Spin-phonon resonances in nearly polar metals with spin-orbit coupling

Abhishek Kumar, Premala Chandra, and Pavel A. Volkov Department of Physics and Astronomy, Rutgers University, Piscataway, New Jersey 08854, USA

(Received 13 October 2021; revised 28 January 2022; accepted 9 March 2022; published 31 March 2022)

In metals in the vicinity of a polar transition, interactions between electrons and soft phonon modes remain to be determined. Here we explore the consequences of spin-orbit assisted electron-phonon coupling on the collective modes of such nearly polar metals in the presence of magnetic field. We find that the soft polar phonon hybridizes with spin-flip electronic excitations of the Zeeman-split bands leading to an anticrossing. The associated energy splitting allows for an unambiguous determination of the strength of the spin-orbit mediated coupling to soft modes in polar metals by spectroscopic experiments. The approach to the polar transition is reflected by the softening of the effective g factor of the hybridized spin-flip mode. Analyzing the static limit, we find that the polar order parameter can be oriented by magnetic field. This provides possibilities for alternative switching protocols in polar metallic materials. We demonstrate that the effects we predict can be observed with current experimental techniques and discuss promising material candidates.

DOI: 10.1103/PhysRevB.105.125142

I. INTRODUCTION

Though polar metals that undergo inversion-breaking transitions to phases characterized by polar space groups were predicted several decades ago [1], it is only relatively recently that they have been realized experimentally [2–7] with many more predicted [8–11]. Moreover, many anomalous properties of polar metals, particularly in the superconducting state, have been predicted [12–19] by invoking a spin-orbit interaction mediated coupling between polar fluctuations (arising from soft polar phonons) and electrons. However, to date there is no consensus about the magnitude of this coupling. A recent ab initio study provided an estimate for this type of coupling in doped strontium titanate [19]; previously its influence on superconducting state was found to be weak [20]. This nearly polar metal has several unconventional properties [21], possibly related to quantum polar fluctuations [22–24]. Here we propose a mechanism to probe the strength of this spin-orbit mediated coupling in nearly polar metals, metals in the vicinity of their polar transitions on the disordered side. We demonstrate the presence of a spin-phonon resonance in magnetic field; the hybridization of the soft transverse optical phonon and the electronic spin-flip mode lead to an energy splitting that is a function of coupling strength. Based on our results, we propose spectroscopic measurements to extract the coupling strength and we also discuss possibilities for switching in the structurally polar phase.

In nearly polar metals, the interaction of electronic excitations and the soft phonons is an area of current exploration [12,16,23,25]. Here we study the consequences of spin-orbit assisted electron-phonon coupling in the vicinity of a polar critical point. The appropriate interaction Hamiltonian is

$$\hat{H}_{\text{int}} = \lambda \sum_{\mathbf{k}, \mathbf{q}} \sum_{s, s'} c^{\dagger}_{\mathbf{k} + \mathbf{q}/2, s} [(\mathbf{k} \times \hat{\boldsymbol{\sigma}}_{ss'}) \cdot \mathbf{P}_{\mathbf{q}}] c_{\mathbf{k} - \mathbf{q}/2, s'}, \quad (1)$$

where λ is the electron-phonon coupling constant, $c_{\mathbf{k},s}^{\dagger}(c_{\mathbf{k},s})$ is the electron creation (annihilation) operator with momentum **k**, spin $s = \uparrow, \downarrow, \hat{\sigma}$ is the Pauli matrix for spin (or Kramers "pseudospin" quantum number), and P_{α} describes the local polarization of the crystal at finite momentum \mathbf{q} , which is related to the optical phonon displacement field according to the formula $\mathbf{P_q} = n_0(Ze)\mathbf{u_q}$ with n_0 and Ze as ionic density and Born effective charge, respectively. We note that Eq. (1) can be straightforwardly generalized to the case of an inversion-breaking, but nonpolar, phonon. In that case one should replace $P_q \rightarrow u_q$, which also results in a symmetryallowed coupling. For the remainder of the paper we will consider mostly materials near polar instability and hence use the notation in Eq. (1). Here we note that the fluctuating phonon couples to the electronic spin current; since this coupling remains finite in the limit of $q \to 0$, it allows direct coupling to the critical mode, leading to a range of interesting phenomena emerging close to quantum criticality [12,13,16,17,19]. Alternatively, interaction (1) can be seen as a dynamical Rashba spin-orbit interaction, where both the polar axis direction and the coupling magnitude are dynamical fluctuating variables. In Fig. 1(a) we show a schematic of the dynamical Rashba effect where the conduction bands have a dynamical shift due to interaction with the fluctuating soft phonon. As we show below, in the presence of a Zeeman splitting, this coupling allows for a resonant interaction between phonons and spin-interband transitions [Fig. 1(b)]. Moreover, with the application of magnetic field, one expects the spin-rotation symmetry for the conduction electrons, and consequently for the phonon polarization due to interaction (1), to be broken, which is otherwise preserved. Indeed, the interaction Hamiltonian (1) is invariant under simultaneous rotation of electron spin, momenta, and phonon polarization. The magnetic field breaks this symmetry, resulting in multiple coupled phonon and electronic modes.

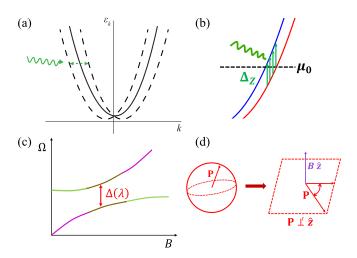


FIG. 1. Schematics of (a) the virtual spin-orbit assisted electron-phonon interaction, where the green (squiggly) line is the soft transverse optical phonon, (b) the virtual spin-orbit mediated electron-transverse optical phonon interaction in the presence of a magnetic field near the chemical potential μ_0 , (c) the avoided crossing of the soft polar and the electronic collective modes where Ω is frequency, B is magnetic field, and Δ is a function of the Rashbatype electron-phonon coupling strength λ that can be extracted experimentally, and (d) orientation of the polar order parameter by magnetic field.

The resulting coupling between spin and polar degrees of freedom raises intriguing parallels with insulating multiferroics. Here, magnetic-field-induced polarization [26], polarization switching [27], and ferroelectricity [28] have been observed, offering promise for the development of magnetically recorded ferroelectric memories [29]. Analogously in these Rashba-type polar metals, the coexistence of magnetic and polar orders could lead to novel spintronics functionalities. To assess such prospects of using spin-orbit coupled polar metals, the strength of λ in Eq. (1) is of crucial importance. However to date there have been no proposals to directly measure the magnitude of this spin-orbit assisted electron-phonon coupling constant.

In this paper, we study the collective modes of a nearly polar metal in the presence of a magnetic field. We demonstrate that the spin-orbit mediated interaction (1) leads to directly measurable spectroscopic signatures: avoided crossing (anticrossing) of the spin-flip modes of conduction electrons and the coupled phonon-plasmon modes [see Fig. 1(c)]. The magnitude of the coupling can be extracted from the splitting emerging at the anticrossing point. Furthermore, the collective electronic spin-flip mode softens as the polar critical point is approached. In the ordered phase, we show that the spin-orbit assisted electron-phonon coupling in Eq. (1) makes it possible to orient the polar order with magnetic field, aligning it in the plane perpendicular to the field [see Fig. 1(d)]. Finally we discuss specific experiments that can extract the spin-orbit assisted coupling between the polar mode and the electrons, and possible spintronics functionalities.

The rest of the paper is organized as follows. In Sec. II we describe our model and our general strategy for studying collective modes in magnetic field in nearly polar metals, which

includes the discussion of effects of long-range Coulomb repulsion on electrons and polar phonons. The hybridization of phonons, plasmons, and electronic spin-flip modes at finite energies is discussed in Secs. III A and III B. In Sec. IV we explore the effects of magnetic field on the order parameter and the critical low-energy properties near the polar transition. In Sec. V we discuss the effects of temperature, disorder and orbital quantization on our results (Sec. V A), results for two-dimensional (2D) systems (Sec. V B), and the experimental proposals for extracting the strength of spin-orbit assisted electron-phonon coupling and orienting the polar order with magnetic field (Sec. V C). Our results are summarized in Sec. VI.

II. MODEL AND GENERAL RELATIONS

In this section we describe the model we use to study the collective modes of a spin-orbit coupled nearly polar metal in the presence of magnetic field. In Sec. II A we discuss the effects of Coulomb interactions, in particular the distinction between longitudinal optical (LO) and transverse optical (TO) modes that it introduces, and in Sec. II B we present general relations used to evaluate the collective modes in the presence of coupling (1).

To facilitate the analytical progress, we consider a single parabolic band model for conduction electrons. For most derivations, we will take T=0 and ignore the orbital effect of the magnetic field, focusing only on the Zeeman effect for conduction electrons (see Sec. VA for the effects of finite temperatures and orbital quantization which do not change the qualitative results). We further assume (without the loss of generality due to spherical symmetry of the model) the magnetic field to be applied along the z direction. The electronic Hamiltonian is written as

$$\hat{H} = \hat{H}_0 + \hat{H}_{\text{int}},\tag{2}$$

where \hat{H}_{int} is given by Eq. (1) and the single-particle Hamiltonian \hat{H}_0 is given by

$$\hat{H}_0 = \sum_{\mathbf{k},s} \varepsilon_{\mathbf{k}} c_{\mathbf{k},s}^{\dagger} c_{\mathbf{k},s} + \frac{1}{2} g \mu_B \sum_{\mathbf{k},s,s'} \mathbf{B} \cdot \hat{\boldsymbol{\sigma}}_{ss'} c_{\mathbf{k},s}^{\dagger} c_{\mathbf{k},s'}, \quad (3)$$

where $\varepsilon_{\mathbf{k}} = k^2/2m_b$ is the free particle dispersion, $\mathbf{B} = B\hat{z}$ is the magnetic field, g is the Lande g factor, μ_B is the Bohr magneton, and m_b is the band mass. Additionally, we take the effects of Coulomb interaction into account, which is discussed in detail in Sec. II A.

We now discuss the bare phonon part of the system. The order parameter field $\mathbf{P_q}$ can be represented in terms of phonon creation and annihilation operators [30]:

$$\mathbf{P_{q}} = \frac{1}{\sqrt{V}} \left[\frac{\omega_{\mathbf{q}}(\varepsilon(\mathbf{q}) - \varepsilon_{\infty})}{4\pi} \right]^{1/2} [b_{\mathbf{q}}e^{-i\omega_{\mathbf{q}}t} + b_{\mathbf{q}}^{\dagger}e^{i\omega_{\mathbf{q}}t}] \mathbf{e_{q}}, \quad (4)$$

where $\omega_{\mathbf{q}}$ is the phonon dispersion, $\varepsilon(\mathbf{q})$ and ε_{∞} correspond to static and high-frequency dielectric constants in the absence of free carriers, $\mathbf{e}_{\mathbf{q}}$ is the unit polarization vector, and V is the volume of the crystal.

To study the effects of interaction, we require the form of the phonon propagator. The bare phonon Green's function can be obtained by calculating the correlation of order parameter fields P_q [Eq. (4)] in the Matsubara formalism:

$$\mathcal{D}_{\alpha\beta}^{0}(\mathbf{q},\Omega_{m}) = \frac{1}{V} \frac{\omega_{\mathbf{q}}(\varepsilon(\mathbf{q}) - \varepsilon_{\infty})}{4\pi} \frac{-2\omega_{\mathbf{q}}}{\Omega_{m}^{2} + \omega_{\mathbf{q}}^{2}} e_{\alpha}(\mathbf{q}) e_{\beta}(\mathbf{q}). \quad (5)$$

Using $(\varepsilon(\mathbf{q}) - \varepsilon_{\infty}) = \varepsilon_{\infty} \omega_{pi}^2 / \omega_{\mathbf{q}}^2$ [31], where $\omega_{pi} = \sqrt{4\pi (Ze)^2 n_0 / (\varepsilon_{\infty} M)}$ is the ionic plasma frequency with n_0 as the density of ion pairs, Ze as the ionic charge, and M as the reduced ionic mass, Eq. (5) can be written as

$$\mathcal{D}_{\alpha\beta}^{0}(\mathbf{q},\Omega_{m}) = -\frac{1}{V} \frac{\Omega_{0}^{2}}{2\pi} \frac{1}{\Omega_{m}^{2} + \omega_{\mathbf{q}}^{2}} e_{\alpha}(\mathbf{q}) e_{\beta}(\mathbf{q}), \tag{6}$$

with $\Omega_0 \equiv \sqrt{\varepsilon_\infty} \omega_{pi}$. For brevity, we will write $\mathcal{D}^0_{\alpha\beta}(\mathbf{q},\Omega_m)$ as $\mathcal{D}^0_{\alpha\beta}$ in what follows. We note that the phonon dispersion $(\omega_{\mathbf{q}})$ appearing in the Green's function (6) does not distinguish between LO and TO modes. For the same reason, the unit polarization vector $\mathbf{e}_{\mathbf{q}}$ obeys $e_\alpha(\mathbf{q})e_\beta(\mathbf{q})=\delta_{\alpha\beta}$. The distinction between LO and TO modes amounts to splitting the total polarization into that for longitudinal and transverse ones, with the dispersion $\omega_{\mathbf{q}}$ also being distinguished as that for LO and TO modes, correspondingly. Later in this section we will discuss the LO-TO distinction originating from the long-range Coulomb interaction.

A. Effects of Coulomb interactions near the polar critical point

We now discuss properties of the Coulomb interaction near a polar critical point (PCP). The Coulomb repulsion experienced between two electrons in a doped polar semiconductor is screened by both the polar phonons and electrons and is given by

$$V(\mathbf{q}, \Omega_m) = \frac{4\pi e^2}{\varepsilon(\mathbf{q}, \Omega_m) q^2},\tag{7}$$

where

$$\varepsilon(\mathbf{q}, \Omega_m, T) = \varepsilon_{\infty} + \frac{\varepsilon_{\infty} \omega_{pi}^2}{\Omega_m^2 + \omega_{\text{TO}}^2(\mathbf{q}, T)} + \frac{4\pi e^2}{q^2} \mathcal{P}(\mathbf{q}, \Omega_m)$$
(8)

is the dielectric permittivity given by the sum of its lattice and free electron parts [31]. Here, as mentioned above, ω_{pi} is the ionic plasma frequency, $\omega_{\rm TO}^2({\bf q},T)\equiv\omega_{\bf q}^2\approx\omega_{\rm TO}^2(0,T)+c_T^2q^2$ is the TO phonon dispersion near the Γ point of the Brillouin zone, with $\omega_{\rm TO}(0,T)$ being the temperature (T)-dependent TO mode frequency and c_T being the speed of the TO mode, and

$$\mathcal{P}(\mathbf{q}, \Omega_m) = \nu_F \left(1 - \frac{\Omega_m}{\nu_F q} \arctan \frac{\nu_F q}{\Omega_m} \right)$$
 (9)

is the polarizability of charge carriers for $q \ll k_F$ in the degenerate regime considered within the random phase approximation (RPA, justified below), with ν_F as the electronic density of states.

In the absence of conduction electrons, the energy of the zone-center TO mode $\omega_{TO}^2(0,T) \propto 1/\varepsilon_0(T)$, where $\varepsilon_0(T)$ is the static dielectric permittivity which diverges at the PCP. This suggests $\omega_{TO}(0,T\to T_c)\to 0$ near the PCP. T_c can be manipulated by a number of means such as stress [32,33], isotope exchange [34], or isovalent doping [35–37] and reached

at the quantum limit $(T_c \rightarrow 0)$ to be called as the polar (quantum) critical point.

1. Weakness of electron-electron interaction near the polar transition

Near the PCP, the Coulomb repulsion between electrons is weak due to diverging contribution of the dielectric permittivity to the soft phonons [24]. In particular, the usual parameter describing the importance of Coulomb correlations is $r_s^* \sim 1/(k_F a_B \varepsilon_0(\mathbf{q}))$ in the presence of phonon screening, where q is of the order of the inverse average interelectron distance, i.e., k_F . For metals with large k_F , the lower bound for $\varepsilon_0(k_F)$ is $(\omega_{LO}^{min})^2/(\omega_{TO}^{max})^2$, where ω_{TO}^{max} is the maximal energy of the TO phonon in the Brillouin zone and $(\omega_{\rm LO}^{\rm min})^2 = (\omega_{\rm TO}^{\rm min})^2 + \omega_{pi}^2$. For sufficiently large Born charge of the polar mode, $\omega_{pi} \gg \omega_{TO}$, and the dispersion of the LO mode (as well as the effects of anisotropy at finite momenta) can be neglected. This results in $\varepsilon_0(k_F) \sim \omega_{pi}^2/(\omega_{TO}^{\rm max})^2 \gg 1$, which implies $r_s^* \ll 1$. For lower electron concentrations, the situation is even more straightforward. Sufficiently close to the PCP, $\varepsilon_0(k_F) \sim \omega_{pi}^2/c_T^2 k_F^2$, suggesting r_s^* to be vanishingly small as $k_F \to 0$. Consequently, the effects of the Coulomb repulsion on the electrons (e.g., self-energy) can be neglected for a nearly polar metal with a sufficiently large phonon Born effective charge at any carrier concentration.

2. Distinction between TO and LO mode frequencies in the presence of free charge carriers

In insulating ferroelectrics, long-range dipolar interactions lead to a splitting between LO and TO modes. To assess this effect in a polar metal, we consider the Coulomb interaction (7) and substitute Eq. (8) for the dielectric permittivity into Eq. (7) to obtain the screened Coulomb interaction between two conduction electrons as

$$V(\mathbf{q}, \Omega_m) = \frac{4\pi e^2}{\varepsilon_{\infty} q^2 + 4\pi e^2 \mathcal{P}(\mathbf{q}, \Omega_m)} \frac{\Omega_m^2 + \omega_{\text{TO}}^2(\mathbf{q})}{\Omega_m^2 + \omega_{\text{LO}}^2(\mathbf{q}, \Omega_m)},$$
(10)

where

$$\omega_{\text{LO}}^2(\mathbf{q}, \Omega_m) = \omega_{\text{TO}}^2(\mathbf{q}) + \frac{q^2 \omega_{pi}^2}{q^2 + \frac{4\pi e^2}{\varepsilon_{\infty}} \mathcal{P}(\mathbf{q}, \Omega_m)}, \tag{11}$$

with $\omega_{\text{TO}}(\mathbf{q})$ as the TO phonon dispersion near the Γ point of the Brillouin zone. The LO mode frequency, $\omega_{\text{LO}}^2(\mathbf{q})$, is the pole of Eq. (10) which can be found by solving $\omega_{\text{LO}}^2(\mathbf{q},-i\Omega)-\Omega^2=0$ (after analytic continuation) for Ω , where $\omega_{\text{LO}}^2(\mathbf{q},-i\Omega)$ is given by Eq. (11). The solution is discussed in detail in Sec. III B 1; here we discuss the qualitative aspects of the distinction between LO and TO phonons.

