

# Three Projects Using Lattices, Modular Forms, String Theory & K3 Surfaces

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November 15, 2016

# Part I

## Desperately Seeking Moonshine

a project with Jeff Harvey

still in progress...

Part II

Consistency Conditions  
For Asymmetric  
Orbifolds

with Jeff Harvey and Nati Seiberg  
still in progress...

# Part III

## Holography & Zamolochikov Volumes Of Moduli Spaces of Calabi-Yau Manifolds

N. Benjamin, M. Cheng, S. Kachru, G. Moore, and N. Paquette,  
“Elliptic Genera and 3d Gravity,” arXiv:1503.04800

G. Moore,  
“Computation Of Some Zamolodchikov Volumes, With An Application,”  
arXiv:1508.05612

# Part I Outline

1

Brief Background On Moonshine

2

Heterotic Strings On Tori

3

Crystal Symmetries Of Toroidal Compactification

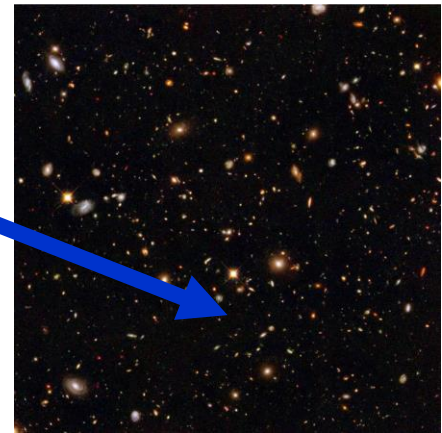
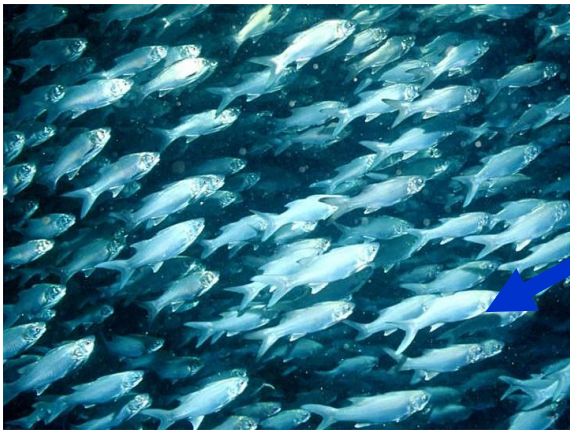
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Desperately Seeking Moonshine

# Philosophy

We can divide physicists into two classes:

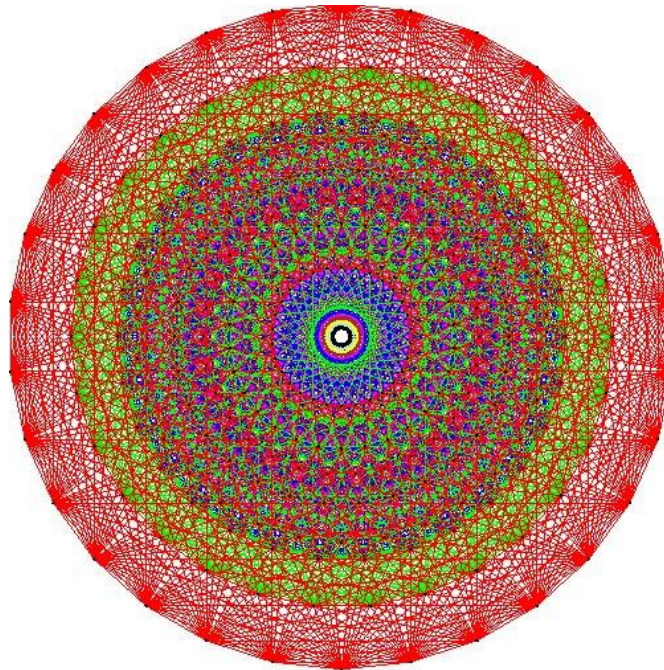
Our world is a random choice drawn from a huge ensemble:



**YOU  
ARE  
HERE**

# Philosophy – 1/2

The fundamental laws of nature are based on some beautiful exceptional mathematical structure:



Part 1: String compactifications with unusual and exceptional symmetries.

