

# LECTURE

## Identical Particles

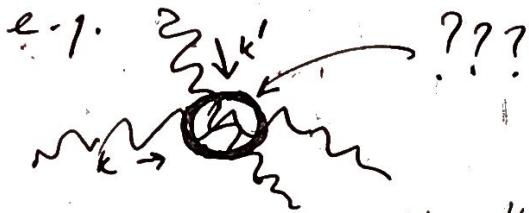
on the way to quantum field theory

Particles are identical when we cannot distinguish them based on any physical property.

→ Many-body physics

→ pure quantum phenomena

In classical mechanics we can trace any particle individually. Since for quantum we deal with the wave like properties of amplitudes of probability we cannot distinguish them any longer.



so unless we consider the case when 2 particles are well separated, i.e. without the overlap we cannot talk any longer about the individual objects in QM.

We need new formalism. As usual the best way to deal with this situation is to consider symmetry for the ensemble of the quantum particles.

### ① Permutation symmetry

if we consider a system below the threshold for getting it into the excited state we have 4 - degrees of freedom. i.e.

$\vec{p}$ ,  $\vec{\tau}$ ,  $\vec{\sigma}$  and  $\epsilon \Rightarrow$  helicity  
spin

Consider a system which has  $N$ -particles

$$1 \equiv \vec{p}_1, \vec{r}_1, \theta_1$$

$$2 \equiv \vec{p}_2, \vec{r}_2, \theta_2$$

etc.

Hamiltonian can be written as:

$$H(1, 2, 3, \dots, N) \text{ and so is } \Psi(1, 2, \dots, N)$$

Let's introduce a new operator  $P_{i,j} = \text{the permutation operator}$   
 $i \leftrightarrow j$  with eigenvalue  $\omega$ .

$$P_{i,j} \Psi(1, 2, \dots, i, \dots, j, \dots, N) = \omega \Psi(1, 2, \dots, i, \dots, j, \dots, N)$$

do this twice

$$\begin{aligned} P_{i,j}^2 \Psi(\dots, i, \dots, j, \dots) &= \omega P_{i,j} \Psi(\dots, j, \dots, i, \dots) = \\ &= \omega^2 \Psi(\dots, i, \dots, j, \dots) \Rightarrow \omega^2 = 1 \text{ or } \omega = \pm 1 \end{aligned}$$

then  $P_{i,j}$  is a hermitian operator.

$$P_{i,j}^2 = I \Rightarrow P_{i,j} = P_{i,j}^{-1} \text{ also you can show that } P_{i,j} \text{ is unitary.}$$

$$\text{i.e. } U O U^{-1} = O'$$

$$P H P^{-1} = H \Rightarrow P H = H P \text{ and for any symmetric operator } O \text{ we get } [P, O] = 0$$

if  $\Psi(1 \dots N)$  is eigenstate of  $H$

then  $P H \Psi = P E \Psi = E P \Psi$  is also an eigenstate

This is CALLED EXCHANGE DEGENERACY

also all observables are the same for  $\Psi$  and  $P\Psi$

Now we have  $N!$  exchange operators for  $N$  particles, there has to be at least one eigenfunction such as:

$$H\Psi = E\Psi \text{ AND } P_{i,j}\Psi = \omega_{i,j}\Psi$$

also one can show that  $P_{i,j}P_{i,k} = P_{j,k}P_{i,j} = P_{j,k}P_{j,k}$

see PROBLEMS ch. 18

This ~~is~~ also means

$$P_{ij} P_{ik} \Psi = w_{ij} w_{ik} \Psi \Rightarrow$$

$$\underline{w_{ij}} \underline{w_{ik}} = \underline{w_{jk}} \underline{w_{ij}} = \underline{w_{ik}} \underline{w_{jk}}$$

which gives  $w_{ik} = w_{jk}$  or  $w_{ij} = w_{ik}$

$$\text{or } w_{ij} = w_{ik} = w_{jk}$$

If  $w_{ij} = 1$ , then  $\Psi$  is symmetric under interchange of any pair of particles  $\equiv \Psi_s(1 \dots n)$

If  $w_{ij} = -1$   $\Psi$  antisymmetric  $\equiv \Psi_a(1 \dots n)$

$$P_{ij} \Psi_s(1 \dots i \dots j \dots n) = \Psi_s(-j \dots i) = +1 \Psi_s(i \dots j)$$

$$P_{ij} \Psi_a(i \dots j) = -\Psi_a(i \dots j)$$

$$P \Psi_a = \Psi_s$$

$$P \Psi_s = (-i)^P \Psi_s \quad (-1)^P = \begin{cases} 1 & \text{even permutations} \\ -1 & \text{odd permutations} \end{cases}$$

also  $P\Psi = e^{i\theta} \Psi$  where  $\theta = 0, \pi$

If  $\theta$  is any  $0 < \theta < \pi$  the particle is called anyon.

e.g. Consider two particles  $n=2$ .

