

More S-dualities from Outer-automorphism Twists

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based on [\[arXiv:1009.0339\]](#)
and on numerous previous works by various people

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Introduction

$\mathcal{N} = 4$ super Yang-Mills with group G ,

or, in general, $\mathcal{N} = 2$ gauge theory with zero one-loop beta function:

$$\tau = \frac{4\pi i}{g^2} + \frac{\theta}{2\pi} \text{ doesn't run, and tunable.}$$

What happens when it becomes very strong? \rightarrow Dual descriptions.

$\mathcal{N} = 4$: Montonen-Olive duality

$$G \text{ at } \tau \text{ is equivalent to } {}^L G \text{ at } \tau' = -\frac{1}{n_G \tau}$$

- $n_G = 1$: $A_{N-1} = \mathbf{SU}(N)$, $D_N = \mathbf{SO}(2N)$, E_N
- $n_G = 2$: $B_N = \mathbf{SO}(2N + 1)$, $C_N = \mathbf{USp}(2N)$, F_4
- $n_G = 3$: G_2

$$B_N \leftrightarrow C_N, \text{ otherwise } G = {}^L G$$

(as far as the Lie algebra is concerned, that is.)

What's ${}^L G$?

- Called the Goddard-Nuyts-Olive dual, or the Langlands dual of G .
- $\mathcal{N} = 4$ SYM has six adjoint scalars.
- Give one a vev Φ in the Cartan of \mathfrak{g} and break G to $U(1)^r$.
- Each root α gives a W-boson with mass $\alpha \cdot \Phi$.
- Each root corresponds to an $\mathbf{SU}(2) \subset G$.
- The standard 't Hooft-Polyakov monopole in the BPS limit can then be embedded to G .
- A monopole with mass $\tau \alpha^* \cdot \Phi$
where $\alpha^* = 2\alpha/|\alpha|^2$ is called the co-root.

Co-roots of G form roots of ${}^L G$.

$$G \leftrightarrow {}^L G$$

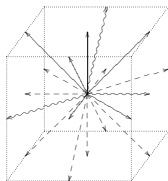
$$\tau \leftrightarrow -1/(n_G \tau)$$

$$\alpha \leftrightarrow \alpha^* = 2\alpha/|\alpha|^2$$

$$W\text{-boson} \leftrightarrow \text{monopoles}$$

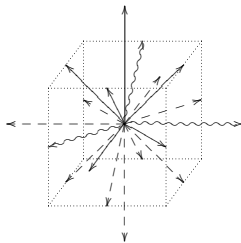
SO(7)

$$\pm e_i \pm e_j, \pm e_i$$



USp(6)

$$\pm m_i \pm m_j, \pm 2m_i$$



$n_G = 1$: simply-laced ; $n_G = 2, 3$: non-simply-laced

$\mathcal{N} = 2$: Argyres-Seiberg-Gaiotto dualities

$\mathcal{N} = 2$ $\mathbf{SU}(N)$ with $2N$ hypermultiplets in the fundamental: $\beta = 0$.

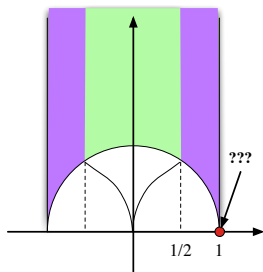
$$\tau = \frac{8\pi i}{g^2} + \frac{\theta}{\pi}$$

doesn't run, and can be tuned.

- Invariant under $\tau \rightarrow \tau + 2$
- Invariant under $\tau \rightarrow \tau + 1$ for $\mathbf{SU}(2)$: doublet = anti-doublet.
- Analysis using the Seiberg-Witten curve: invariant under $\tau \rightarrow -1/\tau$

Problem at $\tau = 1$

$$\tau \rightarrow -1/\tau; \tau \rightarrow \tau + 1$$

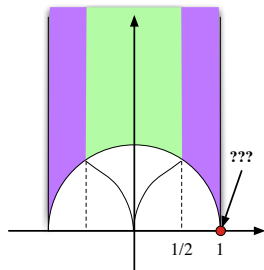


For **SU(2)** with 4 flavors, $\tau \rightarrow \tau + 1$.