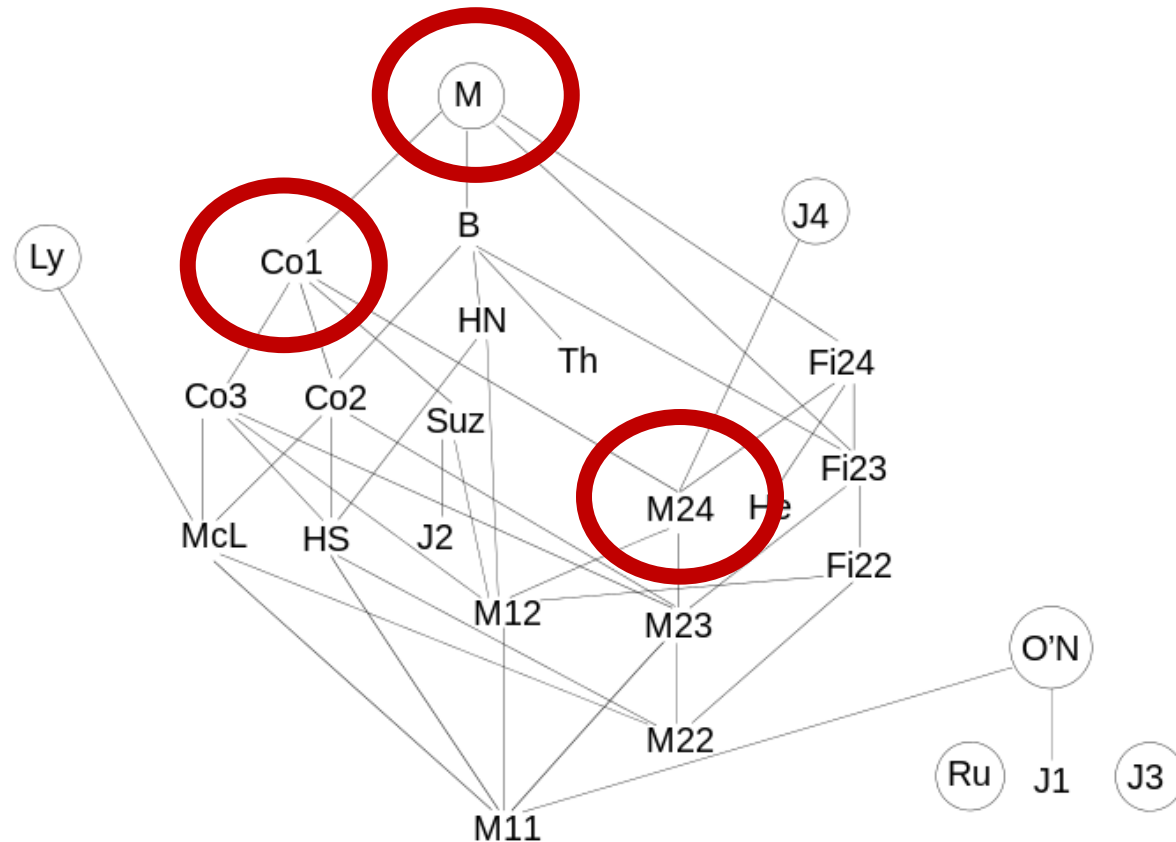
# Background: Finite-Simple Groups

Jordan-Holder Theorem: Finite simple groups are the atoms of finite group theory.

$\mathbb{Z}_p$   $p = \text{prime}$

$A_n$   $n \geq 5$

$SL_n(\mathbb{F}_p)$  etc.





# Background: McKay & Conway-Norton 1978-1979

$$J = \sum_n J_n q^n = q^{-1} + 196884 q + 21493760 q^2 + 864299970 q^3 + \dots$$

Now list the dimensions of irreps of  $\mathbb{M}$

$$R_n = 1, 196883, 21296876, 842609326, 18538750076, 19360062527, \\ 293553734298, \dots, 3^{12} \cdot 5^7 \cdot 13^3 \cdot 17 \cdot 29 \cdot 31 \cdot 41 \cdot 47 \cdot 59 \cdot 71 \sim 2.6 \times 10^{26}$$

$$J_{-1} = R_1 \quad J_1 = R_1 + R_2$$

$$J_2 = R_1 + R_2 + R_3 \quad J_3 = 2R_1 + 2R_2 + R_3 + R_4$$

A way of writing  $J_n$  as a positive linear combination of the  $R_j$  for all  $n$  is a **“solution of the Sum-Dimension Game.”**

There are infinitely many such solutions!!

