

## *Russian Doll Renormalization Group Flows*

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Based in part on collaborations with:

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Related work in the last few years:

Bedaque, Hammer and van Kolck; Glazer and Wilson; Braaten et. al.

(RUTGERS, October 2003)

## *Outline*

- I. General properties
- II. Cyclic Regime of Kosterlitz-Thouless Flows
- III. Russian Doll superconductors

## *Russian Doll RG: General Properties*

### *Renormalization Group:*

Given a hamiltonian

$$H(g, L)$$

where  $g$  are couplings and  $L$  a length scale, this hamiltonian has the same spectrum as

$$H(g(L'), L')$$

as long as  $g$  depends appropriately on  $L$ .

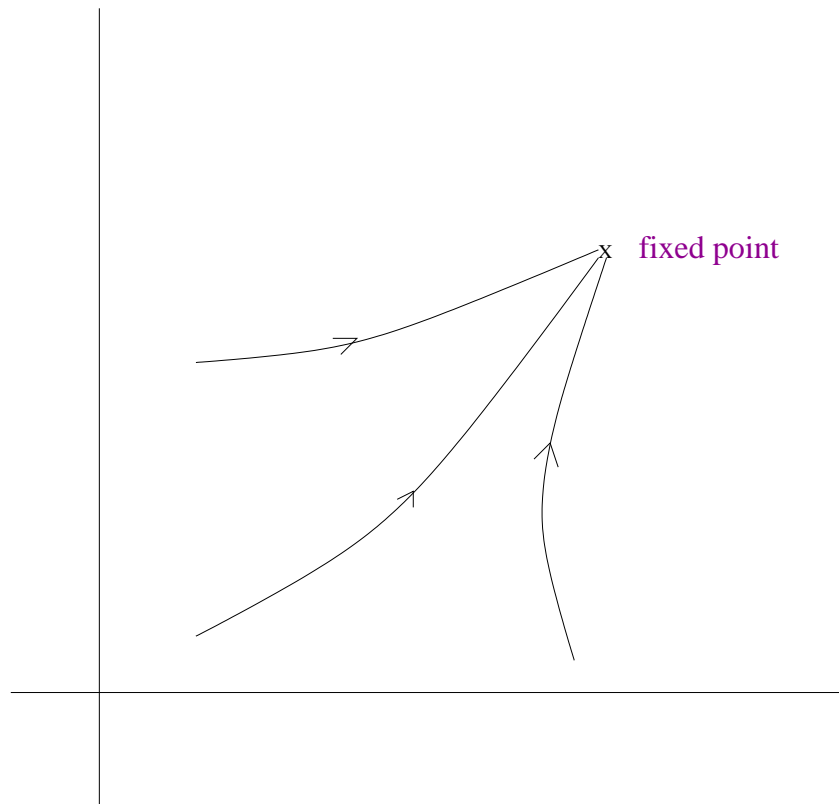
How  $g$  depends on  $L$  is encoded in the **beta functions**:

$$\frac{dg}{dl} = \beta(g)$$

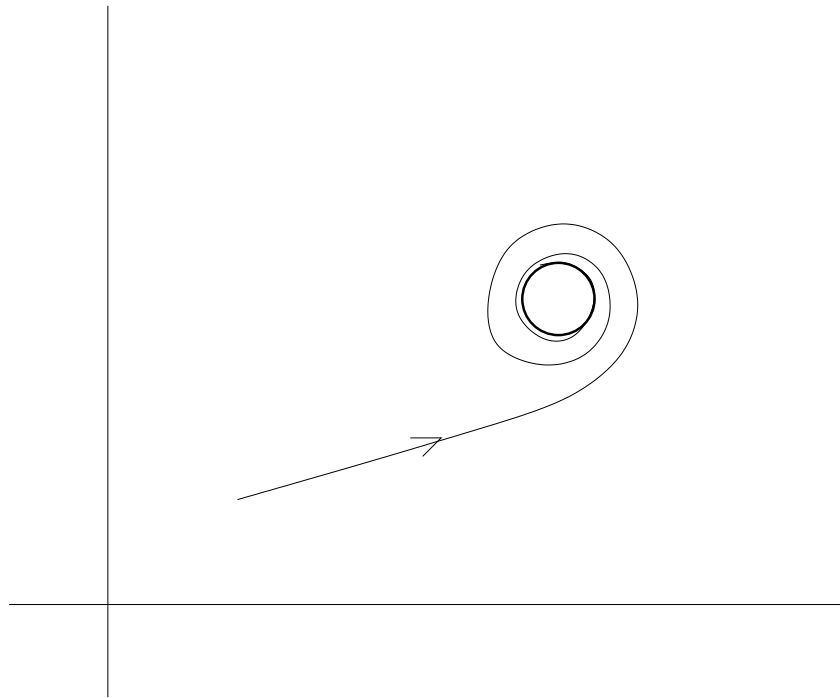
$L = e^l$  is the RG scale

- The renormalization group flows are analogous to dynamical systems, with  $l$  the “RG time”.
- Usually low energy properties correspond to an

infra-red fixed point of the RG flow



- Are other kinds of flows possible, e.g. limit cycles, chaos??



A **Russian Doll** renormalization group trajectory we define to be one that is **cyclic**, i.e. the couplings return to their initial values after a finite RG time  $l$ :

$$g(e^\lambda L) = g(L)$$

$$L = e^l \quad \text{is the RG scale}$$

Here,  $\lambda$ , the period of the RG flows, is a fixed model-dependent constant.

- Implications for the spectrum: periodicity in the spectrum of eigen-energies as a function of scale. I.e. self-similarity of the spectrum upon a **discrete** scale transformation:

$$\{E(g, L)\} = \{E(g, e^\lambda L)\}$$

- Implications for the S-matrix:

$$S(e^\lambda E_{cm}) = S(E_{cm})$$

## *Kosterlitz-Thouless flows at one-loop*

The Kosterlitz-Thouless flows arise in a multitude of systems, and are thought to be well understood.

- Arise as the continuum limit of XXZ Heisenberg chain:

$$H = \sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + \Delta S_i^z S_{i+1}^z)$$

- Arise as perturbations of Luttinger liquids. Here the currents are fermion bilinears:  $J^a = \psi^\dagger \sigma^a \psi$ .

As a continuum field theory, it corresponds to anisotropic current-current interactions for  $su(2)$ .

The action is

$$\begin{aligned} S &= S_{free} + \int \frac{d^2x}{2\pi} \left( 4g_\perp (J^+ \bar{J}^- + J^- \bar{J}^+) - 4g_\parallel J_3 \bar{J}_3 \right) \\ &= S_{free} + 4 - \text{fermion interactions} \end{aligned}$$

To one loop the beta functions are well-known:

$$\frac{dg_{\parallel}}{dl} = -4g_{\perp}^2, \quad \frac{dg_{\perp}}{dl} = -4g_{\perp}g_{\parallel}$$

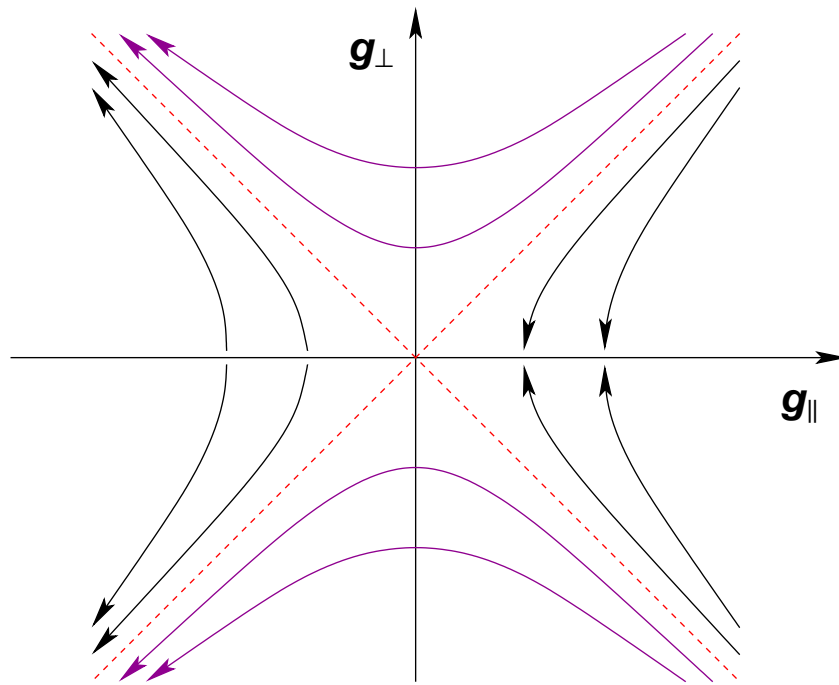
There exists the RG invariant:

$$Q = g_{\parallel}^2 - g_{\perp}^2 \equiv -\frac{h^2}{16}$$

$h$  is the main parameter of the model, and is a measure of the anisotropy. ( $h$  is related to  $\Delta$  of the spin chain).

$$\frac{2\pi}{h} = \frac{\pi^2}{\cosh^{-1}(\Delta)}$$





Eliminating  $g_{\perp}$  and defining  $h$  as above one gets

$$\frac{dg_{\parallel}}{dl} = -4\left(g_{\parallel}^2 + \frac{h^2}{16}\right)$$

The coupling  $g_{\parallel}$  as a function of scale  $L = \exp(l)$  is:

$$g_{\parallel} = -\frac{h}{4}\tan(h(l - l_0))$$

Thus one observes the periodicity:

$$g_{\parallel}(e^{\lambda}L) = g_{\parallel}(L), \quad \lambda_{1-loop} = \frac{\pi}{h}$$

DOES THIS BEHAVIOR PERSIST  
NON-PERTURBATIVELY??

*All-orders  $\beta$ eta function for general current-current perturbations:*

Definition of the models:

$$S = S_{G_k} + \int \frac{d^2x}{2\pi} \sum_A g_A \mathcal{O}^A$$

$G_k$  is a level  $k$  current algebra for the (super) group  $G$ , with currents  $J^a$ .

$$\mathcal{O}^A \equiv d_{ab}^A J^a \bar{J}^b$$

Also define the purely chiral operator:

$$T^A \equiv d_{ab}^A J^a J^b$$

The  $\beta$ eta function depends on some structure constants  $C, D, \tilde{C}$  which are easily computed in the cft.

$$\begin{aligned}\mathcal{O}^A(z, \bar{z})\mathcal{O}^B(0) &\sim \frac{1}{z\bar{z}} C_C^{AB} \mathcal{O}^C(0) \\ T^A(z)\mathcal{O}^B(0) &\sim \frac{1}{z^2} \left( 2k D_C^{AB} + \tilde{C}_C^{AB} \right) \mathcal{O}^C(0)\end{aligned}$$

To two loops:

$$\beta_{g_A} = -\frac{1}{2}g_B g_C C_A^{BC} - \frac{k}{2}g_B g_C g_D D_E^{BC} \tilde{C}_A^{ED} + \dots$$

All-orders formula: (with Gerganov and Moriconi)

$$\beta_g = -\frac{1}{2}C(g', g')(1 + k^2 D^2/4) + \frac{k^3}{8}C(g'D, g'D)D - \frac{k}{2}\tilde{C}(g'D, g)$$