Things are OK: it's self-dual. [Seiberg-Witten, 1994]

Problem at $\tau = 1$

$$\tau \rightarrow -1/\tau; \tau \rightarrow \tau + 2$$

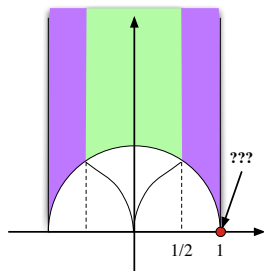


Argyres-Seiberg, 2007

SU(3) with 6 flavors at τ \leftrightarrow **SU(2) at $\tau' = 1/(1 - \tau)$** with one doublet hypermultiplet, and also coupled to Minahan-Nemeschansky's SCFT with E_6 flavor symmetry.

Problem at $\tau = 1$

$$\tau \rightarrow -1/\tau; \tau \rightarrow \tau + 2$$



Gaiotto 2009, Chacaltana-Distler 2010

$SU(N)$ with $2N$ flavors at $\tau \leftrightarrow SU(2)$ at $\tau' = 1/(1 - \tau)$ with one doublet hypermultiplet, and also coupled to a strange SCFT with $SU(2) \times SU(2N)$ flavor symmetry.

Explanation via 6d theory

- All these dualities can be understood by compactifying 6d $\mathcal{N} = (2, 0)$ theory on a Riemann surface, possibly with punctures. [Gaiotto,2009]
- The 6d theory is either A_{N-1} , D_N or E_N , i.e. **simply-laced**.
- To get 4d **non**-simply-laced theory, one needs a twist. [Vafa,1997]
- Today's objective: explore this system, confirm old dualities, and find new ones.
- also, review of the simply-laced cases at the same time.

Contents

1. $\mathcal{N} = 4$

2. $\mathcal{N} = 2$

3. **Summary**

Contents

1. $\mathcal{N} = 4$

2. $\mathcal{N} = 2$

3. Summary

6d $\mathcal{N} = (2, 0)$ theory

- Decoupled from gravity. Has 16 supercharges. (as many as 4d $\mathcal{N} = 4$.) Chiral. Conformal.
- Comes with types $G = A_{N-1}, D_N$ and $E_{6,7,8}$, but **not** gauge theory.
- Can be given a vev \vec{a} : r dimensional vector.
 - a theory of r abelian two-forms ω_i with $d\omega_i = \star d\omega_i$.
- Strings couple to ω_i . Tension is given by $\alpha \cdot a$, α is a root of G .

6d A_{N-1} theory

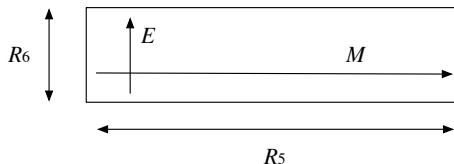
- Take N almost-coincident M5-branes, take the IR limit. Remove the center-of-mass mode.
- Vevs a given by the position of M5-branes $a = (a_1, a_2, \dots, a_N)$.
- M2-branes can have an end on i -th and j -th M5-branes
 - String with tension $a_i - a_j$. Root: $\alpha_{ij} = e_i - e_j$.
- Compactify on an S^1 of radius R_6
 - 5d gauge $\mathbf{SU}(N)$ theory on N D4-branes:

$$S = \int d^5x \frac{1}{g^2} F_{\mu\nu} F_{\mu\nu}$$

$$1/g^2 \sim 1/R_6.$$

- String wrapped on S^1 → W-bosons
- String not wrapped on S^1 → Monopole strings.

Compactification on the torus



- Take 6d theory of type G , give a vev a , put it on a torus.

$$\int d^5x \frac{1}{g_{5d}^2} F_{\mu\nu} F_{\mu\nu} = \int d^4x \frac{R_5}{g_{5d}^2} F_{\mu\nu} F_{\mu\nu} = \int d^4x \frac{R_5}{R_6} F_{\mu\nu} F_{\mu\nu}$$

→ 4d coupling is $\tau = iR_5/R_6$.

- Strings wrapped around E
 - particles of mass $R_6 \alpha \cdot a$: W-bosons.
- Strings wrapped around M :
 - particles of mass $R_5 \alpha \cdot a$: Monopoles.
- Invariance under $\tau \rightarrow -1/\tau$ manifest: $R_5 \leftrightarrow R_6$.

How can I get 4d SO(odd)?

- 6d D_N on $S^1 \rightarrow$ 5d $\mathbf{SO}(2N)$ theory.