# Background: Monstrous Moonshine

Which, if any, of these solutions is interesting?

Every solution defines an infinite-dimensional  $\mathbb{Z}$ -graded representation of  $\mathbb{M}$

$$V = q^{-1} R_1 \oplus q(R_1 \oplus R_2) \oplus q^2(R_1 \oplus R_2 \oplus R_3) \oplus \dots$$

Now for every  $g \in \mathbb{M}$  we can compute the character:

$$\chi(q; g) := \text{Tr}_V g q^N$$

A solution of the Sum-Dimension game is modular if the  $\chi(q; g)$  is a modular function in  $\Gamma_0(m)$  where  $g^m = 1$ .

There is a unique modular solution of the Sum-Dimension game! Moreover the  $\chi(q; g)$  have very special properties.

# FLM Construction -1/3

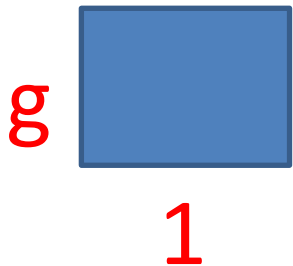
String theory compactification of chiral bosonic string on a torus:

$$\partial_z x^\mu = -i \sum_n \alpha_n^\mu e^{inz} \quad \begin{array}{l} \mu = 0, \dots, 25 \\ z = \sigma + \tau \end{array}$$

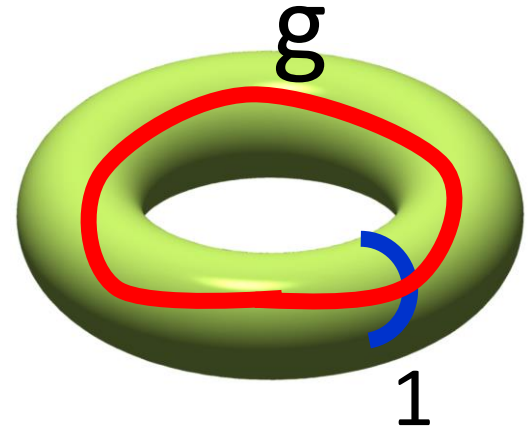
$$[\alpha_n^\mu, \alpha_m^\nu] = n\eta^{\mu\nu} \delta_{n+m,0} \quad \alpha_0^\mu = p^\mu \in \Lambda \oplus U$$

OPE of conformal fields form a VOA:

$$\wp(\partial^* x) e^{i p \cdot x}$$



$$:= \text{Tr}_{\mathcal{H}} gq^{L_0 - \frac{c}{24}} =$$



Modularity

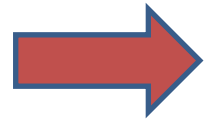
# FLM Construction – 2/3

Symmetries include  $Aut(\Lambda) = Co_0$

Now “orbifold” by  $\vec{x} \rightarrow -\vec{x}$  for  $\vec{x} \in \mathbb{R}^{24}/\Lambda$

“Orbifold by a symmetry  $G$  of a CFT”:  
Gauge the symmetry

Different  $G$ -bundles over the circle



$$\mathcal{H}_{untwisted} \quad \Phi(\sigma + 2\pi) = \Phi(\sigma)$$

$$\mathcal{H}_{[g]-twisted} \quad \Phi(\sigma + 2\pi) = g \cdot \Phi(\sigma)$$

# FLM Construction – 3/3

Automorphisms of the OPE algebra  
of the quotient theory =  $\mathbb{M}$

Heisenberg group of translations on  
24 fixed points +  $C_{01}$  +  
a “quantum symmetry”  
generate the Monster.

This is the gold standard for the conceptual  
explanation of Moonshine-modularity

(But a truly satisfying conceptual explanation  
of genus zero properties remains elusive.)