$P_{12}$  is the only operator

for 3-particles  $P_{ij} \Psi(1, 2, 3)$

$$\left. \begin{aligned} P_{12} P_{13} \Psi &= \\ &= P_{12} \Psi(3 2 1) = \\ &= \Psi(3 1 2) \end{aligned} \right\} \begin{aligned} P_{12} \\ P_{13} \\ P_{23} \\ P_{32} \end{aligned}$$

$$\left. \begin{aligned} P_{13} P_{12} \Psi(1 2 3) &= \\ &= P_{13} \Psi(2 1 3) = \\ &= \Psi(2 3 1) \end{aligned} \right\} \begin{aligned} \text{not commute!} \\ \text{so there are} \\ \text{states where all } P_{ij} \text{'s are not} \\ \text{diagonal.} \end{aligned}$$

However, experimentally we know that only  $\theta=0$  and  $\theta=\pi$  are occupied.

- So the Hilbert space is broken into subspaces  $H_S$  and  $H_A$  and the remaining unphysical  $(N! - 2)$  states  $H_R$ .

$\nabla \circ \left\{ \begin{array}{l} \text{Since } P \text{ commutes with } H, \text{ the symmetric} \\ \text{characters cannot be changed over time.} \\ \text{So fermions remain fermions} \rightarrow \text{bosons release} \\ \text{bosons.} \end{array} \right.$

- Moreover, if we have a system of identical particles they will be described by a uniquely symmetrical wave f., otherwise the states would mix between symm. and anti-symm.

### Spin - statistics theorem.

- A wave function of  $N$  identical particles of  $\frac{1}{2} \cdot n$ , where  $n$  is odd must have antisymmetric wave function under exchange of any two particles.
- Wave function of any  $N$  particles with  $n$  where  $n$  is even or "0" must ~~be~~ be symmetric.

So there are 2 quantum statistics.

Bose - Einstein  $n = \text{even or } 0 \Rightarrow \text{bosons}$

Fermi - Dirac  $n = \frac{1}{2} m$   $m \equiv \text{odd.} \Rightarrow \text{fermions}$

It works even for composite particles

e.g.

${}^3\text{He} = 2 \text{ protons} + \text{neutron}$

$$2 \cdot \frac{1}{2} + \frac{1}{2} = \frac{1}{2} = \text{fermion}$$

${}^4\text{He} = \text{boson} \rightleftharpoons \text{and the subject to B-E condensation}$

= superfluidity

## Symmetry of w.f.

Many-body Sch. eqn.

$$i\hbar \frac{\partial}{\partial t} \Psi(1\dots N) = H(1\dots N) \Psi(1\dots N)$$

Among all possible solutions we need to construct at least either symm. or antisym. w.f.

Let's see how it's done.

Recall among  $N!$  solutions corresponding the same energy eigenvalue  $P_\Psi$ . So we can sum them up and then normalize.

This can be understood that if we have all bunch of  $\Psi(1\dots N)$

$P_\Psi \rightarrow$  will just transform one of them into another which is included into this sum.

So the antisym. can be setup as a sum of all ~~the~~ permuted w.f. by means of even interchanges of pairs and <sup>constructs</sup> the sum of all the functions by mean of odd number of interchanges.

Example: 2 particles: ~~(12)~~ Let's assume  $\Psi_{(12)}$  is the solution of Sch. eqn.

then  $P_{12} \Psi_{(12)} = \Psi_{(21)}$  is also a solution

$$\Psi_s = A (\Psi_{(12)} + \Psi_{(21)}) \quad \Psi_a = B (\Psi_{(12)} - \Psi_{(21)})$$

$$\Psi_s = A (\Psi_{(21)} + \Psi_{(12)}) = \Psi_s \quad \Psi_{12} \Psi_a = B (\Psi_{(21)} - \Psi_{(12)}) \\ = -B \Psi_{12}$$

~~1)  $\Psi = \sum_{\sigma} (\text{odd } \sigma) \psi_1 + (\text{even } \sigma) \psi_2$~~

For  $N$  particles

$$\Psi_S = S_S \Psi(1\dots N, t) = \frac{1}{\sqrt{N!}} \sum_p P \Psi(1\dots N, t)$$

$$\Psi_A = S_A \Psi(1\dots N, t) = \frac{1}{\sqrt{N!}} \sum_p (-1)^P P \Psi(1\dots N)$$