One can split the phonon propagator into contributions of TO and LO modes using the identity $e_{\mu}(\mathbf{q})e_{\nu}(\mathbf{q})=\delta_{\mu\nu}$. For transverse and longitudinal polarizations, this identity can be split into

$$e_{\mu}(\mathbf{q})e_{\nu}(\mathbf{q}) = \left[\delta_{\mu\nu} - \frac{q_{\mu}q_{\nu}}{q^2}\right] + \left[\frac{q_{\mu}q_{\nu}}{q^2}\right],\tag{12}$$

where the first term corresponds to the polarization for TO modes while the second term is for LO modes. Accordingly, the phonon dispersion in Eq. (6) is replaced by that of TO and

LO modes, respectively. Upon considering this distinction, the phonon Green's function is written as

$$-\frac{\Omega_0^2}{2\pi V} \left[\mathcal{D}_{\alpha\beta}^0 \right]^{-1} = \left[\Omega_m^2 + \omega_{\text{TO}}^2(\mathbf{q}) \right] \delta_{\alpha\beta} + \left[\omega_{\text{LO}}^2(\mathbf{q}, \Omega_m) - \omega_{\text{TO}}^2(\mathbf{q}) \right] \frac{q_\alpha q_\beta}{q^2}.$$
(13)

We now show that the LO-TO distinction [second term on the right-hand side of Eq. (13)] depends sensitively on the concentration of conduction electrons. In the absence of screening by conduction electrons one recovers the usual expression for LO phonon frequency, different from that of the TO one:

$$\omega_{LO}^2(\mathbf{q}) = \omega_{TO}^2(\mathbf{q}) + \omega_{pi}^2. \tag{14}$$

Let us now consider the effect of conduction electrons. For $\Omega \gg v_F q$, where Ω is the retarded frequency, we can write Eq. (9) as $\mathcal{P}(\mathbf{q}, \Omega_m) \approx (v_F/3)(v_F q/\Omega_m)^2$, which subsequently gives Eq. (11) to be

$$\omega_{\text{LO}}^2(\mathbf{q}, \Omega_m) \approx \omega_{\text{TO}}^2(\mathbf{q}) + \frac{\omega_{pi}^2}{1 + \omega_{n\infty}^2 / \Omega_m^2},$$
 (15)

where

$$\omega_{p\infty} = \sqrt{\frac{4\pi n e^2}{\varepsilon_{\infty} m_b}} \tag{16}$$

is the bare plasma frequency with n as the carrier concentration and m_b as the electron effective mass. We note that it is the high-frequency limit of the dielectric function, i.e., ε_{∞} , that enters into plasma frequency here, not ε_0 , the static one.

Equation (15) suggests that the LO-TO splitting would be negligible when ω_{pi} is small. The relevant scale for comparison here is $\omega_{p\infty}$ which can be tuned by carrier density. Qualitatively, if we assume that the carrier density is high such that $\Omega \sim \omega_{\text{LO}} \ll \omega_{p\infty}$, then Eq. (15) can be written as

$$\omega_{\text{LO}}^2(\mathbf{q}) \sim \omega_{\text{TO}}^2(\mathbf{q}) \left(1 + \frac{\omega_{pi}^2}{\omega_{p\infty}^2} \right).$$
 (17)

Furthermore, if $\omega_{p\infty} \gg \omega_{pi}$, then we can ignore the second term of Eq. (17) which means $\omega_{LO}(\mathbf{q}) \approx \omega_{TO}(\mathbf{q})$, i.e., no distinction between LO and TO modes. So the poles of Green's function (13) suggest a triple-degenerate phonon mode and a large energy plasmon, $\omega_{p\infty}$.

Finally, if we assume that $\Omega \ll v_F q$ (or effectively the static limit, to be considered in Sec. IV), then $\mathcal{P}(\mathbf{q}, \Omega_m = 0) \approx v_F$, which simply implies static screening by conduction electrons. This gives the difference between phonon frequencies (11) to be

$$\omega_{\text{LO}}^2(\mathbf{q}, \Omega \ll v_F q) - \omega_{\text{TO}}^2(\mathbf{q}) \approx \frac{q^2 \omega_{pi}^2}{q^2 + \kappa^2},$$
 (18)

where we recall that $\kappa = \sqrt{4\pi e^2 v_F/\varepsilon_\infty}$ is the inverse screening length. Equation (18) suggests that the LO-TO splitting in this regime cannot be ignored at finite q.

B. Electron-phonon interaction and collective modes

Having justified that the electron-electron interaction is weak near the PCP in Sec. II A 1, we consider electron-phonon interaction of Rashba spin-orbit coupling (SOC) type (1) where the polar order fluctuation field P_q couples to electron density at linear order [12,14–18,38].

To study the eigenmodes emerging in the interacting nearly polar metal, we study phonon self-energy due to the electron-phonon coupling (1). Assuming weak coupling, we limit ourselves to the lowest-order (in λ) self-energy. As we approach the polar transition from the disordered side, we assume the characteristic TO mode frequency $\omega_{TO}(0,T)$ to be finite and large enough, such that the effects of critical fluctuations can be neglected (see Appendix B). The analog of the Dyson equation for the full phonon propagator is

$$\hat{\mathcal{D}}(\mathbf{q}, \Omega_m) = [[\hat{\mathcal{D}}^0(\mathbf{q}, \Omega_m)]^{-1} - \hat{\Pi}(\mathbf{q}, \Omega_m)]^{-1}, \tag{19}$$

where $\hat{\Pi}(\mathbf{q}, \Omega_m)$ is the phonon self-energy and $\hat{\mathcal{D}}^0(\mathbf{q}, \Omega_m)$ is the bare phonon propagator given in Eq. (13). The collective modes are obtained by solving $\mathrm{Det}[\hat{\mathcal{D}}^{-1}(\mathbf{q}, \Omega)] = 0$ for Ω , where analytic continuation to real frequencies $i\Omega_m \to \Omega + i0^+$ is assumed.

The lowest-order self-energy correction to the bare phonon propagator with the interaction vertex as $(\mathbf{k} \times \boldsymbol{\sigma})_i$ from Eq. (1) can be explicitly written as

$$\Pi_{\alpha\beta}(\mathbf{q}, \Omega_m) = \lambda^2 T \sum_{\omega_n} \sum_{\mathbf{k}} \text{Tr} \Big[(\mathbf{k} \times \hat{\boldsymbol{\sigma}})_{\alpha} \\ \times \hat{G} \Big(\omega_n - \frac{\Omega_m}{2}, \mathbf{k} - \frac{\mathbf{q}}{2} \Big) (\mathbf{k} \times \hat{\boldsymbol{\sigma}})_{\beta} \\ \times \hat{G} \Big(\omega_n + \frac{\Omega_m}{2}, \mathbf{k} + \frac{\mathbf{q}}{2} \Big) \Big], \tag{20}$$

where $\alpha, \beta \in (1, ..., 3)$ and

$$\hat{G}(\epsilon_n, \mathbf{k}) = \frac{1}{2} \sum_{s} [\hat{\sigma}_0 + s\hat{\sigma}_z] \frac{1}{i\epsilon_n - \xi_k^s},\tag{21}$$

is a single-particle Green's function for electrons with $\xi_s^s = \varepsilon_{\bf k} + s\Delta_Z/2 - \mu(\Delta_Z)$ and $s = \pm 1$. Here, $\Delta_Z = g\mu_B B$ is the Zeeman energy and $\mu(\Delta_Z)$ is a chemical potential which itself is a function of magnetic field; the technical details of obtaining $\mu(\Delta_Z)$ is deferred to Appendix A. The appearance of $\hat{\sigma}_z$ in the Green's function is due to magnetic fields applied along the z direction. Note that the magnetic field affects directly only the electronic dispersion splitting it into spin-up and spin-down subbands. Consequently, the magnetic field effects on the polar order in our model are directly related to the coupling (1).

The form of self-energy, after the trace is taken and the frequency summation, can be written as

$$\Pi_{\alpha\beta}(\mathbf{q}, \Omega_m) = \frac{\lambda^2}{2} \sum_{s\bar{s}} \sum_{\mathbf{k}} k^2 f_{\alpha\beta}^{s\bar{s}}(\theta, \phi)
\times \frac{n_F \left(\xi_{\mathbf{k}-\mathbf{q}/2}^{\bar{s}}\right) - n_F \left(\xi_{\mathbf{k}+\mathbf{q}/2}^{s}\right)}{i\Omega_m - \varepsilon_{\mathbf{k}+\frac{\mathbf{q}}{2}} + \varepsilon_{\mathbf{k}-\frac{\mathbf{q}}{2}} - (s - \bar{s})\frac{\Delta_z}{2}}, \quad (22)$$

where $n_F(\xi_{\mathbf{k}}^r)$ is the Fermi function, $\sum_{\mathbf{k}} \to V \int d^3k/(2\pi)^3$ in the continuum limit, and

$$f_{xx}^{s\bar{s}}(\theta,\phi) = (1+s\bar{s})\sin^2\theta\sin^2\phi + (1-s\bar{s})\cos^2\theta,$$

$$f_{yy}^{s\bar{s}}(\theta,\phi) = (1+s\bar{s})\sin^2\theta\cos^2\phi + (1-s\bar{s})\cos^2\theta,$$

$$f_{zz}^{s\bar{s}}(\theta,\phi) = (1-s\bar{s})\sin^2\theta,$$

$$f_{zz}^{s\bar{s}}(\theta,\phi) = -(1+s\bar{s})\sin^2\theta\frac{\sin 2\phi}{2} + i(s-\bar{s})\cos^2\theta,$$

$$f_{xy}^{s\bar{s}}(\theta,\phi) = -(1+s\bar{s})\sin^2\theta\frac{\sin 2\phi}{2} + i(s-\bar{s})\cos^2\theta,$$

$$f_{yx}^{s\bar{s}}(\theta,\phi) = f_{xy}^{s\bar{s}}(\theta,\phi)[i\to -i],$$

$$f_{xz}^{s\bar{s}}(\theta,\phi) = -(1-s\bar{s})\frac{\sin 2\theta}{2}\cos\phi - i(s-\bar{s})\frac{\sin 2\theta}{2}\sin\phi,$$

$$f_{zx}^{s\bar{s}}(\theta,\phi) = f_{xz}^{s\bar{s}}(\theta,\phi)[i\to -i],$$

$$f_{yz}^{s\bar{s}}(\theta,\phi) = -(1-s\bar{s})\frac{\sin 2\theta}{2}\sin\phi + i(s-\bar{s})\frac{\sin 2\theta}{2}\cos\phi,$$

$$f_{yz}^{s\bar{s}}(\theta,\phi) = f_{yz}^{s\bar{s}}(\theta,\phi)[i\to -i],$$

$$(23)$$

where θ and ϕ are polar and azimuthal angles of \mathbf{k} , respectively. While writing Eq. (22), we assumed the system to be partially polarized when both the magnetically split spin-up and spin-down subbands are (partially) occupied. For future purposes, we refer to this scenario as the "two-band" case. The fully polarized system, when only the lowest subband (spin-down) is occupied, is referred to as the "one-band" case. Unless specifically mentioned, all our discussion is for the two-band case.

III. SPIN-PHONON-PLASMON RESONANCES AT q=0

In this section we present the results on the spectrum of collective modes at low momenta and finite frequencies (in the limit $\Omega\gg v_Fq$) as a function of magnetic field. Without interaction (1), the system hosts a doubly degenerate critical TO mode, two coupled plasmon-LO modes, and a precession-like spin-flip resonance of conduction electrons with energy $\Delta_Z=g\mu_BB$. The interaction leads to hybridization between these modes, resulting in the anticrossing at fields where their frequencies coincide. The latter can be used to extract the value of the coupling constant λ from spectroscopic experiments.

Finally, we demonstrate that on approach to the transition, the effective *g* factor of the spin-flip mode softens. We further examine the properties of the polar transition for the interacting system in Sec. IV.

A. Phonon self-energy at q = 0

As a first step towards calculating the collective modes, we calculate the leading-order self-energy correction at q=0. The components of the self-energy can be obtained by substituting q=0 in Eq. (22) and performing the ${\bf k}$ integral. The explicit calculation at T=0 gives

$$\hat{\Pi}(\Omega_m) = -\frac{\lambda^2 m_b^{5/2} V}{15\pi^2} \frac{L[\mu(\Delta_Z), \Delta_Z]}{\Omega_m^2 + \Delta_Z^2} \hat{\bar{\Pi}}, \qquad (24)$$

where

$$\hat{\tilde{\Pi}} = \begin{pmatrix} \Delta_Z & -\Omega_m & 0\\ \Omega_m & \Delta_Z & 0\\ 0 & 0 & 2\Delta_Z \end{pmatrix}$$
 (25)

and

$$L[\mu(\Delta_Z), \Delta_Z] = [(2\mu(\Delta_Z) + \Delta_Z)^{5/2} - (2\mu(\Delta_Z) - \Delta_Z)^{5/2}]$$

$$\equiv L_Z.$$
 (26)

The form of $L[\mu(\Delta_Z), \Delta_Z]$ given here is for the two-band case; for the one-band case, the second term of Eq. (26) has to be omitted. From now on, for brevity, we will refer to $L[\mu(\Delta_Z), \Delta_Z]$ as L_Z .

We now discuss the q=0 results [Eq. (24)] using the symmetries of the expression for the phonon self-energy [Eq. (20)]. The phonon self-energy (20) can be identified as a linear combination of correlators between different components of spin current along different directions, which are related to the response functions as per the Kubo formula. Indeed, the spin-current operator is defined as $\hat{j}_i^{\sigma_a} = k_i \hat{\sigma}_a$, which is understood as the *i*th component of spin current along the a direction. Upon explicitly writing one of the components of the self-energy, one can easily identify it to be a combination of several spin current-spin current correlation functions. For instance, Π_{xx} consists of $j_y^{\sigma_z}$ - $j_z^{\sigma_y}$, $j_z^{\sigma_y}$ - $j_z^{\sigma_y}$, and $j_z^{\sigma_y}$ - $j_y^{\sigma_z}$ correlations.

In the absence of magnetic field, the phonon self-energy (20), interpreted as a combination of spin current-spin current correlators, vanishes at q=0. Indeed, in the absence of magnetic field, the spin current is a conserved quantity at q=0. So any combination of the spin current-spin current response functions must vanish in this limit, explaining why the phonon self-energy is zero in this case.

Once the magnetic field is applied along the z direction, only the spin current along z, i.e., $j_i^{\sigma_z}$, commutes with terms having spin current along the z direction in the interaction Hamiltonian (1). In the self-energy, these kinds of terms either trace out identically or give intraband contributions, $(1+s\bar{s})$, which has zero spectral weight at q=0 in agreement with $j_i^{\sigma_z}$ conservation. The terms with spin current along the x and y directions in Eq. (1), however, do not commute with $j_i^{\sigma_z}$ and give finite contribution to the self-energy. This leaves only the $j_z^{\sigma_y} - j_z^{\sigma_y}$ correlation contributing to Π_{xx} , corresponding to the second term of $f_{xx}^{s\bar{s}}(\theta,\phi)$ in Eq. (23). Due to rotational symmetry in the xy plane, Π_{yy} is identical to Π_{xx} . However, physically it is the $j_z^{\sigma_x} - j_z^{\sigma_z}$ correlation that contributes to Π_{yy} .

Finally, Π_{zz} consists of $j_x^{\sigma_y} - j_x^{\sigma_y}$, $j_y^{\sigma_x} - j_y^{\sigma_x}$, $j_x^{\sigma_y} - j_y^{\sigma_x}$, and $j_y^{\sigma_x} - j_x^{\sigma_y}$ correlations. Out of these four, only direct correlations, $j_x^{\sigma_y} - j_x^{\sigma_y}$ and $j_y^{\sigma_x} - j_y^{\sigma_x}$, are nonzero: cross correlations, $j_x^{\sigma_y} - j_y^{\sigma_x}$ and $j_y^{\sigma_x} - j_x^{\sigma_y}$, identically cancel each other according to the Pauli spin anticommutation principle. Parenthetically, we note that the cross correlations in Π_{zz} do not vanish due to rotational symmetry in the xy plane as the coherence factor $f_{zz}^{s\bar{s}}(\theta,\phi)$ in Eq. (23) does not include any parity-breaking term (or odd-in- ϕ terms). Therefore, Π_{zz} has contributions from two nonzero direct correlations, while Π_{xx} and Π_{yy} have only one. This indicates that the weight of Π_{zz} is twice that of Π_{xx} or Π_{yy} , in agreement with explicit results in Eqs. (24) and (25).

Along the same lines, one can argue about off-diagonal components of the self-energy as well. The Π_{xy} component is a combination of $j_y^{\sigma_z}$ - $j_z^{\sigma_z}$, $j_z^{\sigma_y}$ - $j_z^{\sigma_x}$, $j_z^{\sigma_z}$ - $j_z^{\sigma_x}$, and $j_z^{\sigma_y}$ - $j_x^{\sigma_z}$ correlations. Out of these only the $j_z^{\sigma_y}$ - $j_z^{\sigma_x}$ correlation yields a nonzero contribution. The other off-diagonal components, Π_{xz} and Π_{yz} , vanish completely due to trace and the rotational symmetry in the xy plane, as evident from the forms of $f_{xz}^{s\bar{s}}(\theta,\phi)$ and $f_{yz}^{s\bar{s}}(\theta,\phi)$ in Eq. (23).

B. Mode hybridization and approach to the transition

The next step is to calculate eigenmodes of the system. We will first discuss the $\Delta_Z=0$ case. In this case we reproduce the known results for coupled phonon and electronic plasmon modes. Next, we will consider finite Δ_Z which brings spin-flip transition modes into consideration. The most important aspect of the coupling of spin transitions to phonons and plasmons is the appearance of anticrossing as a function of magnetic field, which allows to extract the electron-phonon coupling strength (λ) from spectroscopic experiments. More detailed discussion of possible experimental proposals to extract the coupling strength is given in Sec. V C.

