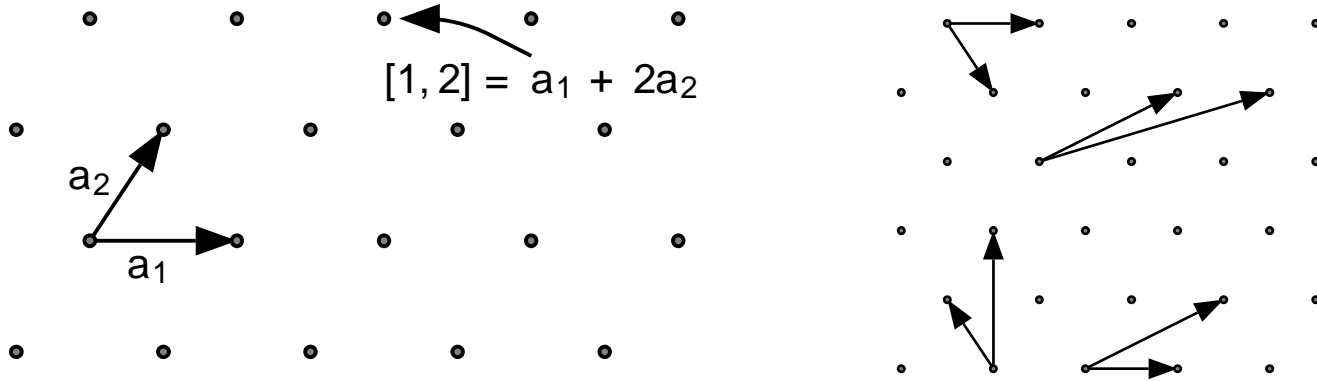
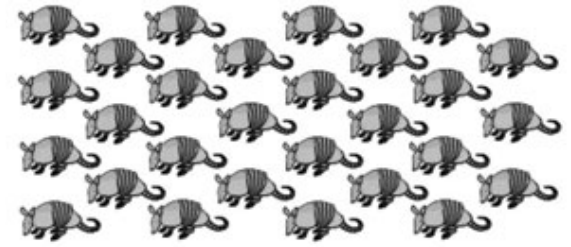


# Crystal Structure

## Lattices and Unit Cells

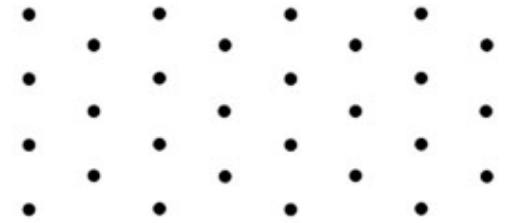


## Periodic Structure



=

Lattice



× Repeating Object

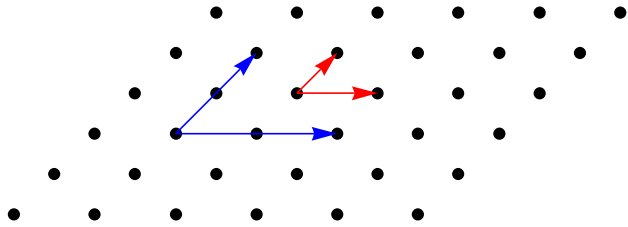


## Primitive lattice vectors

For a 3D lattice, we can find three primitive lattice vectors (primitive translation vectors), such that any translation vector can be written as

$$\vec{t} = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$$

where  $n_1$ ,  $n_2$  and  $n_3$  are three integers.



Red (shorter) vectors:  $\vec{a}_1$  and  $\vec{a}_2$

Blue (longer) vectors:  $\vec{b}_1$  and  $\vec{b}_2$

$\vec{a}_1$  and  $\vec{a}_2$  are primitive lattice vectors

$\vec{b}_1$  and  $\vec{b}_2$  are NOT primitive lattice vectors

$$\vec{b}_1 = 2\vec{a}_1 + 0\vec{a}_2$$

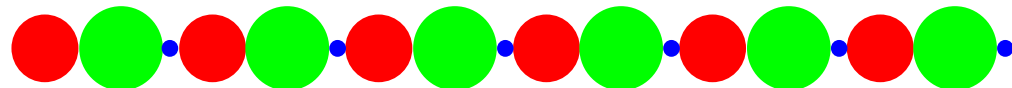
Integer coefficients

$$\vec{a}_1 = \frac{1}{2}\vec{b}_1 + 0\vec{b}_2$$

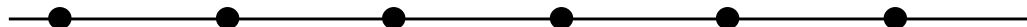
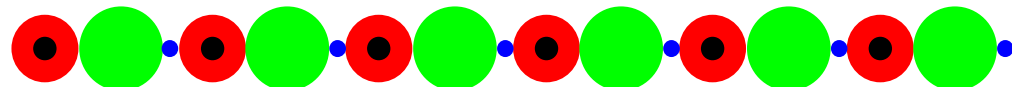
noninteger coefficients

1D crystal  
3 atoms/periodicity

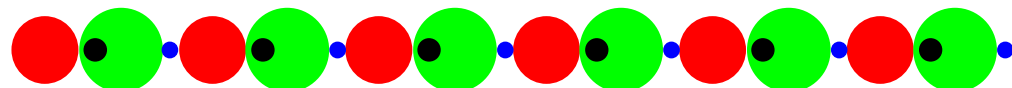
1D crystal  
3 atoms/periodicity



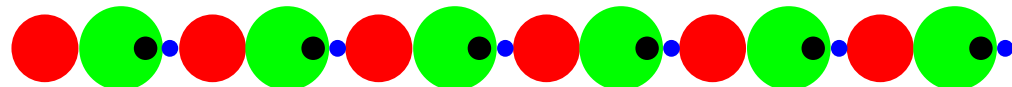
Choice I:



