

LECTURE 7

No.

Date

Lets place our metal into a.c. electric

field or what if irradiate the metal by light (e.g. laser).

We start with the same eqn.

The problem can be solved in the same Drude model where $\vec{J} = -e E(t) = -e \text{Re}(E(\omega) e^{-i\omega t})$

so $\frac{d\vec{p}}{dt} = -\frac{p}{\tau} - e E(t)$

we will seek a steady state solution i.e.

$$p(t) = \text{Re}(p(\omega) e^{-i\omega t})$$

$$\frac{dp}{dt} = p(\omega) (-i\omega) e^{-i\omega t} = p(t) \cdot (-i\omega)$$

Since $\vec{J} = -\frac{ne}{m} \vec{p} \Rightarrow$

$$j(t) = \text{Re } j(\omega) e^{-i\omega t}$$

~~so~~ $(-i\omega + \frac{1}{\tau}) p(\omega) = -e E(\omega)$

$$p(\omega) = \frac{e}{(\frac{1}{\tau} - i\omega)} E(\omega)$$

$$\text{Kof } -\frac{ne}{m} p(\omega) = -\frac{ne^2}{m} \frac{E(\omega)}{(\frac{1}{\tau} - i\omega)}$$

Recall $j = \sigma E \Rightarrow \sigma(\omega) = -\frac{ne^2}{m} \cdot \frac{1}{\frac{1}{\tau} - i\omega} = \frac{\sigma_0}{(\frac{1}{\tau} - i\omega)}$

$\sigma(\omega)$ is known as a.c. conductivity.

note $\omega \rightarrow 0 \rightarrow \sigma(\omega \rightarrow 0) = -\frac{ne^2}{m} \cdot \tau = \sigma_0 = \text{d.c. conductivity}$

Note: we ignored the magnetic field term. if we include it

$$\sim -\frac{e}{mc} \vec{p} \times \vec{H} \ll E \text{ by about } \frac{v}{c}! \text{ so at the 1st approximation}$$

no.

However the most important complication is that both E and H vary in space and time. But our $\sigma(\omega)$ was derived under the assumption that it is spatially UNIFORM.

A few points are due:

1) the value of \bar{j} at \bar{r} is determined by E right after the very last collision, for any realistic material the mean free path as such that the last collision is few m.f.p. away from \bar{r} . So the field $E(\bar{r})$ should not vary too much. That is why we can write down:

$$j(\bar{r}, \omega) = \sigma(\omega) E(\bar{r}, \omega), \quad \lambda \gg \text{m.f.p.}$$

so this all valid if λ of the radiation \gg mean free path



m.f.p. of the electron.

Typical values for λ s:

Red light (visible) $\sim 800 \text{ nm}$

Soft X-rays (400 eV) $\sim 1-2 \text{ nm}$

Hard X-rays (10 keV) $\sim 1 \text{ \AA}$

Now we can calculate the response function $\epsilon(\omega)$ of a metal subjected to a.c. field.

$$\begin{cases} \nabla \cdot \bar{E} = 0 \\ \nabla \cdot \bar{H} = 0 \\ \nabla \times \bar{E} = -\frac{1}{c} \frac{\partial \bar{H}}{\partial t} \\ \nabla \times \bar{H} = \frac{4\pi}{c} \bar{j} + \frac{1}{c} \frac{\partial \bar{E}}{\partial t} \end{cases}$$

Recall $j(\omega) = \sigma(\omega) E(\omega)$
and we look for $e^{-i\omega t}$
 $E(\bar{r}, t) = E(\bar{r}) e^{-i\omega t}$

$$\nabla \times (\nabla \times \bar{E}) = -\nabla^2 \bar{E} = \frac{i\omega}{c} (\nabla \times \bar{H}) =$$

$$= \frac{i\omega}{c} \left(\frac{4\pi}{c} \sigma E - \frac{i\omega}{c} E \right) \Rightarrow -\nabla^2 \bar{E} = \frac{\omega^2}{c^2} \left(1 + \frac{4\pi}{\omega} i\sigma \right) \bar{E}$$

$$-\nabla^2 E = \frac{\omega^2}{c^2} \epsilon(\omega) E \quad \text{where } \epsilon(\omega) = 1 + \frac{4\pi i \sigma}{\omega}$$