1. Phonon-plasmon coupling at $\Delta_Z = 0$

In the absence of magnetic field, the effects of electronphonon coupling disappear $[\Pi_{ij}(\Omega_m) = 0$ from Eqs. (24)–(26)] and the only resonances present are phonon and plasmon ones. The eigenmodes of the system are then found from

$$\begin{aligned} \text{Det}[[\hat{\mathcal{D}}^{0}]^{-1}] &= -\left(\frac{2\pi V}{\Omega_{0}^{2}}\right)^{3} \left(\Omega_{m}^{2} + \omega_{\text{TO}}^{2}\right)^{2} \\ &\times \left[\omega_{\text{TO}}^{2} + \Omega_{m}^{2} \left(1 + \frac{\omega_{pi}^{2}}{\Omega_{m}^{2} + \omega_{p\infty}^{2}}\right)\right] = 0, \end{aligned}$$
(27)

where $\omega_{\text{TO}} \equiv \omega_{\text{TO}}(0, T)$ at q = 0. Upon performing analytic continuation $(i\Omega_m \to \Omega + i0^+)$ and solving Eq. (27) for $\Omega > 0$, we get a double-degenerate solution at $\Omega = \omega_{\text{TO}}$, and two nondegenerate solutions $\Omega = \Omega_{\pm}$, where [20,31]

$$\Omega_{\pm} = \frac{1}{\sqrt{2}} \left[\omega_{p\infty}^2 + \omega_{LO}^2 \pm \sqrt{-4\omega_{p\infty}^2 \omega_{TO}^2 + \left(\omega_{p\infty}^2 + \omega_{LO}^2\right)^2} \right]^{1/2},$$
(28)

with $\omega_{\text{LO}} \equiv \omega_{\text{LO}}(0) = \omega_{\text{TO}}^2 + \omega_{pi}^2$ as per Eq. (14). The eigenvectors of $[\mathcal{D}^0]^{-1}$ suggest that the coupled phonon-plasmon modes (28), as shown in Fig. 2, correspond to longitudinal polarization. Therefore, the coupling also occurs between plasmons and the longitudinal component of phonons. This makes sense because plasmons are longitudinal excitations in metals which are expected to couple only with LO modes.

Eigenmodes (28) can be understood in the asymptotic limits of high and low carrier densities (n). At high n, $\omega_{p\infty}\gg\omega_{\text{LO}}$, and we get $\Omega_{+}\approx\omega_{p\infty}$ and $\Omega_{-}\approx\omega_{\text{TO}}$. We can see that the phononlike mode has a frequency ω_{TO} , not ω_{LO} (see Fig. 2). This is because the frequency difference between LO and TO modes is caused by long-range Coulomb interactions. At high n, or for $\omega_{p\infty}\gg\omega_{\text{LO}}$, the electron gas screens these long-range interactions and therefore the splitting (ω_{pi}) between the LO and TO modes disappears. This is also

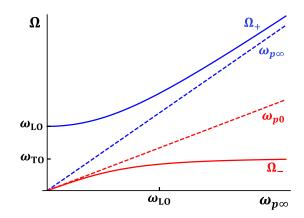


FIG. 2. The frequencies of the hybridized phonon-plasmon modes Ω_+ and Ω_- as a function of bare plasmon frequency $(\omega_{p\infty})$, which grows with electron density. At high carrier densities $(\omega_{p\infty}\gg\omega_{LO})$, the electron gas screens the Coulomb interaction which in turn makes the LO-TO splitting disappear. The phononlike mode, therefore, is $\Omega_-\approx\omega_{TO}$ (red curve), while the electronic plasmon is $\Omega_+\approx\omega_{p\infty}$ (blue curve). At low carrier densities $(\omega_{p\infty}\ll\omega_{LO})$, the phononlike mode is $\Omega_+\approx\omega_{LO}$, while the plasmon is $\Omega_-\approx\omega_{p0}\equiv\omega_{p\infty}\sqrt{\varepsilon_\infty/\varepsilon_0}$. In this regime the longitudinal oscillations are fast enough to screen plasma oscillations, thereby modifying the plasmon mode from $\omega_{p\infty}$ to ω_{p0} .

consistent with our discussion in Sec. II A 2 that at high carrier densities the distinction between the energies of LO and TO modes disappears.

At low n, $\omega_{p\infty} \ll \omega_{LO}$ and the two roots are now $\Omega_{+} \approx \omega_{LO}$ and $\Omega_{-} \approx \omega_{p\infty}\omega_{TO}/\omega_{LO}$. Using the Lyddane-Sachs-Teller (LST) relation [39], one can write $\Omega_{-} \approx \omega_{p0}$, where ω_{p0} is the low-energy plasma frequency

$$\omega_{p0} = \sqrt{\frac{4\pi n e^2}{\varepsilon_0 m_b}},\tag{29}$$

which has static dielectric constant ε_0 as the screening factor. So the plasmonlike mode is ω_{p0} , not $\omega_{p\infty}$ as discussed in Sec. II A 2 and shown in Fig. 2. Physically this means that the longitudinal oscillations are fast enough to screen the plasma oscillations and modify $\omega_{p\infty}$ to ω_{p0} . The coupling between optical modes and plasmons was verified a long time ago in GaAs using Raman scattering and the result shows excellent agreement with the resonance frequencies obtained in Eq. (28) [40].

In passing we note that as we go closer to the critical point, the low-energy plasma frequency (ω_{p0}) is expected to vanish at the critical point, i.e., at $\omega_{TO}=0$. Its contribution to the Coulomb interaction is, however, negligible as the value of the residue of the corresponding plasmon pole turns simultaneously to zero.

2. Coupling between phonons, plasmons, and spin-flip modes, and their criticality

We now study the effect of magnetic field which splits electron bands into spin-up and spin-down subbands. According to our model, it can affect phonons and underlying plasmons only through the electron-phonon interaction, Eq. (1). The

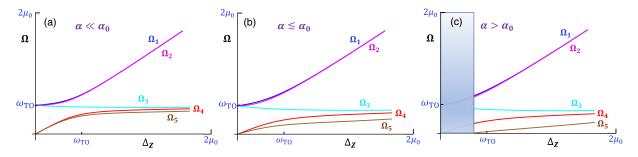


FIG. 3. Evolution of q=0 eigenmodes in the high carrier density regime $(\omega_{p\infty}\gg\omega_{pi})$ as the coupling constant α [Eq. (31)] grows. The eigenmodes are plotted with Δ_Z , where all the energy scales are assumed to be much smaller than $2\mu_0$. At low fields, there exist three phononlike $(\Omega\approx\omega_{TO})$ and two spin-flip-like $(\Omega\propto\Delta_Z)$ modes. The electronic plasmon mode is at high energy and is not shown. (a) At small and finite α , the coupling between phonons and spin-flip mode starts to take place, resulting in the hybridization of modes. (b) The lowest energy mode Ω_5 (brown) is stable as long as $\alpha<\alpha_0$, and starts to become unstable as the critical point $\alpha=\alpha_0$ is approached. (c) For $\alpha>\alpha_0$, the lowest energy mode Ω_5 is unstable at low fields, indicating a phase transition into the polar phase (shaded region).

phonon self-energy [Eqs. (24)–(26)] is now finite and therefore must be included to get the full phonon propagator (19).

The eigenmodes of the full system can be obtained by solving $\text{Det}[[\hat{\mathcal{D}}^0]^{-1} - \hat{\Pi}] = 0$ (after analytical continuation $i\Omega_m \to \Omega + i\delta$), which can be explicitly written as

$$\operatorname{Det}\left[\left(\Omega_{m}^{2} + \omega_{\text{TO}}^{2}\right)\mathbb{1}_{\alpha\beta} + \frac{\omega_{pi}^{2}\Omega_{m}^{2}}{\Omega_{m}^{2} + \omega_{p\infty}^{2}} \frac{q_{\alpha}q_{\beta}}{q^{2}} - \frac{\alpha L_{Z}}{15\left(\Omega_{m}^{2} + \Delta_{Z}^{2}\right)}\tilde{\Pi}_{\alpha\beta}\right] = 0,$$
(30)

where $\mathbb{1}_{\alpha\beta}$ is a component of a 3 × 3 identity matrix,

$$\alpha \equiv \frac{\lambda^2 m_b^{5/2} \Omega_0^2}{2\pi^3} \tag{31}$$

characterizes the strength of electron-phonon coupling (1), and L_Z and $\hat{\Pi}$ are given in Eqs. (26) and (25), respectively. Equation (30) leads to a polynomial equation of sixth order in Ω_m^2 with six positive-definite nontrivial roots for Ω ($i\Omega_m \to \Omega$). These correspond to hybridized electronic and phonon modes. The case of zero magnetic field leads to $\tilde{\Pi}=0$ [see Eq. (24)] and has been discussed in Sec. III B 1. There exist only four modes: two unhybridized TO modes and two hybridized modes arising due to the coupling of the LO mode with plasmon. The two additional modes that appear in finite magnetic field correspond to spin-flip transitions and will be discussed below in the regimes of high and low carrier densities, as outlined in Sec. II A 2.

a. High carrier density metals: Ω , $\omega_{pi} \ll \omega_{p\infty}$. Here we consider the case of high-density metals characterized by a large bare plasma frequency, Ω , $\omega_{pi} \ll \omega_{p\infty}$. Then, as discussed in Sec. II A 2, the LO-TO splitting can be ignored [middle term in brackets in Eq. (30)]. In Fig. 3 we plot the resulting solutions of Eq. (30) as a function of the Zeeman splitting Δ_Z and the coupling α in Eq. (31) [Figs. 3(a)–3(c)].

One observes that there exist five solutions, corresponding to three phonon modes hybridizing with two spin-flip modes. Since for high carrier density metals $\omega_{p\infty}$ is large, the corresponding plasmonlike mode exists at large energies and therefore is excluded from this discussion. It, however, shows up in the low carrier density metals which we will discuss in Sec. III B 2 b.

Weak-field regime. We first focus on low fields $\Delta_Z \ll \omega_{TO}$ and sufficiently low α [Fig. 3(a)], where all eigenvalues are real. The eigenfrequencies are given by

$$\begin{split} \Omega_{1} &\approx \omega_{\text{TO}} + \Delta_{Z} \frac{\alpha(2\mu_{0})^{3/2}}{6\omega_{\text{TO}}^{2}} \\ &+ \Delta_{Z}^{2} \frac{\alpha(2\mu_{0})^{3/2}}{6\omega_{\text{TO}}^{3}} \left(1 - \frac{\alpha(2\mu_{0})^{3/2}}{4\omega_{\text{TO}}^{2}}\right) \\ &+ \mathcal{O}(\Delta_{Z}^{3}), \\ \Omega_{2} &\approx \omega_{\text{TO}} + \Delta_{Z}^{2} \frac{\alpha(2\mu_{0})^{3/2}}{6\omega_{\text{TO}}^{3}} + \mathcal{O}(\Delta_{Z}^{4}), \\ \Omega_{3} &\approx \omega_{\text{TO}} - \Delta_{Z} \frac{\alpha(2\mu_{0})^{3/2}}{6\omega_{\text{TO}}^{2}} \\ &+ \Delta_{Z}^{2} \frac{\alpha(2\mu_{0})^{3/2}}{6\omega_{\text{TO}}^{3}} \left(1 - \frac{\alpha(2\mu_{0})^{3/2}}{4\omega_{\text{TO}}^{2}}\right) \\ &+ \mathcal{O}(\Delta_{Z}^{3}), \\ \Omega_{4} &\approx \Delta_{Z} \left|1 - \frac{\alpha(2\mu_{0})^{3/2}}{3\omega_{\text{TO}}^{2}}\right| + \mathcal{O}(\Delta_{Z}^{3}), \\ \Omega_{5} &\approx \Delta_{Z} \sqrt{1 - \frac{2\alpha(2\mu_{0})^{3/2}}{3\omega_{\text{TO}}^{2}}} + \mathcal{O}(\Delta_{Z}^{3}), \end{split}$$
(32)

where μ_0 is the chemical potential in the absence of magnetic field. We identify two modes $[\Omega_{4,5}$ in Eqs. (32)] with frequency vanishing linearly with Δ_Z , and three modes $[\Omega_{1,2,3}$ in Eqs. (32)] saturating at a finite energy ω_{TO} as Δ_Z approaches zero, as shown in Fig. 3(a). The latter three modes, $\Omega_{1,2,3}$, can then be identified as phonon modes which are degenerate in the absence of magnetic fields. $\Omega_{4,5}$, on the other hand, correspond to the electronic spin-flip transitions, with renormalized g factor due to electron-phonon coupling, between the spin-split subbands of the conduction band. One can clearly see that at $\alpha \to 0$, the effective g factor for $\Omega_{4,5}$ approaches its bare value. For the particular case of $\alpha = 0$, however, Eq. (30) does give rise to only four roots, with $\Omega_{4,5}$ being absent. This describes the decoupling of the spin-flip resonances from the phonon response in the absence of coupling (1).

Already at small fields, the degeneracy of modes stemming from phonons $(\Omega_1, \Omega_2, \text{ and } \Omega_3)$ and electrons $(\Omega_4 \text{ and } \Omega_5)$ breaks, which deserves explanation. If we assume that there is no electron-phonon interaction, i.e., the corresponding coupling constant, parametrized by α , is zero, then the precessionlike spin-flip resonances that occur in any doped semiconductor with fields applied along, say, the z direction are double degenerate $[\Omega_4 = \Omega_5 = \Delta_Z \text{ at } \alpha = 0 \text{ in Eq. (32)}]$ as a result of rotational symmetry in the xy plane. Likewise, there is no distinction between two transverse components of the phonon modes due to underlying rotational symmetry in the xy plane. The absence of LO-TO splitting for high carrier density metals further results in triple-degenerate phonon modes $[\Omega_1 = \Omega_2 = \Omega_3 \text{ at } \alpha = 0 \text{ in Eq. (32)}]$.

In the presence of interaction, i.e., $\alpha \neq 0$, and at weak magnetic fields, the modes are expected to couple and break degeneracy too. The interaction Hamiltonian (1) is invariant under simultaneous rotation of electron spin, momenta, and phonon polarization. The magnetic field, however, breaks the spin-rotation symmetry for the conduction electrons and, consequently, for the phonon polarization due to interaction (1). This explains qualitatively the lifting of degeneracies at finite fields in Eq. (32) and Fig. 3(a).

Softening of spin-flip mode. On increasing α , the slope of the spin-flip modes at low Δ_Z decreases, as shown in Fig. 3(b). Moreover, for α larger than a critical value, the frequency of the lowest-lying eigenmode (Ω_5) becomes imaginary at low magnetic field, as shown in Fig. 3(c), indicating the instability of the system. Beyond that point, a phase transition into the polar phase occurs and the calculations performed here need to be modified (see also Appendix B). As the instability takes place first at $\Delta_Z = 0$, it is instructive to consider eigenfrequencies at small fields given in Eq. (32) at $\alpha \leq \alpha_0$, where

$$\alpha_0 = \frac{3\omega_{\text{TO}}^2}{2(2\mu_0)^{3/2}}. (33)$$

At small fields the spin-flip modes (Ω_4 and Ω_5) disperse linearly with Δ_Z , with effective g factor as a function of coupling constant (α), TO mode frequency (ω_{TO}), and carrier density n (through μ_0). One observes that the frequency of one of the spin-flip modes, Ω_5 , becomes imaginary for $\alpha > \alpha_0$. From an experimental point of view, the parameter α is fixed and the transition is really driven by the softening of ω_{TO} . Equation (33) can then be viewed as a condition for ω_{TO} . Using Eq. (31), the instability condition, $\alpha > \alpha_0$, translates

$$\omega_{\text{TO}} < \lambda \Omega_0 \sqrt{\frac{nm_b}{\pi}}.$$
 (34)

Additionally, Ω_5 can be rewritten as

$$\Omega_5 \approx g\mu_B \left(1 - \frac{\alpha}{\alpha_0}\right)^{1/2} B,$$
(35)

where α_0 is defined in Eq. (33), which shows a linear dispersion with magnetic field B, with the effective g factor, $g^* = g(1 - \alpha/\alpha_0)^{1/2}$, which softens at $\alpha = \alpha_0$. For $\alpha > \alpha_0$, g^* becomes imaginary, making Ω_5 also imaginary, indicating the onset of instability of the system. The form of Ω_4 in Eq. (32) suggests that the g factor for Ω_4 also vanishes at $\alpha = 2\alpha_0$.

However, unlike Ω_5 it never becomes imaginary, suggesting that only the softening of Ω_5 indicates the instability.

The dependence of α_0 on ω_{TO} [Eq. (33)] and the instability condition (34) demonstrates the direct impact of spin-orbit mediated interaction (1) between conduction electrons and TO modes, in agreement with previous works [14,15,17,18]. This is in contrast to the gradientlike electron-phonon coupling which vanishes in the case of transverse phonon mode. As a result, the soft TO mode frequency effectively decouples from the electronic states for an isotropic system [17,23,24].

Thus we have demonstrated that the signature of the instability of the system in the q=0 regime is the softening of the spin-flip electronic mode Ω_5 at weak fields. Note that this does not imply the instability to be of the electronic character as we have implicitly considered finite frequencies here due to the condition $\Omega\gg v_Fq$. In Sec. IV, we will show that the phononic character of the instability is recovered in the $\Omega=0$ and $q\to 0$ limit, which indicates the actual polar instability of the system.

