

Quantum Mechanics and Atomic Physics

Lecture 9:

The Uncertainty Principle and Commutators

<http://www.physics.rutgers.edu/ugrad/361>

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Announcement

- Quiz in next class (Oct. 5): will cover Reed Chapter 3 and 4 (up to today's lecture).
- Next HW due on Monday Oct. 10th

Summary of last time

- **Operators:** does something to a function and returns a result.

$$X_{op} = x$$

$$\vec{P}_{op} = -i\hbar \vec{\nabla}$$

$$E_{op} = i\hbar \frac{\partial}{\partial t}$$

$$KE_{op} = -\frac{\hbar^2}{2m} \nabla^2$$

$$PE_{op} = V(x)$$

$$H_{op} = -\frac{\hbar^2}{2m} \nabla^2 + V(x)$$

(Hamiltonian)

- In general:

$$\overline{f(x)} = \langle f(x) \rangle = \int \psi^* [Q_{op}(f(x))\psi] dx$$
$$= \langle \psi | f(x) | \psi \rangle$$

Summary: Hamiltonian

- Ψ both space and time dependent:

Ψ both space & time-dependent

$H_{op} = i\hbar \frac{\partial}{\partial t}$ Hamiltonian operator.

$H_{op} = -\frac{\hbar^2}{2m} \nabla^2 + V$ spatial form

- Hamiltonian operator is special, because it provides the Schrodinger equation

$$\underbrace{\left(-\frac{\hbar^2}{2m} \nabla^2 + V\right)}_{H: \text{spatial}} \Psi(x, t) = \underbrace{i\hbar \frac{\partial}{\partial t}}_{H: \text{time}} \Psi(x, t)$$

Momentum expectation value for infinite square well

$$\begin{aligned}\bar{p} &= \int_0^L \Psi_n^* [(p_x)_{op} \Psi_n] dx = \int_0^L \Psi_n^* \left[-i\hbar \frac{d}{dx} \Psi_n \right] dx \\ &= \int_0^L \sqrt{\frac{2}{L}} \sin \frac{n\pi x}{L} \left(-i\hbar \sqrt{\frac{2}{L}} \frac{d}{dx} \sin \frac{n\pi x}{L} \right) dx \\ &= -\frac{2i\hbar(n\pi)}{L} \int_0^L \sin \frac{n\pi x}{L} \cos \frac{n\pi x}{L} dx \\ &= -\frac{i\hbar}{L} \left[\sin^2 \frac{n\pi x}{L} \right]_0^L = 0 \quad !\end{aligned}$$

See appendix C in
Reed for useful integrals

- Again is not surprising.
- The well is symmetric so the particle should have no preference for traveling one way or the other.

Expectation value of Energy

$$\begin{aligned}\overline{E} &= \int_0^L \Psi_n^* [H_{op} \Psi_n] dx = \int_0^L \Psi_n^* \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \Psi_n \right) dx \\ &= \int_0^L \sqrt{\frac{2}{L}} \sin \frac{n\pi x}{L} \left(\frac{\hbar^2}{2m} \frac{n^2 \pi^2}{L^2} \sqrt{\frac{2}{L}} \sin \frac{n\pi x}{L} \right) dx \\ &= \frac{\hbar^2}{m} \frac{n^2 \pi^2}{L^3} \int_0^L \sin^2 \frac{n\pi x}{L} = \frac{\hbar^2 n^2 \pi^2}{mL^3} \left[\frac{x}{2} - \left(\sin \frac{2n\pi x}{L} \right) \frac{L}{4n\pi} \right]_0^L \\ &= \frac{\hbar^2 n^2 \pi^2}{2mL^2} = E_n \quad \underline{\text{As expected!}}\end{aligned}$$

- The expectation value of the energy for the infinite square well state n is just the eigenvalue of that state!

Expectation value of p^2

- The need for this will become clear later (next time).

$$\begin{aligned} P_x^2 &= (P_x)(P_x) = \left(-i\hbar\frac{\partial}{\partial x}\right)\left(-i\hbar\frac{\partial}{\partial x}\right) \\ &= -\hbar^2\frac{\partial^2}{\partial x^2} = 2m(\text{KE}) \end{aligned}$$

Not a surprise.