# New Moonshine: Mathieu Moonshine

Eguchi, Ooguri, Tachikawa 2010

Start with a CFT, rather than a group: K3 susy  $\sigma$  – model.

$$\mathcal{E}_C(z, \tau) = \text{Tr}_{\mathcal{H}_{RR}} (-1)^F q^{L_0 - c/24} e^{2\pi i z J_0} \bar{q}^{\tilde{L}_0 - c/24}$$

$$\begin{aligned} \mathcal{E}_{K3}(z, \tau) &= \\ &8 \left[ \left( \frac{\vartheta_2(z)}{\vartheta_2(0)} \right)^2 + \left( \frac{\vartheta_3(z)}{\vartheta_3(0)} \right)^2 + \left( \frac{\vartheta_4(z)}{\vartheta_4(0)} \right)^2 \right] \\ &= \sum_{h, \ell} d_{h, \ell} \text{ch}_{h, \ell}(z, \tau) \end{aligned}$$

$$\mathcal{E}_{K3}(z, \tau) = 24\text{ch}_{\frac{1}{4}, 0} + 2 \sum_{n=0}^{\infty} d_n \text{ch}_{n + \frac{1}{4}, \frac{1}{2}}$$

$$\{d_n\} = \{-1, 45, 231, 770, 2277, \dots\}$$

Dimensions of irreps of the sporadic finite simple group M24 !

$\exists$  unique modular solution of the sum-dimension game!

[Gaberdiel, Hohenegger, Volpato 2011; Gannon 2012 ]

But there is no known analog of the FLM construction.

Proposal: It is related to the "algebra of BPS states."

Something like: M24 is a distinguished group of automorphisms of the algebra of spacetime BPS states in some string compactification using K3.

# Newer Moonshine? : String-Math, 2014

Today's story begins in Edmonton, June 11, 2014.  
Sheldon Katz was giving a talk on his work with  
Albrecht Klemm and Rahul Pandharipande ["KKP"]

He was describing how to count BPS states for type II  
strings on a K3 surface taking into account the  
 $so(4) = su(2) + su(2)$  quantum numbers of a particle  
in six dimensions.

( $O(4)$  is the automorphism group of the orthogonal to  $M^{1,1}$   
in six-dimensional Minkowski space. )

Slide # 86 said ....



- Define the refined K3 BPS invariants  $R_{j_L, j_R}^{h \geq 0}$  by

$$\sum_{h=0}^{\infty} \sum_{j_L} \sum_{j_R} R_{j_L, j_R}^h [j_L]_u [j_R]_y q^h = \prod_{n=1}^{\infty} \frac{1}{(1 - u^{-1} y^{-1} q^n)(1 - u^{-1} y q^n)(1 - q^n)^{20}(1 - u y^{-1} q^n)(1 - u y q^n)}$$

where  $[j]_x = x^{-j} + \dots + x^j$

- For  $h \leq 2$  the nonvanishing invariants are

$$R_{0,0}^0 = 1,$$

$$R_{0,0}^1 = 20, \quad R_{\frac{1}{2}, \frac{1}{2}}^1 = 1,$$

$$R_{0,0}^2 = 231, \quad R_{\frac{1}{2}, \frac{1}{2}}^2 = 21, \quad R_{1,1}^2 = 1$$

# Heterotic/Type II Duality

KKP compute (roughly) the cohomology groups of the moduli spaces of objects in  $D^b(K3)$  with fixed K-theory invariant and stable wrt a stability condition determined by a complexified Kahler class.

$$\text{IIA}/K3 = \text{Het}/T4$$

Physically:  
D4-D2-D0  
boundstates



Dabholkar-Harvey  
states: Perturbative  
heterotic BPS states

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# Heterotic String Crash Course

$$\mathbb{M}^{1,1+d} \times T^{8-d}$$

$$\partial_z x^\mu = -i \sum_n \alpha_n^\mu e^{inz} \quad \begin{array}{l} \mu = 0, \dots, 25 \\ z = \sigma + \tau \end{array}$$

$$\partial_{\tilde{z}} \tilde{x}^a = -i \sum_n \tilde{\alpha}_n^a e^{in\tilde{z}} \quad \begin{array}{l} a = 0, \dots, 9 \\ \tilde{z} = \sigma - \tau \end{array}$$

$$\tilde{\psi}^a(\tilde{z}) = \sum_n \tilde{\psi}_n^a e^{in\tilde{z}} \quad \{ \tilde{\psi}_n^a, \tilde{\psi}_m^b \} = \eta^{ab} \delta_{n+m,0}$$

$$(\alpha_0^i; \tilde{\alpha}_0^a) := (P_L; P_R) \quad H = P_L^2 + P_R^2 + H_{osc}$$

# Heterotic Toroidal Compactifications

Quantization of momentum/winding implies

$$P = (P_L; P_R) \in \Gamma^{n_L; n_R} \quad \begin{array}{l} n_L = 24 - d \quad + \\ n_R = 8 - d \quad - \end{array}$$

Even, unimodular lattice.