Moderate and large fields. We now propose a way to obtain the coupling constant λ . At $\alpha \ll \alpha_0$, when the system is stable, the degeneracy of phonons and spin-flip modes breaks, as shown in Fig. 3(a). As the magnetic field is increased, the interaction between phonons and spin-flip resonances occurs, resulting in the avoided crossing (anticrossing) of modes at $\Delta_Z \sim \omega_{TO}$. The energy splitting near the anticrossing point can be obtained analytically. From Fig. 3(a), one observes that the maximum splitting near the anticrossing point occurs between Ω_5 , and Ω_1 or Ω_2 . As the splitting between Ω_1 or Ω_2 , as evident from Fig. 3, is very small, we focus on the splitting between Ω_2 and Ω_5 . The exact analytical forms of Ω_2 and Ω_5 are given by

$$\Omega_{2(5)} = \frac{1}{\sqrt{2}} \left[\omega_{\text{TO}}^2 + \Delta_Z^2 \pm \sqrt{\left(\omega_{\text{TO}}^2 - \Delta_Z^2\right)^2 + \frac{8\alpha}{15} \Delta_Z L_Z} \right]^{1/2},$$
(36)

with Ω_2 corresponding to the one with the "+" sign, while Ω_5 corresponds to the one with the "-" sign. Here α and $L_Z \equiv L[\mu(\Delta_Z), \Delta_Z]$ are defined in Eqs. (31) and (26), respectively. The splitting between square of energies is given by

$$\Delta\Omega^2 \equiv \Omega_2^2 - \Omega_5^2 = \sqrt{\left(\omega_{\text{TO}}^2 - \Delta_Z^2\right)^2 + \frac{8\alpha}{15}\Delta_Z L_Z}.$$
 (37)

We observe that the splitting depends strongly on the carrier density through L_Z : up to leading order in Δ_Z , $L_Z \approx 5\Delta_Z(2\mu_0)^{3/2} \propto n$, where n is the free carrier density. This implies that the splitting between the square of energies increases with the carrier density as $\Delta\Omega^2 \propto n^{1/2}$, assuming α remains independent from n. The form of α can be obtained from Eq. (37) as

$$\alpha = \frac{3}{8\Delta_Z^2 (2\mu_0)^{3/2}} \left[(\Delta\Omega^2)^2 - \left(\omega_{TO}^2 - \Delta_Z^2\right)^2 \right].$$
 (38)

Near the anticrossing point ($\Delta_Z \approx \omega_{TO}$), the second term of Eq. (38) can be ignored. Then using Eq. (31), we find the coupling constant λ to be

$$\lambda = \sqrt{\frac{\pi(\Delta\Omega^2)^2}{4nm_b\Delta_Z^2\Omega_0^2}},\tag{39}$$

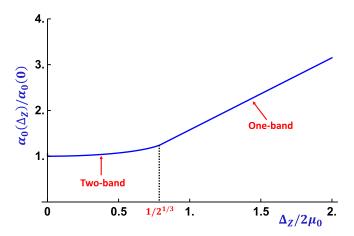


FIG. 4. Phase diagram of $\alpha(\Delta_Z)/\alpha(0)$ vs $\Delta_Z/2\mu_0$, where $\alpha(0) \equiv \alpha_0$. It can be seen clearly that with the increase in Δ_Z , the system goes from the two-band case (both spin-up and spin-down subbands are partially occupied) to the one-band case (only the spin-down subband is partially occupied). The crossover happens at $\Delta_Z = 2\mu_0/2^{1/3}$.

where n is the carrier density, m_b is the effective mass of electrons, and $\Omega_0 \equiv \sqrt{\varepsilon_\infty} \omega_{pi}$. Ω_0 can be obtained experimentally for doped polar metals from measurements on the parent insulator, but may not be straightforward to extract for intrinsic polar metals. On the other hand, the definition of the phonon Green's function, Eqs. (30) and (31), suggests that the expansion parameter for the electron-phonon interaction is really $\lambda\Omega_0$. As follows from Eq. (39), from the measured $\Delta\Omega^2$ at a given carrier density and the strength of the applied magnetic field, one can extract $\lambda\Omega_0$.

Approaching the polar critical point from the paraelectric phase, $\omega_{\text{TO}} \equiv \omega_{\text{TO}}(0,T)$ softens to a value that is small in the weak-coupling case [see Eq. (33) and the related discussion]. It follows then that the required magnetic field to extract λ , $B \approx \omega_{\text{TO}}/g\mu_B$, also would be small enough and practically attainable; see also a detailed discussion in Sec. V C.

Finally, at magnetic fields large compared to ω_{TO} but still smaller than $2\mu_0$, such that $\omega_{TO} \ll \Delta_Z \ll 2\mu_0$, the spin-flip modes (Ω_4 and Ω_5) evolve into phonon modes, while Ω_3 remains phononic. It can be shown analytically that in this limit their frequencies are shifted downwards by a field-independent amount from ω_{TO} due to coupling to electrons. Lastly, Ω_1 and Ω_2 which started off as phonon modes evolve into spin-flip modes at large fields and their frequencies vary linearly with Δ_Z . The evolution of eigenmodes of mixed character at all fields but small α is shown in Fig. 3(a).

Polar instability at $\Delta_Z \neq 0$ and phase diagram. The critical coupling constant α_0 [Eq. (33)] was obtained at small fields based on our observation in Fig. 3(c) that the instability starts to take place at $\Delta_Z = 0$. Now we will determine how the instability condition in Fig. 3(c) is modified by the magnetic field and obtain a phase diagram for the critical coupling constant $\alpha_0(\Delta_Z)$ as a function of magnetic field. We do not limit the range of magnetic field and allow the corresponding energy scale Δ_Z to exceed the Fermi energy $(2\mu_0$ to be precise) to reach the one-band limit when the system is fully polarized. The corresponding phase diagram, obtained numerically, is plotted in Fig. 4.

We observe in Fig. 4 that the critical coupling constant starts off with the value α_0 at $\Delta_Z=0$ and increases with the magnetic field. In the two-band regime, it increases slowly with field, whereas in the one-band regime the critical coupling constant increases faster following a linear dependence. The increase of $\alpha_0(\Delta_Z)$ conversely implies that the critical value of ω_{TO} becomes smaller with increasing field. The crossover from the two-band to the one-band regime happens at $\Delta_Z=2^{-1/3}(2\mu_0)$ [see Eq. (A6) and Fig. 6 of Appendix A 1 for the variation of chemical potential with magnetic fields].

To understand the main features of Fig. 4 analytically, we use the stability condition of mode Ω_5 for the two-band case (36), written as

$$\sqrt{\left(\omega_{\text{TO}}^2 - \Delta_Z^2\right)^2 + \frac{8\alpha}{15}\Delta_Z L(\mu, \Delta_Z)} < \omega_{\text{TO}}^2 + \Delta_Z^2, \quad (40)$$

and consider higher-order magnetic field corrections to L_Z [Eq. (26)]. We remind the readers that L_Z is actually a functional of chemical potential which is a function of magnetic field [Eq. (A3)]; while taking higher-order field corrections for L_Z , this must be kept in mind. Equation (40) leads to the condition on the coupling constant α :

$$\alpha < \frac{15\omega_{\text{TO}}^2 \Delta_Z}{2L_Z}.\tag{41}$$

This condition is of course for the two-band case as is evident from the form of L_Z [Eq. (26)]. Collecting higher-order magnetic field corrections of L_Z in Eq. (41), the stability constraint for Ω_5 can be extended for finite fields as $\alpha < \alpha_0(\Delta_Z)$, where

$$\frac{\alpha_0(\Delta_Z)}{\alpha_0(0)} = \left[1 + \frac{1}{4} \left(\frac{\Delta_Z}{2\mu_0}\right)^2 + \frac{9}{80} \left(\frac{\Delta_Z}{2\mu_0}\right)^4 + \mathcal{O}\left(\frac{\Delta_Z}{2\mu_0}\right)^6\right],\tag{42}$$

with $\alpha_0(0) \equiv \alpha_0$ obtained in Eq. (33).

For fixed carrier density, as the magnetic field is increased further, the system becomes fully polarized, i.e., only the spin-down subband remains (partially) occupied. In this case, the one-band analog of Eq. (36) for Ω_5 takes the form

$$\Omega_5 = \frac{1}{\sqrt{2}} \left[\omega_{\text{TO}}^2 + \Delta_Z^2 - \sqrt{\left(\omega_{\text{TO}}^2 - \Delta_Z^2\right)^2 + \frac{8\alpha}{15} \Delta_Z L_Z^{\text{OB}}} \right]^{1/2},$$
(43)

where $L_Z^{\rm OB} = (2\mu(\Delta_Z) + \Delta_Z)^{5/2}$ is the one-band analog of L_Z , with $\mu(\Delta_Z)$ as the field-dependent chemical potential obtained in Eq. (A5) for the one-band case. Unlike the two-band case, the exact solution for the chemical potential as a function of field is possible in the one-band limit (A5), substituting which in Eq. (43) gives the stability condition for Ω_5 as $\alpha < \alpha_0(\Delta_Z)$, where

$$\frac{\alpha_0(\Delta_Z)}{\alpha_0(0)} = \frac{5}{2^{5/3}} \left(\frac{\Delta_Z}{2\mu_0}\right). \tag{44}$$

The above allows to understand the phase diagram shown in Fig. 4, in the limit of small and large Δ_Z (with respect to μ_0).

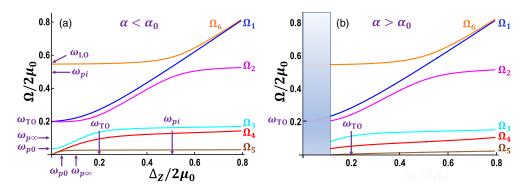


FIG. 5. Hybridization of modes at q=0 in the low carrier density regime ($\omega_{p\infty}\ll\omega_{pi}$) where the LO-TO splitting effects are significant. In the plot, all energy scales are in units of $2\mu_0$ such that $\omega_{pi}/2\mu_0=0.5$, $\omega_{TO}/2\mu_0=0.2$, and $\omega_{p\infty}/2\mu_0=0.1$. The chosen value of ω_{TO} corresponds to $\alpha_0=0.06$, in units of $\sqrt{2\mu_0}$. The polarization angle $\theta_{\bf q}$ has been arbitrarily chosen to be $\pi/3$. (a) Hybridization of modes in the presence of magnetic fields for $\alpha<\alpha_0$. The lowest energy mode Ω_5 is stable. (b) For $\alpha>\alpha_0$, Ω_5 becomes imaginary which indicates the onset of phase transition.

b. Low-density metals: $\omega_{p\infty} \ll \omega_{pi}$. We now turn to the case of low densities where $\omega_{p\infty} \ll \omega_{pi}$, appropriate for doped ferroelectric or paraelectrics, such as BaTiO₃ [41,42], or SrTiO₃ [36,37,43]. The LO-TO splitting effects become large in this regime and we must take the middle term of Eq. (30) into account. In addition to that, the electronic plasma frequency is of the order of phonon frequency in this regime. Consequently, the electronic plasmon and its interaction with the spin-flip and phonon modes will play an important role. The eigenmodes are shown in Fig. 5(a). As expected, there are six positive-definite roots in this regime corresponding to phonon-plasmon modes coupled with spin-flip modes. The coupling between modes is characterized by anticrossing in several magnetic field regimes. In Fig. 5, the parameters are chosen such that $\omega_{p\infty} \ll \omega_{TO} \ll \omega_{pi}$. This also implies $\omega_{\rm TO} \ll \omega_{\rm LO}$, according to Eq. (14). Like in the high carrier density regime, discussed in Sec. III B 2 a, in this regime also one of the spin-flip modes (Ω_5) becomes unstable (imaginary) above a certain value of α , as shown in Fig. 5(b). Moreover, the instability occurs at $\Delta_Z = 0$, the same way as in Sec. III B 2 a. We study the weak-field regime to obtain the critical value of α for this instability followed by moderate and large-field regimes to study mode anticrossing.

Weak magnetic fields. At small fields, the eigenfrequencies are obtained as

$$\begin{split} &\Omega_{1}\approx\omega_{\text{TO}}+\Delta_{Z}\frac{\alpha(2\mu_{0})^{3/2}|\cos\theta_{\mathbf{q}}|}{6\omega_{\text{TO}}^{2}}+\mathcal{O}\big(\Delta_{Z}^{2}\big),\\ &\Omega_{2}\approx\omega_{\text{TO}}-\Delta_{Z}\frac{\alpha(2\mu_{0})^{3/2}|\cos\theta_{\mathbf{q}}|}{6\omega_{\text{TO}}^{2}}+\mathcal{O}\big(\Delta_{Z}^{2}\big),\\ &\Omega_{3}\approx\frac{1}{\sqrt{2}}\Big[\omega_{p\infty}^{2}+\omega_{\text{LO}}^{2}-\sqrt{-4\omega_{p\infty}^{2}\omega_{\text{TO}}^{2}+\big(\omega_{p\infty}^{2}+\omega_{\text{LO}}^{2}\big)^{2}}\Big]^{1/2}\\ &+\mathcal{O}(\Delta_{Z}^{2}),\\ &\Omega_{4}\approx\Delta_{Z}\bigg|1-\frac{\alpha(2\mu_{0})^{3/2}}{3\omega_{\text{TO}}^{2}}\bigg|+\mathcal{O}\big(\Delta_{Z}^{2}\big),\\ &\Omega_{5}\approx\Delta_{Z}\sqrt{1-\frac{2\alpha(2\mu_{0})^{3/2}}{3\omega_{\text{TO}}^{2}}}+\mathcal{O}\big(\Delta_{Z}^{2}\big), \end{split}$$

$$\Omega_6 \approx \frac{1}{\sqrt{2}} \left[\omega_{p\infty}^2 + \omega_{LO}^2 + \sqrt{-4\omega_{p\infty}^2 \omega_{TO}^2 + \left(\omega_{p\infty}^2 + \omega_{LO}^2\right)^2} \right]^{1/2} + \mathcal{O}(\Delta_Z^2), \tag{45}$$

where $\theta_{\mathbf{q}}$ is the polar angle of \mathbf{q} with respect to the z axis. The effect of the direction of \mathbf{q} results from the combination of LO-TO splitting and Zeeman field. For \mathbf{q} along the z axis $(\theta_{\mathbf{q}} = 0)$, $\Omega_{1,2}$ can be observed to coincide with $\Omega_{1,3}$ in the high-density limit [see Eq. (32)]. These modes correspond to phonons polarized in the xy plane, and thus LO-TO splitting does not affect them for **q** along z. The splitting $\sim \Delta_Z$ between them is caused by the off-diagonal terms in the phonon selfenergy (25), that affects only phonons, polarized in the xy plane. As $\theta_{\mathbf{q}}$ increases, one of the transverse modes' polarizations has to rotate towards z, such that for $\theta_{\mathbf{q}} = \pi/2$ it is fully along z. In that case, the self-energy (25) does not lead to direct hybridization of the two transverse modes (since there are no off-diagonal elements coupling z-polarized eigenstates to the other ones), explaining the absence of a $\sim \Delta_Z$ splitting between $\Omega_{1,2}$ at $\theta_{\mathbf{q}} = \pi/2$. Thus the results of the previous section can only be recovered in the $\omega_{pi} \ll \Delta_Z$ limit, where the LO-TO splitting can be ignored.

At small fields, the coupling between different modes stemming from phonons, plasmons, and spin flips is weak. The eigenmodes shown in Fig. 5(a) can be understood in the following manner. In the $\omega_{p\infty} \ll \omega_{TO} \ll \omega_{pi}$ regime, Ω_4 and Ω_5 are spin-flip modes and disperse linearly with Δ_Z . Mode Ω_3 corresponds to the plasmon with ε_0 as a screening factor. And finally Ω_1 and Ω_2 correspond to TO modes, while Ω_3 corresponds to the LO mode. This allows to understand the instability of one of the spin-flip modes Ω_5 in the same way as it is discussed in Sec. III B 2 a. Indeed, the form of Ω_5 in Eq. (45) tells us that the mode becomes unstable for $\alpha > \alpha_0$, where α_0 is defined in Eq. (33) which depends on TO mode frequency. This is the same condition as that obtained for Ω_5 in the high carrier density $(\omega_{p\infty} \gg \omega_{pi})$ regime.

At $\Delta_Z = 0$, one can find a clear distinction between the double-degenerate TO mode [Ω_1 and Ω_2 in Eq. (45) and Fig. 5(a)] and the longitudinal modes [Ω_3 and Ω_6 in Eq. (45) and Fig. 5(a)], arising from the hybridization between the electronic plasmon and the LO phonon. Moreover, as long

as the $\omega_{p\infty} \ll \omega_{pi}$ condition holds, the hybridization between plasmon and LO phonon is weak and therefore Ω_3 and Ω_6 can be identified as plasmon and LO phonon, respectively. As discussed in Sec. III B 1, the plasmon frequency is ω_{p0} in this case, due to screening by fast longitudinal oscillations.

Overall, we have demonstrated that in the presence of LO-TO splitting and low-energy electronic plasmon, the polar instability of the system in the q=0 regime manifests itself in the softening of the spin-current electronic mode Ω_5 .

Moderate and large magnetic fields. As the magnetic field is increased, a coupling of spin-flip modes with plasma and TO and LO modes occurs, which is characterized by anticrossings at $\Delta_Z \sim \omega_{p0}$, $\Delta_Z \sim \omega_{TO}$, and $\Delta_Z \sim \omega_{LO}$, respectively. We reiterate that in the low carrier density regime, the frequency of the plasmon mode is ω_{p0} , not $\omega_{p\infty}$. Therefore, the strong hybridization of spin-flip modes with plasmons occurs first at $\Delta_Z \sim \omega_{p0}$, and then with TO modes at $\Delta_Z \sim \omega_{TO}$. As the magnetic field increases further, the LO mode and one of the spin-flip modes hybridize at $\Delta_Z \sim \omega_{LO}$. Due to this nontrivial hybridization at moderate and large fields, Ω_4 and Ω_5 , which started off as spin-flip modes, evolve into one of the TO modes and low-energy plasma mode, respectively. Mode Ω_3 which started off as ω_{p0} evolves into another TO mode, while Ω_2 transforms from one of the TO modes into the LO mode. Finally, Ω_1 and Ω_6 transform from corresponding TO and LO modes to spin-flip modes at large fields.

IV. PROPERTIES OF THE POLAR TRANSITION

In the previous section, we discussed the excitations of the spin-orbit coupled nearly polar metal for the $\Omega\gg v_Fq$ regime. One of our findings was the instability of the low-energy spin-flip mode above a critical value of the coupling constant [or for TO phonon frequency below a critical value, Eq. (34)]. In particular, its frequency depends linearly on the applied field Δ_Z , but the slope of this dependence (effective g factor) vanishes at $\alpha=\alpha_0$. However, to assess the thermodynamic behavior of the system on the approach to the (quantum or classical) transition, we need to explore the $\Omega\ll q^2/2m_b\ll v_Fq$ regime, where the static limit $\Omega=0$ can be studied.

The goals of this section are to elucidate the nature of the soft mode: to understand whether it occurs at $q \to 0$ or finite q and what is its polarization (assuming it has phononic character). To study this we calculate phonon self-energy, $\Pi_{\alpha\beta}$, in the static limit up to terms of order q^2 assuming $q^2/2m_b \ll v_F q \ll \Delta_Z$, and evaluate the phonon eigenenergies and eigenvectors in this limit.

A. Self-energy at $\Omega = 0$ and finite q

We calculate self-energy at $\Omega = 0$ in the limit $q^2/2m_b \ll v_F q \ll \Delta_Z$. We put $\Omega = 0$ in the general expression for self-energy [Eq. (22)] to get

$$\Pi_{\alpha\beta}(\mathbf{q}) = \frac{\lambda^2}{2} \sum_{s\bar{s}} \int_{\mathbf{k}} k^2 f_{\alpha\beta}^{s\bar{s}}(\theta, \phi) \times \frac{n_F \left(\xi_{\mathbf{k}-\mathbf{q}/2}^{\bar{s}}\right) - n_F \left(\xi_{\mathbf{k}+\mathbf{q}/2}^{s}\right)}{-\left(\varepsilon_{\mathbf{k}+\frac{q}{2}} - \varepsilon_{\mathbf{k}-\frac{q}{2}}\right) - (s - \bar{s})\frac{\Delta_Z}{2}}, \tag{46}$$

where the factors $f_{\alpha\beta}^{s\bar{s}}$ are given in Eq. (23). Note that in contrast to the $\Omega\gg v_Fq$ case, the intraband contributions to Π_{xx} , Π_{yy} , and Π_{xy} are not required to vanish by the conservation law for the spin-current component $j_i^{\sigma_z}$. On the other hand, Π_{zz} is not affected by this, as it consists only of $j_i^{\sigma_{x,y}}$ correlators, leading to interband transitions.