Ex. 3 particles:  $\Psi(123) \quad N! = 3! = 1 \cdot 2 \cdot 3 = 6$

$$\Psi_S = \frac{1}{\sqrt{6}} [\Psi(\Psi(123)) + \Psi(213) + \Psi(132) \\ + \Psi(321) + \Psi(312) + \Psi(231)]$$

$$\Psi_A = \frac{1}{\sqrt{6}} \left[ \begin{array}{l} (\Psi(123)) - \Psi(213) + \Psi(231) - \Psi(132) \\ p=1 \Rightarrow (-1)^1 \quad p=2 \quad (-1)^2 \quad \text{and so on.} \\ - \Psi(321) + \Psi(312) \end{array} \right]$$

Note for  $\Psi_S^* \Psi_S$  and  $\Psi_A^* \Psi_A$  the w.f. doesn't change under permutation of 2 particles. So any measurable quantity is not sensitive to the particle exchange.

$$|\Psi_A|^2 = \frac{1}{2} [(\Psi(1,2))^2 + \Psi(21)^2 - \Psi(12)\Psi^{*}(21)]$$

Interaction term!

if particles are well separated this term = 0  
and particles are distinguished.

e.g. for  $\epsilon \gg k_B T$  and low number of particles  
# of particles per quantum state is small  
and we can apply classical statistics.

## Pauli Exclusion Principle

! [ No two fermions can be in the same quantum state (i.e. have the same quantum numbers). ]

It is not possible to solve a many body problem exactly.

The way we solve it) assume particles are non-interacting, 2) include interactions via perturbation theory.

Specifically:

$$H(\ell \dots N) = H(\ell) + \dots + H(N) \Rightarrow$$

$$\left\{ \Psi(\ell \dots N) = \Psi(\ell) \Psi(\ell) \dots \Psi(N) \right.$$

$$\left\{ E_0 = E_{\ell_1} + E_{\ell_2} + \dots + E_{\ell_N} \right.$$

$$\left\{ H_0(j) \Phi_\alpha(j) = E_\alpha \Phi_\alpha(j) \right.$$

The eigenfunctions corresponding to  $E_0$  will be ~~the~~ a linear combination of  $\Psi(\ell \dots N)$

In general  $\Psi_\alpha$  can be written as determinant (Slater determinant)

$$\Psi_\alpha = \frac{1}{\sqrt{N!}} \begin{vmatrix} \Phi_{\alpha_1}(1) & \Phi_{\alpha_2}(2) & \dots & \Phi_{\alpha_N}(N) \\ \Phi_{\alpha_1}(1) & & & \\ \vdots & & & \vdots \\ \Phi_{\alpha_N}(1) & \Phi_{\alpha_N}(2) & \dots & \Phi_{\alpha_N}(N) \end{vmatrix}$$

$\Phi_{\alpha_j}(j)$   
with  
 $\alpha_j$  wave functions.

Change of sign comes from the change of sign upon exchange of 2 columns.

By looking at the Slater matrix we can see also if any of two particles say 1 and 2 have the same  $\phi_{11}$   $\Rightarrow$  the determinant = 0

However for bosons, any two ~~one~~ or more particles can occupy the same state i.e. the occupation number for bosons 0, 1, 2 ... for fermion it is either 0 or 1.

### SPIN OF 2 ELECTRONS

$$H = \frac{1}{2m} \sum_{i=1}^N p_i^2 + V(r_1, r_2, \dots, r_N)$$

has no spin operator or if so is neglected.

$\rightarrow \Psi(r_1, \dots, r_N)$ . If we include spins we need to add the spin eigenfunction  $\chi(r_1, \dots, r_N)$  so

$$\Psi(1 \dots N) = \Phi(r_1, \dots, r_N) \chi(r_1, \dots, r_N)$$

or some sort of linear combo of such product  $\Phi \cdot \chi$ . This is the 1<sup>st</sup> approx for SO interaction.  
 $\uparrow$   
 Spin-orbit

We still need to apply the same symmetry argument to the total wave function, ~~one~~ but now we consider both  $\chi$  and  $\Phi$ .