Abstractly, there is a unique even unimodular lattice of this signature, but physics depends on the embedding:

$$II^{n_L; n_R} \hookrightarrow \Gamma^{n_L; n_R} \subset \mathbb{R}^{n_L; n_R}$$

Narain moduli space of CFT's:

$$O_{\mathbb{Z}}(II^{n_L; n_R}) \setminus O_{\mathbb{R}}(n_L; n_R) / (O_{\mathbb{R}}(n_L) \times O_{\mathbb{R}}(n_R))$$

*We pause for a  
small advertisement*

# Algebra Of BPS States -1/2



DH/Perturbative BPS States  $V_L(z) \otimes V_R(\tilde{z})$

$$V_R(\tilde{z}) = \varepsilon \cdot \tilde{\psi} e^{i|P_R|\tilde{x}^0 + iP_R \cdot \tilde{x}} \quad \text{SYM ground state at rest}$$

$$V_L(z) = \wp(\partial^* x) e^{i|P_R|x^0 + iP_L \cdot x}$$

Vector space of DH states is graded by  $\Gamma^{n_L; n_R}$

$V_L(z)$  is a dimension one Virasoro primary

Mutually local holomorphic dimension one Virasoro primaries form a Lie algebra:

$$V_1(z_1)V_2(z_2) = \cdots + \frac{1}{z_{12}}(V_1 * V_2)(z_2) + \cdots$$

# Algebra Of BPS States -2/2

Problem:  $V_L(P_1)$  and  $V_L(P_2)$  are not mutually local.

$P_1$  and  $P_2$  are in general

Solution: Choose a space-like direction  $\vec{s}$  along this direction  $\vec{s}$

there are unique boosts

$$\Lambda_1(|P_{R,1}|, \vec{0}) + \Lambda_2(|P_{R,2}|, \vec{0})$$

$$= \Lambda(|P_{R,1} + P_{R,2}|, \vec{0})$$

Observe: For the boosted operators

there is a term in the OPE:

$$V_1(z_1)V_2(z_2) =$$

$$(V_1 * V_2)(z_2) + \dots$$



$\sim_{12} \sim_{12}$

$V_1 * V_2$  is the product in the algebra of BPS states.



*End of advertisement*

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3 Crystal Symmetries Of Toroidal Compactification

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# Crystal Symmetries Of Toroidal Compactifications

Construct some heterotic string compactifications on tori with large interesting symmetries.

$$G \subset \text{Aut}(\Gamma^{24-d;8-d})$$

$$G = G_L \times G_R$$

$$G_L \subset O_{\mathbb{R}}(24-d) \quad G_R \subset O_{\mathbb{R}}(8-d)$$

Then  $G$  is a crystal symmetry of the CFT

# Example: Enhanced Gauge Symmetry

$$d = 7 : \mathbb{M}^{1,9} \times T^1$$

Gaussian model:

$$S = \frac{R^2}{4\pi} \int \partial x \tilde{\partial} x \quad x \sim x + 2\pi$$

$$e^{\frac{i}{\sqrt{2}} \left( \frac{n}{R} + w R \right) x} (z) \otimes e^{\frac{i}{\sqrt{2}} \left( \frac{n}{R} - w R \right) \tilde{x}} (\tilde{z})$$

Narain lattice:  $(P_L ; P_R) = n e + w f$

$$e = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ R & R \end{pmatrix}$$

$$f = \frac{1}{\sqrt{2}} (R; -R)$$

$$R \rightarrow 1/R \quad n \leftrightarrow w \quad \&$$

$$(x, \tilde{x}) \rightarrow (x, -\tilde{x})$$

Narain moduli space:



# Example: Enhanced Gauge Symmetry

At  $R=1$  we have a theory equivalent to the  $SU(2)_1$  WZW model

(“Nonabelian bosonization” or “Frenkel-Kac-Segal cnstn” )

$$J^3(z) = \frac{1}{\sqrt{2}} \partial x(z), J^\pm(z) = e^{\pm i \sqrt{2} x(z)}$$

$$\tilde{J}^3(\tilde{z}) = \frac{1}{\sqrt{2}} \partial \tilde{x}(\tilde{z}), \tilde{J}^\pm(\tilde{z}) = e^{\pm i \sqrt{2} \tilde{x}(\tilde{z})}$$

Gives an  $su(2)_L \oplus su(2)_R$  current algebra.

