{\mu\nu} \Lambda_{\mu\nu} + \phi^\mu Z_\mu)$$

$Q$  – closed  $\text{diff}(X)$ -equivariant differential form on  $\text{Met}(X)$

Diffeomorphism invariant

Descends to cohomology class  $\in H^*\left(\frac{\text{Met}(X)}{\text{Diff}(X)}\right)$

**Conjecture:** These are the family Donaldson invariants

$n$  – parameter families of metrics have wall-crossing in the degree  $n$  component for  $b_2^+(X) \leq n + 1$

# Four-Manifold Families – 4/5

$b = b_2^+(X)$  Singularities of (b-1)-form component for b-dimensional families are associated with classes  $\lambda \in H^2(X; \mathbb{Z})$

Suppose  $\lambda \in H^2(X; \mathbb{Z})$  is ASD for a metric  $g^{(0)}$

Perturb :  $g(t) = g^{(0)} + \sum_{\alpha=1}^b t^\alpha p_\alpha$

$$Z^{sing} \sim c \left( \frac{\lambda^2}{2} \right) \omega_{b-1} + d (*)$$

$\omega_{b-1}$  angular form in  $t^\alpha$  around the point  $t=0$ .

For  $G=SU(2)$   $c(n)$  are the coefficients of the same modular form that appears in the standard Donaldson WCF.

# Four-Manifold Families – 5/5

One can also couple  $g_{\mu\nu}, \Psi_{\mu\nu}, \phi^\mu$  to the LEET around  $\mathcal{U}_{\Lambda^2}$

It is natural to expect that this will give the family SW invariants formulated by  
T.-J. Li & A.-K. Liu.

... and moreover that there is an analog of the Witten conjecture for the family Donaldson invariants.

# Superconformal Theories – 1/4

## Basic question:

There are lots of interesting superconformal theories.

(Some of them don't even have Lagrangian descriptions.)

Nevertheless, they can be topologically twisted and have Q-invariant operators.

Is this a source of new four-manifold invariants?

# Superconformal Theories – 2/4

Important lesson from  $SU(2) N_{fl} = 4$

$\tau(u; m_a)$  approaches a FINITE limit as  $u \rightarrow \infty$

Completely changes the wall-crossing story.

$$\frac{d}{dg_{\mu\nu}} Z_u \sim \sum_{\ell, r} \mathcal{S}^{\ell} S^r \lim_{R \rightarrow \infty} \oint_{|u|=R} du u^{\frac{\sigma+1+2\ell+r}{2}} \Theta_{\ell, r}(\tau_0) (1 + \text{Series } \frac{1}{u}, \frac{1}{\bar{u}})$$

$$\frac{1}{2}(\sigma + 1 + 2\ell + r) < -1$$

No wall-crossing at  $b_2^+ = 1$

$$\frac{1}{2}(\sigma + 1 + 2\ell + r) \geq -1$$

**Continuous** metric dependence!  
TFT fails utterly !!



# Superconformal Theories – 3/4



Now consider  $SU(2)$   $N_{fl} = 1$  at  $m = m_*$

$$\lim_{m \rightarrow m_*} Z_u \neq \int du d\bar{u} \lim_{m \rightarrow m_*} \text{Measure}(u, \bar{u}; m) := Z_u^*$$

$Z_u^*$  : continuous or no metric dependence from singularity!

Continuous metric dependence for  $\sigma + r + 6\ell < -7$

No metric dependence for  $\sigma + r + 6\ell \geq -7$

Conjecture:  $Z_u^* + Z_{u_0} + \langle e^{p \theta_1 + \theta_2(S)} \rangle_{AD3 Theory}$

is topologically invariant except for wall-crossing at

$$u = \infty \text{ for } b_2^+(X) = 1$$



# Superconformal Theories – 4/4



The truth of this conjecture would suggest that the superconformal theories might provide new four-manifold invariants, at least in some range of  $r$  &  $\ell$

The truth of this conjecture would then strongly motivate an investigation of the u-plane integral for general class S.

Much of the structure of  $Z_u$  is known – follows pattern of higher rank.

Some important details remain to be understood more clearly.

Can, in principle, be derived from a 2d (2,0) QFT derived from reduction of abelian 6d (2,0) theory along a four-manifold.