Choice II:



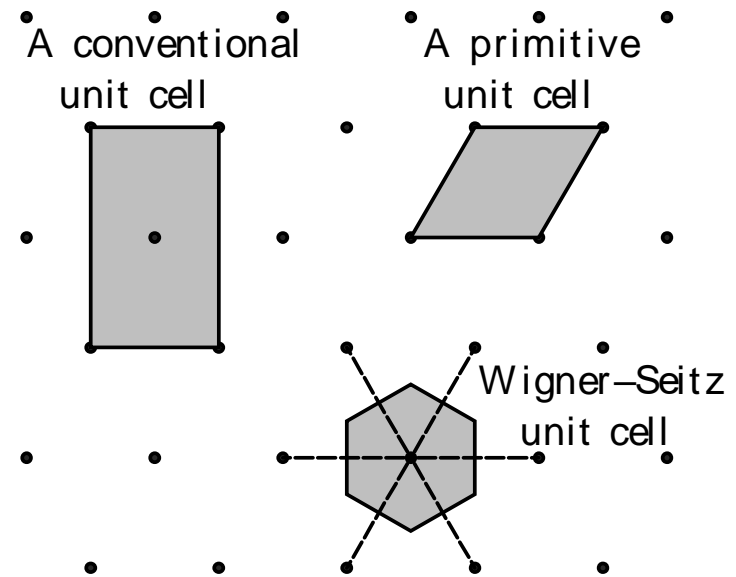
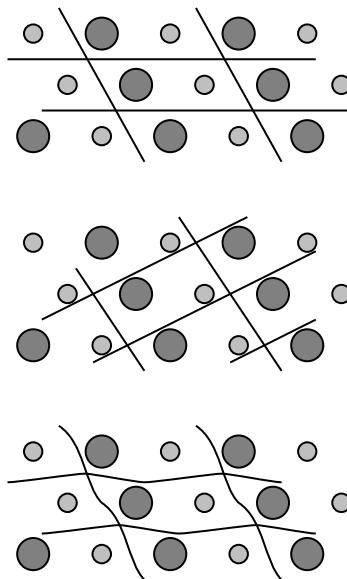
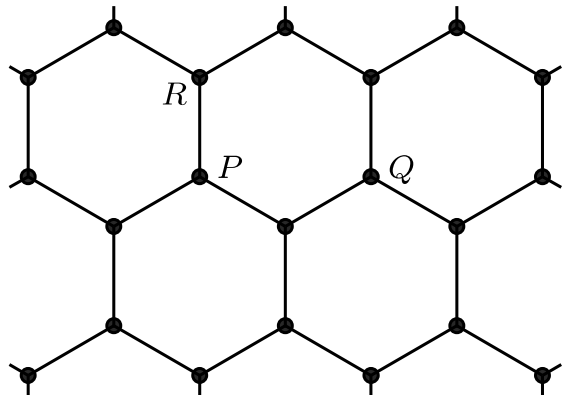
Choice III:



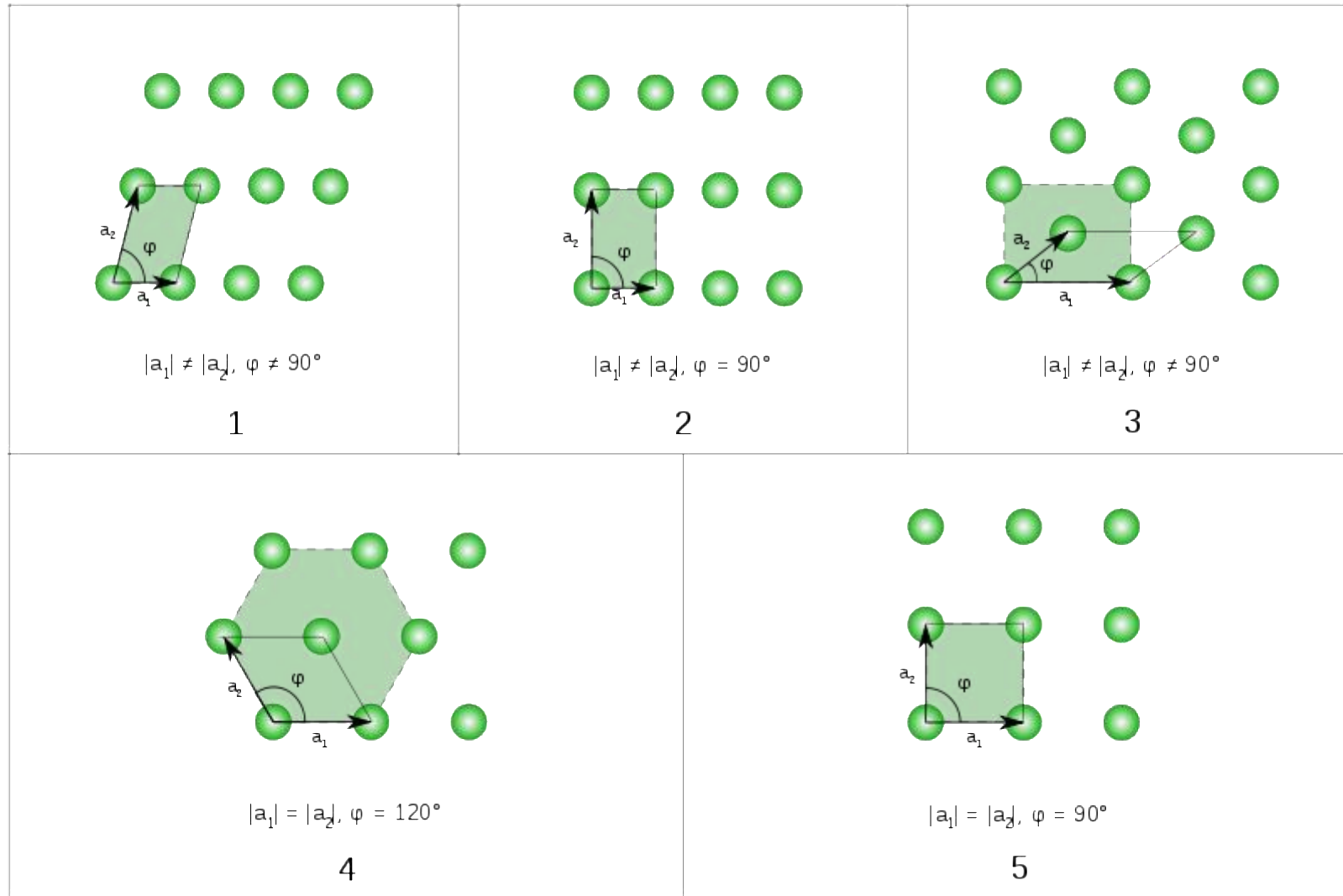
Choice I:

Choice II:

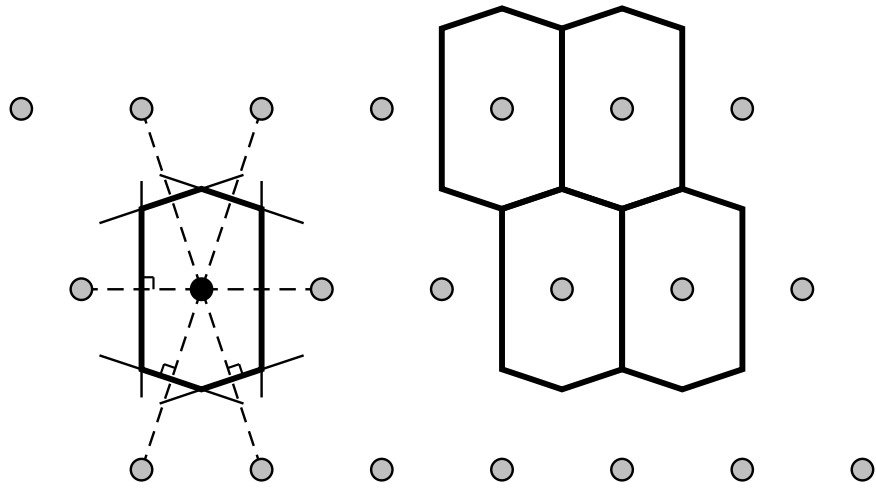
Choice III:



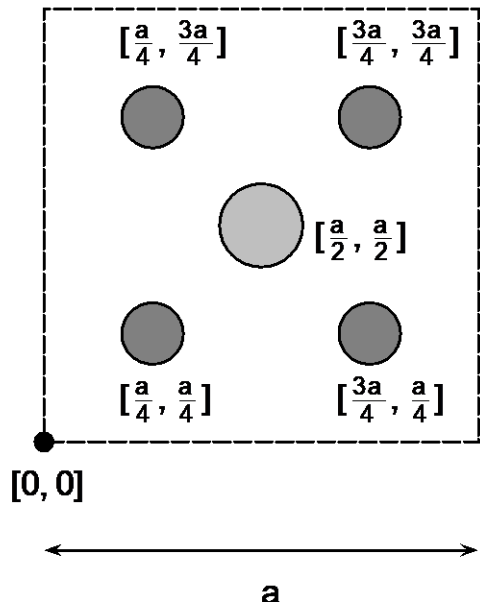
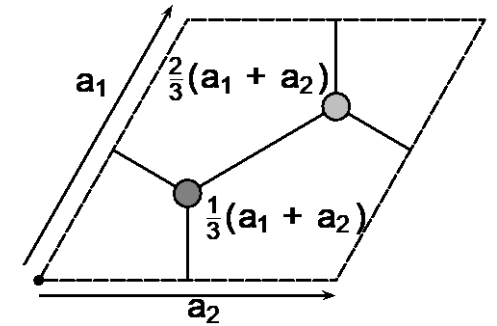
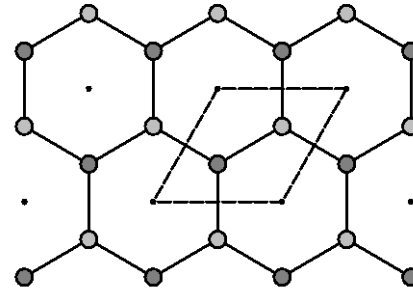
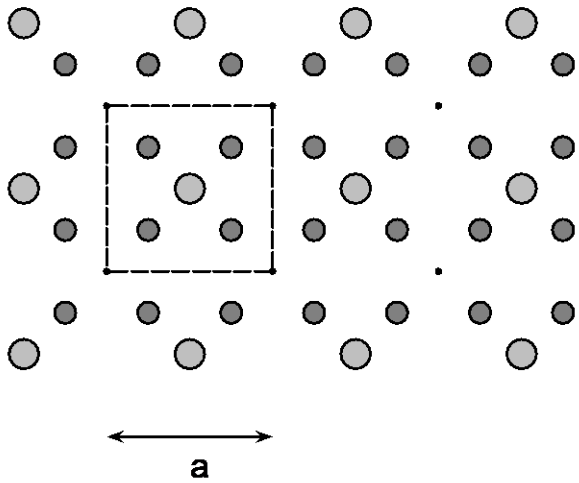
## 5 Bravais lattices in 2D