if ω is high, let's see what we get:

$$\sigma = \frac{\sigma_0}{1 - i\omega\tau} \Rightarrow \epsilon(\omega) = 1 + \frac{4\pi i \sigma}{\omega}$$

$$\sigma = \frac{\sigma_0}{\frac{1}{\tau} - i\omega} = \frac{\sigma_0}{1 - i\omega\tau} \xrightarrow{\omega\tau \gg 1} -\frac{\sigma_0}{i\omega\tau} = -\frac{ne^2\tau}{m} \cdot \frac{1}{i\omega\tau}$$

then

$$\epsilon(\omega) \approx \omega\tau \gg 1 = 1 + \frac{4\pi i \tau}{\omega} \frac{ne^2}{m} \cdot \frac{1}{i\omega\tau} =$$

$$\boxed{\epsilon(\omega) = 1 - \frac{4\pi ne^2\tau}{\omega^2 m} = 1 - \frac{\omega_p^2}{\omega^2}}$$

$$\text{where } \boxed{\omega_p^2 = \frac{4\pi ne^2}{m}}$$

PLASMA
FREQUENCY

If $\frac{\omega_p^2}{\omega^2} < 1 \Rightarrow \omega > \omega_p \Rightarrow \epsilon(\omega) =$
 $= \text{Real and positive}$

but if $\omega > \omega_p$

1) at $\omega = \omega_p \quad \epsilon = 0!$ if $\omega < \omega_p$

ϵ is negative $\Rightarrow -\nabla^2 E = \frac{\omega^2}{c^2} \epsilon(\omega) E$

$$\nabla^2 E = \frac{\omega^2}{c^2} \epsilon(\omega) E$$

$E \sim e^{-\omega t}$
 ABSORPTION

2) However if $\epsilon > 0$ i.e. $\omega > \omega_p$

$E \sim e^{-i\omega t}$
 oscillating ~~behavior~~
 behavior
 with no absorption.

Recall $\tau = \left(\frac{0.22}{\rho\mu}\right) \left(\frac{r_s}{a_0}\right)^3 \cdot n^{-1/2} \text{ sec}$

$$\omega_p \cdot \tau = \frac{4\pi ne^2}{m} \cdot (\tau) = 1.6 \cdot 10^2 \left(\frac{r_s}{a_0}\right)^{3/2} \cdot \left(\frac{1}{\rho\mu}\right)$$

$$\omega_p \cdot \tau \gg 1$$

for any reasonable metal.

\uparrow $\mu\Omega \cdot \text{cm}$

e.g. alkali metals like K, Na, Cs etc.

$$v_p = \frac{2\omega_p}{2\pi} = 11.4 \cdot \left(\frac{r_s}{a_0}\right)^{-3/2} \cdot 10^{15} \text{ Hz} \Rightarrow$$

$$\lambda_p \sim 2 \cdot 10^3 \text{ \AA}$$

② Perhaps among strange things is the presence of "CHARGE DENSITY OSCILLATIONS" in a METAL.

From the continuity eqn.

$$\nabla \cdot j = -\partial \rho / \partial t \quad \rho \sim \rho(\omega) e^{-i\omega t}$$

$$\begin{cases} \nabla \cdot j(\omega) = i\omega \rho(\omega) \\ \nabla \cdot E(\omega) = 4\pi \rho(\omega) \end{cases} \leftarrow \text{Gauss's Law}$$

and $j = \sigma(\omega) E \Rightarrow \nabla \cdot j = \sigma \nabla \cdot E$

$$i\omega \rho(\omega) = \sigma(\omega) 4\pi \rho(\omega)$$

$$\rho(\omega) \left(1 - \frac{4\pi i \sigma(\omega)}{\omega} \right) = 0$$

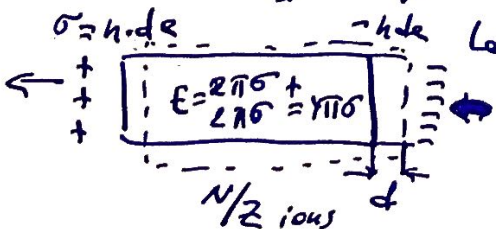
$\rho(\omega) \neq 0 \Rightarrow$

The condition the frequency should meet if the charge density wave to propagate.

