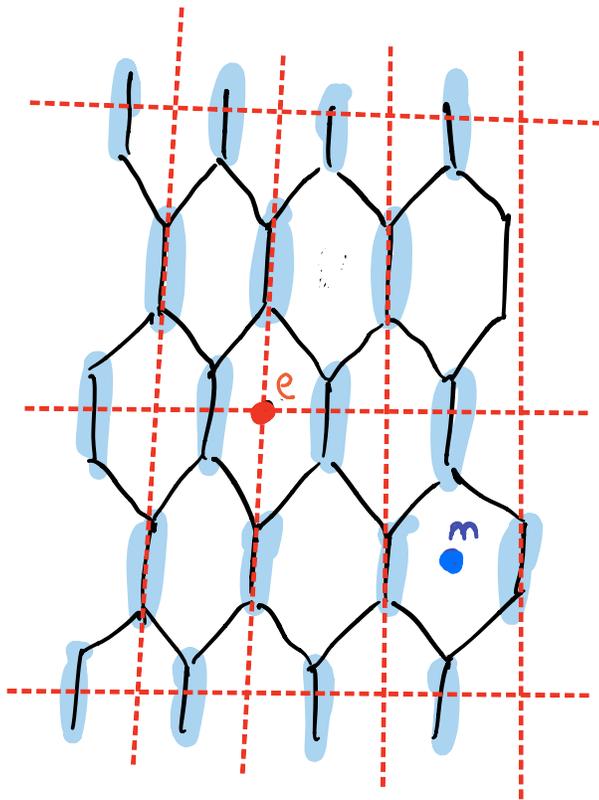


$$|\Psi_{p_1, p_2}^M\rangle = W_{e^*}^M |\Psi_0\rangle \quad \Delta E = 2J_m$$

In the Honeycomb model, these excitations are degenerate

because  $J_m = J_e = \frac{J_x^2 J_y^2}{16 J_z^3}$ .



$$e: \prod_{+} \sigma_j^x = -1$$

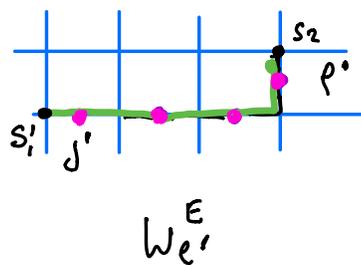
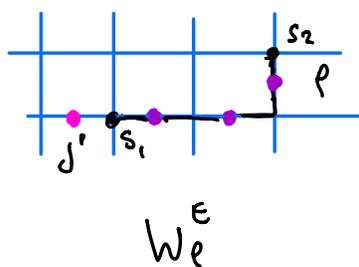
$$m: \prod_{\square} \sigma_j^z = -1$$

"Abelian Anyons"

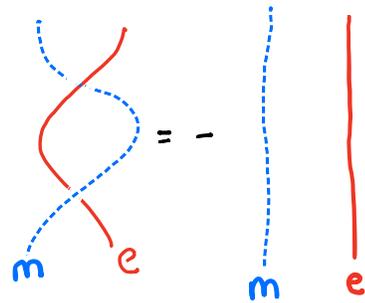
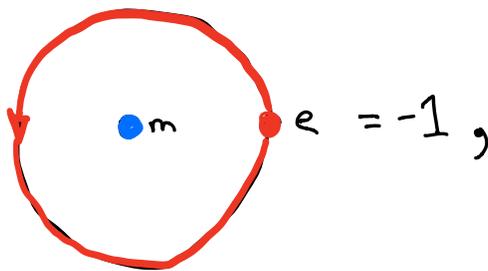
# ABELIAN ANYONS : BRAIDING, SUPERSELECTION & FUSION .

The anyons of the Toric code are examples of "Abelian" anyons. When we braid them, the braiding processes commute. We can "move" the  $e$  &  $m$  anyons by simply extending the string creation operators, e.g.

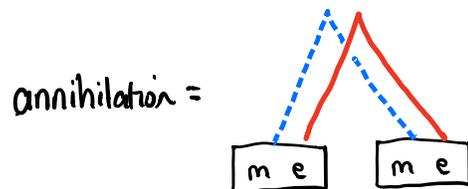
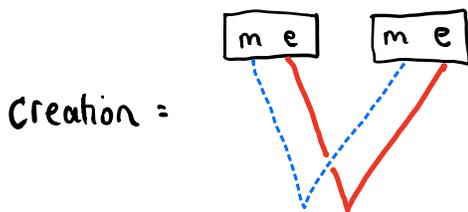
$$W_{e'}^E = \sigma_{j'}^z \prod_{j \in e} \sigma_j^z = \prod_{j \in e'} \sigma_j^z$$