- Impose

$$\Phi(x_5 = R_5) = P\Phi(x_5 = 0)P^{-1}$$

where $P = \mathbf{diag}(1, 1, \dots, 1, -1)$: parity

\rightarrow 4d $\mathbf{SO}(2N - 1)$ theory.

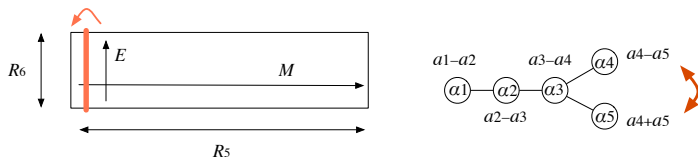
- In terms of the Dynkin diagram



i.e. set $a_5 = 0$, identify under the diagram automorphism.

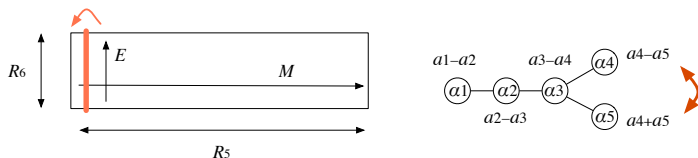
- Parity exchanges left- and right-handed spinors.

What's the 6d interpretation?



- Across the red line, the string of type α_4 \rightarrow the string of type α_5 .
- To have constant vev, we need to set $a_5 = 0$.

What's the 6d interpretation?



Particles are:

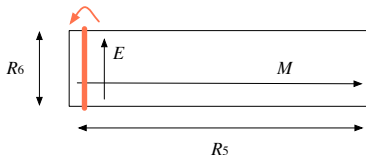
- $\alpha_{1,2,3}$ wrapped on E
- α_4 wrapped on E **is** α_5 wrapped on M : slide along M !
- $\alpha_{1,2,3}$ wrapped on M
- “ α_4 wrapped on M ” doesn't make sense. $(\alpha_4 + \alpha_5)$ on M

Masses are then

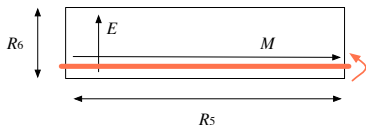
W-bosons: $R_6 \times (a_1 - a_2), \dots, (a_3 - a_4), a_4$ **SO(9)**.

Monopoles: $R_5 \times (a_1 - a_2), \dots, (a_3 - a_4), 2a_4$ **USp(8)**.

S-duality of $\mathbf{SO}(\text{odd})$



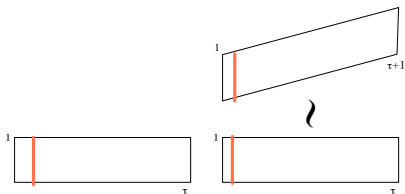
- 6d D_{2N} theory on S^1 gave 5d $\mathbf{SO}(2N)$ theory.
- Further compactification on S^1 with the twist gave 4d $\mathbf{SO}(2N - 1)$.



- The role of cycles interchanged.
→ 6d D_{2N} theory on S^1 with this twist = 5d $\mathbf{USp}(2N - 2)$.

Periodicity of τ

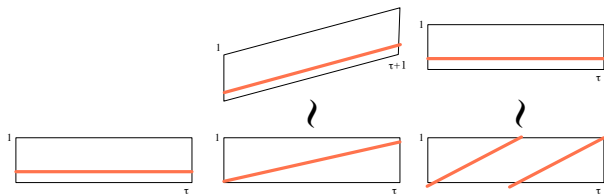
For $\mathbf{SO}(2N - 1)$:



$$\tau_{\text{torus}} = \tau_{\text{gauge}}[\mathbf{SO}(\text{odd})].$$

Periodicity of τ

For $\mathbf{USp}(2N - 2)$:

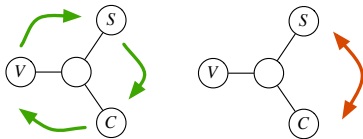


$$\tau'_{\text{torus}} = 2\tau'_{\text{gauge}}[\mathbf{USp}].$$

Recall $\tau_{\text{torus}} = \tau_{\text{gauge}}[\mathbf{SO}(\text{odd})]$. Note $\tau'_{\text{torus}} = -1/\tau_{\text{torus}}$.

$$\tau_{\text{gauge}}[\mathbf{USp}] = -\frac{1}{2\tau_{\text{gauge}}[\mathbf{SO}(\text{odd})]}$$

D_4 i.e. $\mathbf{SO}(8)$ has another outer automorphism:



permuting vector $\mathfrak{8}_V$, spinor $\mathfrak{8}_S$ and conjugate spinor $\mathfrak{8}_C$.