# Wigner Seitz construction



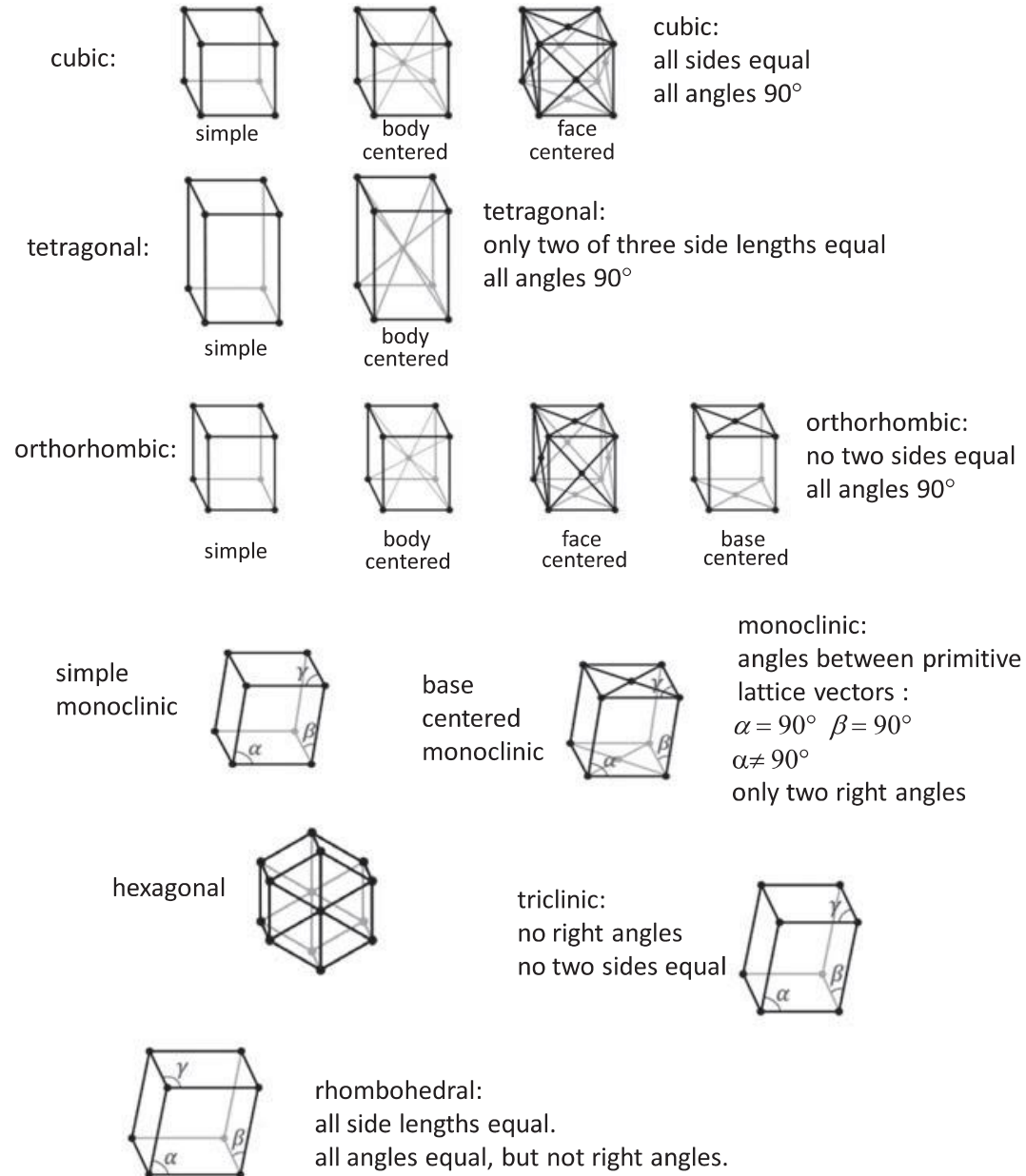
# Basis and location of atoms in unit cell



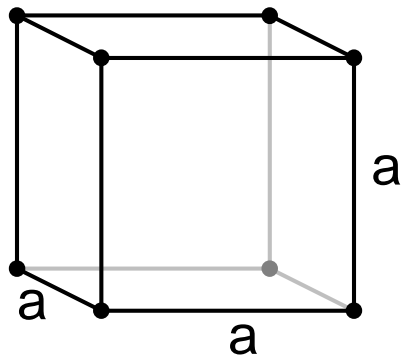
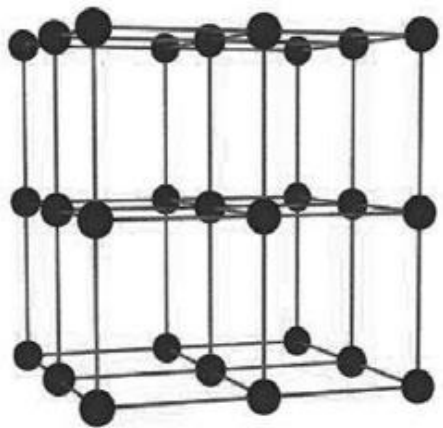
To remember: **CRYSTAL = LATTICE + BASIS**