So for infinite square well:

$$\overline{p^2} = 2m \overline{\text{KE}} = 2m \left(\frac{\hbar^2 \pi^2 n^2}{2mL^2} \right) = \frac{\hbar^2 \pi^2 n^2}{L^2}$$

Expectation value of x^2

- Again, this is something that we will find useful later.

$$\begin{aligned}\overline{x^2} &= \int_0^L \Psi_n^* [x^2 \Psi_n] dx = \frac{2}{L} \int_0^L x^2 \sin^2 \frac{n\pi x}{L} dx \\ &= \frac{2}{L} \left[\frac{x^3}{6} - \left(\frac{L}{4n\pi} - \frac{L^3}{8n^3\pi^3} \right) \sin \frac{2n\pi x}{L} - \frac{L^2}{4n^2\pi^2} x \cos \frac{2n\pi x}{L} \right]_0^L \\ &= \frac{2}{L} \left[\frac{L^3}{6} - \frac{L^3}{4n^2\pi^2} \right] = \frac{L^2}{3} \left[1 - \frac{3}{2n^2\pi^2} \right]\end{aligned}$$

- Note: $\langle x^2 \rangle$ is not equal to $\langle x \rangle^2$

Summary: expectation values for inf. Square well

For infinite square well:

$$\psi_n(x,t) = \sqrt{\frac{2}{L}} \sin \frac{n\pi x}{L} e^{-iE_n t/\hbar}$$

$$\bar{x} = \langle x \rangle = \frac{L}{2}$$

$$\bar{p} = \langle p \rangle = 0$$

$$\bar{E} = \langle E \rangle = E_n = \frac{\hbar^2 n^2 \pi^2}{2mL^2}$$

$$\bar{p}^2 = \langle p^2 \rangle = \frac{\hbar^2 \pi^2 n^2}{L^2}$$

$$\bar{x}^2 = \langle x^2 \rangle = \frac{L^2}{3} \left[1 - \frac{3}{2n^2 \pi^2} \right]$$

Dirac Notation

- It is easier to adapt a shorthand notation called **Dirac notation** or **Dirac bracket notation**:

Expectation value of $f(x)$:

$$\overline{f(x)} = \int \psi^*(x) [Q_{op}(f(x)) \psi(x)] dx$$

$$\equiv \langle \psi(x) | f(x) | \psi(x) \rangle : \text{Error in the book}$$

$Q_{op}(f(x))$ is operator corresponding to $f(x)$

Further simplify:

$$\langle f(x) \rangle$$

- Overlap integral or inner product of Ψ_1 and Ψ_2 :

Error in the **Road book!**

$$\langle \Psi_1 | \Psi_2 \rangle = \int \Psi_1^*(x) \Psi_2(x) dx : \text{called inner product}$$

Example

- Reed, Problem 4-2:
 - Prove that for the infinite potential well wavefunctions:

$$\langle xp \rangle = -\langle px \rangle = i\hbar/2$$

$$\begin{aligned}\langle xp \rangle &= \overline{xp} = \int_0^L \underbrace{\sqrt{\frac{2}{L}} \sin \frac{n\pi x}{L}}_x \left[\underbrace{\sqrt{\frac{2}{L}} \left(-i\hbar \frac{\partial}{\partial x} \sin \frac{n\pi x}{L} \right)}_p \right] dx \\ &= -\frac{2i\hbar n\pi}{L^2} \int_0^L x \sin \frac{n\pi x}{L} \cos \frac{n\pi x}{L} dx \\ &= -\frac{i\hbar n\pi}{L^2} \int_0^L x \sin \frac{2n\pi x}{L} dx = -\frac{i\hbar n\pi}{L^2} \left[\frac{\sin \frac{2n\pi x}{L} \cdot L^2}{4n^2\pi^2} - \frac{Lx \cos \frac{2n\pi x}{L}}{2n\pi} \right]_0^L \\ &= -\frac{i\hbar n\pi}{L^2} \left(-\frac{L^2}{2n\pi} \right) = \frac{i\hbar}{2}\end{aligned}$$

$$\begin{aligned}
 \langle p_x \rangle &= \overline{p_x} = -\frac{2i\hbar}{L} \int_0^L \sin \frac{n\pi x}{L} \left[\frac{\partial}{\partial x} \left(x \sin \frac{n\pi x}{L} \right) \right] dx \\
 &= -\frac{2i\hbar}{L} \left[\int_0^L \sin^2 \frac{n\pi x}{L} dx + \frac{n\pi}{2L} \int_0^L x \sin \frac{2n\pi x}{L} dx \right] \\
 &= -\frac{2i\hbar}{L} \left[\frac{L}{2} - \frac{L}{4} \right] = -\frac{i\hbar}{2}
 \end{aligned}$$

So,

$$\langle x p \rangle = -\langle p x \rangle = \frac{i\hbar}{2} \quad \checkmark$$

Heisenberg Uncertainty Principle

- Werner Heisenberg (1927) *Gedanken* (thought) experiments.
- Single-slit diffraction of electrons, of wavelength λ
- $w =$ slit spacing
- Diffraction first minimum θ at ($w \sin\theta = \lambda$)
- Uncertainty in position $\Delta x = w$
- Uncertainty in $p_x \approx$ momentum needed to send electron to first minimum.