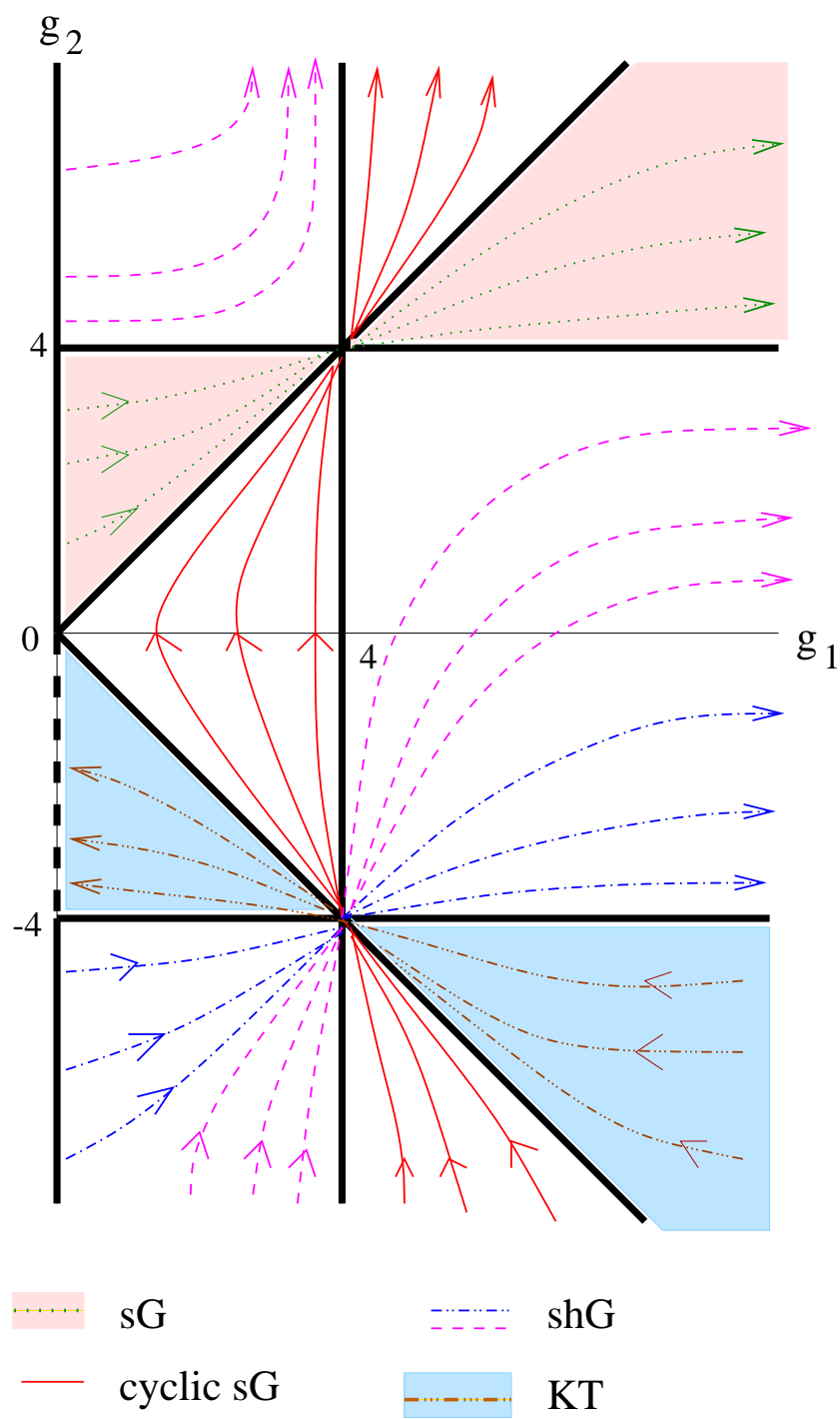
- $g$  = a row vector
- $D$  = matrix,  $D_B^A = \sum_C D_B^{AC} g_C$
- $C(a, b)$  = a row vector,  
 $C(a, b)_A = \sum_{B, C} a_B b_C C_A^{BC}$
- $g' = g/(1 - k^2 D^2/4)$

*All orders beta function for Anisotropic  $su(2)$ :*

$$\begin{aligned}\frac{dg_{\parallel}}{dl} &= \frac{-4g_{\perp}^2(1+g_{\parallel})^2}{(1-g_{\perp}^2)^2} \\ \frac{dg_{\perp}}{dl} &= \frac{-4g_{\perp}(g_{\parallel}+g_{\perp}^2)}{(1-g_{\perp}^2)(1-g_{\parallel})}\end{aligned}$$