Twisting by this outer automorphism gives G_2 :



I'll come back to this...

Contents

1. $\mathcal{N} = 4$

2. $\mathcal{N} = 2$

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$\mathcal{N} = 2$ from 6d theory

- 6d theory has 16 supercharges. T^2 doesn't break any.
- We can preserve half of the supercharges on a generic Riemann surface C . $\rightarrow \mathcal{N} = 2$ in 4d
- To preserve SUSY, a is a one-form on C .
- The vev of the 6d theory, a , can vary holomorphically on C .
- We can also put half-BPS codimension-two defects.
- The vev of the 6d theory, a , can vary meromorphically on C .

$\mathcal{N} = 2$ from 6d theory

- The vev a can vary meromorphically on C .
- Tensions of the string: $\alpha \cdot a$.
- When going around the loop, a doesn't have to come back to itself; the set $\alpha \cdot a$ needs to.
- e.g. For A_{N-1} theory, $a = (a_1, \dots, a_N)$ and $\alpha_{ij} \cdot a = a_i - a_j$. There can be permutation of $i = 1, \dots, N$.
- Let $\Phi(z) = \mathbf{diag}(a_1, \dots, a_N)$ and let

$$\mathbf{det}(x - \Phi(z)) = x^N + \phi_2(z)x^{N-2} + \phi_3(z)x^{N-3} + \dots + \phi_N(z).$$

$\phi_k(z)$ is easier to deal with.

- a is a one-form. $\phi_k(z)$ is a degree- k multi-differential.
- a has dimension 1 in 4d. $\phi_k(z)$ has dimension k in 4d.

$\mathcal{N} = 4$ theory again

A_{N-1} theory:

- $\Phi(z) = (a_1, \dots, a_N)$ and

$$0 = x^N + \phi_2(z)x^{N-2} + \phi_3(z)x^{N-3} + \dots + \phi_N(z).$$

- $\phi_k(z)$ is holomorphic on the torus and without singularity. Constant.
- The dimensions $2, 3, \dots, N$ are those of the invariants of $\mathbf{SU}(N)$.

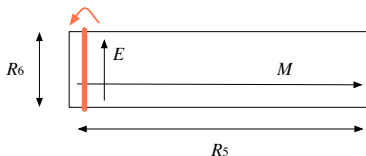
D_N theory:

- $\Phi(z) = (a_1, -a_1, \dots, a_N, -a_N)$ and

$$0 = x^N + \phi_2(z)x^{N-2} + \phi_4(z)x^{N-4} + \dots + \phi_{2N-2}(z)x^2 + \tilde{\phi}_N(z)^2.$$

- $\tilde{\phi}_N$ corresponds to the Pfaffian $a_1 a_2 \cdots a_N$.
- The dimensions $2, 3, \dots, 2N - 2$ and N are those of the invariants of $\mathbf{SO}(2N)$.

$\mathcal{N} = 4$ theory again



D_N theory with twist:

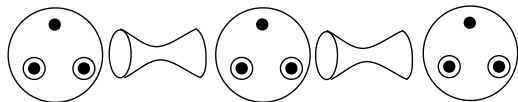
- Operators $\phi_2(z), \phi_4(z), \dots, \phi_{2N-2}(z)$, and $\tilde{\phi}_N(z)$.
- $\tilde{\phi}_N$ corresponds to the Pfaffian $a_1 a_2 \cdots a_N$.
- $\tilde{\phi}_N \rightarrow -\tilde{\phi}_N$ across the twist line. No constant mode.
- The dimensions $2, 3, \dots, 2N - 2$ are the dimension of invariants of $\mathbf{SO}(2N - 1)$ and $\mathbf{USp}(2N - 2)$.
- To distinguish them requires more analysis, which we just did.

$\mathcal{N} = 2$ theory

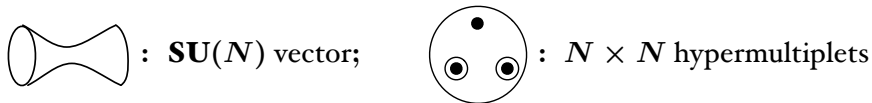
Consider A_{N-1} theory on



Components are



Composed of



Each $SU(N)$ has $2N$ hypers in the fundamental representation!