The issue is that we can construct asym. and sym. parts for the wave function  $\psi$  and  $X$  separately.

$$\text{sym } X \text{ sym } \mathcal{Y} = \text{sym} \leftarrow \text{only for bosons}$$

$$\text{asym } X \text{ asym } \mathcal{Y} = \text{asym} \leftarrow \text{only possible}$$

$$\text{sym } X \text{ asym } = \text{asym} \leftarrow \text{not possible for fermions}$$

Let's recall how it works for spins

for angular moment  $\vec{J}_1 |j_1, m_1\rangle \Rightarrow \vec{J} = \vec{J}_1 + \vec{J}_2$   
 $\vec{J}_2 |j_2, m_2\rangle$

~~$|j_1, m_1\rangle |j_2, m_2\rangle$~~   $|j_1, m_1\rangle |j_2, m_2\rangle$   
 and  $(2j_1+1)(2j_2+1)$  eigenstates total

The same can be applied to spins:

$$|\sigma_1 = \frac{1}{2}\rangle, |\frac{1}{2}, \pm\frac{1}{2}\rangle = \alpha \quad \text{and} \quad |\sigma_2 = \frac{1}{2}\rangle, |\frac{1}{2}, \pm\frac{1}{2}\rangle = \beta$$

- The spin part for 2 electrons 1 and 2

$$\alpha(1) \alpha(2) \rightarrow \text{fermion}, \alpha(1) \beta(2) \rightarrow (\alpha - \beta)^2$$

$$\alpha(1) \beta(2) \rightarrow \text{antifermion}$$

$$\beta(1) \alpha(2) \rightarrow \text{fermion}$$

$$\beta(1) \beta(2)$$

- Next we can construct 4 commuting operators out of  $S$ :

$$\left\{ \begin{array}{l} S^2 = (S_1 + S_2)^2 = S_1^2 + S_2^2 + 2S_1 \cdot S_2 \\ S_z = S_{1z} + S_{2z} \\ S_{1z}^2 \\ S_{2z}^2 \end{array} \right.$$

→ the common set of eigenstates we label as

$$|S, m_S\rangle \quad \text{where} \quad S = \frac{1}{2} + \frac{1}{2} = 1 \quad m = +1 \circ -1$$

$$\frac{1}{2} - \frac{1}{2} = 0$$

$|10\ 0\rangle$   
 $|11\ -1\rangle$   
 $|11\ 0\rangle$   
 $|11\ -1\rangle$

= 4 eigenkets in this coupled representation

The Clebsch-Gordan matrix for this representation is:

$$\rightarrow \left\{ \begin{array}{l} |x_s^{(1)}\rangle = |111\rangle = \alpha_1^{\uparrow} \alpha_2^{\uparrow} \\ |x_s^{(0)}\rangle = |110\rangle = \frac{1}{\sqrt{2}} [\alpha_1^{\uparrow} \beta_2^{\downarrow} + \beta_1^{\downarrow} \alpha_2^{\uparrow}] \\ |x_s^{(-1)}\rangle = |11-1\rangle = \beta_1^{\downarrow} \beta_2^{\downarrow} \\ |x_a\rangle = |100\rangle = \frac{1}{\sqrt{2}} [\alpha_1^{\downarrow} \beta_2^{\downarrow} - \beta_1^{\downarrow} \alpha_2^{\downarrow}] \end{array} \right.$$

See  
Ch 11.12  
of the  
text  
about  
2 moments.

$$\left\{ \begin{array}{l} S^2 = (S_1 + S_2)^2 |1s_{ms}\rangle = s(s+1) |1s_{ms}\rangle \\ S_2 |1s_{ms}\rangle = m_s |1s_{ms}\rangle \end{array} \right. \quad \begin{matrix} \uparrow \\ \text{in units of } \hbar \end{matrix}$$

The eigenstates for  $S_z$

$$\left\{ \begin{array}{l} |x_s^{(1)}\rangle = \hbar \\ |x_s^{(0)}\rangle = 0 \\ |x_s^{(-1)}\rangle = -\hbar \\ |x_a\rangle = 0 \end{array} \right.$$

$S^2$  eigenvalue  
 $= 2\hbar^2$

$x_s^{(1)}$  = TRIPLET STATE AND SYMMETRIC

$x_a$  = ANTI-SYMM.

Remember this is  
only the spin part.

The total wave function  
 ~~$\psi$~~   $\cdot \chi \cdot \phi(r)$

still NEED TO  
be ANTSYMM.

[ SEE PROBLEM 3 ]  
Very instructive page 453.

## Exchange Interaction:

In non-relativistic version of Q.M. we have no notion that interaction may depend on spin.