There continues to be an RG invariant:

$$Q = \frac{g_{\perp}^2 - g_{\parallel}^2}{(1+g_{\parallel})^2(g_{\perp}^2 - 1)} \equiv -\frac{h^2}{16}$$



Eliminating  $g_{\perp}$ :

$$\frac{dg_{\parallel}}{dl} = \frac{4 \left( (g_{\parallel} + 1)^2 Q - 1 \right) \left( g_{\parallel}^2 - (1 + g_{\parallel})^2 Q \right)}{(1 - g_{\parallel})^2}$$

The above equation is easily integrated and the solution explicitly has the cyclic property:

$$g_{\parallel}(e^{\lambda} L) = g_{\parallel}(L), \quad \lambda = \frac{2\pi}{h} = 2\lambda_{1-loop}$$

*Massive verses Massless:*

In the isotropic limit  $h = 0$ , there are *two different* theories:

- $g_{\perp} = g_{\parallel}$ . IR fixed point. Massless theory.  $O(3)$  sigma model at  $\vartheta = \pi$ .
- $g_{\perp} = -g_{\parallel}$ . UV fixed point. Massive theory. sine-Gordon at the  $su(2)$  invariant point.
- When  $h \neq 0$ , expect two possibilities: massive verses massless



## Spectrum and S-matrices

The cyclic regime of the KT flows can be formally mapped onto the sine-Gordon theory:

$$S = \int \frac{d^2x}{4\pi} \frac{1}{2} (\partial\phi)^2 + \Lambda \cos b\phi$$

$$b^2 = \frac{2}{1 + ih/2}$$

This theory has a quantum affine symmetry  $\mathcal{U}_q(\widehat{sl(2)})$  with  $q = -\exp(-\pi h/2)$  *real*.

Requiring the S-matrix to commute with  $\mathcal{U}_q(\widehat{sl(2)})$  fixes it up to an overall scalar factor, subject to constraints of crossing and unitarity, for which there are minimal solutions.

*Massive case:*

Spectrum: a massive doublet of charge  $\pm 1$  **spinons**.

Agrees with the low energy limit of the spin-chain.

Relativistic dispersion relation:

$$E = m \cosh \beta, \quad p = m \sinh \beta$$

Exact spinon-spinon S-matrix:

$$S(\beta, h) = \zeta^{-1} \prod_{n=0}^{\infty} \frac{(1 - q^{4+4n} \zeta^{-2})(1 - q^{2+4n} \zeta^2)}{(1 - q^{4+4n} \zeta^2)(1 - q^{2+4n} \zeta^{-2})}$$

$$\zeta = e^{-i\beta h/2}, \quad q = -e^{-\pi h/2}$$

- The S-matrix is an analytic extension of the sine-Gordon one with a different overall scalar factor. Satisfies all the constraints.
- Matches the XXZ spin chain S-matrix at low energies, with  $\Delta < -1$ . Differs at high energies

because of relativistic dispersion, related to explicit lattice cut-off of the spin chain.

*Massless case:*

Dispersion:

$$E = \frac{m}{2}e^{\beta}, \quad p = \frac{m}{2}e^{\beta} \quad \text{right - movers}$$

$$E = \frac{m}{2}e^{-\beta}, \quad p = -\frac{m}{2}e^{-\beta} \quad \text{left - movers}$$

The scattering of left with right movers:

$$S_{LR} = \text{as before}$$

*Periodic properties of the S-matrix:*

Though the arguments leading to the S-matrix were entirely independent of the RG, the S-matrix has periodic properties correctly predicted by the RG, i.e. of precisely the correct period  $\lambda = 2\pi/h$ :

$$S(\beta + 2\lambda) = S(\beta)$$

- In the massless case, the above is valid at all energies.
- In the massive case: only at high energies, indicating a UV limit cycle.