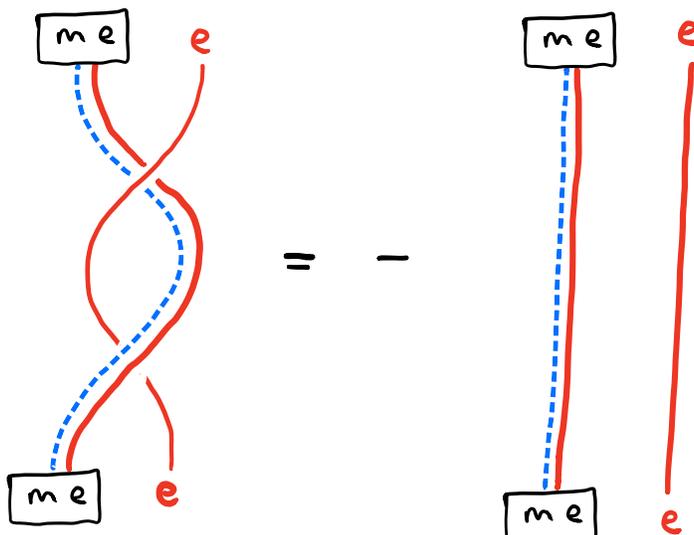
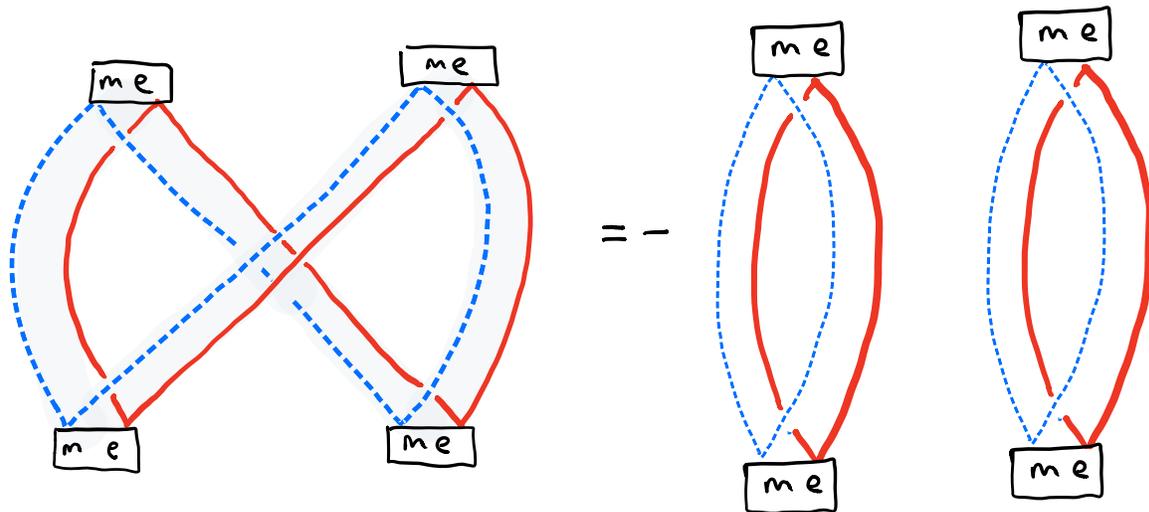
If we take an  $e$  around an  $m$ , we pick up a string of  $\prod_{\square} \sigma^z = -1$ , so that moving an  $e$  around an  $m$  yields  $-1$ .



Similarly taking  $e$ 's around  $e$ 's produce  $+1$ , likewise with  $m$ 's, so they are bosons to themselves. However the combination of an  $m$  & an  $e$ , which is called an  $\epsilon$  behaves as a fermion!



$\epsilon \equiv \text{Fermion}$



## SUPER-SELECTION

Class of states that can be transformed into one-another by local operators. e.g. the current operator can fuse a positron + electron into a photon.

$$e^+ \times e^- = \gamma$$

TORIC CODE:  $1$  (vacuum),  $e$ ,  $m$ ,  $\epsilon$ .

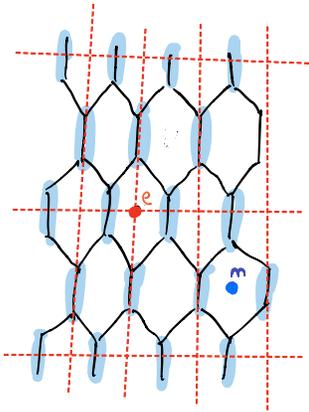
Fusion Rules: How particles (or "superselection sectors") fuse together.

For the toric code

$$e \times e = m \times m = \epsilon \times \epsilon = 1 .$$

$$e \times m = \epsilon, \quad e \times \epsilon = m, \quad m \times \epsilon = e .$$

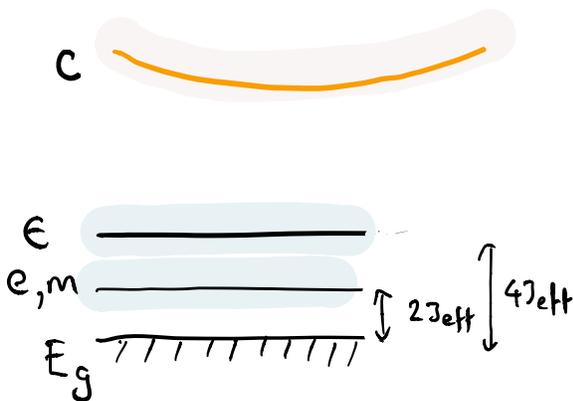
# IDENTIFICATION OF PARTICLES IN THE HONEYCOMB LATTICE.