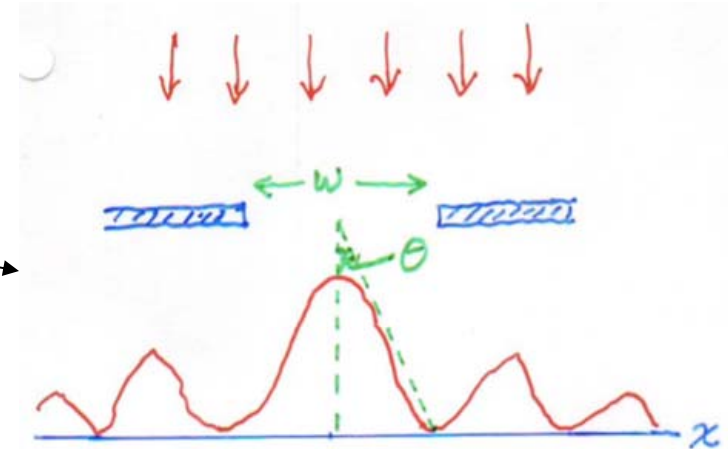
$$\text{So } \Delta p_x \geq p \cdot \sin\theta = \left(\frac{h}{\lambda}\right)\left(\frac{\lambda}{w}\right) = \frac{h}{w} = \frac{h}{\Delta x}$$

$$\Rightarrow \Delta p_x \cdot \Delta x \geq h$$

More sophisticated analysis :

$$\Delta p_x \cdot \Delta x \geq \frac{h}{4\pi}$$

$$\text{Define : } \hbar = \frac{h}{2\pi} \text{ then } \Delta p_x \cdot \Delta x \geq \frac{\hbar}{2}$$



Protons and neutrons in nuclei have minimum kinetic energies of a few MeV. So nuclear binding energies have to exceed few MeV.

The Uncertainty Principle

- In QM, is it possible to specify the positions of particles precisely?
 - **No, particles possess a wave nature!**
 - **Heisenberg's Uncertainty principle:**

$$\Delta x \Delta p \geq \hbar / 2$$

- If we measure the particle's position more and more precisely, that comes with the expense of the particle's momentum becoming less and less well known.
- And vice-versa.

Commutators

- How can we know if two observable quantities will obey the uncertainty relation?
 - Generalized uncertainty relation: see the reference in Reed for the proof:

$$(\Delta A)(\Delta B) \geq \frac{1}{2} \sqrt{-[A, B]^2}$$

$$[A, B] = A_{op} B_{op} - B_{op} A_{op}.$$

- $[A, B]$ is an operator and is known as the **commutator** of operators A and B
- If a wavefunction is an eigenfunction of both A and B, then the order does not matter and $[A, B]=0$, and eigenvalues of A and B will be measurable simultaneously.

Let's verify the uncertainty principle

Verify w/ $X_{op} = x$ and $P_{op} = -i\hbar \frac{d}{dx}$

$$\begin{aligned} [X_{op}, P_{op}] \psi &= (X_{op} P_{op} - P_{op} X_{op}) \psi \\ &= x \left(-i\hbar \frac{d}{dx} \psi \right) + i\hbar \frac{d}{dx} (x\psi) \\ &= i\hbar \left(-x \frac{d\psi}{dx} + \psi + x \frac{d\psi}{dx} \right) \\ &= i\hbar \psi \end{aligned}$$

So,

$$\boxed{[X_{op}, P_{op}] = i\hbar}$$

$$\begin{aligned} \Rightarrow (\Delta x)(\Delta p) &\geq \frac{1}{2} \sqrt{-(i\hbar)^2} \\ (\Delta x)(\Delta p) &\geq \frac{1}{2} \hbar \quad \checkmark \end{aligned}$$

Example

- In an atomic nucleus, a proton is confined to $\Delta x \approx 10^{-15} \text{m}$. Find its minimum kinetic energy. ($m_p = 938 \text{MeV}/c^2$)

$$\Delta P_x \geq \frac{\hbar}{2\Delta x} = 100 \frac{\text{MeV}}{c} \quad \text{for } \Delta x = 10^{-15} \text{m}$$

$$\text{So } P_{\min} \approx 100 \frac{\text{MeV}}{c}$$

$$\text{So, } E_{\min} = \sqrt{(100)^2 + (938)^2} \\ = \underline{943 \text{MeV}}$$

$$\text{So } K_{\min} = E_{\min} - E_0 = 943 - 938 = \underline{\underline{5 \text{MeV}}}$$

- So nuclear binding energies must be at least 5 MeV! Recall hydrogen atom's binding energy is 13.6 eV.

