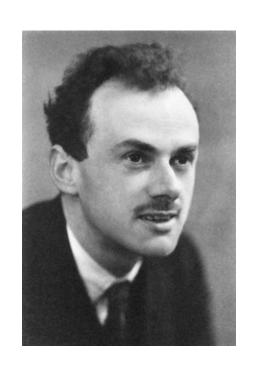
Comments On Continuous Families Of Quantum Systems



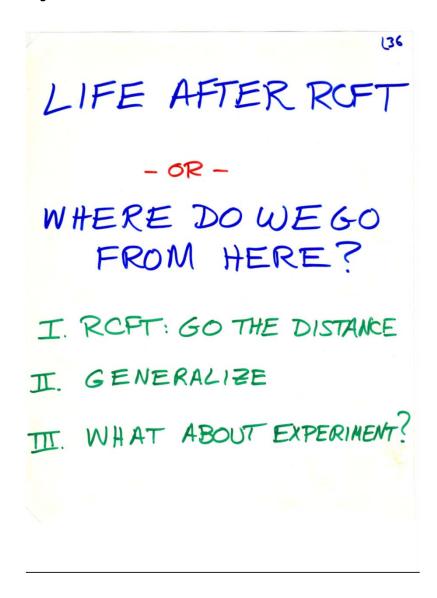
Gregory Moore



Dirac Medal Ceremony

ICTP, Trieste, August 8, 2016

Soviet-American Workshop On String Theory, Princeton, October 1989



MODULAR TENSOR CTERY

DATA:

PARTIE: EXPERIMENT ?? "REAL WORLD" APPLICATIONS IN CONDENSED MATTER PHYSICS 2D 2nd ORDER PHASE TRALS - OF COURSE CSW -> APPL'S TO F.Q.H.E. EANYONS BASIC PRINCIPLES DATA FOR Frohlich OF (NON)RELTUSTIC => MTC + Gabbiani
2+1 QFT => 9=0 AXIOMS Marchetti - NONABELIAN ANYONS NOT RULED OUT-F.O.H. SYSTEM => L.G. THEORY N. Read A(2)~ \ < x 1 4 4 (2') 1x > d2' W/ CS TERM PURE LOW ENERGY, LONG RANGE: CSW => FULL MTC !?

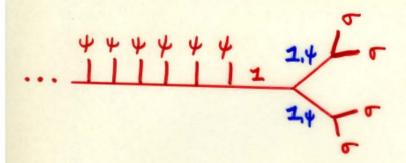
NEW STATES N. Read

EXAMPLE: Pf 1 TT(Z:-Z;)9

1.
$$v = \frac{1}{q}$$
 q even!

- 2. 3 HAMILTONIAN SUCH THAT PPF
- IS NONDEGENERATE, INCOMPRESSIBLE, GROUNDSTATE

DOUBLY DEGENERATE:



ANALYTIC CONTINUATION:

"PHYSICAL" REALIZATION OF

NONABELIAN ANYONS

Part II

A Comment On Berry Connections

Philosophy

If a physical result is not mathematically natural, there might well be an underlying important physical issue.

We will illustrate this with continuous families of quantum systems

i.e. quantum systems parametrized by a space X of control parameters.

In this context one naturally encounters Berry connections – an enormously successful idea.

A Little Subtlety

Given a continuous family of Hamiltonians with a gap in the spectrum there is, in general, not one Berry connection, but rather a family of Berry connections.

Example: For band insulators there is a family of natural Berry connections, whose gauge equivalence classes are parametrized by a real-space torus.

This has consequences for topological contributions to electric polarization and magneto-electric polarizability: a 3D Chern `insulator" has a bulk QHE.

THE ORIGIN OF THE PROBLEM IS THE PROBLEM OF THE ORIGIN.

Affine Space

Like a vector space – but no natural choice of origin.

Definition: There is a transitive and free action of a vector

space.



Non-symmorphic crystals

E(n): The Euclidean group of length-preserving transformations of affine n-dimensional space.

There is a natural subgroup \mathbb{R}^n of translations

But there is no natural subgroup isomorphic to O(n):

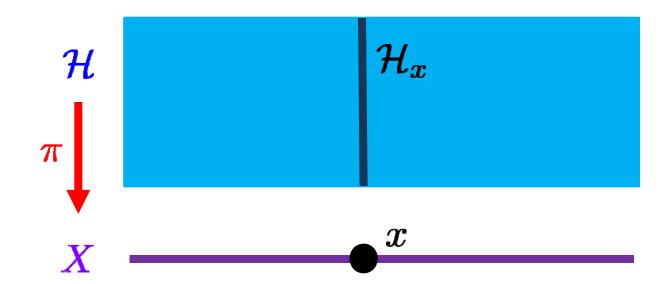
One must CHOOSE an origin to define such a group.