Vortices  $\equiv$  e & m

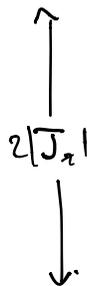
Majorana fermions  $c \equiv \mathcal{E}$  superselection sector.

In limit  $J_z \gg J_x, J_y,$

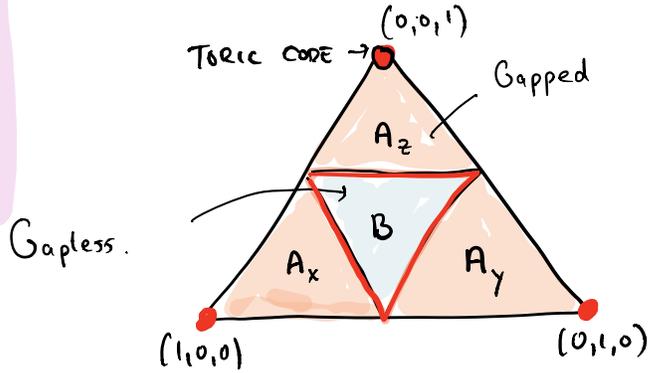


$$E(c) \sim 2|J_z|$$

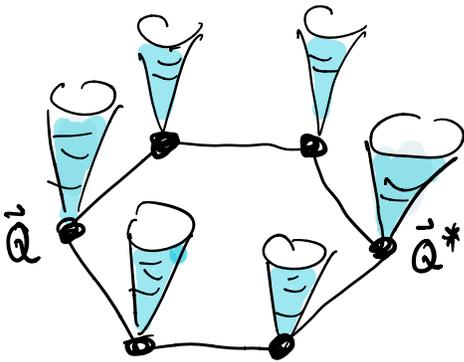
$$E(e-m) = 4J_{\text{eff}} \ll |J_z|$$



PHASE B IN  
A MAGNETIC FIELD.



In phase B, the gapless fermions prevent the vortices from being moved adiabatically, so they no longer have well defined statistics.



$$|Q - Q^*| \sim L^{-1}$$

Leads to a kind of RKKY interaction between vortices that is of order  $\epsilon(q \sim L^{-1}) \sim L^{-1}$  that oscillates at wavevector

$Q^* - Q \equiv 2Q^*$ . This produces a non universal phase

$$\Delta\phi \sim L^{-1} t \sim \frac{1}{\text{velocity}}$$

As  $v \rightarrow 0$ ,  $\Delta\phi \rightarrow$  very large  
 $\therefore$  no well defined statistics

But! Broken time reversal symmetry can induce a gap in the fermion spectrum!

$$V = - \sum_j \vec{h} \cdot \vec{\sigma}_j \quad \left( \frac{1}{E_0 - \mathcal{H}} \right)' = (1-P) \frac{1}{E_0 - \mathcal{H}} (1-P)$$

$$H_{\text{eff}} = P \left[ V + \underbrace{V \left( \frac{1}{E_0 - \mathcal{H}} \right)' V}_{\substack{\text{Preserves} \\ \text{T-rev}}} + V \left( \frac{1}{E_0 - \mathcal{H}} \right)' V \left( \frac{1}{E_0 - \mathcal{H}} \right)' V + \dots \right] P$$

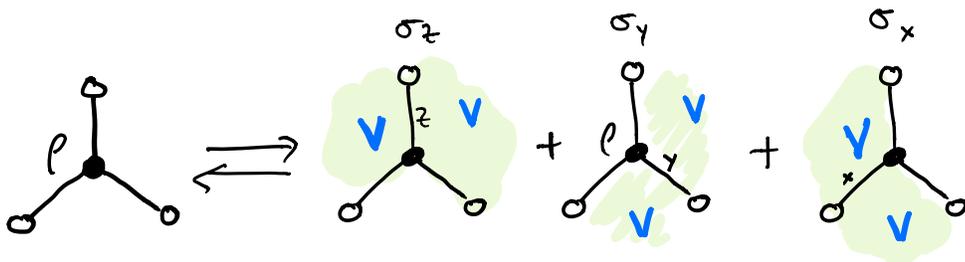
0

Preserves  
T-rev

(Poor Man's R.G)

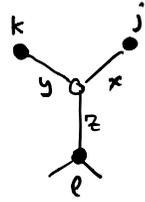
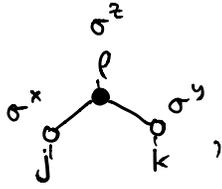
$V$  creates two adjacent vortices.

$$\left( \frac{1}{E_0 - \mathcal{H}} \right)' \sim -\frac{1}{J} \quad V = \sum_{\text{FLIPS}} -i \left( h^x b_j^x + h^y b_j^y + h^z b_j^z \right) c_j$$



$$H_{\text{eff}} \sim -\frac{h_x h_y h_z}{J^2} \sum_{j,k,e} \sigma_j^x \sigma_k^y \sigma_e^z$$

& symmetry equivalents.



$$\sigma_j^x \sigma_e^z \sigma_k^y$$

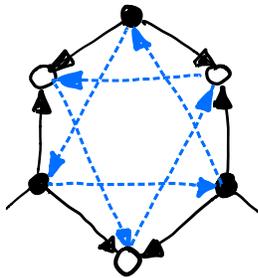
Six fermion term

$$\sim -i b_j^x c_j b_e^z c_e b_k^y c_k$$

$$\sim +i c_j \underbrace{b_j^x b_e^x}_{u_{je}} \underbrace{(b_e^x b_e^z b_e^y c_e)}_{D_e} \underbrace{b_e^y b_k^y}_{u_{ek}} c_k$$

$$= i(D_e \hat{u}_{je} \hat{u}_{ek}) c_j c_k \sim -i c_j c_k$$

NEXT NEAREST NEIGHBOR HOPPING!