Consider a system of 2 electrons.

$$H(1,2) = K_1(r_1) + K_2(r_2) + V(r_2 - r_1)$$

in the C.M. representation  $\frac{1}{m} = \frac{1}{m_1} + \frac{1}{m_2} = \frac{m_1 + m_2}{m_1 m_2} = \frac{1}{\mu}$

$$\begin{aligned} R &= \bar{r}_1 + \bar{r}_2 \\ \vec{r} &= \bar{r}_1 - \bar{r}_2 \end{aligned}$$

$$H(r, R) = H_{rel}(r) + K_{cm}(R) = \frac{1}{2\mu} p^2(r) + V(r)$$

$$+ K_{cm}(R) = \frac{1}{2M} P_{cm}^2(R)$$

$p_{12}$  commutes with  $H \Rightarrow [p_{12}, K_{cm}] = 0 = [p_{12}, H_{rel}] = 0$

The eigenstates of  $K_{cm}$  and  $H_{rel}$  can be either symmetric or antisymmetric.

e.g. for  $K_{cm} : e^{ik_{cm}R} = e^{ik_{cm}(r_1 + r_2)}$

~~$p_{12} e^{ik_{cm}(r_1 + r_2)} = e^{ik_{cm}(r_2 + r_1)}$~~

So the overall symmetry actually depends on  $H_{rel}$ .

and its eigenstate is given by

$$\phi(r, r_2) \cdot f(r, r_2)$$

- ① Assume  $s=0$ ,  $\Rightarrow$  boson  $\Rightarrow \phi(r, r_2)$  symmetric now if  $\Psi_{cm}(r, \theta, \phi)$  and the exchange is equivalent to  $r \rightarrow -r$  which is the same as  $\Psi_{cm}(r \rightarrow -r, \theta, \phi) = (-1)^e \Psi_{cm}(r, \theta, \phi)$
- $\Rightarrow$  to stay symmetric  $\Psi_{cm}$  only can have  $e = \text{even}$ !

Now let's say we have 2-electrons  
 $s = \frac{1}{2}$

As we discussed above we will have

$$\chi(s_1, s_2) = \begin{cases} X_s & \text{triplet } \uparrow\downarrow \\ X_a & \text{singlet } \downarrow\uparrow \end{cases}$$

~~Since~~ Since the total  $\Psi$  must be antisym.

we can say that  $\Psi_{new}(r, \theta, \phi) = \begin{cases} \text{antisym} & \text{for } X_s \\ \text{sym. for } X_a & \text{for } l \text{ even for } s=0 \\ l = \text{odd for } s=1 \end{cases}$

overall  $\boxed{l+s = \text{even}}$

Now if  $\phi_{\alpha_1}$  and  $\phi_{\alpha_2}$  are the spatial wave functions

$$\uparrow\downarrow: \phi_s(12) = \frac{1}{\sqrt{2}} [\phi_{\alpha_1}(1) \phi_{\alpha_2}(2) + \phi_{\alpha_2}(1) \phi_{\alpha_1}(2)]$$

$$\uparrow\uparrow: \phi_a(12) = \frac{1}{\sqrt{2}} [\phi_{\alpha_1}(1) \phi_{\alpha_2}(2) - \phi_{\alpha_2}(1) \phi_{\alpha_1}(2)]$$

Assume now the electrons come very close to each other:  $\phi_{\alpha_1}(1) \approx \phi_{\alpha_2}(2)$   $\left. \begin{array}{l} \phi_{\alpha_2}(1) \approx \phi_{\alpha_1}(2) \end{array} \right\} \Rightarrow$

$$\phi_a(12) \rightarrow 0$$

This means that probability of 2 electrons (triplet ones)  $\uparrow\uparrow$  to come close is very small. Or it may look like they repel each other. This ~~effect~~ effect is not b/c of their charge but rather from the symmetry consideration of having the overall antisymmetric wave function for fermions.

What about 'bosons'?  
 in this case  $\Phi_s \approx \sqrt{2} \phi_{\alpha_1}(1) \phi_{\alpha_2}(2)$   
 which is  $\propto 2$  over the average value.

Hence 2 non-interacting bosons love to  
 (weakly)  
 come together at the same space point if  
 their eigenstate is symmetric.

So if  $(\uparrow \downarrow)$  and  $S=0$  they act like  
 they attract each other.

This is the idea behind exchange "force".  
 It look like the fact of repulsion or  
 attraction depends on what spin state our  
 many-body system is.

This kind of interaction is known as  
 exchange interaction and VERY important  
 in condensed matter and especially  
 in strongly correlated electronic matter.

This is purely quantum phenomena and  
 is due to the fact we cannot label  
 the particles in QM.

Read 18.7 on EXCITED STATE OF HE ATOM.