That's why there are non-symmorphic crystal structures.

Hilbert Bundles

Hilbert bundle over a space X of control parameters

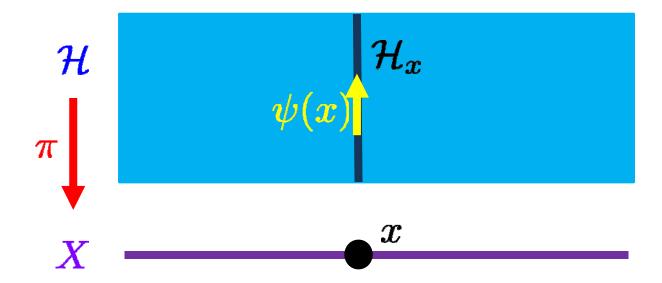
$$\begin{array}{ccc} \mathcal{H}_x & \mathcal{H} \\ \downarrow & \downarrow \pi \\ x \hookrightarrow & X \end{array}$$



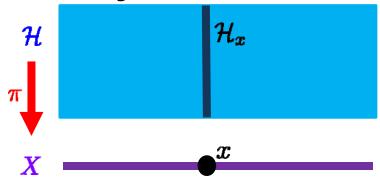
Sections Of A Hilbert Bundle

Space of sections: $\Gamma[\mathcal{H} \to X]$

$$\Psi: x \mapsto \psi(x) \in \mathcal{H}_x$$



Projected Bundles



Given a continuous family of projection operators: $P(x):\mathcal{H}_x o \mathcal{H}_x$

Projected bundle \mathcal{V} : Subbundle with sections:

$$\begin{split} \Gamma(\mathcal{V}) &:= \{\psi(x) | P(x) \psi(x) = \psi(x)\} \subset \Gamma(\mathcal{H}) \\ \mathcal{H} &= S^2 \times \mathbb{C}^2 \qquad P(\hat{x}) = \frac{1}{2}(1 + \hat{x} \cdot \vec{\sigma}) \\ \pi \downarrow \qquad \text{Definition: A $\underline{vector \ bundle}$} \\ \hat{x} \in S^2 \qquad \mathcal{V} \text{ is a projected bundle.} \end{split}$$

Projected Connection

Connection:

$$abla:\Gamma(\mathcal{V}) o\Omega^1(\mathcal{V})$$

 $\nabla (f\Psi) = df \otimes \Psi + f\nabla \Psi$

Remark: The space of connections on a vector bundle is an affine space modeled $\Omega^1(\mathrm{End}(\mathcal{V}))$ on the vector space:

If the vector bundle \mathcal{V} is defined using a family of projection operators P(x)

and we <u>choose</u> a connection $\nabla^{\mathcal{H}}$ on \mathcal{H} :

we get a connection on the bundle ${\mathcal V}\,$:

$$\nabla^P := P \circ \nabla^{\mathcal{H}} \circ \iota \qquad \iota : \Gamma(\mathcal{V}) \hookrightarrow \Gamma(\mathcal{H})$$

Berry Connection

Given a continuous family of Hamiltonians H_x on \mathcal{H}_x , if there is a gap:

$$E_{\mathrm{gap}} \notin \cup_{x \in X} \mathrm{Spec}(H_x)$$

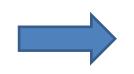
we have a continuous family of projection operators: $P(x) = \Theta(E_{ ext{gap}} - H_x)$

$$\nabla^B := P \circ \nabla^{\mathcal{H}} \circ \iota$$
 [M. Berry (1983); B. Simon 1983)]

Note that it requires a CHOICE of $\nabla^{\mathcal{H}}$

Commonly assumed: ${\cal H}$ has been trivialized:

$$\mathcal{H} = X \times \mathcal{H}_0$$



Natural choice of $\nabla^{\mathcal{H}}$:

The trivial connection.

$$\nabla^{\mathcal{H}}\psi(x) = dx^{\mu} \frac{\partial}{\partial x^{\mu}} \psi(x)$$

$$\vec{A}^{\mathrm{Berry}} = \langle \psi | \vec{\nabla}_{\vec{R}} | \psi \rangle$$

But in general there is no natural trivialization of \mathcal{H} !