Replacing the Fermi functions in Eq. (46) by Θ functions and upon writing $\mathbf{k} \cdot \mathbf{q} = k\bar{q}$, with $\bar{q} = q_x \sin\theta_\mathbf{k} \cos\phi_\mathbf{k} + q_y \sin\theta_\mathbf{k} \sin\phi_\mathbf{k} + q_z \cos\theta_\mathbf{k}$, we obtain the conditions on the limits of the k integral. Upon using these conditions for k integration, we obtain the self-energy at $q \to 0$ and up to Δ_Z^2 order:

$$\Pi_{\alpha\beta}(\mathbf{q}) \approx -\frac{2\pi V}{\Omega_0^2} \frac{\alpha (2\mu_0)^{3/2}}{4} \left[\left(\frac{8}{3} - \frac{q^2}{3k_F^2} \right) \delta_{\alpha\beta} - \frac{q_\alpha q_\beta}{3k_F^2} \right] \\
- \frac{1}{3} \left(\frac{\Delta_Z}{2\mu_0} \right)^2 (\delta_{\alpha\beta} + \delta_{\alpha3}) + \left(\frac{\Delta_Z}{2\mu_0} \right)^2 \left\{ \frac{q_\alpha q_\beta}{12k_F^2} \right. \\
+ \delta_{\alpha\beta} \left(\frac{17q^2 - 6q_z^2}{480k_F^2} - \delta_{\alpha3} \frac{29q^2 - 12q_z^2}{480k_F^2} \right) \\
+ (\delta_{\alpha1}\delta_{\beta3} + \delta_{\alpha3}\delta_{\beta1} + \delta_{\alpha2}\delta_{\beta3} + \delta_{\alpha3}\delta_{\beta2}) \frac{q_\alpha q_\beta}{80k_F^2} \right]. \tag{47}$$

Terms of order $\mathcal{O}((q^2/2m_b)^2/2\mu_0\Delta_Z)$ and higher are ignored. One observes that in contrast to the $\Omega\gg v_Fq$ case [see Eqs. (24) and (25)], the diagonal components of $\Pi_{\alpha\beta}$ are all equal at $\Delta_Z=0$, $q\to0$. This reflects the additional contribution of intraband terms to $\Pi_{xx,yy}$ in the static limit discussed above.

B. Phonon soft mode

Our goal now is to identify the critical mode of the transition in the static $\Omega=0$ limit. To do that, we study when the phonon eigenfrequencies, renormalized by static self-energy corrections (47), turn to zero. As discussed in Sec. II A 2, for the static case the LO-TO splitting is always significant [Eq. (18)] and must be taken into account. We use the same form of the phonon propagator as in Eq. (19), but with $\Pi_{\alpha\beta}$ obtained in Eq. (47). After substituting Eq. (18) into Eq. (19), we get

$$\mathcal{D}_{\alpha\beta}^{-1} = -\frac{2\pi V}{\Omega_0^2} \Big[\Big(\Omega_m^2 + \omega_{\text{TO}}^2(\mathbf{q}) \Big) \delta_{\alpha\beta} + \omega_{pi}^2 \frac{q_\alpha q_\beta}{q^2 + \kappa^2} + \tilde{\Pi}_{\alpha\beta}(\mathbf{q}) \Big], \tag{48}$$

where $\tilde{\Pi}_{\alpha\beta}(\mathbf{q}) \equiv \Pi_{\alpha\beta}(\mathbf{q})/(2\pi V/\Omega_0^2)$. The roots of Det[$\hat{\mathcal{D}}^{-1}$] = 0 are collective modes of the system.

We start with discussing the case $q \to 0$ (below we will justify that the soft mode is at q=0). We find three eigenenergies:

$$\Omega_1^2(q \to 0) = \omega_{\text{TO}}^2 \left[1 - \frac{\alpha}{\alpha_0} \left\{ 1 - \frac{\Delta_Z^2}{8(2\mu_0)^2} \right\} \right],$$
(49a)

$$\Omega_2^2(q \to 0) = \omega_{\text{TO}}^2 \left[1 - \frac{\alpha}{\alpha_0} \left\{ 1 - \frac{\Delta_Z^2}{8(2\mu_0)^2} \right\} \right], (49b)$$

$$\Omega_3^2(q \to 0) = \omega_{\text{TO}}^2 \left[1 - \frac{\alpha}{\alpha_0} \left\{ 1 - \frac{\Delta_Z^2}{4(2\mu_0)^2} \right\} \right].$$
(49c)

For $\Delta_Z=0$ it is evident that all the eigenfrequencies turn to zero simultaneously at $\alpha=\alpha_0$, corresponding to the usual O(3) universality class of the transition. At finite Δ_Z , not all of the frequencies in Eq. (49) go to zero simultaneously on increasing α . In particular, Ω_1 and Ω_2 go unstable first. Analyzing the **q**-dependent eigenvectors of Eq. (48), we conclude that Ω_1 and Ω_2 in Eq. (49) are always the modes that are polarized perpendicular to the magnetic field. Consequently, we find that in a spin-orbit coupled polar metal, the presence of the magnetic field introduces an easy-plane anisotropy, pinning the polarization of the critical polar mode perpendicular to magnetic fields.

These results can be understood within the framework of Landau theory for the polar order parameter in the presence of a weak magnetic field **H**. The free energy takes the following form (consistent with spherical symmetry above transition):

$$F[\mathbf{P}, \mathbf{H}] \approx [a(T) + \eta \mathbf{H}^2]\mathbf{P}^2 + \zeta (\mathbf{P} \cdot \mathbf{H})^2 + b\mathbf{P}^4.$$
 (50)

From the above Eq. (50), it follows that in a polar metal with spin-orbit coupling, the conduction electrons result in $\eta > 0$ and $\zeta > 0$. Close to the transition, this implies the possibility to orient the polar order orthogonal to the applied magnetic field.

We now turn to the case of finite q. In the $q^2/2m_b \ll v_F q \ll \Delta_Z$ regime, the q^2 corrections to the eigenfrequencies in Eq. (49) are

$$\begin{split} \Omega_{1}^{2}(q) &= \Omega_{1}^{2}(q \to 0) \\ &+ \left[c_{T}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{8k_{F}^{2}} \left\{ 1 - \frac{17}{160} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] (q_{x}^{2} + q_{y}^{2}) \\ &+ \left[c_{T}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{8k_{F}^{2}} \left\{ 1 - \frac{11}{160} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] q_{z}^{2}, \quad (51a) \\ \Omega_{2}^{2}(q) &= \Omega_{2}^{2}(q \to 0) \\ &+ \left[c_{L}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{4k_{F}^{2}} \left\{ 1 - \frac{57}{320} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] (q_{x}^{2} + q_{y}^{2}) \\ &+ \left[c_{T}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{8k_{F}^{2}} \left\{ 1 - \frac{11}{160} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] q_{z}^{2}, \quad (51b) \\ \Omega_{3}^{2}(q) &= \Omega_{3}^{2}(q \to 0) \\ &+ \left[c_{T}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{8k_{F}^{2}} \left\{ 1 + \frac{3}{40} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] (q_{x}^{2} + q_{y}^{2}) \\ &+ \left[c_{L}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{4k_{F}^{2}} \left\{ 1 - \frac{17}{160} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] q_{z}^{2}, \quad (51c) \end{split}$$

where $\Omega_i^2(q \to 0)$ is given in Eq. (49), $c_{T(L)}$ is the TO (LO) phonon velocity with $c_L^2 = c_T^2 + \omega_{pi}^2/(q^2 + \kappa^2)$, and α and α_0 are defined in Eqs. (31) and (33), respectively.

One observes that the rotational symmetry in the xy plane (the plane perpendicular to the direction of the magnetic field) results in the degeneracy of Ω_1 and Ω_2 at $q \to 0$ [Eq. (49)], which is broken by finite q in the plane [Eq. (51)]. Moreover, for $\Delta_Z \ll \mu_0$ the momentum dispersion of each mode in Eq. (51) (terms of order $\sim q^2$), remains positive. This result

also holds in the opposite regime when $\Delta_Z \ll q^2/2m_b \ll v_F q$ (see Appendix C for technical details). Consequently, the zero-energy solution first appears at q=0, proving that the critical mode of the polar transition remains at q=0 when coupled to conduction electrons.

V. DISCUSSION

In this section, we extend the results of Secs. III and IV and discuss their application to experiments. We first address the effects of temperature, orbital magnetic field effects, and finite lifetimes on our results. Next we present an extension of the results of Sec. III to the 2D case, given the recent discovery of several 2D polar metals [5,6]. We conclude this section considering the experimental feasibility of extracting the coupling constant λ in both three and two dimensions, and also discuss the field orientation of the polar order.

A. Applicability of approximations

For most of our derivations in Sec. II and results in Secs. III and IV, we assumed T = 0, clean system, and ignored orbital quantization in the magnetic field. In this section we will discuss the effects of relaxing these approximations and assess their applicability.

1. Finite temperature effects

Finite temperature effects can be analyzed using the general expression for the phonon self-energy, Eq. (22). One observes that T enters the expression only via the Fermi functions. The k integral for all the components of the phonon self-energy [Eq. (22)] has the same general form, which can be written after variable substitution as

$$\Pi_{\alpha\beta}(\Omega_m) \sim \sum_{s\bar{s}} g_{\alpha\beta}^{s\bar{s}}(\Omega_m) \int_{-\mu}^{\infty} d\xi (\xi + \mu)^{3/2} [n_F(\xi - \Delta_Z/2) - n_F(\xi + \Delta_Z/2)], \tag{52}$$

where $\xi = \varepsilon_{\mathbf{k}} - \mu$ and $g_{\alpha\beta}^{s\bar{s}}(\Omega_m) \sim (i\Omega_m - (s-\bar{s})\Delta_Z/2)^{-1}$. Assuming temperatures $T \ll \mu$, the lower limit of ξ integration in Eq. (52) can be extended to $-\infty$. Now we consider two regimes: $\Delta_Z \ll T$ and $\Delta_Z \gg T$. In the $\Delta_Z \ll T$ regime, one can expand the difference of two Fermi functions in Eq. (52), yielding a prefactor proportional to Δ_Z/T . However, after performing the integral to the leading order in T/μ all the T dependence drops out: the ξ integral gives $T\mu^{3/2}(\Delta_Z/T) \sim \mu^{3/2}\Delta_Z$. Likewise, in the opposite regime, i.e., $\Delta_Z \gg T$, one finds that the integral is $\propto \Delta_Z$. Indeed, the explicit calculation suggests that the T-dependent correction appears as $(T/\mu)^2$ in both limits. The final form of Π_{ij} in both regimes, therefore, reads

$$\Pi_{ij}(\Omega_m) \propto \Delta_Z \left\{ 1 + \mathcal{O}\left(\frac{T}{\mu}\right)^2 \right\} + \frac{\Delta_Z^3}{32\mu^2} \left\{ 1 + \mathcal{O}\left(\frac{T}{\mu}\right)^2 \right\} + \dots$$
(53)

So the conclusion is that in both the regimes, the *T*-dependent correction is small, i.e., $\mathcal{O}(T/\mu)^2$, regardless of the Δ_Z value.

2. Resonance broadening due to scattering

We next discuss the broadening of q=0 eigenmodes due to scattering of electrons by nonmagnetic disorder or interactions. To make our point, for simplicity, we consider the high carrier density regime when there is no distinction between LO and TO mode frequencies. We assume the broadening effect to be characterized by the scattering rate Γ which affects only the electron Green's function; phonons are assumed to be sharp in our treatment.

In the phonon self-energy, the presence of disorder simply amounts to replacing $i\Omega_m$ everywhere in Eq. (24) by $\Omega+i\Gamma$ and obtaining real and imaginary parts (see Appendix D for details). The imaginary part shows spin-flip resonances peaked at $\Omega=\Delta_Z$ with Γ as the width of the resonance due to disorder.

We now analyze the effect of this broadening on the hybridized collective modes arising from interaction (1). We illustrate the effect for the energies near the anticrossing point ($\Delta_Z \approx \omega_{TO}$) and at high carrier densities, relevant for the discussion in Sec. V C. We then need to consider the modes Ω_2 and Ω_5 , given in Eq. (36) and shown in Figs. 3(a) and 3(b), which give maximum splitting near the anticrossing point. Assuming Γ to be small compared to the real part of the mode frequencies, one obtains

$$\Omega_{2}^{\Gamma} = \Omega_{2} - \frac{i\Gamma}{2} \left[1 - \frac{\omega_{\text{TO}}^{2} - \Delta_{Z}^{2}}{\sqrt{\frac{8\alpha}{15}\Delta_{Z}L_{Z} + (\omega_{\text{TO}}^{2} - \Delta_{Z}^{2})^{2}}} \right],$$

$$\Omega_{5}^{\Gamma} = \Omega_{5} - \frac{i\Gamma}{2} \left[1 + \frac{\omega_{\text{TO}}^{2} - \Delta_{Z}^{2}}{\sqrt{\frac{8\alpha}{15}\Delta_{Z}L_{Z} + (\omega_{\text{TO}}^{2} - \Delta_{Z}^{2})^{2}}} \right], \quad (54)$$

where $\Omega_{2,5}$ is given in Eq. (36). Equations (54) can be read as resonance peaks at Ω_2^{Γ} and Ω_5^{Γ} with widths given by the imaginary part. As we can see that near the anticrossing $(\omega_{TO} \approx \Delta_Z)$, the second term of the imaginary part vanishes. Therefore, the effect of disorder leads to the width of the resonance for both modes to be $\Gamma/2$.

Finally, in between Ω_2 and Ω_5 in Figs. 3(a) and 3(b), there exists another mode Ω_3 which also gets broadened due to disorder. If we assume that the maximum width of Ω_3 is Γ , then to resolve all three modes the energy splitting must be larger than $3\Gamma/2$.

3. Orbital effects

In our discussion earlier, throughout we only considered Zeeman effects of the magnetic field and ignored its orbital effects. The orbital effect of the magnetic field implies Landau quantization of the electronic levels [44]. In this section we will argue that finite T and scattering effects smear out orbital effects allowing to neglect them with respect to the Zeeman splitting.

Physically, the Landau quantization leads to oscillations of various physical quantities, such as density of states or resistivity in the presence of magnetic fields. Finite temperatures reduce the oscillation amplitude by the factor $R_T R_\sigma$ [45] given by

$$R_T = \frac{2\pi^2 p k_B T / \hbar \omega_c}{\sinh(2\pi^2 p k_B T / \hbar \omega_c)}, \quad R_\sigma = \cos\left(\pi p \frac{\Delta_Z}{\hbar \omega_c}\right), \quad (55)$$

where k_B is the Boltzmann constant, $\omega_c = eB/m_cc$ is the cyclotron frequency with m_c as the cyclotron effective mass, and p is the number of the oscillation harmonic. Here R_σ comes from the energy difference $\hbar\omega_c$ between spin-up and spin-down electrons in the magnetic field. The ratio $\Delta_Z/\hbar\omega_c$ can be written as $gm_c/2m_e$, where m_e is the free electron mass and m_c is defined as $m_c = (\partial A_e/\partial \varepsilon)/2\pi$ with A_e as the extremal cross-section area. In a free-electron system, $g \approx 2$ and $A_e = \pi k_F^2 = 2\pi m_e \mu_0$, which means $m_c = m_e$. The Zeeman term thus becomes $(-1)^p$.

If the magnetic field, cyclotron effective mass, and temperatures are such that $k_B T \gg \hbar \omega_c$, we have

$$R_T = \frac{4\pi^2 p k_B T}{\hbar \omega_c} \exp\left(-\frac{2\pi^2 p k_B T}{\hbar \omega_c}\right).$$
 (56)

For practical conditions of T and B, and m_c not very different from m_e , the above Eq. (56) is a good approximation. Assuming that the electron mass is not very different from the cyclotron effective mass and also the g factor is of order 1, one has $\hbar\omega_c\sim\Delta_Z$. In this case, for $T\gg\Delta_Z$, the oscillation amplitude is suppressed exponentially, resulting in the smearing of Landau levels, while the spin-phonon resonances, Figs. 3 and 5, are still expected to be observed. As has been shown above, for $T\gg\Delta_Z$, the leading finite T correction to the self-energy is of order $(T/\mu_0)^2$, which is small in our assumption. The spin-phonon resonances, therefore, are not significantly affected. Thus, at elevated temperatures, the orbital effects can be ignored.

In the opposite regime, i.e., $k_BT \ll \hbar\omega_c \sim \Delta_Z$, the coupled spin-phonon modes and Landau levels both are sharp and on this ground the orbital effect $(R_T = 1)$ seems to play a significant role too. This is where scattering effects play an important role and we will argue that the orbital effects are suppressed again.

Finite electronic scattering rate (due to disorder or interactions) suppresses both orbital and spin effects of the magnetic field. If the electrons have a finite relaxation time (τ) , parametrized by the damping factor Γ ($\tau \sim \Gamma^{-1}$), the otherwise sharp Landau levels broaden, leading to reduction of the oscillation amplitude [46]. This implies an additional reduction factor, known as the Dingle reduction factor (R_D) [45,46],

$$R_D = \exp\left(-\frac{2\pi p\Gamma}{\hbar\omega_c}\right),\tag{57}$$

that is appended with the oscillation amplitude. We see that the additional factor R_D (the overall oscillation amplitude becomes $R_T R_\sigma R_D$ in the presence of disorder) suppresses the oscillation amplitude exponentially. The spin-phonon resonances are also smeared due to disorder, as discussed in Sec. V A 2; however, the exponential suppression of the orbital effects can be much stronger at low to moderate fields. This suggests that for real not too clean systems, the orbital quantization effects may be negligible also at low temperatures. We note, however, that in the absence of disorder, a mixing between the orbital cyclotron resonance and optical phonons has been theoretically predicted [47] for 2D electrons in quantum wells.