· Lattices in Three Dimensions

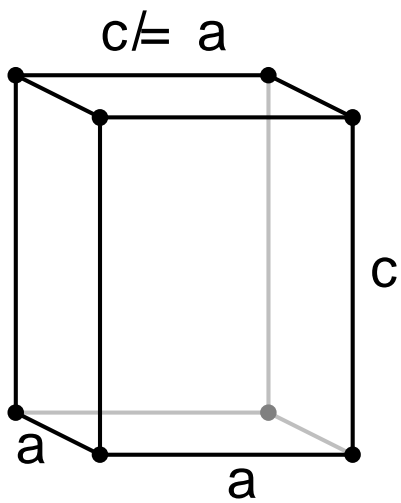
14 Bravais lattices in 3D



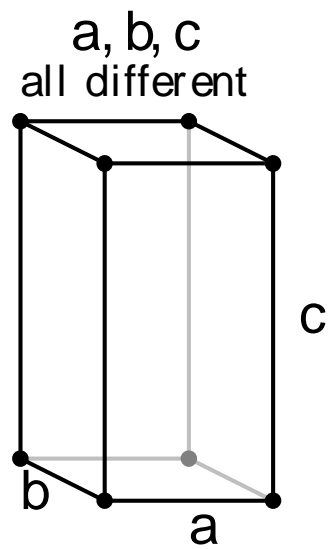




Cubic  
unit cell

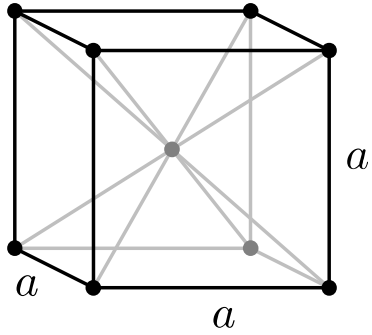


Tetragonal  
unit cell

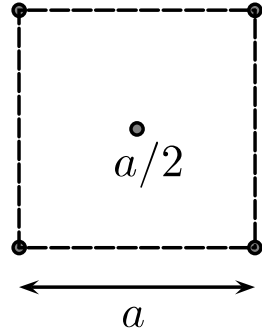


Orthorhombic  
unit cell

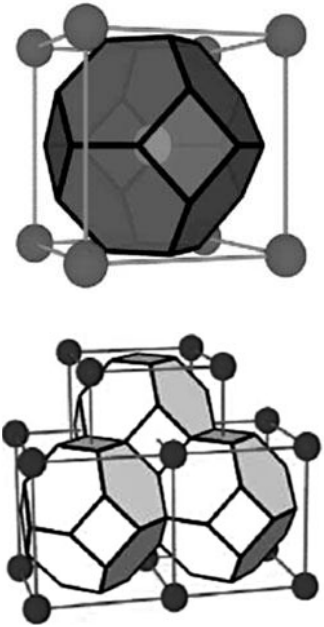
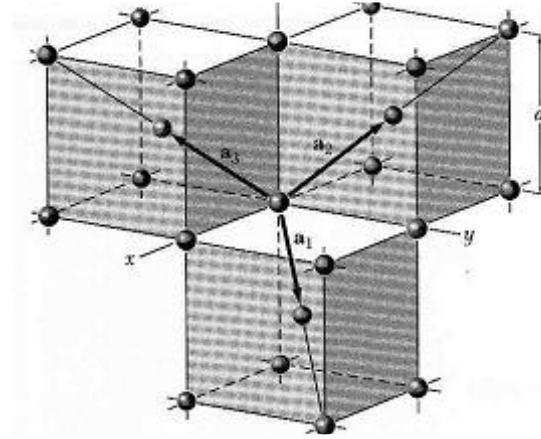
## The Body-Centered Cubic (bcc) Lattice



Body-centered cubic unit cell



Plan view



Lattice sites:  $\mathbb{R}(l\hat{x} + m\hat{y} + n\hat{z})$

Lattice points per conventional cell:  $\mathbb{Z} = 8 \times \frac{1}{8}$

Volume (conventional cell):  $a^3$

Volume (primitive cell):  $\frac{1}{2}a^3$

Number of nearest neighbors:  $\mathbb{Z} = 8$

Nearest neighbor distance:  $\frac{\sqrt{3}}{2}a$

Number of second neighbors:  $\mathbb{Z} = 12$

Second neighbor distance:  $\sqrt{2}a$

Coordinates of the sites:  $\mathbb{Z}(l, n, m)$

For the site  $\mathbb{Z}(0,0,0)$ ,

6 nearest neighbors:  $\mathbb{Z}(\pm 1, 0, 0)$ ,  $\mathbb{Z}(0, \pm 1, 0)$  and  $\mathbb{Z}(0, 0, \pm 1)$

12 next nearest neighbors:  $\mathbb{Z}(\pm 1, \pm 1, 0)$ ,  $\mathbb{Z}(0, \pm 1, \pm 1)$  and  $\mathbb{Z}(\pm 1, 0, \pm 1)$

# Packing fraction

Packing fraction:

We try to pack  $N$  spheres (hard, cannot deform).