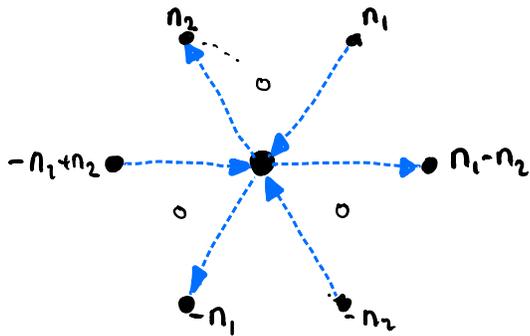
$$H_{\text{eff}} = \frac{i}{4} \sum A_{jk} c_j c_k$$

$$A = 2J (\leftarrow) + 2K (\leftarrow \cdots \leftarrow)$$

$$K \sim \frac{h_x h_y h_z}{J^2}$$

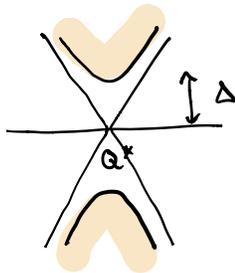
$$H_{\text{eff}} = \sum_{k \in \frac{1}{2}\mathbb{Z}} \psi_k^\dagger iA(\vec{q}) \psi_k$$

$$iA(\vec{q}) = \begin{pmatrix} \Delta(\vec{q}) & if(\vec{q}) \\ -if(\vec{q})^* & -\Delta(\vec{q}) \end{pmatrix}$$



$$\begin{aligned} \Delta(\vec{q}) &= i2\kappa \left( e^{i\vec{q} \cdot \vec{n}_2} + e^{-i\vec{q} \cdot \vec{n}_1} + e^{i\vec{q} \cdot (\vec{n}_1 - \vec{n}_2)} \right. \\ &\quad \left. - \text{h.c.} \right) \\ &= 4\kappa \left( \sin(\vec{q} \cdot \hat{n}_1) - \sin(\vec{q} \cdot \vec{n}_2) + \sin[\vec{q} \cdot (\vec{n}_1 - \vec{n}_2)] \right) \end{aligned}$$

$$\Delta = \Delta(\vec{q}^*) \sim \kappa \sim \frac{\hbar_x \hbar_y \hbar_z}{J^2} \quad \underline{\underline{\text{GAP}}}$$



$$E(\vec{q}) \sim \pm \sqrt{3J^2 \delta q^2 + \Delta^2}$$

The gapped spectrum has a non zero Chern number.

$$A_{\vec{k}} = i \psi_{\vec{k}}^* \nabla_{\vec{k}} \psi_{\vec{k}}$$

$$B_{\vec{k}} = (\vec{\nabla}_{\vec{k}} \times \vec{A}_{\vec{k}})_z$$

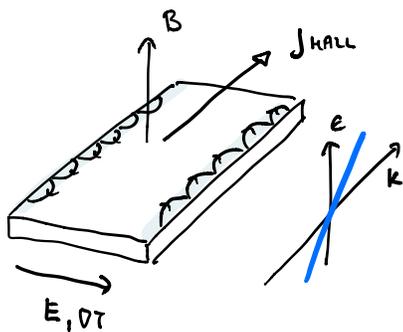
$$\nu = \frac{1}{2\pi} \int d^2k B_{\vec{k}} = \pm 1.$$

$\Rightarrow$  Edge modes.

$$\nu_{\text{edge}} = (\# \text{ right movers} - \# \text{ left movers}) = \nu$$

## Quantized Thermal Hall Effect

Recall that in the IQHE, the presence of edge states led to a quantized Hall conductance.



$$\sigma_{xy} = \frac{e^2}{h} \nu; \quad \sigma_{xx} = 0$$

$\nu = \#$  of edge states.