B. Summary of 2D systems

Systems such as few layers of WTe₂ [5] and trilayer superlattices [6] have been reported to be 2D polar metals. With the advent of these materials, it is therefore imperative to study the interaction of electronic excitations and phonons in two dimensions as well. For the purpose of this section we assume $\Omega \gg v_F q$ where it is legitimate to consider q=0 as discussed in Sec. III. Unlike the case in three dimensions, at q=0 the LO-TO distinction vanishes for two dimensions, and there is no need to go to the high carrier density regime [48,49].

In particular, we consider the applied magnetic field to be in the xy plane. We assume an isotropic 2D electron dispersion and choose the field to be along the x axis. Upon explicit calculation at T=0, the self-energy matrix reads

$$\hat{\Pi}(\Omega_m) = -\frac{\lambda^2 m_b^2 A \mu_0}{\pi} \frac{\Delta_Z}{\Omega_m^2 + \Delta_Z^2} \hat{\tilde{\Pi}}_{2D}, \tag{58}$$

where

$$\hat{\tilde{\Pi}}_{2D} = \begin{pmatrix} \Delta_Z & 0 & 0\\ 0 & \Delta_Z & -\Omega_m\\ 0 & \Omega_m & \Delta_Z \end{pmatrix}, \tag{59}$$

and A is area of the crystal. Note that the chemical potential in two dimensions is unaffected by magnetic fields (see Appendix A 2).

Physically, the self-energy matrix (58) and (59) can be understood directly from its three-dimensional (3D) counterpart as discussed in Sec. III A. Since the field is applied along the x direction and for two dimensions $k_z = 0$, one can immediately say that for Π_{xx} only the $j_y^{\sigma_z}$ - $j_y^{\sigma_z}$ correlation contributes. Similarly for Π_{yy} , the only contribution is from the $j_y^{\sigma_z}$ - $j_y^{\sigma_z}$ correlation. Π_{zz} consists of four terms, $j_x^{\sigma_y}$ - $j_x^{\sigma_y}$, $j_y^{\sigma_x}$ - $j_x^{\sigma_y}$, $j_x^{\sigma_y}$ - $j_y^{\sigma_x}$, and $j_y^{\sigma_x}$ - $j_y^{\sigma_x}$ correlations, of which only $j_x^{\sigma_y}$ - $j_x^{\sigma_y}$ contributes. So, unlike for three dimensions, in two dimensions one anticipates $\Pi_{xx} = \Pi_{yy} = \Pi_{zz}$ already by symmetry. Using similar arguments for the off-diagonal contributions one finds that since the field is applied along the x direction, only Π_{yz} and Π_{zy} remain nonzero [Eq. (59)].

Finally, we calculate the coupling of modes the same way as that for three dimensions in Sec. III B 2. We note that in general, the phonon polarized along z should not have the same energy as the ones polarized in the xy plane, as they belong to different irreducible representations. Here we neglect this splitting to illustrate the qualitative effects; it can be included into consideration straightforwardly by modifying the phonon propagator with an additional term $\sim \delta_{\alpha,3}\delta_{\beta,3}$. The 2D analog of Eq. (30), but without LO-TO splitting, can be written as

$$\operatorname{Det}\left[\left(\Omega_{m}^{2}+\omega_{\mathrm{TO}}^{2}\right)\hat{\mathbb{1}}-\alpha_{2D}\frac{\Delta_{Z}}{\Omega_{m}^{2}+\Delta_{Z}^{2}}\hat{\tilde{\Pi}}_{2D}\right]=0,\tag{60}$$

where $\hat{\Pi}_{2D}$ is given in Eq. (59) and the coupling constant λ is parametrized by

$$\alpha_{2D} \equiv \frac{\lambda^2 m_b^2 \Omega_0^2 A \mu_0}{2\pi^2 V},\tag{61}$$

having the unit of Energy². Note that α_{2D} [Eq. (61)] consists of the ratio A/V, which in experimental terms is equivalent to $1/a_0$, where a_0 is the lattice constant. Solving Eq. (60) for

 Ω , we get five positive-definite roots, regarded as spin-phonon resonances, which disperse with Δ_Z . In this case also only the lowest lying mode [2D analog of Ω_5 in Eq. (32) and in Fig. 3] becomes unstable at weak fields for $\alpha_{2D} > \omega_{TO}^2$.

Eigenfrequencies that give maximum splitting near the anticrossing point read

$$\Omega_{2(5)} = \frac{1}{\sqrt{2}} \left[\omega_{\text{TO}}^2 + \Delta_Z^2 \pm \sqrt{\left(\omega_{\text{TO}}^2 - \Delta_Z^2\right)^2 + 4\alpha_{2D}\Delta_Z^2} \right]^{1/2},$$
(62)

where Ω_2 is the one with the "+" sign, while Ω_5 is the one with the "-" sign. Following the same procedure as discussed for the 3D case in Sec. III B 2 a, we obtain the coupling constant:

$$\lambda = \sqrt{\frac{\pi a_0 (\Delta \Omega^2)^2}{2n m_b \Delta_Z^2 \Omega_0^2}}.$$
 (63)

Similar to the 3D case, from the measured $\Delta\Omega^2$ at a particular carrier density and magnetic field, one can determine λ for known m_b , a_0 , and Ω_0 of the material.

C. Experimental proposal

In this section, we will discuss the prospect to measure the electron-phonon coupling constant λ [Eq. (1)] and to orient the polar order by magnetic field. We begin with the former, assuming the case of high-density metals when LO-TO distinction can be ignored. In Fig. 3, it has been shown that the excitations of the nearly polar metal exhibit an anticrossing at $\Delta_Z \approx \omega_{TO}$. The magnitude of this anticrossing allows to extract the value of λ directly [Eq. (39)]. Note that it follows from the definition of the Green's function, Eqs. (30) and (31), that the true expansion parameter for the electron-phonon interaction is $\lambda\Omega_0$. Consequently, while the ionic frequency $\Omega_0 = \sqrt{\varepsilon_\infty}\omega_{pi}$ may not be straightforward to extract from measurements (especially in the strongly metallic case), it is sufficient to deduce for the 3D case

$$\lambda_{\text{phys}} \equiv \lambda \Omega_0 = \sqrt{\frac{\pi (\Delta \Omega^2)^2}{4nm_b \Delta_Z^2}},$$
 (64)

where $\Delta\Omega^2$ is the difference between frequencies squared [see Eq. (37)]. All quantities on the right-hand side of Eq. (64) are experimentally measurable. To estimate the value of $\Delta\Omega$, which could be relevant in determining possible experimental probes, we cast $\lambda\Omega_0$ in Eq. (64) in terms of the parameters used in Refs. [19,20] for the interaction Hamiltonian. Recalling that $\Omega_0 \equiv \sqrt{\varepsilon_\infty} \omega_{pi}$, we can write $\lambda\Omega_0 \equiv \delta t/\sqrt{\rho}$, where $\delta t \equiv n_0(Ze)\lambda$ is the electron-phonon coupling constant parametrized in Ref. [20] and $\rho \equiv Mn_0$ is the ionic mass density with M and n_0 as reduced ionic mass and ionic density, respectively. Replacing Δ_Z by $\omega_{\rm TO}$ (valid near the anticrossing point), we get for $\Delta\Omega$ (assuming weak splitting $\Delta\Omega^2 \approx 2\omega_{\rm TO}\Delta\Omega$) as

$$\Delta\Omega \approx \sqrt{\frac{nm_b\delta t^2}{\pi\,\rho}}.\tag{65}$$

The value of the splitting of the collective mode frequencies, $\Delta\Omega$ [Eq. (65)], can be measured in spectroscopic

experiments that can detect q=0 phonons. The most promising way to study the phonon excitations is undoubtedly inelastic neutron scattering; in particular, powder experiments are sufficient, since the splitting can be observed at q=0. For low-density metals or doped semiconductors (such that the light can penetrate sufficiently deep in the sample), IR spectroscopy can be alternatively used. Both techniques are primarily sensitive to the phonon excitations, meaning that the spin-flip mode itself would be harder to observe. We note that techniques that couple to spin susceptibility (e.g., electron spin resonance) will not observe the modes described here; as has been mentioned above, the spin-flip modes we consider here arise from the spin-current response function, and not spin susceptibility.

The important limiting factor in real experiments would be the magnetic field range needed for the experiments. Assuming the g factor of conduction electrons to have the free-electron value, the required field is of the order of 10 T per 1 meV of the transverse phonon energy ω_{TO} . We note that for a second-order transition, ω_{TO} would go to zero at the critical temperature (or value of external parameter for a quantum transition), and thus the required field can be minimized by going close to the transition. To give an example, for SrTiO₃ the relevant phonon energy is around 2 meV at low temperature [50], suggesting a field of around 20 T (see also below), accessible in modern laboratories. Using Ca substitution [36,37,43], however, SrTiO₃ can be driven ferroelectric, allowing for measurements at lower fields due to softening of the TO phonon.

While the temperature, as discussed above, does not affect the observation of the anticrossing, the electronic scattering rate does. In usual metals, the scattering times are of the order $10^{-14}~{\rm s}~[51,52]$ at room temperature, implying $\Gamma\sim 60~{\rm meV}$ and fields in excess of 600 T to observe the splitting. On the other hand, as the typical residual resistivity ratios can be often of order 100, this implies that disorder scattering in clean samples at low temperatures restricts the field to being above 6 T or less only. This order-of-magnitude estimate suggests that for polar metals with classical transitions well below room temperature the spin-phonon resonance can be potentially observed at fields below 10 T.

We now turn to the possibility of orienting the polar order with magnetic field, discussed in Sec. IV. In particular, we found that the polar order is "pinned" to the plane orthogonal to the field direction (see Fig. 1). The argument above can be readily generalized to systems of lower symmetry. For example, if we consider the tetragonal symmetry, as considered in SrTiO₃ [53], the polar order will be along the pseudocubic [110] or [110] axis without magnetic field. For doped SrTiO₃, we then predict that orienting the magnetic field along one of these axes would pin the polar order along the other axis, allowing on-demand switching of polar order by magnetic fields. This presents an attractive alternative [5,54,55] to electric-field switching that is hard to apply in bulk materials due to screening by conduction electrons. The resulting switching can be probed by transport anisotropy. Indeed, the polar order breaks rotational symmetry, as happens also in nematic phases, where resistivity anisotropy is the conventional probe to detect this state [56]. Field orientation of the polar order would then result in a change in transport anisotropy, and could have applications in spintronics.

We next discuss the candidate materials where the effects discussed here could be observed. Recently, LiOsO₃ [3,4,7] and few-layer WTe₂ [5] have been observed to be intrinsic 3D and 2D polar metals, respectively. On the other hand, there has been also evidence of artificial 2D metals [6], and 3D doped systems such as SrTiO₃ [36,37,43], BaTiO₃ [41,42], and KTaO₃ [57] undergoing polar phase transition. On general grounds one seeks materials with strong spin-orbit coupling and not too low density: the actual strength of electron-phonon coupling effects, Eq. (1), grows with both λ and electron density (due to $k \sim k_F$).

As far as the perovskites are concerned, in SrTiO₃ (STO), λ has been proposed to be the origin of the superconducting pairing [17,18,20] and there is recent theoretical suggestion that it can be indeed sizable [19]. However, STO consists of Ti atoms which are quite light and results in a relatively weak (20–30 meV) SOC effect in the band structure [58]. BaTiO₃ can be then expected to have a comparable λ . Perovskites consisting of heavier elements, such as LiOsO₃ or KTaO₃ (KTO), are expected to have stronger SOC, and hence stronger λ. Indeed, for KTO the SOC-related splitting in the band structure is around 400 meV [59,60], an order of magnitude larger than in STO. For LiOsO₃ it has been reported that, unlike other 5d transition-metal oxides, the effect of SOC on the band structure is small [61]. Nonetheless, the presence of heavy elements in this polar metal may lead to a large value of λ which should be checked experimentally.

Let us now discuss in more detail the most well-studied candidates, STO and KTO. In the insulating phase, the values of ω_{TO} for STO are known from various experimental means such as inelastic neutron scattering [50], Raman spectroscopy [62,63], and hyper-Raman spectroscopy [64–66], while that for KTO is known from inelastic neutron scattering [67], infrared [68], and Raman spectroscopy [63,69]. These numbers are roughly 1.9 meV [50] and 3.1 meV [67] for STO and KTO, respectively. Without any modifications moving the material closer to the polar quantum critical point, we estimate the required magnetic field near the anticrossing point, $B \approx \omega_{TO}/g\mu_B$, for g factors 1.978 [70] for STO and 2.31 [71] for KTO, to be around 17 and 23 T, respectively. The energy scale Ω_0 also is an experimentally accessible parameter and for STO and KTO in their insulating phases is known from inelastic neutron measurements to be 194.4 meV [50] and 168 meV [67], respectively. Using these values, the required carrier density to ignore LO-TO splitting (such that $\omega_{p\infty} \gg \omega_{pi}$) comes out to be around $10^{19} - 10^{20}$ cm⁻³.

Now we provide an estimate for the mode splitting, $\Delta\Omega$ [Eq. (65)], in strongly doped STO near the anticrossing point. The density functional theory (DFT) calculated value of δt that appears in Eq. (65) for the case of STO suggests $\delta t = t'_{xy}a_0 \approx 421$ meV [19], where $a_0 = 3.9$ Å is the lattice constant for STO. However, the pairing interaction energy for this value of δt is too weak for superconducting T_c to be consistent with experiments. To get the values of T_c in the relevant range, the BCS coupling constant $\lambda_{BCS} = \nu_F V_{eff}$, where ν_F is the electronic density of states and V_{eff} is the effective attractive interaction which implicitly depends on δt , needs to be cranked up. This can be achieved by going near the polar

quantum critical point (QCP), as shown in Ref. [19]. Using the values obtained in Ref. [19], we find the cranked up V_{eff} to be around 2 eV, which gives new δt to be roughly five times the DFT value. For this new δt , and using the experimentally known parameters of STO, i.e., $m_b = 7m_e$ [72] with m_e as bare electronic mass, and $\rho \approx 5$ g/cm³ [20], we obtain the mode splitting $\Delta\Omega$ [Eq. (65)] to be around 0.4 meV at carrier densities of order 10²⁰ cm⁻³. This is the required value of $\delta\Omega$ at the given carrier density if superconductivity in STO is indeed driven by Rashba-type electron-phonon coupling [Eq. (1)]. For even higher carrier density, e.g., 10^{21} cm⁻³, $\Delta\Omega \approx 1.3$ meV (assuming the same effective mass is applicable). We note that in doped STO, the TO phonon frequency also increases with density: using the relation in Ref. [73] with a value of 1.9 meV [50] for the undoped case, we get $\omega_{TO} \approx$ 4.6 and 13.5 meV for $n = 10^{20}$ cm⁻³ and $n = 10^{21}$ cm⁻³, respectively. This corresponds to magnetic fields of around 50 and 140 T. These numbers, however, can be reduced by tuning the system closer to the polar QCP: isovalent substitution or strain can be used for that matter [32,33,43]. In particular, for systems sufficiently close to QCP, ω_{TO} can be made arbitrarily small, reducing the required fields to be in the practically attainable range.

Doped KTO may also be a promising candidate for the observation of the effects discussed here: with the spin-orbit coupling effects being roughly 20 times larger than that in STO [59,60], one can expect the splitting $\Delta\Omega$ to be (using $\delta t \approx 20\delta t_{\rm STO}$, with $\delta t_{\rm STO} \approx 421$ meV [19], $m_b \approx 0.8 m_e$ [74]) around 0.6 meV for $n = 10^{20}$ cm⁻³ (which is achievable with chemical doping [75]).

From the above discussion, it is clear, however, that an ideal system for the observation of effects discussed here would be an intrinsic polar metal with large density of itinerant electrons [due to the density dependence of Eq. (65)]; LiOsO₃ may provide such an example.

VI. CONCLUSIONS

In this paper, we have analyzed interactions between the collective modes and the order parameter fluctuations of metallic systems close to polar transitions in the presence of magnetic fields. More specifically we have considered the effects of spin-orbit coupling mediated interactions between electrons and phonons, both transverse and longitudinal, as allowed by symmetry. We have found that phonons, plasmons, and magnetic-field-induced spin-flip transitions all can hybridize, leading to anticrossings in the spectra (Figs. 3 and 5). We have demonstrated that the splitting energies at the anticrossings can be used to determine the strength of the spin-orbit coupling mediated interactions between electrons and phonons in spectroscopic experiments, such as inelastic neutron scattering or IR spectroscopy (Sec. VC). The polar transition manifests itself in the softening of the effective g factor of a hybridized spin-flip excitation at the transition. Additionally we have shown (Sec. IV) that the order parameter of the polar phase can be oriented by magnetic field in a polar metal; in particular, the electron-phonon interaction pins it to the plane orthogonal to the field direction. The proposed measurements will put important constraints on theoretical descriptions of polar metals, particularly in their superconducting states where there are many mysteries. More generally our results facilitate the search for new types of superconducting and non-Fermi liquid states near polar critical points and suggest ways to control polar order in metallic systems that hold promise for future applications.

ACKNOWLEDGMENTS

We thank G. Aeppli, P. Armitage, P. Coleman, A. Klein, D. Maslov, E. Shimshoni, and Y. Yanase for discussions. A.K. and P.C. are supported by DOE Basic Energy Sciences Grant No. DE-SC0020353 and P.A.V. is supported by a Rutgers Center for Material Theory Postdoctoral Fellowship. P.A.V. and P.C. acknowledge the Aspen Center for Physics where part of this work was performed, which is supported by National Science Foundation Grant No. PHY-1607611. This work was partially supported by a grant from the Simons Foundation (P.A.V.).

APPENDIX A: EFFECT OF MAGNETIC FIELD ON THE CHEMICAL POTENTIAL

For the purpose of our problem, we do not constrain the largeness of applied field and in general it can be such that the system is either partially polarized, when both the spin-split conduction bands are partially occupied (two-band case), or fully polarized, when only the lower conduction band is partially occupied (one-band case). The former corresponds to the case when chemical potential is the largest energy scale in the system while the latter corresponds to when Zeeman energy is the largest scale. In this section, we briefly review the effect of magnetic field on the chemical potential in both three and two dimensions.

1. Three dimensions

The exact form of the chemical potential, obtained numerically, as a function of magnetic field is plotted in Fig. 6. One observes that the crossover from the two-band regime to the one-band regime occurs at $\Delta_Z = 2\mu_0/2^{1/3}$. To understand this result analytically, we provide the derivation for two-band and one-band cases separately.

a. Two-band case. The spin-up and spin-down densities are $n_{\uparrow} = [m_b(2\mu(\Delta_Z) - \Delta_Z)]^{3/2}/6\pi^2$ and $n_{\downarrow} = [m_b(2\mu(\Delta_Z) + \Delta_Z)]^{3/2}/6\pi^2$

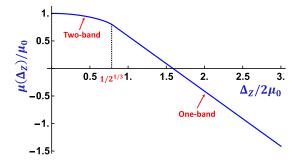


FIG. 6. Variation of chemical potential with magnetic field.