The total volume of the spheres is  $N \cdot 4 \pi \frac{R^3}{3}$

The volume these spheres occupy  $V > N \cdot 4 \pi \frac{R^3}{3}$  (there are spaces)

Packing fraction = total volume of the spheres / total volume these spheres occupy

$$\begin{aligned} \text{Packing fraction} &= \frac{N \cdot 4 \pi \frac{R^3}{3}}{V} = \frac{4 \pi \frac{R^3}{3}}{V/N} = \frac{4 \pi \frac{R^3}{3}}{\text{Volume per site}} \\ &= \frac{4 \pi \frac{R^3}{3}}{\text{Volume of a primitive cell}} \end{aligned}$$

High packing fraction means the space is used more efficiently

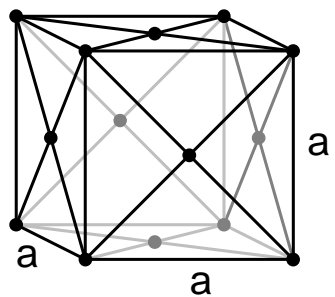
## Packing fraction of simple cubic

$$\begin{aligned} \text{Packing fraction} &= \frac{4 \pi \frac{R^3}{3}}{\text{Volume of a primitive cell}} \\ &= \frac{4 \pi \frac{R^3}{3}}{a^3} = \frac{4 \pi R^3}{3 a^3} = \frac{4 \pi (a/2)^3}{3 a^3} = \frac{\pi}{6} \approx 0.524 \end{aligned}$$

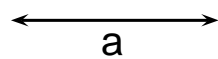
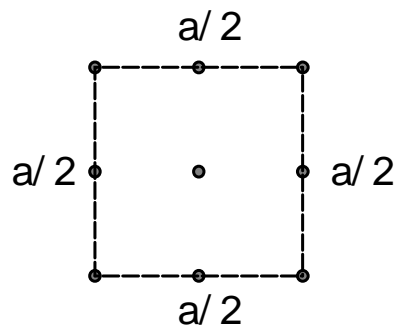
Nearest distance =  $2R$   
 $R = \text{Nearest distance} / 2 = a / 2$

- About half (0.524=52.4%) of the space is really used by the sphere.
- The other half (0.476=47.6%) is empty.

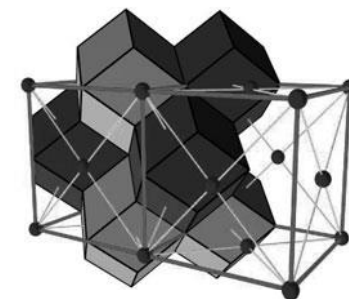
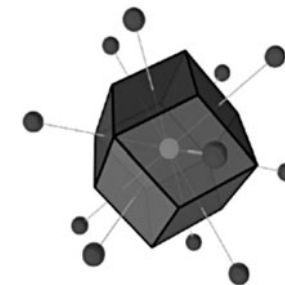
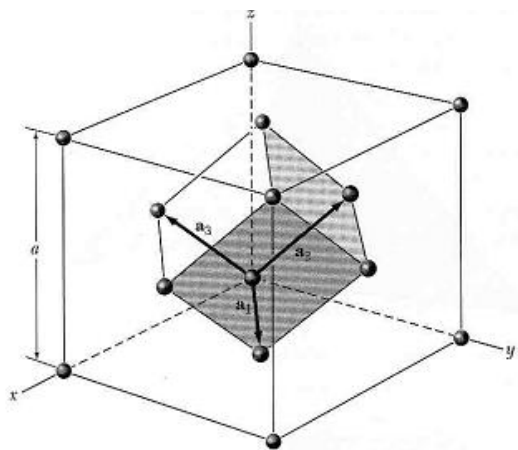
# The Face-Centered Cubic (fcc) Lattice



Face-centered cubic  
unit cell




Plan view

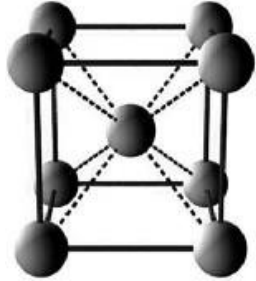


# Some Real Crystals

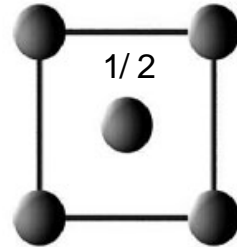
Sodium (Na)

Lattice = Cubic-I (bcc)

Basis = Na at [000] 





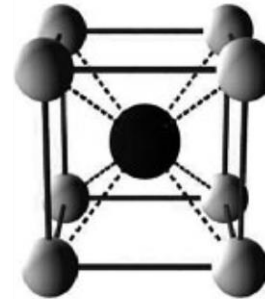
Plan view  
unlabeled points at  $z = 0, 1$



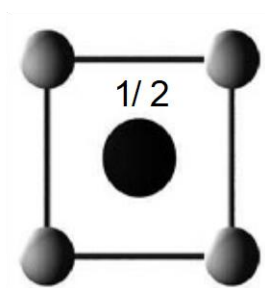
Caesium chloride (CsCl)

Lattice = Cubic-P

Basis = Cs at [000]   
and Cl at  $[\frac{1}{2} \frac{1}{2} \frac{1}{2}]$  



Plan view  
unlabeled points at  $z = 0, 1$



We can mark any unit cell by three integers:  $lmn$

$$\vec{t} = l\vec{a}_1 + m\vec{a}_2 + n\vec{a}_3$$

**Coordinates of an atom:**

We can mark any atom in a unit cell by three real numbers:  $xyz$ .