## *Finite Size Effects*

$E(R)$  = ground state energy on a cylinder of circumference  $R$ .

Define the effective Virasoro central charge  $c_{\text{eff}}(R)$ :

$$E(R) = -\frac{\pi}{6} \frac{c_{\text{eff}}(R)}{R}$$

$c_{\text{eff}}$  tracks the RG flow. Usually  $c(r)$  is an uneventful function smoothly interpolating between UV and IR fixed point values of  $c$ .

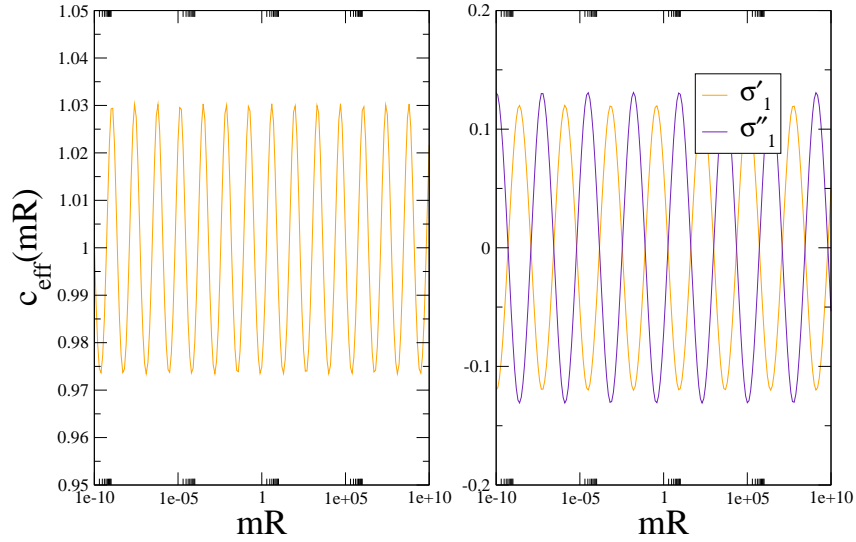
*RG equations for  $c_{\text{eff}}$ :*

Since  $c_{\text{eff}}$  is related to a one-point function, it obeys RG scaling equations.

$$\langle T_{\mu}^{\mu} \rangle = \frac{2\pi}{R} \frac{d}{dR} (RE(R)) = -\frac{\pi^2}{3R^2} \frac{dc_{\text{eff}}}{d \log R}$$

Simple RG arguments imply:

$$c'_{\text{eff}}(e^\lambda R) = c'_{\text{eff}}(R), \quad c'_{\text{eff}} \equiv \frac{dc_{\text{eff}}(R)}{d \log R}$$

*TBA analysis of  $c_{\text{eff}}$ :*

Approximate analytic result for the massless case:

$$c_{\text{eff}}(mR) \approx 1 + \frac{24}{\pi} \sum_{n=1}^{\infty} \frac{1}{n} \frac{(1-q^{2n})^2}{(1+q^{-2n})} \Im m \left( e^{2ihn s} \zeta_1^2(-inh) \right)$$

$$s = \log 2\pi / mR$$

$$\zeta_1(z) \equiv (1 - 2^{-z})\zeta(z), \quad \zeta = \text{Riemannzeta}$$

This is log-periodic behaviour.

- In the massive case, this behaviour only appears in the deep UV.



## *A stringy solution to the S-matrix*

The quantum affine symmetry only fixed the S-matrix up to an overall scalar factor. We investigated another solution to the S-matrix which is simply the analytic extension of usual sine-Gordon soliton S-matrix to the coupling  $b^2 = \frac{2}{1+ih/2}$ . Satisfies algebraic unitarity but not real analyticity, which may be pathological.

