

**Problem set 1 (version Wed Sep 20) (Due on Thursday  
September 28th)**

1. Consider the simple harmonic oscillator

$$\hat{H} = \frac{p^2}{2m} + \frac{m\omega^2 x^2}{2}$$

Write it in “second quantized” form, by expressing  $x$  and  $p$  in terms of creation and annihilation operators.

$$\hat{H} = \hbar\omega(a^\dagger a + \frac{1}{2}).$$

- (i) Derive the equation of motion for  $a$  and show that

$$a(t) = a(0)e^{-i\omega t}.$$

Repeat this for  $a^\dagger$ .

- (ii) Using the relationship

$$a^\dagger = \frac{1}{\sqrt{2\hbar}} \left[ \frac{\hat{p}}{\sqrt{m\omega}} + i\hat{x}\sqrt{m\omega} \right].$$

use the results of (i) to show that

$$\begin{aligned} \hat{p}(t) &= \hat{p}(0) \cos \omega t - m\omega \hat{x}(0) \sin \omega t \\ \hat{x}(t) &= \hat{x}(0) \cos \omega t + \frac{\hat{p}(0)}{m\omega} \sin \omega t. \end{aligned}$$

(iii) The above operator expressions appear identical to the classical equations of motion.

Why?

2. Show that the Heisenberg spin operator  $S$  can be written in second quantized form as

$$\begin{aligned} S_x &= \frac{1}{2}(c_\uparrow^\dagger c_\downarrow + c_\downarrow^\dagger c_\uparrow), \\ S_y &= -\frac{i}{2}(c_\uparrow^\dagger c_\downarrow - c_\downarrow^\dagger c_\uparrow), \\ S_z &= \frac{1}{2}(c_\uparrow^\dagger c_\uparrow - c_\downarrow^\dagger c_\downarrow). \end{aligned}$$

Check the commutation relations!

3. Consider a system of fermions created by the field  $\psi^\dagger(\vec{r})$  interacting under the Yukawa potential

$$V(r) = \frac{Ae^{-\lambda r}}{4\pi r}.$$

(i) Write the Hamiltonian in second quantized form, using the position basis.

(ii) Write the Hamiltonian in second quantized notation in the momentum basis, where

$$c_{\vec{k}}^\dagger = \int d^3r \psi^\dagger(\vec{r}) e^{i\vec{k}\cdot\vec{r}}.$$

You will find it helpful to derive the Fourier representation

$$V(r) = \int \frac{d^3q}{(2\pi)^3} e^{i\vec{q}\cdot\vec{r}} \frac{A}{(q^2 + \lambda^2)}.$$

4. For the spin 1/2 fermions considered in question 3, prove the ‘‘Hubbard Stratonovich’’ identity

$$e^{-a(n_\uparrow - 1/2)(n_\downarrow - 1/2)} = \frac{1}{2} e^{-a/4} \sum_{\sigma=\pm 1} e^{a\sigma(n_\uparrow - n_\downarrow)}$$

where  $\cosh a = e^{\frac{a}{4}}$  (Hint: consider the matrix representation of the operator on the left hand side in the four dimensional Fock space of the up and down electrons.) We shall later see how, considering  $a \sim \delta t$  to be an infinitesimal time interval, we can use this relation to treat interacting spins as spins moving in a fluctuating Ising magnetic field.

6. Let  $a_\alpha^\dagger, a_\alpha$  be Fermion creation and annihilation operators, and assume

$$H_o = \sum_\alpha \epsilon_\alpha a_\alpha^\dagger a_\alpha.$$

(i) Compute  $Tr[e^{\beta H_o} a_\alpha^\dagger a_\alpha]$

(ii) Compute  $Tr[e^{-\beta H_o} a_\alpha a_\alpha^\dagger]$ .

(iii) Compute  $Tr[e^{-\beta H_o} a_\alpha a_\alpha^\dagger]$ . Using the identity  $e^{-\beta H_o} a_\alpha^\dagger a_\alpha = -T \frac{\partial}{\partial \epsilon_\alpha} e^{-\beta H_o}$  check your answer to (i).

(iv) Confirm that the expectation

$$\langle n_a \rangle = Z^{-1} Tr[e^{-\beta H_o} a_\alpha^\dagger a_\alpha] = f(\epsilon_\alpha)$$

where  $f(x)$  is the Fermi function.

(v) Repeat the above procedure assuming that  $a_a^\dagger$  and  $a_a$  are boson operators. What are the restrictions on the values of  $\epsilon_a$  and what happens when one of these energies  $\epsilon_a$  becomes zero?

(vi) Calculate

$$\begin{pmatrix} a_\alpha^\dagger(\tau) \\ a_\alpha(\tau) \end{pmatrix} = e^{\tau H_o} \begin{pmatrix} a_\alpha^\dagger \\ a_\alpha \end{pmatrix} e^{-\tau H_o}$$