Crystal symmetry is  $O_{\mathbb{Z}}(1,1) \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ .

This example generalizes to Weyl group symmetries of enhanced YM gauge theories for  $d > 1$ .

# Conway Subgroup Symmetries

But there are other interesting crystal symmetries:

Start with a distinguished  $d=0$  compactification:

$$\mathbb{M}^{1,1} \times T^8$$

$$\Gamma^{24,8} = (\Lambda; 0) \oplus (0; \Gamma_8) \quad (\text{Can replace } \Lambda \text{ by any Niemeier lattice.})$$

Crystal symmetry:

$$\text{Co}_0 \times W(E_8)$$

Note that  $\text{Co}_0$  is not a Weyl group symmetry of any enhanced Yang-Mills gauge symmetry.

There are related examples for  $\mathbb{M}^{1,d+1} \times T^{8-d}$

# A Lattice Lemmino

$\mathfrak{F}_L \subset \Lambda$  &  $\mathfrak{F}_R \subset \Gamma_8$  Primitively embedded.  
Isometric of rank  $d$ .

Then *there exists embedded even unimodular lattice*

$$\Gamma^{24-d;8-d} \hookrightarrow \mathbb{R}^{24-d;8-d}$$

such that, if

$$G_L := \text{Fix}(\mathfrak{F}_L) \subset \text{Aut}(\Lambda) = \text{Co}_0$$

$$G_R := \text{Fix}(\mathfrak{F}_R) \subset \text{Aut}(\Gamma_8) = W(E_8)$$

$\Gamma^{24-d;8-d}$  has crystal symmetry

$$G_L \times G_R \subset O(24-d) \times O(8-d)$$

# Easy Proof

Uses standard ideas of lattice theory.

$$\mathcal{D}_+(\mathfrak{F}_L^\perp) \cong \mathcal{D}_-(\mathfrak{F}_L) \cong \mathcal{D}_-(\mathfrak{F}_R) \cong \mathcal{D}_+(\mathfrak{F}_R^\perp)$$

$$\Gamma \subset (\mathfrak{F}_L^\perp)^\vee \oplus (\mathfrak{F}_R^\perp)^\vee \subset \mathbb{R}^{24-d; 8-d}$$

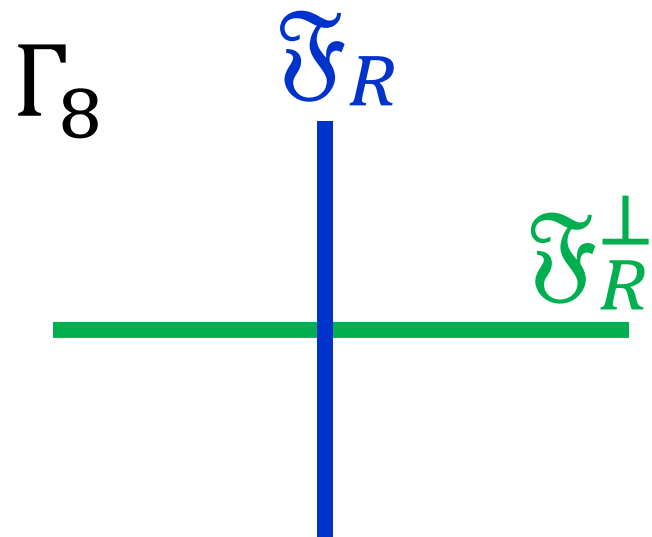
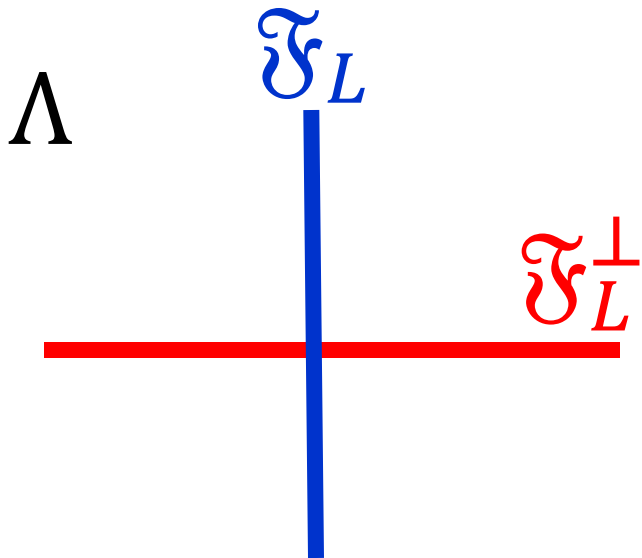
$$\Gamma = \{(x, y) \mid \bar{x} \cong \bar{y}\}$$

$$g : (x; y) \mapsto (g_L x; g_R y) \quad \overline{g_L x} = \bar{x} \quad \overline{g_R y} = \bar{y}$$