 $(\Delta_Z)]^{3/2}/6\pi^2$. The total number density is the sum of the two:

$$n = \frac{m_b^{3/2}}{6\pi^2} [(2\mu(\Delta_Z) - \Delta_Z)^{3/2} + (2\mu(\Delta_Z) + \Delta_Z)^{3/2}], \quad (A1)$$

which can also be written as

$$2\left(\frac{2\mu_0}{\Delta_Z}\right)^{3/2} = \left(\frac{2\mu(\Delta_Z)}{\Delta_Z} - 1\right)^{3/2} + \left(\frac{2\mu(\Delta_Z)}{\Delta_Z} + 1\right)^{3/2}.$$
(A2)

Here $\mu_0=(3\pi^2n)^{2/3}/2m_b$ is the chemical potential in the absence of magnetic field. The above Eq. (A2) needs to be solved for $\mu(\Delta_Z)$. The solution exists only when $2\mu_0\geqslant 2^{1/3}\Delta_Z$. The exact analytical form is too complicated to provide here; however, it can certainly be written in the asymptotic limit when $2\mu_0\gg\Delta_Z$ (by definition it is $2\mu_0$, instead of μ_0). The perturbative solution of Eq. (A2) is

$$2\mu(\Delta_Z) = 2\mu_0 - \frac{\Delta_Z^2}{4(2\mu_0)} - \frac{\Delta_Z^4}{16(2\mu_0)^3}.$$
 (A3)

As one can see the leading-order correction is quadratic in Zeeman energy.

b. One-band case. This limit corresponds to $2\mu_0 \ll \Delta_Z$. In this case, only the lower conduction band, i.e., the spin-down subband, is partially occupied and the spin-up density is zero. So we have the condition

$$n = n_{\downarrow} = \frac{1}{6\pi^2} [m_b (2\mu(\Delta_Z) + \Delta_Z)]^{3/2},$$
 (A4)

which can be solved straightforwardly for $\mu(\Delta_Z)$ to give

$$\mu(\Delta_Z) = 2^{2/3}\mu_0 - \frac{\Delta_Z}{2}.$$
 (A5)

While obtaining Eq. (A5), we assumed that $2\mu(\Delta_Z) < \Delta_Z$. Applying this condition in Eq. (A5), it is trivial to see that $2\mu_0 < 2^{1/3}\Delta_Z$. So the actual crossover from the two-band to the one-band regime occurs at $2\mu_0/\Delta_Z = 2^{1/3}$.

Combining Eqs. (A3) and (A5), we get

$$\frac{\mu(\Delta_Z)}{\mu_0} = \left[1 - \frac{1}{4} \left(\frac{\Delta_Z}{2\mu_0}\right)^2 - \frac{1}{16} \left(\frac{\Delta_Z}{2\mu_0}\right)^4\right] \Theta\left(\frac{1}{2^{1/3}} - \frac{\Delta_Z}{2\mu_0}\right) + \left(2^{2/3} - \frac{\Delta_Z}{2\mu_0}\right) \Theta\left(\frac{\Delta_Z}{2\mu_0} - \frac{1}{2^{1/3}}\right). \tag{A6}$$

Note that the expression of the two-band case is obtained perturbatively, whereas the one-band result is exact.

2. Two dimensions

The spin-up and spin-down densities are $n_{\uparrow} = [m_b(2\mu(\Delta_Z) - \Delta_Z)]/4\pi$ and $n_{\downarrow} = [m_b(2\mu(\Delta_Z) + \Delta_Z)]/4\pi$. The total density is the sum of n_{\uparrow} and n_{\downarrow} , which simply gives $n = n_{\uparrow} + n_{\downarrow} = m_b \mu(\Delta_Z)/\pi$. This relation suggests that $\mu(\Delta_Z) = \mu_0$, which means that the chemical potential in two dimensions remains the same as that in the absence of the magnetic field. For the one-band case, similar to three dimensions, we have $\mu(\Delta_Z) = 2\mu_0 - \Delta_Z/2$.

APPENDIX B: APPLICABILITY OF THE PERTURBATION THEORY

The calculations we have presented in Secs. III and IV have been performed in the disordered phase with $\alpha < \alpha_0$ (apart from a phenomenological discussion of Landau theory in Sec. IV). We have assumed that α is sufficiently small that we need to consider only leading-order diagrams, noting that for $\alpha > \alpha_0$ this may not be the case. In this Appendix we justify our weak-coupling assumption used by estimating the effect of critical fluctuations on the electronic self-energy; this we do by calculating its dimensionless coupling constant (C). Qualitatively, we find that $C \approx C_1 \log(mc_T^2/\omega_{TO}^2)$, where C_1 is some other dimensionless parameter [obtained later in this section from explicit calculation; see Eq. (B8)] composed of coupling constant λ and material parameters. Here, $\omega_{\text{TO}}' = \omega_{\text{TO}} (1 - \alpha/\alpha_0)^{1/2}$ and c_T' are the renormalized TO phonon mass and velocity, respectively. From the earlier estimate in Ref. [20], $C_1 \ll 1$. Therefore, as long as we are away from the renormalized critical point, the perturbation theory is valid and our assumption is controllable. At the critical point, however, i.e., $\omega'_{TO} \rightarrow 0$, the log(···) diverges, and the perturbation theory breaks down. At any rate, we are always away from the critical point.

Now we discuss the calculation in detail. The fermionic self-energy for the interaction between electrons and a single TO phonon can be written as

$$\Sigma(\mathbf{k}, \epsilon_m) = -\lambda^2 T \sum_n \int_{\mathbf{q}} \sum_{\mu\nu} \Gamma_{\mu\nu}(\mathbf{k}, \mathbf{q}) \frac{-2\omega_{\mathbf{q}}}{\omega_n^2 + \omega_{\mathbf{q}}^2} \times G(\mathbf{k} + \mathbf{q}, \epsilon_m + \omega_n),$$
(B1)

where $\int_{\mathbf{q}}$ is a shorthand for $\int d^3q/(2\pi)^3$, $G(\mathbf{k}, \epsilon_m)$ is the Matsubara analog of the electron's Green's function, and

$$\Gamma_{\mu\nu}(\mathbf{k}, \mathbf{q}) = \text{Tr}\left[\left(\mathbf{k} + \frac{\mathbf{q}}{2} \times \boldsymbol{\sigma}\right)_{\mu} e_{\mu}(\mathbf{q}) e_{\nu}(\mathbf{q}) \left(\mathbf{k} + \frac{\mathbf{q}}{2} \times \boldsymbol{\sigma}\right)_{\nu}\right] \times \frac{\omega_{\mathbf{q}} [\varepsilon_{0}(\mathbf{q}) - \varepsilon_{\infty}]}{4\pi}$$
(B2)

is the electron-phonon interaction vertex. Upon careful calculation of the interaction vertex [Eq. (B2)], the self-energy [Eq. (B1)] at T=0 can be written as

$$\Sigma(\mathbf{k}, \epsilon_m) = \frac{\lambda^2 \Omega_0^2}{\pi} \int \frac{d\omega}{2\pi} \int_{\mathbf{q}} \frac{1}{i(\epsilon_m + \omega) - \xi_{\mathbf{k}+\mathbf{q}}} \frac{1}{\omega^2 + \omega_{\mathbf{q}}^2}$$

$$\times \left[k^2 (1 + \cos^2 \theta) + 2kq \cos^3 \theta + \frac{q^2}{2} \left\{ \sin^4 \theta \left(1 - \frac{\sin^2 2\phi}{2} \right) + \cos^4 \theta \right\} \right]. \quad (B3)$$

We assume that the external frequency ϵ is small compared to all the other energy scales in the system. This allows us to expand the electron Green's function in Eq. (B3) up to linear order in $i\epsilon_m$. Upon frequency integral, the above Eq. (B3), after analytic continuation and then derivative with respect to

 ϵ , can be written as

$$\frac{d\Sigma(k,\epsilon)}{d\epsilon} = -\frac{\lambda^2 \Omega_0^2}{\pi} \int_{\mathbf{q}} \frac{1}{2\omega_q} \left(\frac{\Theta(\xi_{\mathbf{k}+\mathbf{q}})}{(\omega_{\mathbf{q}} + \xi_{\mathbf{k}+\mathbf{q}})^2} + \frac{\Theta(-\xi_{\mathbf{k}+\mathbf{q}})}{(\omega_{\mathbf{q}} - \xi_{\mathbf{k}+\mathbf{q}})^2} \right) \times \left[k^2 \left(1 + \cos^2 \theta \right) + 2kq \cos^3 \theta + \frac{q^2}{2} \left\{ \sin^4 \theta \left(1 - \frac{\sin^2 2\phi}{2} \right) + \cos^4 \theta \right\} \right]. \tag{B4}$$

At $k = k_F$, and assuming $q \ll k_F$, the first term of $[\cdots]$ in Eq. (B4) gives the dominant contribution. The integral over $\cos \theta$ in Eq. (B4) has to be done carefully: knowing that $q \ll k_F$, in the first term the Θ function constrains the lower limit of $\cos \theta$ integral by $(-q/2k_F)$, while in the second term it constrains the upper limit by $(-q/2k_F)$. Up to a prefactor $k_F^2/(v_Fq)^2$, the $\cos \theta$ integral can be written as

$$\int_{-q/2k_F}^{1} dx \frac{1+x^2}{\left(x+\frac{q}{2k_F}+\frac{\omega_{\mathbf{q}}}{v_F q}\right)^2} + \int_{-1}^{-q/2k_F} dx \frac{1+x^2}{\left(x+\frac{q}{2k_F}-\frac{\omega_{\mathbf{q}}}{v_F q}\right)^2},$$
(B5)

where $x \equiv \cos \theta$. For $q \gg \omega_{TO}'/c_T'$, the TO phonon dispersion can be considered as linear in q. This also bounds the lower limit of the q integral at ω_{TO}'/c_T' . Overall, in the limit $q/2k_F \ll c_T'/v_F \ll 1$, the x integral [Eq. (B5)] simply gives $2v_F/c_T'$. Finally, Eq. (B4) can be written as

$$\frac{d\Sigma(k,\epsilon)}{d\epsilon} \approx -\frac{\lambda^2 \Omega_0^2 k_F^2}{c_T'^2 v_F 4\pi^3} \int_{\omega_{\text{TO}}/s}^{2k_F c_T'/v_F} dq q^2 \frac{1}{q^3}$$

$$\approx -\frac{\lambda^2 \Omega_0^2 k_F^2}{c_T'^2 v_F 4\pi^3} \log\left(\frac{m c_T^2}{\omega_{\text{TO}}'}\right). \tag{B6}$$

The dimensionless coupling constant is, therefore,

$$C = \nu_F \frac{\lambda^2 \Omega_0^2}{c_T^{\prime 2}} \frac{1}{4\pi} \log \left(\frac{m c_T^{\prime 2}}{\omega_{TO}^{\prime}} \right) \equiv C_1 \log \left(\frac{m c_T^{\prime 2}}{\omega_{TO}^{\prime}} \right), \quad (B7)$$

where

$$C_1 = \nu_F \frac{\delta t^2 k_F^2}{\rho(c_T^2 k_F^2)} \frac{1}{4\pi}$$
 (B8)

with $v_F = m_b k_F / \pi^2$ as the total electronic density of states, ρ as the ionic mass density, and δt as some energy which parametrizes electron-phonon coupling defined in Sec. V C of the main text.

We can compare the dimensionless coupling constant C with α/α_0 . From Eqs. (31) and (33),

$$\frac{\alpha}{\alpha_0} = \frac{\lambda^2 \Omega_0^2 m_b^{5/2} (2\mu_0)^{3/2}}{3\pi^3 \omega_{\text{TO}}^2} \equiv \nu \frac{\delta t^2 k_F^2}{\rho \omega_{\text{TO}}^2} \frac{1}{3\pi}, \quad (B9)$$

where we recall that ω_{TO} is the bare TO phonon frequency which is finite in our model. The forms of C [Eq. (B7)] and α/α_0 [Eq. (B9)] are equivalent (up to a logarithm) except that in the latter, by definition, it is the TO phonon mass that appears. This makes sense in the regime when phonon dispersion is such that $\omega'_{TO} \ll c_T q \ll \omega_{TO}$; the former condition is used

for fermion self-energy while the latter is used for phonon self-energy. The condition $\omega_{TO}' = \omega_{TO}(1-\alpha/\alpha_0) < \omega_{TO}$ is legitimate because electron-phonon coupling shifts the polar critical point to a lower value.

Most importantly, one observes that for any finite fixed value of ω_{TO} , the self-energy effect can be made small for $\alpha \ll \alpha_0$ (i.e., λ being small), justifying the perturbative treatment in the main part of the paper.

APPENDIX C: INVESTIGATION OF MODE INSTABILITY AT FINITE $q: \Delta_Z \ll q^2/2m \ll v_F q$

We discussed in Sec. IV of the main text that in the $q^2/2m_b \ll v_F q \ll \Delta_Z$ regime, the dispersion of phonon modes never becomes negative [Eqs. (51)]. To show that this is also the case when $q^2/2m_b$, $v_F q$ shoots through Δ_Z , we explore the opposite regime when $\Delta_Z \ll q^2/2m_b \ll v_F q$.

We first calculate phonon self-energy at $\Omega = 0$ in this regime which up to order Δ_Z^2 and q^2 reads

$$\Pi_{\alpha\beta}(\mathbf{q}) \approx -\frac{2\pi V}{\Omega_0^2} \frac{\alpha (2\mu_0)^{3/2}}{4} \left[\left(\frac{8}{3} - \frac{q^2}{3k_F^2} \right) \delta_{\alpha\beta} - \frac{q_\alpha q_\beta}{3k_F^2} \right]
- \frac{1}{3} \left(\frac{\Delta_Z}{2\mu_0} \right)^2 (\delta_{\alpha\beta} + \delta_{\alpha3})
+ \left(\frac{\Delta_Z}{2\mu_0} \right)^2 \left\{ \delta_{\alpha\beta} \frac{q^2}{30k_F^2} + \frac{q_\alpha q_\beta}{15k_F^2} \right.
+ \delta_{\alpha\beta} (\delta_{\alpha1} + \delta_{\alpha2}) \frac{q^2 + q_z^2 + q_\alpha^2}{60k_F^2}
+ (\delta_{\alpha1}\delta_{\beta2} + \delta_{\alpha2}\delta_{\beta1}) \frac{q_\alpha q_\beta}{60k_F^2} \right\} .$$
(C1)

Here, terms of order $\mathcal{O}(\Delta_Z^4/(q^2/2m_b)^2)$ and higher are ignored.

The eigenmodes of the system are the roots of $\text{Det}[\hat{\mathcal{D}}^{-1}] = 0$, where $\hat{\mathcal{D}}$ given in Eq. (48) accounts for phonon self-energy in Eq. (C1). The resulting modes are

$$\begin{split} \Omega_{1}^{2} &= \omega_{\text{TO}}^{2} \left[1 - \frac{\alpha}{\alpha_{0}} \left\{ 1 - \frac{\Delta_{Z}^{2}}{8(2\mu_{0})^{2}} \right\} \right] \\ &+ \left[c_{T}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{8k_{F}^{2}} \left\{ 1 - \frac{3}{20} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] (q_{x}^{2} + q_{y}^{2}) \\ &+ \left[c_{T}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{8k_{F}^{2}} \left\{ 1 - \frac{1}{5} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] q_{z}^{2}, \quad \text{(C2a)} \\ \Omega_{2}^{2} &= \omega_{\text{TO}}^{2} \left[1 - \frac{\alpha}{\alpha_{0}} \left\{ 1 - \frac{\Delta_{Z}^{2}}{8(2\mu_{0})^{2}} \left(2 - \frac{q_{z}^{2}}{q^{2}} \right) \right\} \right] \\ &+ \left[c_{T}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{8k_{F}^{2}} \left\{ 1 - \frac{1}{10} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] (q_{x}^{2} + q_{y}^{2}) \\ &+ \left[c_{T}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{8k_{F}^{2}} \left\{ 1 - \frac{1}{5} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \right\} \right] q_{z}^{2}, \quad \text{(C2b)} \end{split}$$

$$\begin{split} \Omega_{3}^{2} &= \omega_{\text{TO}}^{2} \bigg[1 - \frac{\alpha}{\alpha_{0}} \bigg\{ 1 - \frac{\Delta_{Z}^{2}}{8(2\mu_{0})^{2}} \bigg(1 + \frac{q_{z}^{2}}{q^{2}} \bigg) \bigg\} \bigg] \\ &+ \bigg[c_{L}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{4k_{F}^{2}} \bigg\{ 1 - \frac{1}{5} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \bigg\} \bigg] (q_{x}^{2} + q_{y}^{2}) \\ &+ \bigg[c_{L}^{2} + \frac{\alpha}{\alpha_{0}} \frac{\omega_{\text{TO}}^{2}}{4k_{F}^{2}} \bigg\{ 1 - \frac{3}{20} \frac{\Delta_{Z}^{2}}{(2\mu_{0})^{2}} \bigg\} \bigg] q_{z}^{2}. \end{split} \tag{C2c}$$

We emphasize again that the above result is obtained up to Δ_Z^2 and q^2 order. Therefore, from Eqs. (C2) it appears that one can still go to the $q \to 0$ limit. This would be wrong because in the nondispersive term of $\Omega_{1,2,3}$ (or massive terms), the higher-order correction is of order $\mathcal{O}(\Delta_Z^4/(q^2/2m_b)^2)$. One cannot simply take the $q \to 0$ limit here given our initial assumption. Hence in the small- Δ_Z regime, one must focus only on dispersive terms (terms of order $\sim q^2$).

It is evident from Eqs. (C2) that for $\Delta_Z \ll 2\mu_0$ the dispersion of $\Omega_{1,2,3}$ never becomes negative, which indicates that the instability does not occur at finite q. One needs to go back to the small-q regime to understand the instability at $q \to 0$, which is discussed in Sec. IV of the main text.