Hilbert Bundle Over Brillouin Torus

Crystal in n-dimensional affine space: $\, C \subset \mathbb{A}^n \,$

Invariant under a lattice of translations: $L \subset \mathbb{R}^n$

Brillouin torus: = {unitary irreps of L}.

Reciprocal lattice:
$$L^ee \subset \mathcal{K} \cong (\mathbb{R}^n)^ee \cong \mathbb{R}^n$$

$$\bar{k} \in T^{\vee} = \mathcal{K}/L^{\vee} \quad \chi_{\bar{k}}(R) = e^{2\pi i k \cdot R} \quad R \in L$$

Bloch states define a Hilbert bundle \mathcal{H} over the Brillouin torus:

$$\mathcal{H}_{\bar{k}} := \{ \psi_{\bar{k}} | \psi_{\bar{k}}(x+R) = e^{2\pi i k \cdot R} \psi_{\bar{k}}(x) \}$$

Trivializations Of ${\cal H}$

 ${\mathcal H}$ can be trivialized by choosing Bloch functions

$$\psi_{n,\bar{k}}(x+R)=e^{2\pi\mathrm{i}k\cdot R}\psi_{n,\bar{k}}(x)\quad n\in\mathbb{N}$$
 smooth
$$\forall \bar{k}\in T^\vee$$

$$\{\psi_{n,ar{k}}\}$$
 A basis for Hilbert space $\mathcal{H}_{ar{k}}$

But in general there is no natural trivialization of \mathcal{H} !

A Family Of Connections on ${\mathcal H}$

So: There is no such thing as "THE" Berry connection in the context of band structure.

But, there <u>is</u> a natural family of connections on \mathcal{H} :

$$abla \mathcal{H}, x_0$$
 [Freed & Moore, 2012]

They depend on a choice of origin x_0 modulo L:

$$\nabla^{\mathcal{H},x_0} - \nabla^{\mathcal{H},x_0'} = \alpha$$

$$\alpha = 2\pi i \ dk \cdot (x_0 - x_0') \otimes 1_{\mathcal{H}}$$

Berry Connections For Insulators

Insulator: Projected bundle \mathcal{F} of filled bands:

$$\mathcal{F}_{ar{k}} = \Theta(E_f - H_{ar{k}}) \cdot \mathcal{H}_{ar{k}} \subset \mathcal{H}_{ar{k}}$$
 $abla^{B,x_0} -
abla^{B,x_0} = lpha$
 $alpha = 2\pi i \ dk \cdot (x_0 - x_0') \otimes 1_{\mathcal{F}}$

So what?

$$F(\nabla^{B,x_0}) = F(\nabla^{B,x_0'})$$



All Chern numbers unchanged....

Electric polarization:

$$\langle K, P/e \rangle = \int_{T_K^{\perp}} \operatorname{Im} \log \det \operatorname{Hol}(\nabla^{B,x_0}, \gamma_K) \mod 2\pi$$

[King-Smith & Vanderbilt (1993); Resta (1994)]

Magnetoelectric Polarizability

$$\mathcal{L}_{\mathrm{eff}}^{\mathrm{Maxwell}} \supset \int_{\mathbb{R}^4} \alpha^{ij} E_i B_j$$

$$\theta(x_0) = \frac{1}{3}\alpha^i_{i} = \int_{T^{\vee}} CS(\nabla^{B,x_0})$$

[Qi, Hughes, Zhang; Essin, Joel Moore, Vanderbilt]

Dependence Of Axion Angle On x₀

$$CS(
abla+lpha)-CS(
abla)= ext{Tr}(2lpha F+lpha D_Alpha+rac{2}{3}lpha^3)$$
 $ec{c}:=\int_{T^ee}c_1(\mathcal{F})\in L^ee$

$$\theta(x_0) - \theta(x'_0) = 2\pi \vec{c} \cdot (x_0 - x'_0)$$

$$\mathcal{L}_{ ext{eff}}^{ ext{Maxwell}} \supset rac{1}{4\pi} \int_{\mathbb{R}^4} \langle ec{c}, dec{x}
angle \wedge CS(A^{ ext{Maxwell}})$$



QHE in the \underline{bulk} of the ``insulator'' in the plane orthogonal to $ec{c}$

Part III

Born Rule For Families Of Quantum Systems Parametrized By A Noncommutative Manifold

Quantum Systems

Set of physical ``states''
$${\cal S}$$

Set of physical ``observables''
$${\cal O}$$

Born Rule:
$$BR: \mathcal{S} \times \mathcal{O} \rightarrow \mathcal{P}$$

 ${\mathcal P}$ Probability measures on ${\mathbb R}$.

$$m \in \mathfrak{M}(\mathbb{R}) \longrightarrow 0 \leq \wp(m) \leq 1$$

$$m=[r_1,r_2]\subset \mathbb{R} \qquad \qquad BR(\mathbf{s},\mathbf{O})([r_1,r_2])$$

is the probability that a measurement of the observable O in the state $\bf s$ has value between $\bf r_1$ and $\bf r_2$.