$$1 + \frac{4\pi i \sigma(\omega)}{\omega} = 0$$

HERE WE INTRODUCE A NEW CONCEPT - EXCITATIONS THE charge density wave before it gets quantized are also known as plasmons

A classic point of view:



lets displace the e^- gas:
here σ is not conductivity!
it's a surface charge density.
For N electrons:

$$Nm\ddot{d} = -N_e \frac{4\pi\sigma}{4\pi\epsilon_0} = -N_e (4\pi n d e) = \underbrace{-4\pi n e^2 N d}_{\sim \omega^2}$$

This leads to the oscillating motion.

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Thermal Conductivity in a metal

In addition to the theory of conductivity Drude managed to explain what happens to a metal when we heat it up.

• Especially impressive how it works w.r.t the famous Wiedemann and Franz law;

$$\frac{\kappa}{\sigma} = \alpha T \quad \text{where } \alpha \text{ is almost the same for all metals.}$$

In other words

$$\frac{\kappa}{\sigma T} = \text{const}, \quad \text{e.g. Li at 273K } \frac{\kappa}{\sigma T} = 2.22 \cdot 10^{-8} \text{ [Watt-sec/K}^2\text{]}$$

Again as for the electrical conductivity

lets assume that thermal transport is driven by electrons as well.

Moreover, he suggested that since metals are more conductive than non-metals, the role of electrons must be very important compared to ions.

- Zn = 2.28....
- Pb = 2.64
- Al = 2.14....



lets assume we have a steady flow of heat

$$\vec{j} \uparrow = -\alpha \nabla T$$

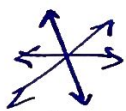
↳ thermal conductivity > 0
b/c thermal current is opposite to the thermal gradient.

Lets assume we have a long thin rod.

$j_T = -\kappa \frac{dT}{dx}$: Now after each collision emerges with the velocity v to the local temperature,

so interestingly even if $\langle v \rangle = 0$ the electron arriving from the hotter side will have higher energy than from the low T one.

So the net thermal flow is still perfectly maintained.



v.s.



if $r_2 - r_1$ along $x \Rightarrow \frac{C_V}{N} \nabla T \cdot (r_2 - r_1) = -\frac{C_V}{N} \frac{\partial T}{\partial x} v_x \cdot v$. For 1 electron

This is the amount of energy transferred from r_1 to r_2 in homogenous system $\langle v_x^2 \rangle = \langle v_y^2 \rangle = \langle v_z^2 \rangle$ $\langle v^2 \rangle = v_x^2 + v_y^2 + v_z^2$

so $\langle v_x^2 \rangle = \frac{1}{3} \langle v^2 \rangle = 3 \langle v_x^2 \rangle$ * see extra page

From kinetic theory of gases

$\kappa = \frac{1}{3} C_V \langle v^2 \rangle l$, where $l = \bar{v} \tau$ root mean square velocity \bar{v} is the average velocity

Lets take the ratio:

C_V is the heat capacity at const V , per volume

$$\frac{\kappa}{\sigma} = \frac{\frac{1}{3} C_V \langle v^2 \rangle l}{n e^2 \tau} =$$

$$C_V = \frac{3}{2} n k_B$$

$$= \frac{1/3 \cdot 3/2 n k_B \cdot v^2}{n e^2 / m} = \frac{1}{2} \frac{k_B \cdot v^2}{e^2 / m}$$

we can calculate $\langle v^2 \rangle$ from

$$n \cdot \frac{m \langle v^2 \rangle}{2} = \frac{3}{2} k_B T \cdot n \Rightarrow \langle v^2 \rangle = \frac{3 k_B T}{m}$$

$$\frac{\kappa}{\sigma} = \frac{1}{2} \frac{k_B \cdot 3 k_B T}{e^2 / m \cdot m} = \frac{3}{2} \frac{k_B^2}{e^2} = \frac{3}{2} \left(\frac{k_B}{e} \right)^2 = 1.11 \cdot 10^{-8} \frac{\text{Watt} \cdot \Omega}{\text{K}^2}$$

Which is great BUT only 1/2 of the experimental value!?

