

## Degenerate Pert'n Theory

Now let's tackle the degenerate case.

$$H = \begin{pmatrix} \ddots & 0 & 0 \\ 0 & E_n^{(0)} & 0 \\ 0 & 0 & E_n^{(0)} - \epsilon_m \\ 0 & 0 & \ddots \end{pmatrix} + \epsilon V$$

$E_m^{(0)} = E_{m_2}^{(0)}$   
 $m, m_2 \in \mathbb{D}$

$\sim$  D (FNRN)

Our previous treatment breaks down b/c we assumed  $E_m \neq E_n^{(0)}$

Formulas break down

$$\left\{ \begin{array}{l} |n^{(0)}\rangle = \sum_{m \neq n} \frac{V_{mn}}{E_n^{(0)} - E_m^{(0)}} |m\rangle \\ G_n^{(0)} = \sum |V_{mn}|^2 \end{array} \right.$$

Obviously 0!

Also  $E_n^{(0)} = \langle n | V | n^{(0)} \rangle$

is ambiguous  $\rightarrow$  which  $|n^{(0)}\rangle$  do we use??

w/ degener  $H^{(0)}$ , have equal bases  
under unitary transf.

$$|0\rangle \rightarrow U|0\rangle$$

$$\begin{pmatrix} |n_1\rangle \\ \vdots \\ |n_D\rangle \end{pmatrix} \rightarrow U \begin{pmatrix} |n_1\rangle \\ \vdots \\ |n_D\rangle \end{pmatrix}$$

Where did we go wrong in general? ~~deriving~~?

$$(H^{(0)} + \varepsilon V)|n\rangle = (E_n^{(0)} + \varepsilon \Delta_n)|n\rangle$$

$$\langle n^{(0)} | \times (\dots = \dots) \Rightarrow \boxed{\Delta_n = \frac{\langle n^{(0)} | V | n \rangle}{\langle n^{(0)} | n \rangle}} \text{ OK}$$

$$m \neq n \quad \langle m^{(0)} | \times (\dots = \dots) \Rightarrow E_m + \varepsilon \sum_{n \neq m} \frac{\langle m^{(0)} | V | n \rangle}{\langle m^{(0)} | n \rangle}$$

$$= E_n + \varepsilon \Delta_n \frac{\langle m^{(0)} | n \rangle}{\langle m^{(0)} | n \rangle}$$

correct  
 vector  
 $\langle m^{(0)} | n \rangle$   
 small  $n$ !

$$\left| C_{nm} = \varepsilon \left( V_{mn} + \sum_{n \neq m} C_{nn} V_{nn} \right) \right| \times \left( \frac{\langle s | - E_n + \varepsilon \Delta_n}{\langle s | E_n + \varepsilon \Delta_n} \right) \quad (*)$$

$$\left| \langle m^{(0)} | n \rangle = \frac{\varepsilon \langle m^{(0)} | V | n \rangle}{E_n - E_m + \varepsilon \Delta_n} \right|$$

Before we took  $E_n^{(0)}$ ,  $E_n^{(0)}$  as 0!

Now if  $E_n^{(0)} = E_n$ , it fails!

$$\langle m^{(0)} | n \rangle = \varepsilon \frac{\langle m^{(0)} | V | n^{(0)} \rangle}{E_n - E_m + \varepsilon \Delta_n} + \dots$$

& expanded in  $\varepsilon$ ,  
 $\langle m^{(0)} | n \rangle = \varepsilon \langle m^{(0)} | V | n^{(0)} \rangle$

instead get

$$\left| \langle n^{(0)} | n \rangle = \varepsilon \langle m^{(0)} | V | n \rangle \right| \quad m \in \mathbb{O} \quad m \neq n$$

At 0th order  $\Rightarrow$

$$\langle n^{(0)} | (V^{(0)} + \varepsilon V^{(1)} + \dots) = \langle m^{(0)} | V | n^{(0)} \rangle + \varepsilon \langle n^{(0)} | V | n^{(1)} \rangle + \dots$$

$$\rightarrow \boxed{\langle n^{(0)} | V | n^{(0)} \rangle = 0}$$

correct basis where

$V$  is diagonal in 0!

$$H = \left( \begin{array}{c|c|c} \ddots & 0 & 0 \\ \hline 0 & \begin{matrix} E_0^{(0)} & 0 \\ 0 & E_0^{(0)} \end{matrix} & 0 \\ 0 & 0 & \ddots \end{array} \right) + \varepsilon \left( \begin{array}{c|c|c} \ddots & \ddots & \ddots \\ \hline \ddots & \ddots & 0 \\ \ddots & 0 & \ddots \end{array} \right)$$

offdiag  
still  
non  
zero

then  $E_n^{(0)} = \langle n^{(0)} | V | n^{(0)} \rangle$   
is ambiguous!

~~Assume & photo energy 1st order.~~

• What about  $E_n^{(2)}$ ?

⊗

$$E_n^{(2)} = \langle n^{(0)} | V | n^{(1)} \rangle$$

$$= \sum_{m \neq 0} \frac{|N_{mn}|^2}{E_n^{(0)} - E_m^{(0)}} \quad \checkmark$$

$$\langle n^{(0)} \rangle = \sum_{m \in D} \frac{V_{mn}}{E_n^{(0)} - E_m^{(0)}} |m\rangle$$

$$+ \sum_{m \in D, m \neq n} c_{mn} |m^{(0)}\rangle$$

don't contribute  
since  $V_0$  is diag!

• What about  $\langle n^{(0)} \rangle_D$ ?

→  $c_{mn}$  for  $m \in D$ ?

⊗  
Need to unpack  $\Delta$ :

$$\Delta_n c_{mn} = \sum_{n' \neq 0} \frac{V_{mn} V_{mm'}}{E_n^{(0)} - E_{m'}^{(0)}} + V_{mm'} c_{mn}$$

$$\underbrace{\Rightarrow c_{mn} = \sum_{m' \neq 0} \frac{V_{mn} V_{mm'}}{(E_n^{(0)} - E_{m'}^{(0)}) (E_n^{(0)} - E_{m'}^{(0)})}}$$

note:  
requires  
degen lifted  
@ 1st order!!

• Comment:  $c_{mn}$  looks 2nd order ( $V^2$ )

but  $E_n^{(0)} - E_m^{(0)}$  in denom  $\Rightarrow \frac{1}{\varepsilon} = \text{1st order!}$

Q: what if it's not?

HW:  
check

get same answer  
as  $c_{mn}$  this way

• More generally, can absorb  $V_0$  into defn of  $H^{(0)}$

$$H^{(0)} = \left( \begin{array}{ccc|c} \ddots & & & \\ & E_n^{(0)} + \varepsilon V_{11} & & 0 \\ & & \ddots & \\ 0 & & & E_n^{(0)} + \varepsilon V_{NN} \end{array} \right) \quad \tilde{V} = \varepsilon \left( \begin{array}{cc|c} \ddots & & \\ & \ddots & \\ & & \ddots \end{array} \right)$$

then if degen lifted (1st order), can apply non-degen pert-thy to this reduced system!

~~Review~~

Summary

For degeneracy perturb.

- Diagonalize  $V$  on degeneracy subspace  
These are the "good" basis vectors
- If lifted @ 1st order, apply  $B_{\text{non-deg}}$  perturb to reduced system
- Be careful about  $\mathcal{O}(\omega^2)$ !
  - need 2nd order in  $\epsilon$  to get 1st order states!
- If not lifted @ 1st order, can't follow from states for  $E_n^{(1)}$  &  $E_n^{(2)}$ . need to work even harder to identify "good" basis...