Standard Dirac-von Neumann Axioms



 \mathcal{S} Density matrices ρ : Positive trace class operators on Hilbert space of trace =1

O Self-adjoint operators T on Hilbert space

Spectral Theorem: There is a one-one correspondence of self-adjoint operators T and projection valued measures:

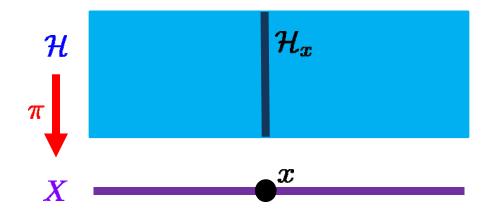
$$m\in\mathfrak{M}(\mathbb{R}) \hspace{0.2cm}
ightarrow \hspace{0.2cm} P_T(m)$$

Example:
$$T = \sum_{\lambda} \lambda P_{\lambda}$$
 $P_{T}([r_{1}, r_{2}]) = \sum_{r_{1} \leq \lambda \leq r_{2}} P_{\lambda}$

$$m \in \mathfrak{M}(\mathbb{R})$$
 $BR(\rho, T)(m) = \operatorname{Tr}_{\mathcal{H}}(\rho P_T(m))$

Continuous Families Of Quantum Systems

Hilbert bundle over space X of control parameters.



For each x get a probability measure \wp_x :

$$m \in \mathfrak{M}(\mathbb{R}) \mapsto \wp_x(m) := \operatorname{Tr}_{\mathcal{H}_x}(\rho_x P_{T_x}(m))$$

$$BR: \mathcal{S} \times \mathcal{O} \times X \rightarrow \mathcal{P}$$

$$BR(\rho, T, x) = \wp_x$$

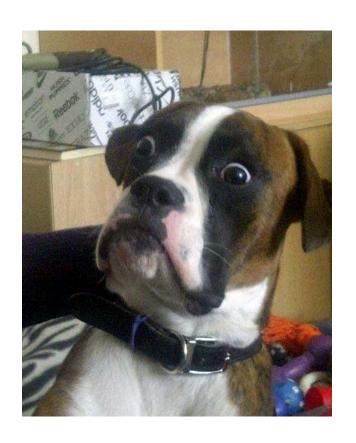
Noncommutative Families?

What happens when the space X of control parameters is replaced by a

noncommutative space?

How does the Born rule change?

Why ask this question?





Curiosity.

(And the answer is interesting.)

With irrational magnetic flux the Brillouin torus is replaced by a noncommutative manifold. (Bellisard, Connes, Gruber,...)

NC tt* geometry (S. Cecotti, D. Gaiotto, C. Vafa)

Boundaries of Narain moduli spaces of toroidal heterotic string compactifications are NC

The "early universe" might be NC

C* Algebras

A C^* algebra is a (normed) algebra $\mathfrak A$ over the complex numbers with an involution:

$$a\in\mathfrak{A}\to a^*\in\mathfrak{A} \qquad (ab)^*=b^*a^*$$
 such that

Example 1:
$$\mathfrak{A} = C(X) := \{f: X \to \mathbb{C}\}$$

Example 2:
$$\mathfrak{A}=Mat_n(\mathbb{C})$$

$$a^* = a \qquad \qquad a = b^*b$$

Gelfand's Theorem

The topology of a (Hausdorff) space X is completely captured by the C*-algebra of continuous functions on X:

$$C(X) := \{f : X \to \mathbb{C}\}$$

$$(f_1+f_2)(x)=f_1(x)+f_2(x)$$
 $(f_1\cdot f_2)(x):=f_1(x)f_2(x)$

"Points" become

1D representations:

$$\operatorname{ev}_{x_0}: f \in C(X) \mapsto f(x_0) \in \mathbb{C}$$

Commutative \mathfrak{A} \longrightarrow Irrep (\mathfrak{A}) A topological space $\mathfrak{A}\cong C(\operatorname{Irrep}(\mathfrak{A}))$

Noncommutative Geometry

Statements about the topology/geometry of X are equivalent to algebraic statements about C(X)

Replace C(X) by a noncommutative C^* algebra $\mathfrak A$

Interpret A as the `algebra of functions on a noncommutative space" ...