Note: in the original paper Drude made an error of 1/2 so his result was perfect.

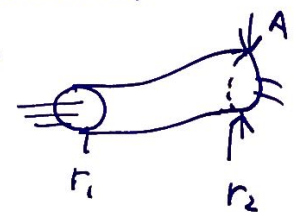
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derivation of κ in the kinetic theory of gases.

so we have determined $E(r_2) - E(r_1) = -\frac{C_V}{N} \frac{\partial T}{\partial x} \Delta x$

so how many electrons within time Δt



the number of electrons going through this tunnel:

$$\langle A \cdot \Delta x \cdot n \rangle$$

Volume of the tube \cdot carrier density $\frac{N}{V}$

So the energy transfer:

$$Q = -\frac{C_V}{N} \frac{\partial T}{\partial x} \Delta x \cdot A \cdot n \Delta t = -\frac{C_V}{N} \frac{\partial T}{\partial x} A n \langle u_x \rangle \Delta t$$

since $\langle u_x^2 \rangle = \langle u_y^2 \rangle = \langle u_z^2 \rangle \Rightarrow \langle u^2 \rangle = \frac{1}{3} \langle u_x^2 \rangle$

so $Q = -\frac{C_V}{N} \frac{\partial T}{\partial x} \Delta x \cdot A \cdot n \frac{\langle u^2 \rangle}{3} \Delta t$

The thermal current $j = \frac{Q}{A \Delta t} = -\frac{C_V}{N} n \frac{\langle u^2 \rangle}{3} \nabla T =$

for all 3 directions.

$$= -\left(\frac{C_V}{V}\right) \frac{\langle u^2 \rangle}{3} n \nabla T = -\frac{C_V}{V} \frac{\langle u^2 \rangle}{3} n \nabla T =$$

$C_V \leftarrow$ heat capacity per Volume.

often we can approximate $\sqrt{\langle u^2 \rangle} = v$ thus

$$j = -\frac{C_V \cdot v^2}{3} n \nabla T, \text{ if we define the m.f.p } l = v \tau$$

$$j = -\underbrace{\left(\frac{C_V \cdot v \cdot l}{3}\right)}_{\kappa} \nabla T \quad \text{so} \quad \boxed{\kappa = \frac{C_V v l}{3}}$$

Consider another interesting effect:



∇T flow of electrons will produce an internal electric field in the opposite direction to the flow of electrons.

This field is known as the thermoelectric field

or the Seebeck effect.

$$\vec{E} = \alpha \nabla T$$

α thermopower.

To estimate the thermopower, we calculate electronic velocity at the point x (1D case)

$$\text{mean } v_D = \frac{1}{2} [\psi(x - \delta x) - \psi(x + \delta x)] = -\tau v \frac{dv}{dx} = -\tau \frac{d}{dx} \left(\frac{v^2}{2} \right)$$

To generalize to 3D $\langle v_x^2 \rangle = \frac{1}{3} v^2$ so that

$\rightarrow v_D = -\frac{\tau}{6} \frac{dv^2}{dT} \cdot \nabla T$
the velocity due to the field gradient

recall the average mean velocity due to the electric field

$$\bar{v}_E = -\frac{e\tau}{m} \vec{E}$$

To have $v_D + v_E = 0$

$$-\frac{\tau}{6} \frac{dv^2}{dT} \nabla T = -\frac{e\tau}{m} \vec{E} \Rightarrow \vec{E} = +\frac{m}{6e} \frac{dv^2}{dT} \cdot \nabla T$$

$$\text{or } \alpha = -\frac{m}{6e} \frac{dv^2}{dT} = -\frac{1}{3e} \left(\frac{d(mv^2/2)}{dT} \right) = -\frac{cv}{3e} \cdot \frac{1}{h}$$

Drude made another "mistake" stating

$$cv = \frac{3}{2} k_B \quad \text{so } \alpha = -\frac{k_B}{2e} = -0.43 \cdot 10^{-4} \text{ V/K}$$

But for metals at RT the thermopower α (Li) \sim few $\mu\text{V/K}$ or 100 times less!

This is a failure of the Drude theory.

We need QUANTUM MECHANICS to account for these kind of strange results!

THE END of L7