Now under rather general conditions

$$\frac{1}{T} K_{ab} = L \sigma_{ab},$$

$$L = \frac{\pi^2 k_B^2}{3 e^2},$$

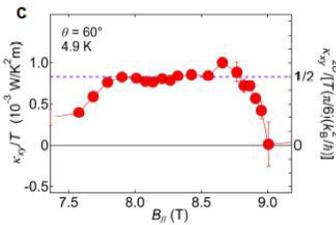
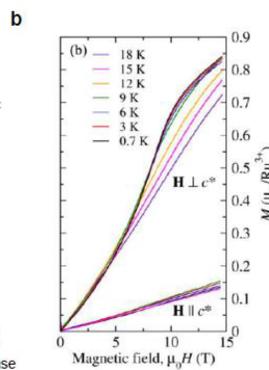
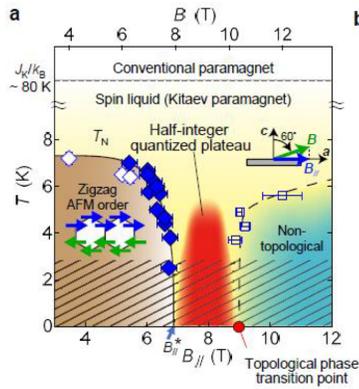
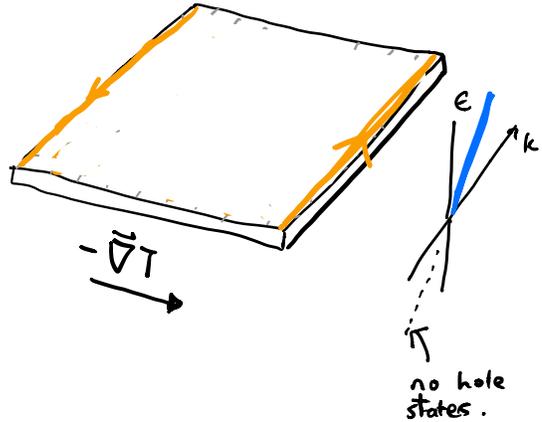
where  $K$  is the thermal conductivity tensor. So for the IQHE, we expect

$$\frac{K_{xy}}{T} = \frac{\pi^2 k_B^2}{3 e^2} \times \frac{e^2}{h} \nu = \left( \frac{\pi k_B^2}{6 h} \right) \nu$$

A Majorana edge state carries  $\frac{1}{2}$  the heat current of an electron edge state

$$\Rightarrow \frac{k_{xy}}{T} = \frac{1}{2} \frac{\pi k_B^2}{6k}$$

A PURE THERMAL HALL EFFECT.



Kasahara et al.  
Nature, 559, 227-231 (2018)

NON ABELIAN ANYONS  
IN THE B-PHASE

Vortices now bind unpaired Majoranas.

1-vacuum  $\sigma$ -vortex  $\varepsilon$ -fermion.

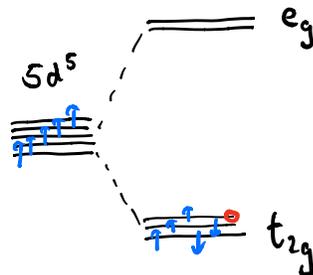
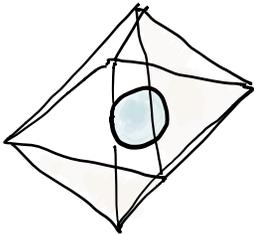
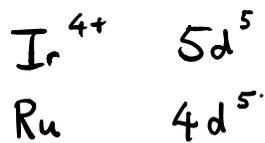
$$\varepsilon \times \varepsilon = 1, \quad \varepsilon \times \sigma = \sigma, \quad \sigma \times \sigma = 1 + \varepsilon$$

Anyons now have **NON-ABELIAN** braiding rules.

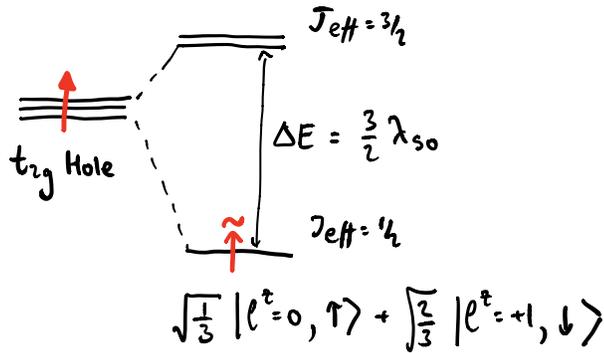
# KITAEV SPIN LIQUIDS

In 2009, George Jackeli + Giniyat Khaliullin proposed that Iridium atoms inside octahedra would develop Kitaev-like Ising interactions with their neighbors. Suddenly, the Kitaev honeycomb model was no longer a "toy": it might be realized in solid state quantum materials.

Proposed systems: spin orbit coupled transition metals (e.g Ru, Ir) in an Octahedral environment

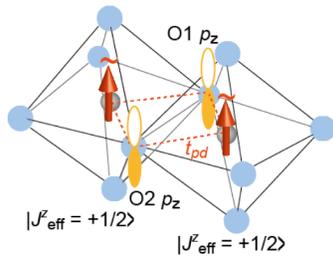


One hole.



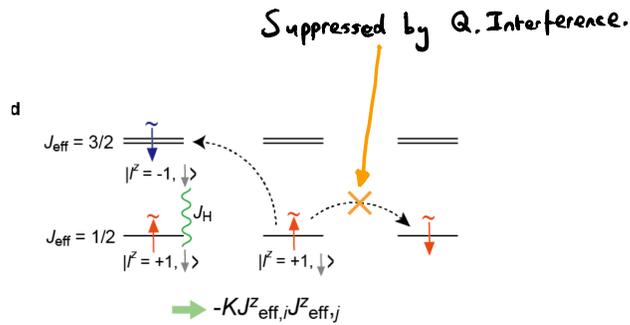
Spin orbit splits the  $t_{2g}$  orbitals into a low + high spin  $J$ -state.