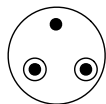
Punctures

- at $z = 0$: carry a $\mathbf{U}(1)$ flavor symmetry.

$$\phi_2(z) \sim \frac{(dz)^2}{z}, \phi_3(z) \sim \frac{(dz)^3}{z}, \dots, \phi_N(z) \sim \frac{(dz)^N}{z}$$

- ⊙ at $z = 0$: carry an $\mathbf{SU}(N)$ flavor symmetry.

$$\phi_2(z) \sim \frac{(dz)^2}{z}, \phi_3(z) \sim \frac{(dz)^3}{z^2}, \dots, \phi_N(z) \sim \frac{(dz)^N}{z^{N-1}}$$



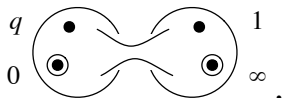
Consider . Put two ⊙ at $z = 0$ and $z = 1$; • at $w = 1/z = 0$.

$$\phi_k \sim \frac{P(z)}{z^{k-1}(1-z)^{k-1}} (dz)^k \sim \frac{P(w^{-1})}{w^2} (dw)^k$$

i.e. $P(z) = 0$. You can't turn on any Coulomb branch vev if you just have $N \times N$ hypermultiplets.

Punctures

Consider



$$\phi_k \sim \frac{P_k(z)}{z^{k-1}(z-1)(z-q)} (dz)^k \sim \frac{P(w^{-1})}{w^{k-1}} (dw)^k$$

i.e. $P(z) = c_k$.

We can turn on Coulomb branch operators c_k , $k = 2, 3, \dots, N$.
Agrees with what we expect for $\mathbf{SU}(N)$ with $2N$ fundamental hypermultiplets.

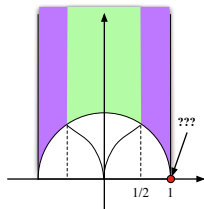
$$\log q \sim \tau$$

Limits

q can be close to either 0 , 1 or ∞ .



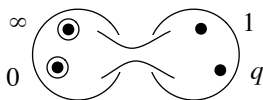
Corresponds to the three cusps of $\tau = i\infty$, 0 and 1 .



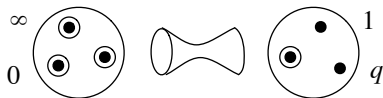
Makes it clear why one of the three limits is different when $N > 2$.
For $N = 2$, \bullet and \odot are the same, and thus it's always dual to itself.

Dual of $SU(3)$ with six fundamentals

Decompose



into

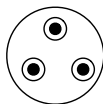


The allowed divergences at $z = 1$ and $z = q$ are very mild

- Only $\phi_2(z)$ can be sizable at the neck
- $SU(2)$ vector.

The right hand side gives a doublet hypermultiplet of this $SU(2)$.
What's the left hand side?

The theory T_3



Recall $\phi_k(z) \sim (dz)^k / z^{k-1}$.

$$\phi_2(z) = \frac{P_2(z)}{z(1-z)} (dz)^2 \sim \frac{P_2(w^{-1})}{w^2} (dw)^2$$

i.e. $P_2 = 0$.

$$\phi_3(z) = \frac{P_3(z)}{z^2(1-z)^2} (dz)^3 \sim \frac{P_3(w^{-1})}{w^2} (dw)^3$$

i.e. $P_3 = c_3$.

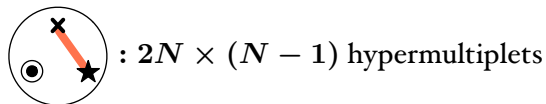
- Has only one Coulomb branch operator, of dimension 3.
- Not a gauge theory, which always has a dimension-two $\mathbf{tr} \phi^2$!
- Minahan-Nemeschansky's E_6 theory does the job.
- $\mathbf{SU}(3)^3 \subset E_6$ can be manifestly seen.

D_N theory

The basic building blocks are



and

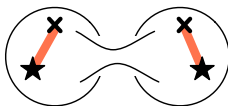


\times : no flavor symmetry

\odot : an $\text{SO}(2N)$ flavor symmetry

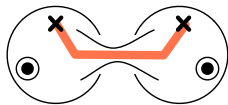
\star : an $\text{USp}(2N - 2)$ flavor symmetry

This combination



is the $\mathbf{SO}(2N)$ with $2N - 2$ fundamental hypermultiplet.