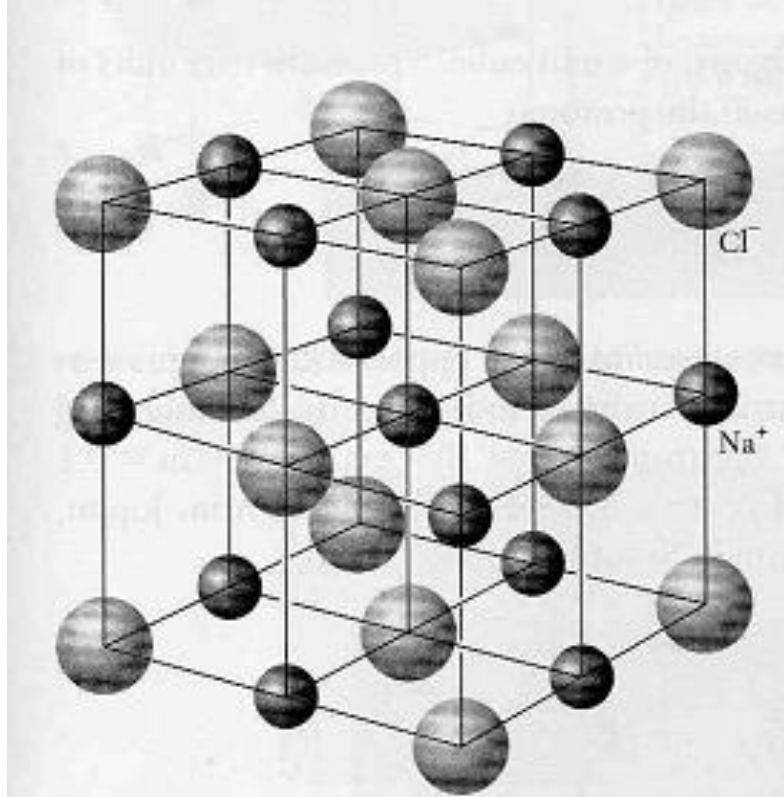
The location of this atom:  $x\vec{a}_1 + y\vec{a}_2 + z\vec{a}_3$

**Notice that  $0 \leq x < 1$  and  $0 \leq y < 1$  and  $0 \leq z < 1$**

Q: Why  $x$  cannot be 1?

A: Due to the periodic structure. 1 is just 0 in the next unit cell

# Sodium Chloride



Face-centered cubic lattice

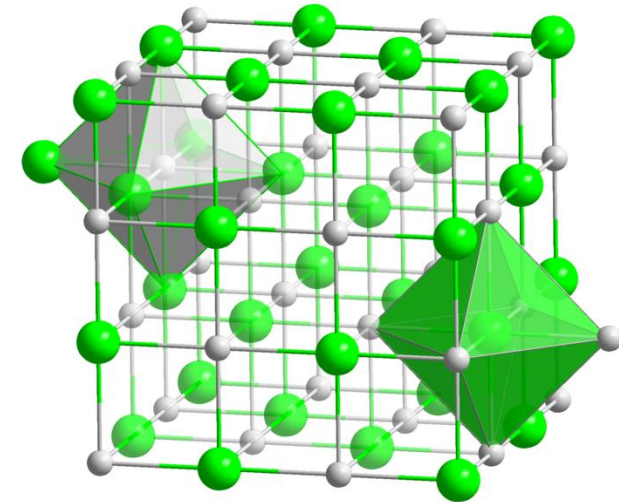
Na<sup>+</sup> ions form a face-centered cubic lattice

Cl<sup>-</sup> ions are located between each two neighboring Na<sup>+</sup> ions

Equivalently, we can say that

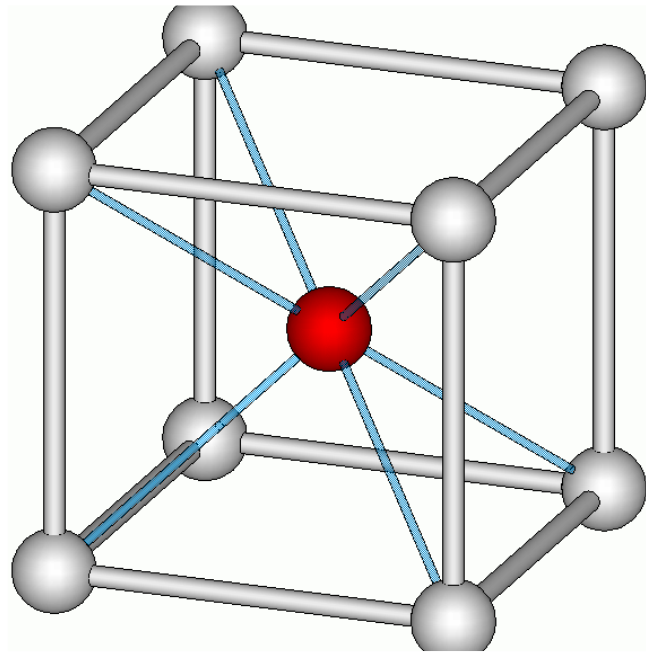
Cl<sup>-</sup> ions form a face-centered cubic lattice

Na<sup>+</sup> ions are located between each two neighboring Na<sup>+</sup> ions



Primitive cells

# Cesium Chloride



Simple cubic lattice

Cs<sup>+</sup> ions form a cubic lattice

Cl<sup>-</sup> ions are located at the center of each cube

Equivalently, we can say that

Cl<sup>-</sup> ions form a cubic lattice

Cs<sup>+</sup> ions are located at the center of each cube

Coordinates:

Cs: 000

Cl:  $\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right)$   
+++

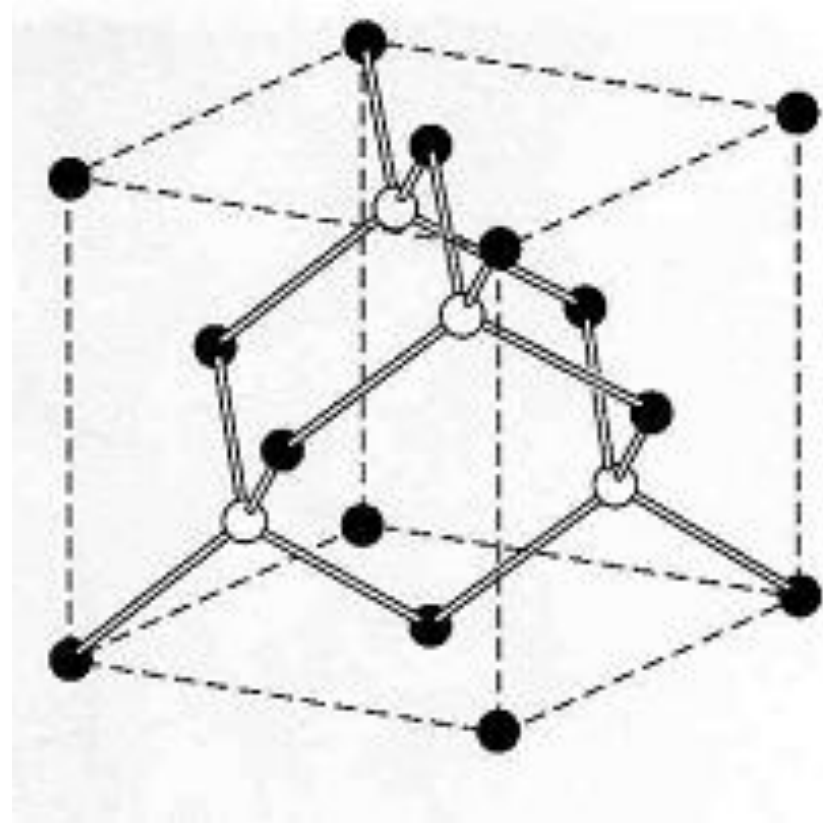
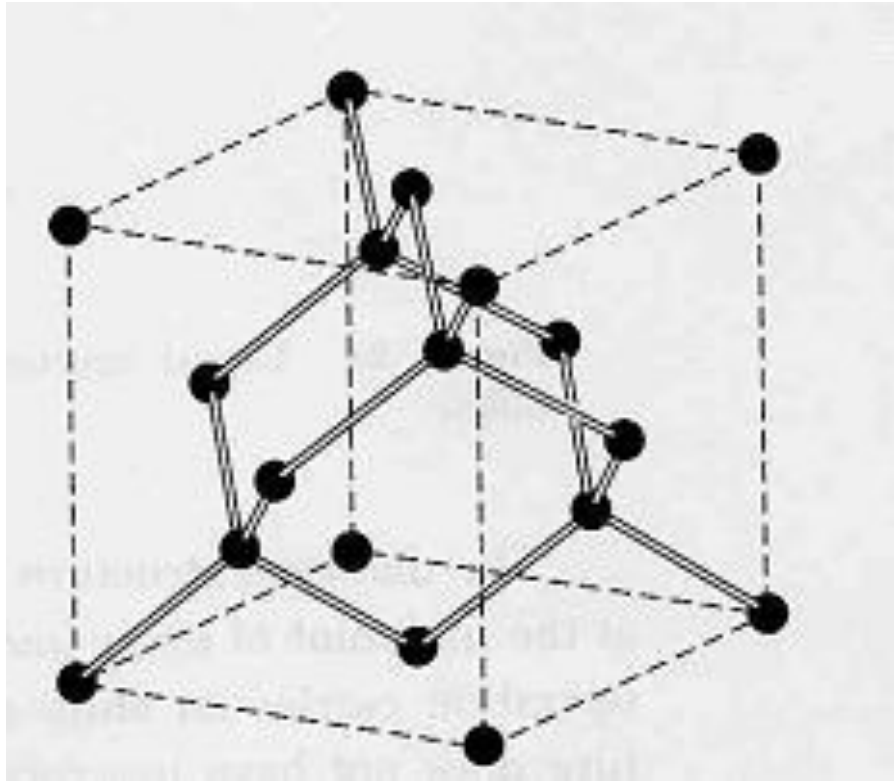
Notice that this is a simple cubic lattice

**NOT a body centered cubic lattice**

- For a bcc lattice, the center site is the same as the corner sites
- Here, center sites and corner sites are different



# Diamond is not a Bravais lattice



Same story as in graphene:

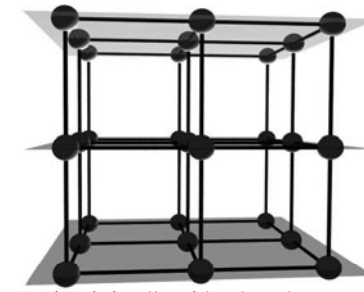
We can distinguish two different type of carbon sites (marked by different color)

We need to combine two carbon sites (one black and one white) together as a (primitive) unit cell

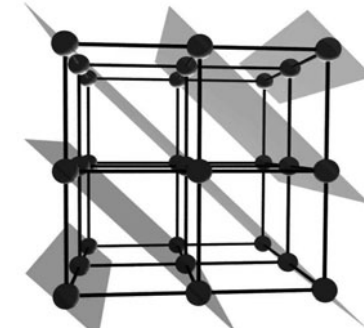
If we only look at the black (or white) sites, we found the Bravais lattice: fcc

## Reciprocal lattice and Brillouin Zone

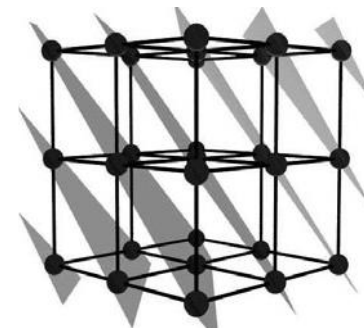
Examples of families of lattice planes on the cubic lattice.  
Each of these planes is a lattice plane because it intersects  
at least three non-collinear lattice points.



(010) family of lattice planes



(110) family of lattice planes



(111) family of lattice planes