"Mottness"

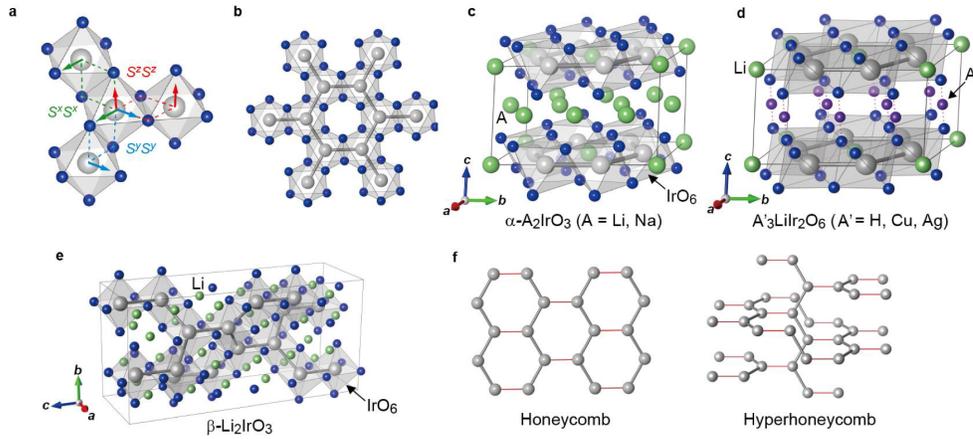


Edge sharing octahedra.  
e.g.  $\text{IrO}_6$  or  $\text{RuCl}_6$ .

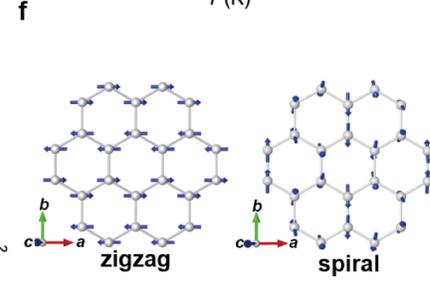
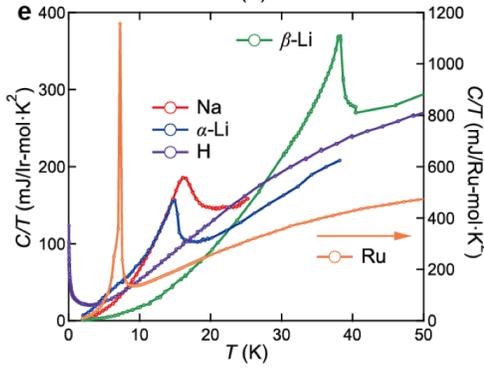
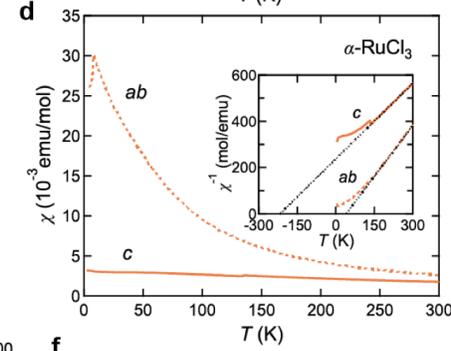
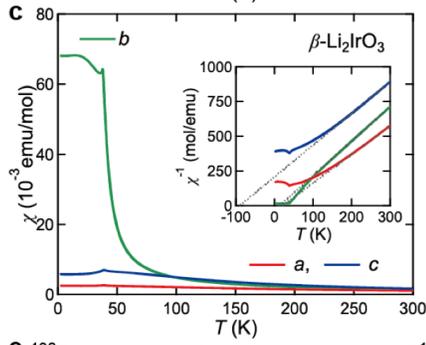
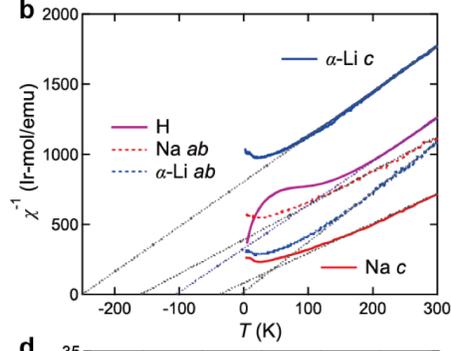
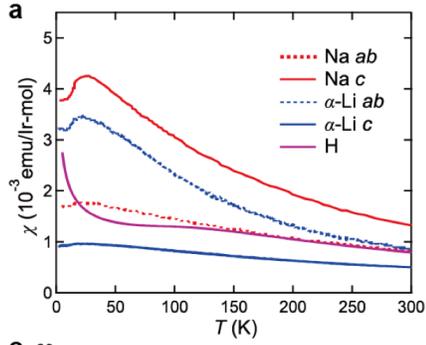
Hund's coupling **LOWERS** the energy of virtual hops of two parallel spins, inducing an Ising coupling perpendicular to the plane shared by the edge & the Ir atoms.



