## INTRODUCTION TO MANY BODY PHYSICS: 620. Fall 2011

## Answers to Questions II. Oct 10th

Here is an outline of the solutions.

1. (i) Expanding  $|\psi\rangle = |1111100...\rangle = c^{\dagger}_{5}c^{\dagger}_{4}c^{\dagger}_{3}c^{\dagger}_{2}c^{\dagger}_{1}|0\rangle$  we obtain

$$\begin{aligned} c^{\dagger}{}_{3}c_{6}c_{4}c_{6}^{\dagger}c_{3}|\psi\rangle &= c^{\dagger}{}_{3}c_{6}c_{4}c_{6}^{\dagger}c_{3}c^{\dagger}{}_{5}c^{\dagger}{}_{4}c^{\dagger}{}_{3}c^{\dagger}{}_{2}c^{\dagger}{}_{1}|0\rangle \\ &= (-1)c^{\dagger}{}_{5}c^{\dagger}{}_{3}c^{\dagger}{}_{2}c^{\dagger}{}_{1}|0\rangle \\ &= -|1110100\ldots\rangle. \end{aligned}$$

(ii) We may write

$$|110110010... = c_{8}^{\dagger}|11011000...\rangle = c_{8}^{\dagger}c_{3}|11111000...\rangle.$$
(1)

This state can be interpreted as the creation of an electron and "hole" in states 8 and 3 respectively.



Figure 1:

(iii) To calculate  $\langle \psi | \hat{N} | \psi \rangle$ , where  $\psi = A | 100 \dots \rangle + B | 111000 \dots \rangle$ , note that

$$\hat{N}|\psi\rangle = A|100\ldots\rangle + 3B|111000\ldots\rangle,$$
(2)

so that  $\langle \psi | \hat{N} | \psi \rangle [|A|^2 + 3|B|^2]$ .

2. (i) We need to confirm that  $\{c_1, c^{\dagger}_1\} = \{c_1, c^{\dagger}_1\} = 1$  and also  $\{c_1, c_2\} = \{c_2, c_1\} = 0$ . Substituting for  $c_1$  and  $c_2$ , we obtain

$$\{c_1, c_2\} = \{ua_1 + va^{\dagger}_2, -va^{\dagger}_1 + ua_2\} = -uv\{a_1, a_1^{\dagger}\} + vu\{a^{\dagger}_2, a_2\} = 0,$$
(3)

and

$$\{c_1, \ c^{\dagger}_2\} = \{ua_1 + va^{\dagger}_2, u^*a^{\dagger}_1 + v^*a_2\} = |u|^2\{a_1, a_1^{\dagger}\} + |v|^2\{a^{\dagger}_2, a_2\} = 1,$$
(4)

provided  $|u|^2 + |v|^2 = 1$ .

(ii) Consider  $H = \omega [c^{\dagger}_{1}c_{1} - c_{2}c^{\dagger}_{2}]$ , then if

$$\begin{pmatrix} c_1 \\ c^{\dagger}_2 \end{pmatrix} = \begin{pmatrix} u & v \\ -v^* & u^* \end{pmatrix} \begin{pmatrix} a_1 \\ a^{\dagger}_2 \end{pmatrix} = U \begin{pmatrix} a_1 \\ a^{\dagger}_2 \end{pmatrix},$$
(5)

where we note U is a unitary transformation, we may re-write H as

$$H = (c_1^{\dagger}, c_2) \begin{pmatrix} \omega & 0 \\ 0 & -\omega \end{pmatrix} \begin{pmatrix} c_1 \\ c_2^{\dagger} \end{pmatrix}$$

so that using (5)

$$H = (a_1^{\dagger}, a_2) U^{\dagger} \begin{pmatrix} \omega & 0 \\ 0 & -\omega \end{pmatrix} U \begin{pmatrix} a_1 \\ a_2^{\dagger} \end{pmatrix}$$
$$= (a_1^{\dagger}, a_2) \begin{pmatrix} \epsilon & \Delta \\ \Delta^* & -\epsilon \end{pmatrix} \begin{pmatrix} a_1 \\ a_2^{\dagger} \end{pmatrix}$$
$$= \epsilon [a^{\dagger}_1 a_1 - a_2 a^{\dagger}_2] + [\Delta a^{\dagger}_1 a^{\dagger}_2 + \text{H.c}]$$
(6)

where

$$\begin{aligned} \epsilon &= \omega(|u|^2 - |v|^2), \\ \Delta &= \omega 2u^* v. \end{aligned}$$

$$\tag{7}$$

Squaring both expressions and adding the results, we obtain  $\omega = (\epsilon^2 + \Delta^2)^{\frac{1}{2}}$  and

$$|u|^{2} = \frac{1}{2} \left( 1 + \frac{\epsilon}{\omega} \right), \qquad |v|^{2} = \frac{1}{2} \left( 1 - \frac{\epsilon}{\omega} \right), \tag{8}$$

(iii) The ground-state is annihilated by both  $c_1$  and  $c_2$ , so that if  $H = \omega [c^{\dagger}_1 c_1 + c^{\dagger}_2 c_2 - 1]$ , the ground-state energy is  $E_o = -\omega = -(\epsilon^2 + \Delta^2)^{\frac{1}{2}}$ .