... even though there are no points.

"pointless geometry"

Example: Noncommutative torus:

$$U_i U_i^* = U_i^* U_i = 1$$
 $U_i U_j = e^{2\pi i \phi_{ij}} U_j U_i$

Noncommutative Control Parameters

We would like to define a family of quantum systems parametrized by a NC manifold whose "algebra of functions" is a general C* algebra $\mathfrak A$

What are observables?

What are states?

What is the Born rule?

What replaces the Hilbert bundle?

Noncommutative Hilbert Bundles

Definition: Hilbert C* module \mathcal{E} over C*-algebra \mathfrak{A} .

Complex vector space $\mathcal E$ with a right-action of $\mathfrak A$ and an ``inner product'' valued in $\mathfrak A$

$$\Psi_1,\Psi_2\in\mathcal{E}$$
 $(\Psi_1,\Psi_2)_{\mathfrak{A}}\in\mathfrak{A}$ $(\Psi_1,\Psi_2)_{\mathfrak{A}}^*=(\Psi_2,\Psi_1)_{\mathfrak{A}}$ $(\Psi,\Psi)_{\mathfrak{A}}\geq 0$ (Positive element of the C* algebra.) such that

Like a Hilbert space, but ``overlaps'' are valued in a (possibly) noncommutative algebra.

Quantum Mechanics With Noncommutative Amplitudes

Basic idea: Replace the Hilbert space by a Hilbert C* module

$$\mathcal{H} \to \mathcal{E}$$

$$\Psi_1, \Psi_2 \in \mathcal{E} \quad (\Psi_1, \Psi_2)_{\mathfrak{A}} \in \mathfrak{A}$$

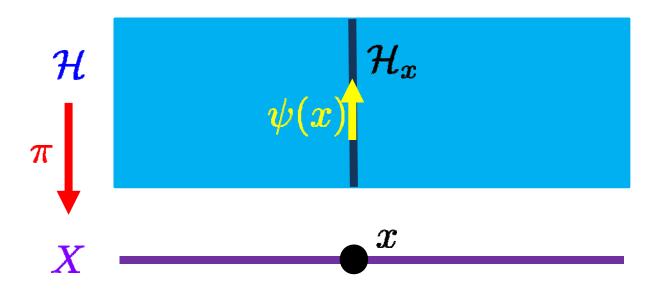
Overlaps are valued in a possibly noncommutative algebra.

QM:
$$0 \le \wp(\lambda) = (\psi_{\lambda}, \psi)(\psi_{\lambda}, \psi)^* \le 1$$

QMNA:
$$(\Psi_{\lambda}, \Psi)(\Psi_{\lambda}, \Psi)^* \in \mathfrak{A}$$

Example 1: Hilbert Bundle Over A Commutative Manifold

$$\mathcal{E} = \Gamma[\mathcal{H} o X] \qquad \mathfrak{A} = C(X)$$
 $\Psi: x \mapsto \psi(x) \in \mathcal{H}_x$



$$(\Psi_1, \Psi_2)_{\mathfrak{A}} \in \mathfrak{A} := C(X)$$
 $(\Psi_1, \Psi_2)_{\mathfrak{A}}(x) := (\psi_1(x), \psi_2(x))_{\mathcal{H}_x} \in \mathbb{C}$

Example 2: Hilbert Bundle Over A Fuzzy Point

Def: ``fuzzy point'' has $\mathfrak{A}\cong \mathrm{Mat}_{a imes a}(\mathbb{C})$

$$\mathcal{E} = \mathrm{Mat}_{b \times a}(\mathbb{C})$$

$$(\Psi_1, \Psi_2)_{\mathfrak{A}} = \Psi_1^{\dagger} \Psi_2$$

Observables In QMNA

Consider ''adjointable operators'' $T: \mathcal{E}
ightarrow \mathcal{E}$

$$(\Psi_1, T\Psi_2)_{\mathfrak{A}} = (T^*\Psi_1, \Psi_2)_{\mathfrak{A}}$$

The adjointable operators B are another C* algebra.