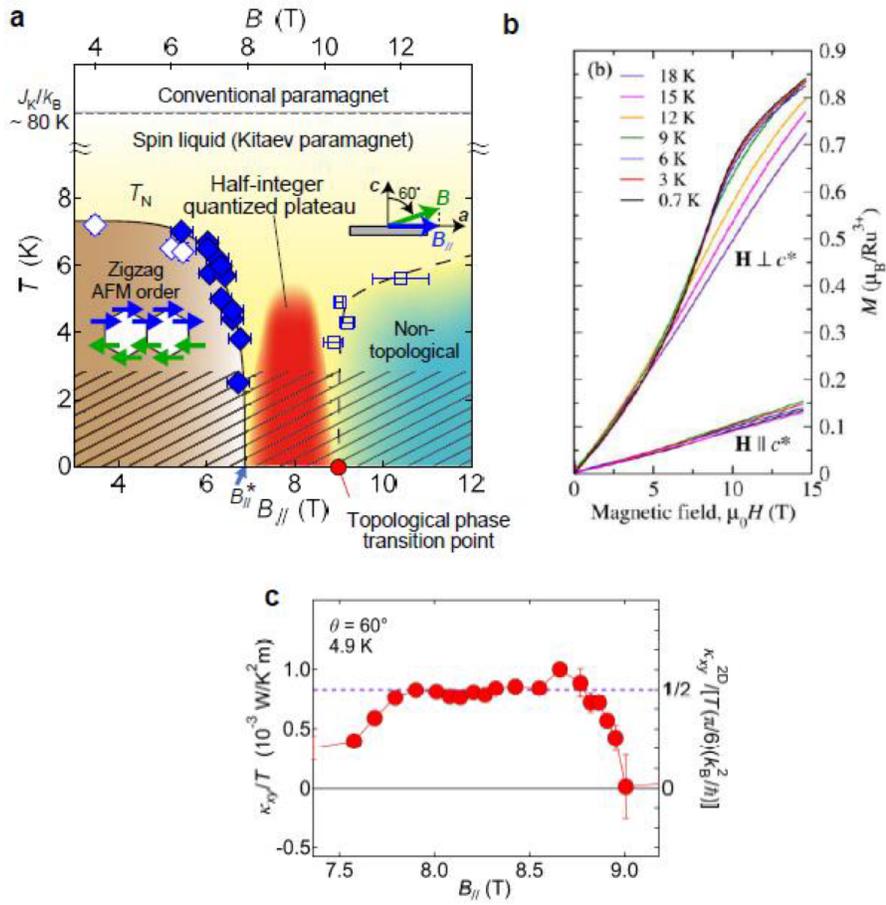




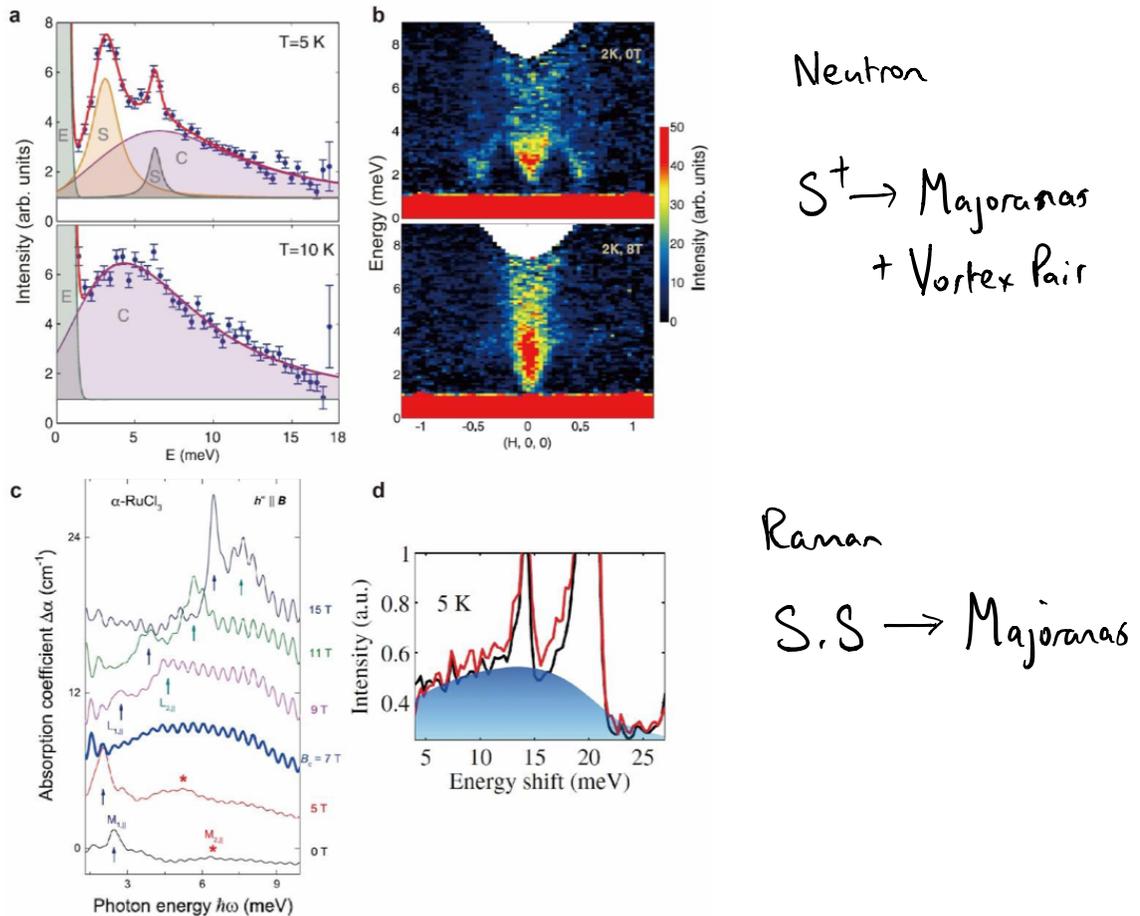
Materials	Crystal structure (Space group)	$T_{\text{mag}}$	anisotropy	$\rho_{\text{eff}}$ ( $\mu\text{B}$ )	$\theta_{\text{CW}}$ (K)	Magnetic ground state	Ref.
Na <sub>2</sub> IrO <sub>3</sub>	2D ( <i>C2/m</i> )	15 K	$\chi_c > \chi_{ab}$	1.81 ( <i>ab</i> ) 1.94 ( <i>c</i> )	-176 ( $\theta_{ab}$ ) -40 ( $\theta_c$ )	zigzag	40,57,66,67
$\alpha$ -Li <sub>2</sub> IrO <sub>3</sub>	2D ( <i>C2/m</i> )	15 K	$\chi_{ab} > \chi_c$	1.50 ( <i>ab</i> ) 1.58 ( <i>c</i> )	+5 ( $\theta_{ab}$ ), -250 ( $\theta_c$ )	Spiral	44,65,70
H <sub>3</sub> LiIr <sub>2</sub> O <sub>6</sub>	2D ( <i>C2/m</i> )	-	$\chi_{ab} > \chi_c$	1.60	-105	Spin-liquid	46
Cu <sub>2</sub> IrO <sub>3</sub>	2D ( <i>C2/c</i> )	2.7 K	Not known	1.93(1)	-110	AF order or Spin-glass	42
Cu <sub>3</sub> LiIr <sub>2</sub> O <sub>6</sub>	2D ( <i>C2/c</i> )	15 K	Not known	2.1(1)	-145	AF order	49
Ag <sub>3</sub> LiIr <sub>2</sub> O <sub>6</sub>	2D ( <i>R-3m</i> *)	~12 K	Not known	1.77		AF order	48
$\alpha$ -RuCl <sub>3</sub>	2D ( <i>C2/m</i> or <i>P3<sub>1</sub>12</i> , or <i>R-3</i> ); $T$ and sample dependent	7 K and/or, 14 K See text	$\chi_{ab} > \chi_c$	2.33 ( <i>ab</i> ), 2.71 ( <i>c</i> )	+39.6 ( $\theta_{ab}$ ), -216.4 ( $\theta_c$ )	zigzag	51,64,68,69,131
$\beta$ -Li <sub>2</sub> IrO <sub>3</sub>	3D ( <i>Fddd</i> )	38 K	$\chi_b > \chi_c > \chi_a$	1.87 ( <i>a</i> ) 1.80 ( <i>b</i> ) 1.97 ( <i>c</i> )	-90.2 ( $\theta_a$ ) +12.9 ( $\theta_b$ ) +21.6 ( $\theta_c$ )	Spiral	52,71,92
$\gamma$ -Li <sub>2</sub> IrO <sub>3</sub>	3D ( <i>Cccm</i> )	39.5 K	$\chi_b > \chi_c > \chi_a$	~1.6	+40	Spiral	53,72