### Properties of the spectrum:

The S-matrix has poles corresponding to resonances of mass:

$$m_n = 2M_s \cosh \frac{n\pi}{h}, \quad n = 1, 2, 3, \dots, \infty$$

Russian Doll property:

$$m_{n+2} \approx e^\lambda m_n, \quad n \gg h/\pi$$

Closing the bootstrap leads particles of higher spin  $j$  with a stringy mass formula:

$$m_n^2(j) = 4M_s^2 \left( j^2 + (2j - 1) \sinh^2 \frac{n\pi}{h} \right)$$

For  $h$  small:

$$m^2(j) \approx \frac{1}{\alpha'} (j - \alpha(0))$$

where the Regge slope and intercept are

$$\frac{1}{\alpha'} = 2M_s^2 e^{2\pi/h}, \quad \alpha(0) = 1/2$$

## *Russian Doll Superconductors*

(with J.-M. Román and G. Sierra)

BCS hamiltonian (in the pairing approximation)

$$H = \sum_{j=1}^N \varepsilon_j b_j^\dagger b_j - \sum_{j,j'=1}^N V_{jj'} b_j^\dagger b_{j'}$$

$$V_{jj'} = \begin{cases} G + i\Theta & \text{if } \varepsilon_j > \varepsilon_{j'} \\ G & \text{if } \varepsilon_j = \varepsilon_{j'}, \\ G - i\Theta & \text{if } \varepsilon_j < \varepsilon_{j'} \end{cases} \quad \begin{matrix} G = g\delta \\ \Theta = h\delta \end{matrix}.$$

- $b_j = c_{j,-} c_{j,+}$ ,  $b_j^\dagger = c_{j,+}^\dagger c_{j,-}^\dagger$
- The  $\varepsilon_j$  are equally spaced energy levels  
 $-\omega < \varepsilon_j < \omega$  with level spacing  $2\delta$ .
- This kind of hamiltonian (with  $\Theta = 0$ ) is used to describe very small superconducting grains.
- Simplest extension of BCS that breaks time reversal.

Gap equation:

$$\tilde{\Delta}_j = \sum_{j' \neq j} V_{jj'} \frac{\tilde{\Delta}_{j'}}{E_{j'}}, \quad \tilde{\Delta}_j \equiv \Delta_j e^{i\phi_j}$$

Continuum limit:

$$\tilde{\Delta}(\varepsilon) = g \int_{-\omega}^{\omega} \frac{d\varepsilon'}{2} \frac{\tilde{\Delta}(\varepsilon')}{E(\varepsilon')} + i h \left[ \int_{-\omega}^{\varepsilon} - \int_{\varepsilon}^{\omega} \right] \frac{d\varepsilon'}{2} \frac{\tilde{\Delta}(\varepsilon')}{E(\varepsilon')},$$

$$\text{where } \tilde{\Delta}(\varepsilon) = \Delta(\varepsilon) e^{i\phi(\varepsilon)}, \quad E = \sqrt{\varepsilon^2 + \Delta^2}.$$

Solving for the gap yields an infinite number of solutions  $\Delta_n$ . They can be parameterized as follows:

$$\Delta_n = \frac{\omega}{\sinh t_n}, \quad t_n = t_0 + \frac{n\pi}{h}, \quad n = 0, 1, 2, \dots,$$

where  $t_0$  is the principal solution to the equation

$$\tan(ht_0) = \frac{h}{g}, \quad 0 < t_0 < \frac{\pi}{2h}$$

The gaps satisfy  $\Delta_0 > \Delta_1 > \dots$ .

*RG equations:*

Next we derive RG equations for our model. Let  $g_N$ ,  $h_N$  denote the couplings for the hamiltonian  $H_N$  with  $N$  energy levels. The idea behind the RG method is to derive an effective hamiltonian  $H_{N-1}$  depending on renormalized couplings  $g_{N-1}$ ,  $h_{N-1}$  by integrating out the highest energy levels  $\varepsilon_N$  or  $\varepsilon_1$ .