This combination



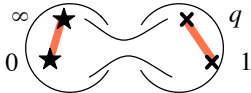
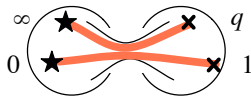
is the $\mathbf{USp}(2N - 2)$ with $2N$ fundamental hypermultiplet.

The beta function is zero for both!

S-duality of $SO(2N)$ with $2N - 2$ fundamentals



S-duality of $SO(2N)$ with $2N - 2$ fundamentals

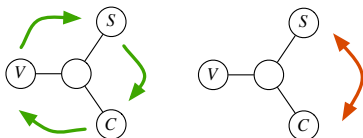


The limit $q \rightarrow \infty$ is dual to $q \rightarrow 0$.

The limit $q \rightarrow 1$ gives something different.

SO(8)

Recall the Dynkin diagram of **SO(8)**:



Operators: ϕ_2 , ϕ_4 , $\tilde{\phi}_4$ and ϕ_6 .

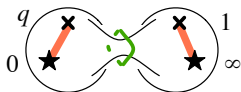
$$\mathfrak{8}_V \rightarrow \mathfrak{8}_S \rightarrow \mathfrak{8}_C \rightarrow \mathfrak{8}_V$$

$$\mathfrak{8}_S \leftrightarrow \mathfrak{8}_C$$

\mathbb{Z}_3 rotates ϕ_4 and $\tilde{\phi}_4$ by 120° . Invariant part: ϕ_2 and ϕ_6 : G_2 .

SO(8)

Let's consider



composed of



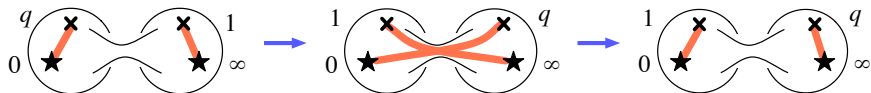
3 hypers in 8-dim rep. + **SO(8)** vector + 3 hypers in 8-dim rep.

Which 8-dim representations? \rightarrow The \mathbb{Z}_3 twist line makes it $8_V + 8_S$.

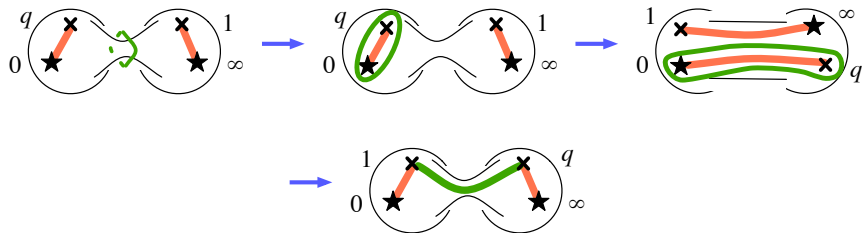
Still $\beta = 0$.

SO(8)

For **SO(8)** with six hypers in 8_V ,

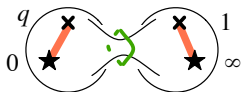


For **SO(8)** with three hypers in 8_V and three in 8_S ,

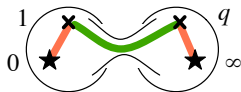


SO(8)

Originally we had



Coulomb branch operators: u_2, u_4, \tilde{u}_4 and u_6 .



composed of



The gauge group is G_2 , with invariants u_2 and u_6 .

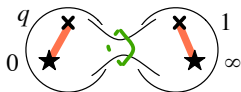
Each three-punctured sphere should have one operator u_4 .



- has one dimension-4 operator. Not a gauge theory!
- has $\mathbf{USp}(6) \times G_2$ flavor symmetry.
- Minahan-Nemeschansky's E_7 theory does the job.
- $\mathbf{USp}(6) \times G_2$ is one of the special maximal subgroups of E_7 .

SO(8)

SO(8) with three hypers in 8_S and three hypers in 8_V



is dual to G_2 with two copies of Minahan-Nemeschansky's E_7 theory



Predicted by [Argyres-Wittig,0712.2028]

Contents

1. $\mathcal{N} = 4$

2. $\mathcal{N} = 2$

3. Summary

Summary

- $\mathcal{N} = 2$ theory with vanishing β function has tunable coupling τ .
- 6d theory helps us understand the behavior at strong coupling.
- To get non-simply-laced theory, need to use the **outer-automorphism twist**.