Definition: \underline{QMNA} observables are self-adjoint elements of $\mathfrak B$

(Technical problem: There is no spectral theorem for self-adjoint elements of an abstract C* algebra.)

C* Algebra States

Definition: A C^* -algebra state $\omega \in \mathcal{S}(\mathfrak{A})$ is a positive linear functional

$$\omega:\mathfrak{A}\to\mathbb{C}$$
 $\omega(\mathbf{1})=1$

$$\mathfrak{A} = C(X) \quad \omega \in \mathcal{S}(\mathfrak{A})$$

$$\omega(f)=\int_X f d\mu$$
 d μ = a positive measure on X:

$$\mathfrak{A} \cong \mathrm{Mat}_{a \times a}(\mathbb{C}) \quad \omega \in \mathcal{S}(\mathfrak{A})$$

$$\omega(T) = \mathrm{Tr}_{\mathcal{H}}(\rho T)$$
 ρ = a density matrix

QMNA States

Definition: A *QMNA state* is a completely positive unital map

$$\varphi:\mathfrak{B}\to\mathfrak{A}$$

"Completely positive" comes up naturally both in math and in quantum information theory.

Positive:
$$\varphi:\mathfrak{B}_{\geq 0} \to \mathfrak{A}_{\geq 0}$$

Unital:
$$arphi(1_{\mathfrak{B}})=1_{\mathfrak{A}}$$

Completely positive

$$\varphi \otimes 1 : (\mathfrak{B} \otimes \operatorname{Mat}_n(\mathbb{C}))_{\geq 0} \to (\mathfrak{A} \otimes \operatorname{Mat}_n(\mathbb{C}))_{\geq 0}$$

QMNA Born Rule

Main insight is that we should regard the Born Rule as a map

$$BR: \mathcal{S}^{\mathrm{QMNA}} imes \mathcal{O}^{\mathrm{QMNA}} imes \mathcal{S}(\mathfrak{A}) o \mathcal{P}$$

For general \mathfrak{A} the datum $\omega \in \mathcal{S}(\mathfrak{A})$ together with complete positivity of φ give just the right information to state a Born rule in general:

$$BR(\varphi, T, \omega) \in \mathcal{P}$$

Family Of Quantum Systems Over A Fuzzy Point

$$\mathcal{E} = \operatorname{Mat}_{b imes a}(\mathbb{C}) = \mathbb{C}^b \otimes \mathbb{C}^a = \mathcal{H}_{\operatorname{Bob}} \otimes \mathcal{H}_{\operatorname{Alice}}$$
 $\mathfrak{A} = Mat_a(\mathbb{C}) = \operatorname{End}(\mathcal{H}_{\operatorname{Alice}})$ $\mathfrak{B} = Mat_b(\mathbb{C}) = \operatorname{End}(\mathcal{H}_{\operatorname{Bob}})$ $BR(\varphi, T, \omega)(m) = \operatorname{Tr}_{\mathcal{H}_A} \rho_A \varphi(P_T(m))$

"A NC measure $\omega \in \mathcal{S}(\mathfrak{A})$ " is equivalent to a density matrix ρ_A on \mathcal{H}_A

omna state:
$$\varphi(T)=\sum_{\alpha}E_{\alpha}^{\dagger}TE_{\alpha}$$
 $\sum_{\alpha}E_{\alpha}^{\dagger}E_{\alpha}=1$

Quantum Information Theory & Noncommutative Geometry

$$BR(\varphi, T, \omega)(m) = \operatorname{Tr}_{\mathcal{H}_A} \rho_A \varphi(P_T(m))$$

$$= \sum_{\alpha} \operatorname{Tr}_{\mathcal{H}_A} \rho_A E_{\alpha}^{\dagger}(P_T(m)) E_{\alpha}$$

$$= \sum_{\alpha} \operatorname{Tr}_{\mathcal{H}_B} E_{\alpha} \rho_A E_{\alpha}^{\dagger} P_T(m)$$

$$= \operatorname{Tr}_{\mathcal{H}_B} \mathcal{E}(\rho_A) P_T(m)$$

Last expression is the measurement by Bob of T in the state ρ_A prepared by Alice and sent to Bob through quantum channel \mathcal{E} .

Three Conclusions

Don't be discouraged by negative response.

(Within reason)

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Please say, ``a Berry connection,' not ``the Berry connection.' (Unless you have specified \nabla^{\mathcal{H}})
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QM can be generalized to QMNA.

```
(Is it really a generalization?)

(Is it useful?)
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