## 3. Let us write our starting Hamiltonian in the form

$$H = -\sum_{j} \left\{ \frac{J_x + J_y}{4} \left( S_{j+1}^+ S_j^- + \text{H.c.} \right) + \frac{J_x - J_y}{4} \left( S_{j+1}^+ S_j^+ + \text{H.c.} \right) \right\},\tag{9}$$

where  $S^{\pm} = S^x \pm S^y$ . Using the Jordan Wigner transformation,

$$S_{j}^{z} = (c^{\dagger}{}_{j}cj - \frac{1}{2})$$
  

$$\mathbf{S}_{j}^{+} = c^{\dagger}{}_{j}e^{i\pi\sum_{l < i}\hat{n}_{l}},$$
(10)

we have

$$S_{j+1}^+ S_j^- = c_{j+1}^\dagger c_j,$$

$$S_{j+1}^{+}S_{j}^{+} = -c^{\dagger}{}_{j+1}c^{\dagger}{}_{j}, \qquad (11)$$

so that

$$H = -\sum_{j} t[c^{\dagger}_{j+1}c_{j} + \text{H.c}] - \sum_{j} \Delta[c^{\dagger}_{j+1}c^{\dagger}_{j} + \text{H.c}]$$
(12)

where  $t = \frac{J_x + J_y}{4}$ ,  $\Delta = \frac{J_y - J_x}{4}$ .

(ii) Transforming to a momentum basis,  $c^{\dagger}_{j} = \frac{1}{N} \sum_{q} d_{q} e^{ix_{j}q}$ , the Hamiltonian takes the form

$$H = -\sum_{q>0} 2t \cos(qa) [d^{\dagger}_{q} d_{q} + d_{-q} d^{\dagger}_{-q}] - \sum_{q} \Delta [e^{-iqa} d^{\dagger}_{q} d^{\dagger}_{-q} + \text{H.c}].$$
(13)

Since  $d^{\dagger}_{q}d^{\dagger}_{-q} = -d^{\dagger}_{-q}d^{\dagger}_{q}$  is an odd function of q, we can replace  $\Delta e^{-iqa} \rightarrow -2i\Delta \sin qa$ , to get

$$H = \sum_{q>0} \epsilon_q [d^{\dagger}_{q} d_q - d_{-q} d^{\dagger}_{-q}] + \sum_{q>0} i \Delta_q [d^{\dagger}_{q} d^{\dagger}_{-q} - \text{H.c}].$$
(14)

where  $\epsilon_q = -2t \cos(qa)$ ,  $\Delta_q = 2\Delta \sin qa$ . Notice how the sum over q > 0 is needed so that  $d_q$  and  $d_{-q}$  are independent. Carrying out the Boguilubov transformation

$$\begin{pmatrix} a_q \\ a^{\dagger}_{-q} \end{pmatrix} = \begin{pmatrix} u & v \\ -v^* & u^* \end{pmatrix} \begin{pmatrix} d_q \\ d^{\dagger}_{-q} \end{pmatrix},$$
(15)

then following the results of the last section, the Hamiltonian takes the form

$$H = \sum_{q>0} \omega_{\vec{q}} [a^{\dagger}_{q} a_{q} - a_{-q} a^{\dagger}_{-q}]$$

$$\tag{16}$$

where

$$\omega_{q} = \left(\epsilon_{q}^{2} + \Delta_{q}^{2}\right)^{\frac{1}{2}} = \frac{1}{2} \left[J_{x}^{2} + J_{y}^{2} + 2J_{x}J_{y}\cos(2qa)\right]^{\frac{1}{2}}$$

$$\left(\begin{array}{c}u_{q}\\v_{q}\end{array}\right) = \left(\begin{bmatrix}1 + \frac{\epsilon_{q}}{\omega_{q}}\end{bmatrix}^{\frac{1}{2}}\\i\left[1 - \frac{\epsilon_{q}}{\omega_{q}}\right]^{\frac{1}{2}}\end{array}\right)$$

$$(17)$$

The spectrum of spin-excitations is shown below. For the case  $J_y = J_x$ , the excitation spectrum is gapless, corresponding to the continuous rotational symmetry (Goldstone mode). For the case  $J_y$ , or  $J_x = 0$ , the excitation spectrum is flat, as expected for the 1d Ising model.



Figure 2: Showing dispersion for x-y, anisotropic x-y and Ising limits of model.