Example, con't

- Now suppose there were electrons in nuclei...

$$\Delta p_x \geq 100 \frac{\text{MeV}}{c} \text{ as above}$$

$$p_{\min} = 100 \frac{\text{MeV}}{c} \text{ as above}$$

$$\text{But } E_{\min} = \sqrt{(100)^2 + (0.511)^2} \approx 100 \text{ MeV}$$

$$\text{and } K_{\min} = E_{\min} - E_0 = 100 - 0.511 \\ \approx \underline{100 \text{ MeV}}$$

Uncertainty Principle

- Uncertainty in x and p are the *standard deviations*:

$$\Delta x^2 = \frac{1}{N} \sum_i (x_i - \bar{x})^2 = \frac{1}{N} \sum_i (x_i^2 - 2\bar{x}x_i + \bar{x}^2)$$

$$= \overline{x^2} - 2\bar{x} \frac{1}{N} \sum_i x_i + \frac{1}{N} \sum_i \bar{x}^2$$

$$= \overline{x^2} - 2\bar{x}^2 + \bar{x}^2 = \overline{x^2} - \bar{x}^2$$

$$\Delta x^2 = \overline{x^2} - \bar{x}^2 = \langle x^2 \rangle - \langle x \rangle^2$$

or

$$\Delta x = \sqrt{\langle x^2 \rangle - \langle x \rangle^2}$$

$$\Delta p = \sqrt{\langle p^2 \rangle - \langle p \rangle^2}$$

For infinite square well

- Let's use the expectation values we already evaluated ...

$$\begin{aligned}\Delta X &= \sqrt{\frac{L^2}{3} \left(1 - \frac{3}{2n^2\pi^2}\right) - \frac{L^2}{4}} \\ &= L \sqrt{\frac{1}{12} - \frac{1}{2n^2\pi^2}}\end{aligned}$$

$$\Delta p = \sqrt{\frac{\hbar^2 \pi^2 n^2}{L^2} - 0} = \frac{\hbar \pi n}{L}$$

$$\Delta X \Delta p = \sqrt{\frac{n^2 \pi^2}{12} - \frac{1}{2}} \hbar$$

Infinite square well, con't

- The minimum value is for $n=1$:

For $n=1$ (min value):

$$\Delta x \Delta p = \sqrt{\frac{\pi^2}{12} - \frac{1}{2}} \hbar$$

$$= 0.568 \hbar$$

$$\geq 0.5 \hbar \quad ! \quad \checkmark$$

How about $n \rightarrow \infty$?

$$\Delta x \Delta p \approx \frac{n\pi}{\sqrt{12}} \approx 0.907 n \hbar$$

The potential that gives the minimum possible value of $\Delta x \Delta p$ is for a simple harmonic oscillator.

More on this next time.

But now an example ...

Example: Harmonic Oscillator

- The potential for a harmonic oscillator (*like the motion of a spring!*):

$$V(x) = \frac{kx^2}{2} \quad -\infty \leq x \leq \infty$$

$V(x)$ is symmetric about 0 so

$$\langle x \rangle = \langle p \rangle = 0$$

$$E = \frac{p^2}{2m} + V(x) = \frac{p^2}{2m} + \frac{kx^2}{2}$$

$$\langle E \rangle = \frac{\langle p^2 \rangle}{2m} + \frac{k}{2} \langle x^2 \rangle$$

$$\Delta x = \sqrt{\langle x^2 \rangle - \langle x \rangle^2} = \sqrt{\langle x^2 \rangle}$$

$$\Delta p = \sqrt{\langle p^2 \rangle - \langle p \rangle^2} = \sqrt{\langle p^2 \rangle}$$

$$\Rightarrow \langle E \rangle = \frac{(\Delta p)^2}{2m} + \frac{k}{2} (\Delta x)^2$$

$$\text{use } \Delta p \geq \frac{\hbar}{2\Delta x}$$

$$\Rightarrow \langle E \rangle \geq \frac{\hbar^2}{8m(\Delta x)^2} + \frac{k}{2} (\Delta x)^2$$

$$\frac{d\langle E \rangle}{d(\Delta x)} = 0 = -\frac{\hbar^2}{4m(\Delta x)^3} + k(\Delta x)$$

$$(\Delta x)^4 = \frac{\hbar^2}{4mk}$$

$$\Rightarrow \langle E \rangle_{\min} = \frac{\hbar}{4} \sqrt{\frac{k}{m}} + \frac{\hbar}{4} \sqrt{\frac{k}{m}}$$

$$\langle E \rangle_{\min} = \frac{\hbar}{2} \sqrt{\frac{k}{m}} = \frac{\hbar\omega}{2}$$

$$\text{where } \omega = \sqrt{\frac{k}{m}}$$

ω is angular frequency of the oscillator

Summary / Announcements

- Uncertainty principle $\Delta x \Delta p \geq \hbar / 2$
- Next Time: Orthogonality, superposition, and time dependent wave functions plus Ehrenfest and Virial theorems
- Quiz in next class (Oct. 5): covers Reed Chapter 3 and 4 (up to today's lecture).
- Next HW due on Monday Oct. 10th