# HALF QUANTIZED THERMAL HALL IN $RuCl_3$



# SIGNATURES OF FRACTIONALIZATION CONTINUUM.



**Figure 7. Signature of fractional excitations in  $\alpha\text{-RuCl}_3$ .** **a**, Inelastic neutron scattering in single-crystal  $\alpha\text{-RuCl}_3$  measured at temperatures of  $T = 5$  K (top) and  $10$  K (bottom)<sup>75</sup>. The data is integrated over a small reciprocal space volume centered at the  $\Gamma$  point of the two-dimensional lattice. The letters designate the contributions from the elastic line “E”, spin-waves “S”, and continuum scattering “C”. **b**, Inelastic neutron scattering is measured at  $T = 2$  K (top) in zero external magnetic field and (bottom) in a field of  $8$  T in the honeycomb plane, large enough to suppress the magnetic order<sup>90</sup>. The color bar denotes the relative intensity. **c**, THz spectroscopy measurements in  $\alpha\text{-RuCl}_3$ <sup>113</sup> in the presence of a magnetic field applied in the honeycomb plane, with the THz field parallel to the applied field direction. All measurements were carried out at  $T = 2.4$  K. The arrows indicate locations of excitations inferred from the data. **d**, Detail of Raman measurements in  $\alpha\text{-RuCl}_3$  at  $T = 5$  K<sup>107</sup>. The blue shaded area represents the magnetic continuum scattering. (Panel **a** reproduced with permission from Ref. 75, panel **b** reproduced with permission from Ref. 90, panel **c** reproduced with mission from Ref. 113, and panel **d** reproduced with permission from Ref. 107).