APPENDIX D: SCATTERING EFFECTS ON THE MODE HYBRIDIZATION AT q=0

In this section we will give technical details of the effect of scattering rate, characterized by Γ , on phonon self-energy at q=0 and T=0. The physical consequences of this on the mode hybridization are discussed in Sec. V A 2 of the main text. The electron Green's function in the spectral representation [31,76] is written as

$$\frac{1}{i\omega_n - \xi_{\mathbf{k}} - r\frac{\Delta_z}{2}} = \int_{-\infty}^{\infty} \frac{d\Omega}{2\pi} \frac{A(\Omega, r)}{i\omega_n - \Omega},$$
 (D1)

where

$$A(\Omega, r) = \frac{2\Gamma}{(\Omega - \xi_{\mathbf{k}} - r\Delta_{\mathbf{Z}}/2)^2 + \Gamma^2}$$
 (D2)

is the spectral function. In this representation the phonon self-energy $[Eq.\ (20)]$ is written as

$$\Pi_{ij}(\Omega_m) = \frac{\lambda^2 \Omega_0^2}{8\pi^2} \sum_{s\bar{s}} \int_{-1}^1 d(\cos\theta) \int_0^{2\pi} \frac{d\phi}{2\pi} f_{ij}^{s\bar{s}}(\theta,\phi)$$
$$\times \int_0^\infty \frac{dk}{2\pi} k^4 \int_{-\infty}^\infty \frac{d\Omega_1}{2\pi}$$

$$\times \int_{-\infty}^{\infty} \frac{d\Omega_2}{2\pi} A(\Omega_1, \bar{s}) A(\Omega_2, s)$$

$$\times T \sum_{\omega_1} \frac{1}{i\omega_n - \Omega_1} \frac{1}{i(\omega_n + \Omega_m) - \Omega_2}, \quad (D3)$$

where $f_{ij}^{s\bar{s}}$ is the coherence factor given in Eq. (23) of main text, and $A(\Omega, r)$ is the spectral function given in Eq. (D2). The frequency summation in Eq. (D3) gives

$$T\sum_{\omega_n} \frac{1}{i\omega_n - \Omega_1} \frac{1}{i(\omega_n + \Omega_m) - \Omega_2} = \frac{n_F(\Omega_1) - n_F(\Omega_2)}{i\Omega_m - \Omega_2 + \Omega_1}.$$
(D4)

Now we take the imaginary part of Π_{ij} , which amounts to writing $1/[i\Omega_m - \Omega_2 + \Omega_1]$ as $-\pi\delta(\Omega - \Omega_2 + \Omega_1)$ according to the Sokhotski formula. Upon doing the Ω_2 integral, angle integration, and making a variable substitution from k to $\xi_{\bf k}$ according to the free-electron dispersion relation, $k^2/2m_b - \mu = \xi_{\bf k}$, we get at T = 0

$$\begin{split} \operatorname{Im}\Pi_{ij}(\Omega) &= -\frac{\alpha\Gamma^{2}}{\sqrt{2}} \sum_{s\bar{s}} h_{ij}^{s\bar{s}} \int_{-\mu}^{\infty} d\xi (\xi + \mu)^{3/2} \int_{-\Omega}^{0} \frac{d\Omega_{1}}{2\pi} \\ &\times \frac{1}{(\Omega_{1} - \xi - \bar{s}\Delta_{Z}/2)^{2} + \Gamma^{2}} \\ &\times \frac{1}{(\Omega_{1} + \Omega - \xi - s\Delta_{Z}/2)^{2} + \Gamma^{2}}, \end{split} \tag{D5}$$

where $\xi \equiv \xi_{\mathbf{k}}$ and

$$h_{xx}^{s\bar{s}} = \int_{-1}^{1} d(\cos\theta) \int_{0}^{2\pi} \frac{d\phi}{2\pi} f_{xx}^{s\bar{s}}(\theta, \phi) = \frac{4}{3},$$

$$h_{yy}^{s\bar{s}} = \int_{-1}^{1} d(\cos\theta) \int_{0}^{2\pi} \frac{d\phi}{2\pi} f_{yy}^{s\bar{s}}(\theta, \phi) = \frac{4}{3},$$

$$h_{zz}^{s\bar{s}} = \int_{-1}^{1} d(\cos\theta) \int_{0}^{2\pi} \frac{d\phi}{2\pi} f_{zz}^{s\bar{s}}(\theta, \phi) = \frac{4}{3}(1 - s\bar{s}),$$

$$h_{xy}^{s\bar{s}} = \int_{-1}^{1} d(\cos\theta) \int_{0}^{2\pi} \frac{d\phi}{2\pi} f_{xy}^{s\bar{s}}(\theta, \phi) = \frac{2i}{3}(s - \bar{s}). \quad (D6)$$

Since $\xi \ll \mu$, $(\xi + \mu)^{3/2} \approx \mu^{3/2}$ and the lower limit of the ξ integral can be extended to $-\infty$. After doing a straightforward integral we obtain the imaginary part of Π_{ij} :

$$\begin{split} & \operatorname{Im}\Pi_{xx}(\Omega) = \operatorname{Im}\Pi_{yy}(\Omega) = \frac{1}{2} \operatorname{Im}\Pi_{zz}(\Omega) = -\frac{\alpha(2\mu)^{3/2}}{12} \left[\frac{4\Omega\Gamma}{(\Omega - \Delta_Z)^2 + 4\Gamma^2} + \frac{4\Omega\Gamma}{(\Omega + \Delta_Z)^2 + 4\Gamma^2} \right], \\ & \operatorname{Im}\Pi_{xy}(\Omega) = -\operatorname{Im}\Pi_{yx}(\Omega) = -\frac{\alpha(2\mu)^{3/2}}{12} \left[\frac{4\Gamma^2 - \Omega^2 + \Delta_Z^2}{(\Omega - \Delta_Z)^2 + 4\Gamma^2} - \frac{4\Gamma^2 - \Omega^2 + \Delta_Z^2}{(\Omega + \Delta_Z)^2 + 4\Gamma^2} \right]. \end{split} \tag{D7}$$

The real parts can be calculated from the Kramers-Kronig relation,

$$\operatorname{Re}\Pi_{ij}(\Omega) = \frac{1}{\pi} \mathcal{P} \int_{-\infty}^{\infty} d\Omega' \frac{\operatorname{Im}\Pi_{ij}(\Omega')}{\Omega' - \Omega},$$
(D8)

where \mathcal{P} denotes the Cauchy principal value, and are written as

$$Re\Pi_{xx}(\Omega) = Re\Pi_{yy}(\Omega) = \frac{1}{2}Re\Pi_{zz}(\Omega) = -\frac{\alpha(2\mu)^{3/2}}{6} \left[\frac{4\Gamma^2 - \Delta_Z(\Omega - \Delta_Z)}{(\Omega - \Delta_Z)^2 + 4\Gamma^2} + \frac{4\Gamma^2 + \Delta_Z(\Omega + \Delta_Z)}{(\Omega + \Delta_Z)^2 + 4\Gamma^2} \right]$$

$$Re\Pi_{xy}(\Omega) = -Re\Pi_{xy}(\Omega) = \frac{\alpha(2\mu)^{3/2}}{12} \left[\frac{4\Omega\Gamma}{(\Omega - \Delta_Z)^2 + 4\Gamma^2} - \frac{4\Omega\Gamma}{(\Omega + \Delta_Z)^2 + 4\Gamma^2} \right].$$
 (D9)

The effect of resonance broadening, coming through self-energy, on hybridized modes is discussed in Sec. VA2 of the main text.

- P. W. Anderson and E. I. Blount, Phys. Rev. Lett. 14, 217 (1965).
- [2] W. X. Zhou and A. Ariando, Jpn. J. Appl. Phys. 59, SI0802 (2020).
- [3] Y. Shi, Y. Guo, X. Wang, A. J. Princep, D. Khalyavin, P. Manuel, Y. Michiue, A. Sato, K. Tsuda, S. Yu, M. Arai, Y. Shirako, M. Akaogi, N. Wang, K. Yamaura, and A. T. Boothroyd, Nat. Mater. 12, 1024 (2013).
- [4] F. Jin, L. Wang, A. Zhang, J. Ji, Y. Shi, X. Wang, R. Yu, J. Zhang, E. W. Plummer, and Q. Zhang, Proc. Natl. Acad. Sci. U.S.A. 116, 20322 (2019).
- [5] Z. Fei, W. Zhao, T. A. Palomaki, B. Sun, M. K. Miller, Z. Zhao, J. Yan, X. Xu, and D. H. Cobden, Nature (London) 560, 336 (2018).
- [6] Y. Cao, Z. Wang, S. Y. Park, Y. Yuan, X. Liu, S. M. Nikitin, H. Akamatsu, M. Kareev, S. Middey, D. Meyers, P. Thompson, P. J. Ryan, P. Shafer, A. N'Diaye, E. Arenholz, V. Gopalan, Y. Zhu, K. M. Rabe, and J. Chakhalian, Nat. Commun. 9, 1547 (2018).
- [7] N. J. Laurita, A. Ron, J.-Y. Shan, D. Puggioni, N. Z. Koocher, K. Yamaura, Y. Shi, J. M. Rondinelli, and D. Hsieh, Nat. Commun. 10, 3217 (2019).
- [8] W. Ding, J. Zhu, Z. Wang, Y. Gao, D. Xiao, Y. Gu, Z. Zhang, and W. Zhu, Nat. Commun. 8, 14956 (2017).
- [9] S. N. Shirodkar and U. V. Waghmare, Phys. Rev. Lett. 112, 157601 (2014).
- [10] R. Fei, W. Kang, and L. Yang, Phys. Rev. Lett. 117, 097601 (2016).
- [11] N. A. Benedek and T. Birol, J. Mater. Chem. C 4, 4000 (2016).
- [12] V. Kozii and L. Fu, Phys. Rev. Lett. 115, 207002 (2015).
- [13] J. Ruhman, V. Kozii, and L. Fu, Phys. Rev. Lett. 118, 227001 (2017).
- [14] S. Kanasugi and Y. Yanase, Phys. Rev. B 98, 024521 (2018).
- [15] S. Kanasugi and Y. Yanase, Phys. Rev. B 100, 094504 (2019).
- [16] V. Kozii, Z. Bi, and J. Ruhman, Phys. Rev. X 9, 031046 (2019).
- [17] M. N. Gastiasoro, T. V. Trevisan, and R. M. Fernandes, Phys. Rev. B 101, 174501 (2020).
- [18] M. N. Gastiasoro, J. Ruhman, and R. M. Fernandes, Ann. Phys. 417, 168107 (2020).
- [19] M. N. Gastiasoro, M. E. Temperini, P. Barone, and J. Lorenzana, arXiv:2109.13207.
- [20] J. Ruhman and P. A. Lee, Phys. Rev. B 94, 224515 (2016).
- [21] C. Collignon, X. Lin, C. W. Rischau, B. Fauqué, and K. Behnia, Annu. Rev. Condens. Matter Phys. 10, 25 (2019).

- [22] J. M. Edge, Y. Kedem, U. Aschauer, N. A. Spaldin, and A. V. Balatsky, Phys. Rev. Lett. 115, 247002 (2015).
- [23] A. Kumar, V. I. Yudson, and D. L. Maslov, Phys. Rev. Lett. 126, 076601 (2021).
- [24] P. A. Volkov, P. Chandra, and P. Coleman, arXiv:2106.11295.
- [25] P. A. Volkov and P. Chandra, Phys. Rev. Lett. 124, 237601 (2020).
- [26] T. Kimura, T. Goto, H. Shintani, K. Ishizaka, T.-h. Arima, and Y. Tokura, Nature (London) 426, 55 (2003).
- [27] N. Hur, S. Park, P. A. Sharma, J. S. Ahm, S. Guha, and S.-W. Cheong, Nature (London) 429, 392 (2004).
- [28] K. Yoo, B. Kotwswararao, J. Kang, A. Shahee, W. Nam, F. Balakrev, V. Zapf, N. Harrison, A. Guda, N. Ter-Oganessian, and K. Kim, npj Quantum Mater. 3, 45 (2017).
- [29] S.-W. Cheong and M. Mostovoy, Nat. Mater. 6, 13 (2007).
- [30] C. Kittel, Quantum Theory of Solids (Wiley & Sons, New York, 1963).
- [31] G. D. Mahan, *Many-Particle Physics*, 3rd ed. (Kluwer Academic/Plenum Press, New York, 2000).
- [32] W. Burke and R. Pressley, Solid State Commun. 9, 191 (1971).
- [33] H. Uwe and T. Sakudo, Phys. Rev. B 13, 271 (1976).
- [34] M. Itoh, R. Wang, Y. Inaguma, T. Yamaguchi, Y.-J. Shan, and T. Nakamura, Phys. Rev. Lett. 82, 3540 (1999).
- [35] T. Mitsui and W. B. Westphal, Phys. Rev. 124, 1354 (1961).
- [36] C. W. Rischau, X. Lin, C. P. Grams, D. Finck, S. Harms, J. Engelmayer, T. Lorenz, Y. Gallais, B. Fauqué, J. Hemberger, and K. Behnia, Nat. Phys. 13, 643 (2017).
- [37] J. Engelmayer, X. Lin, F. Koç, C. P. Grams, J. Hemberger, K. Behnia, and T. Lorenz, Phys. Rev. B 100, 195121 (2019).
- [38] L. Fu, Phys. Rev. Lett. 115, 026401 (2015).
- [39] R. H. Lyddane, R. G. Sachs, and E. Teller, Phys. Rev. **59**, 673 (1941).
- [40] A. Mooradian and G. B. Wright, Phys. Rev. Lett. 16, 999 (1966).
- [41] T. Kolodiazhnyi, M. Tachibana, H. Kawaji, J. Hwang, and E. Takayama-Muromachi, Phys. Rev. Lett. 104, 147602 (2010).
- [42] K. S. Takahashi, Y. Matsubara, M. S. Bahramy, N. Ogawa, D. Hashizume, Y. Tokura, and M. Kawasaki, Sci. Rep. 7, 4631 (2017).
- [43] J. Wang, L. Yang, C. W. Rischau, Z. Xu, Z. Ren, T. Lorenz, J. Hemberger, X. Lin, and K. Behnia, npj Quantum Mater. 4, 61 (2019).
- [44] L. Landau, Z. Phys. 64, 629 (1930).
- [45] D. Shoenberg, *Magnetic Oscillations in Metals*, Cambridge Monographs on Physics (Cambridge University Press, Cambridge, UK, 1984).

- [46] R. B. Dingle and W. L. Bragg, Proc. R. Soc. London A 211, 517 (1952).
- [47] D. S. L. Abergel and V. I. Fal'ko, Phys. Rev. B 77, 035317 (2008).
- [48] P. Cudazzo, I. V. Tokatly, and A. Rubio, Phys. Rev. B 84, 085406 (2011).
- [49] T. Sohier, M. Gibertini, M. Calandra, F. Mauri, and N. Marzari, Nano Lett. 17, 3758 (2017).
- [50] Y. Yamada and G. Shirane, J. Phys. Soc. Jpn. 26, 396 (1969).
- [51] D. Gall, J. Appl. Phys. 119, 085101 (2016).
- [52] S. Calatroni, arXiv:2006.02842.
- [53] J. G. Bednorz and K. A. Müller, Phys. Rev. Lett. 52, 2289 (1984).
- [54] P. Sharma, F.-X. Xiang, D.-F. Shao, D. Zhang, E. Tsymbal, A. Hamilton, and J. Seidel, Sci. Adv. 5, eaax5080 (2019).
- [55] A. Zabalo and M. Stengel, Phys. Rev. Lett. 126, 127601 (2021).
- [56] E. Fradkin, S. A. Kivelson, M. J. Lawler, J. P. Eisenstein, and A. P. Mackenzie, Annu. Rev. Condens. Matter Phys. 1, 153 (2010).
- [57] P. Calvi, P. Camagni, E. Giulotto, and L. Rollandi, Radiat. Eff. Defects Solids 137, 199 (1995).
- [58] D. van der Marel, J. L. M. van Mechelen, and I. I. Mazin, Phys. Rev. B 84, 205111 (2011).
- [59] H. Nakamura and T. Kimura, Phys. Rev. B 80, 121308(R) (2009).
- [60] F. Y. Bruno, S. McKeown Walker, S. Riccò, A. de la Torre, Z. Wang, A. Tamai, T. K. Kim, M. Hoesch, M. S. Bahramy, and F. Baumberger, Adv. Electron. Mater. 5, 1800860 (2019).
- [61] H. M. Liu, Y. P. Du, Y. L. Xie, J.-M. Liu, C.-G. Duan, and X. Wan, Phys. Rev. B **91**, 064104 (2015).

- [62] J. M. Worlock and P. A. Fleury, Phys. Rev. Lett. 19, 1176 (1967).
- [63] P. A. Fleury and J. M. Worlock, Phys. Rev. 174, 613 (1968).
- [64] H. Vogt, Phys. Rev. B 51, 8046 (1995).
- [65] B. Hehlen, L. Arzel, A. Tagantsev, E. Courtens, Y. Inaba, A. Yamanaka, and K. Inoue, Phys. B: Condens. Matter 263–264, 627 (1999).
- [66] A. Yamanaka, M. Kataoka, Y. Inaba, K. Inoue, B. Hehlen, and E. Courtens, Europhys. Lett. 50, 688 (2000).
- [67] G. Shirane, R. Nathans, and V. J. Minkiewicz, Phys. Rev. 157, 396 (1967).
- [68] C. H. Perry and N. E. Tornberg, Phys. Rev. 183, 595 (1969).
- [69] P. A. Fleury and J. M. Worlock, Phys. Rev. Lett. 18, 665 (1967).
- [70] M. D. Glinchuk, I. P. Bykov, A. M. Slipenyuk, V. V. Laguta, and L. Jastrabik, Phys. Solid State 43, 841 (2001).
- [71] I. Golovina, S. Kolesnik, V. Bryksa, V. Strelchuk, I. Yanchuk, I. Geifman, S. Khainakov, S. Svechnikov, and A. Morozovska, Phys. B: Condens. Matter 407, 614 (2012).
- [72] Y. J. Chang, A. Bostwick, Y. S. Kim, K. Horn, and E. Rotenberg, Phys. Rev. B 81, 235109 (2010).
- [73] D. Bäuerle, D. Wagner, M. Wöhlecke, B. Dorner, and H. Kraxenberger, Z. Phys. B: Condens. Matter 38, 335 (1980).
- [74] H. Uwe, J. Kinoshita, K. Yoshihiro, C. Yamanouchi, and T. Sakudo, Phys. Rev. B 19, 3041 (1979).
- [75] A. Sakai, T. Kanno, S. Yotsuhashi, H. Adachi, and Y. Tokura, Jpn. J. Appl. Phys. 48, 097002 (2009).
- [76] A. A. Abrikosov, L. P. Gorkov, and I. E. Dzyaloshinski, Methods of Quantum Field Theory in Statistical Physics (Dover, New York, 1963).