In the large  $N$  limit one can define a variable  $s = \log N_0/N$ , where  $N_0$  is the initial size of the system.

$$\frac{dg}{ds} = (g^2 + h^2), \quad s \equiv \log \frac{N_0}{N}.$$

The solution to the above equation is

$$g(s) = h \tan \left[ hs + \tan^{-1} \left( \frac{g_0}{h} \right) \right], \quad g_0 = g(N_0).$$

$$g(s + \lambda) = g(s) \iff g(e^{-\lambda} N) = g(N), \quad \lambda \equiv \frac{\pi}{h}$$

*Role of condensates in the cyclic RG:*

In each cycle the coupling  $g$  jumps from  $\infty$  to  $-\infty$ .

Note:

$$\begin{aligned}\Delta_0(g = +\infty) &= \infty \\ \Delta_{n+1}(g = +\infty) &= \Delta_n(g = -\infty)\end{aligned}$$

Thus the condensate  $|\psi_{\text{BCS}}^{(n+1)}\rangle$  of one RG cycle plays the same role as  $|\psi_{\text{BCS}}^{(n)}\rangle$  of the next cycle

Scaling properties of the gaps:

$$\Delta_{n+1} \approx e^{-\lambda} \Delta_n, \quad n \text{ large}$$

### *Numerical Work: One-Cooper Pair problem:*

The bound states of one-Cooper pair problem are widely known to be the precursors to the BCS condensates. For the one-pair problem we can easily work at large system sizes  $N$ . In this problem one looks for eigenstates of the form

$$|\psi\rangle = \sum_j \psi_j b_j^\dagger |0\rangle$$

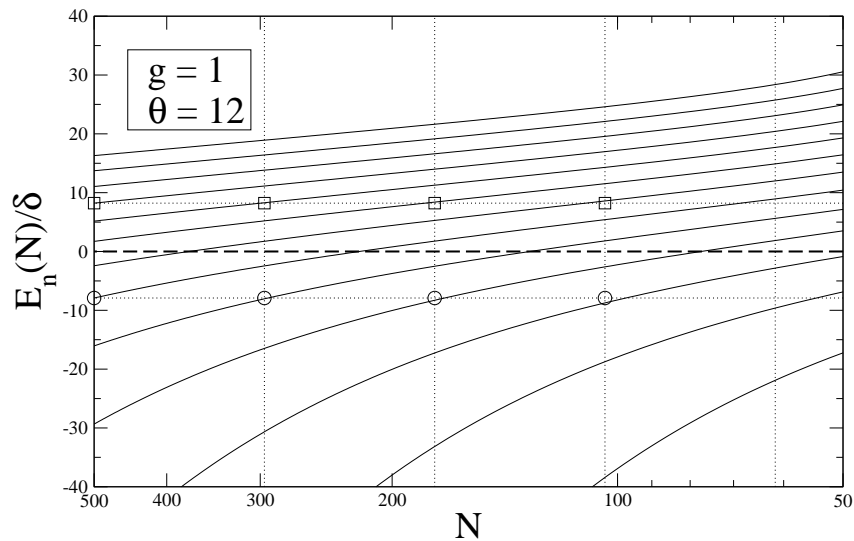
For this problem we find a very similar structure: an infinite number of bound states and a cyclic RG.

Bound state energies:

$$E_n = -\frac{\omega}{e^{t_n} - 1}, \quad t_n = t_0 + \frac{2\pi n}{h}, \quad n \in \mathbb{Z},$$

where  $t_0$  is the principal solution to the equation

$$\tan\left(\frac{1}{2}ht_0\right) = \frac{h}{g}, \quad 0 < t_0 < \frac{\pi}{h}.$$



Exact eigenstates of one-Cooper pair Hamiltonian for  $N$  levels, from  $N_0 = 500$  down to 50. We depict only the states nearest to zero. The vertical lines are at the values  $N_n = e^{-n\lambda_1} N_0$ . The dotted horizontal lines show the cyclicity of the spectrum.



## *Conclusions and open questions*

- Cyclic RG trajectories are surprisingly commonplace
- Microscopic origins of the modified BCS theory?
- c-theorem?
- 3+1 d examples?
- Chaotic flows?