# CSS Compactifications

This construction defines points of moduli space with  
Conway Subgroup Symmetry:  
call these CSS compactifications.



$$\Gamma^{n_L; n_R} = (\mathcal{F}_L^\perp; 0) \oplus (0; \mathcal{F}_R^\perp) + \text{gluevectors}$$

# CSS Crystal Symmetries

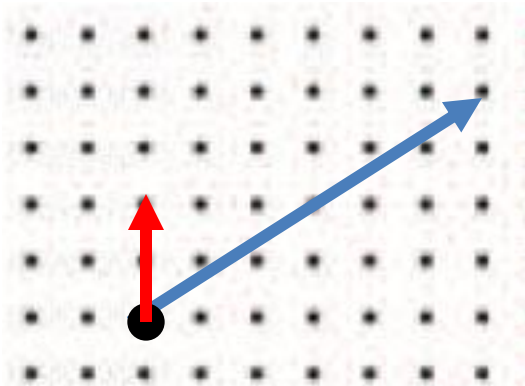
The crystal symmetry of the CSS compactification is

$$G_L \times G_R = \text{Fix}(\mathfrak{F}_L) \times \text{Fix}(\mathfrak{F}_R) \subset \text{Co}_0 \times W(E_8)$$

What crystal symmetries can you get?

In general, a sublattice preserves none of the crystal symmetries of the ambient lattice.

Consider, e.g., the lattice generated by  $(p,q)$  in the square lattice in the plane.



# Fixed Sublattices Of The Leech Lattice

The culmination of a long line of work is the classification by Hohn and Mason of the 290 isomorphism classes of fixed-point sublattices of the Leech lattice:

221	3	24	$[2^3 3]$ (#3)	$8_3^{+3}$	0	4	16	1	1	3	$\text{Mon}_b^*$
222	2	9196830720	$U_6(2)$	$2_{II}^{-2} 3^{+1}$	0	1	1	1	1	-	$S^*$
223	2	898128000	$McL$	$3^{-1} 5^{-1}$	1	1	1	1	1	-	$S^*$
224	2	454164480	$2^{10}.M_{22}$	$4_2^{+2}$	0	1	1	1	1	-	$\text{Mon}_a^*$
225	2	44352000	$HS$	$2_2^{-2} 5^{+1}$	0	1	1	1	1	-	$S^*$
226	2	20643840	$2^9.L_3(4).2$	$4_1^{+1} 8_1^{+1}$	0	1	2	1	1	-	$\text{Mon}_a$
227	2	10200960	$M_{23}$	$23^{+1}$	1	1	1	1	2	1	$M_{23}^*$

# Symmetries For Het/T4

99	4	245760	$2^8:M_{20}$	$2_{\text{II}}^{-2}4_{\text{II}}^{-2}$	0	1	1	1	1	-	$\text{Mon}_a^*$
100	4	30720	$[2^9].A_5$	$2_{\text{II}}^{-4}5^{-1}$	0	1	1	1	1	-	$\text{Mon}_a^*$
101	4	29160	$3^4:A_6$	$3^{+2}9^{+1}$	1	1	1	1	1	-	$S^*$
102	4	20160	$L_3(4)$	$2_{\text{II}}^{-2}3^{-1}7^{-1}$	2	1	1	1	2	1	$M_{23}^*$
103	4	12288	$[2^{12}3]$	$2_{\text{II}}^{+2}4_3^{+1}8_1^{+1}$	0	1	2	1	1	-	$\text{Mon}_a$

These discrete groups will be automorphisms of the algebra of BPS states at the CSS points.

Het/II duality implies the space of D4D2D0 BPS states on K3 will naturally be in representations of these subgroups of  $\text{Co}_0$ .

# Symmetries Of IIA/K3

Theorem [Gaberdiel-Hohenegger-Volpato]: The symmetry groups of K3 sigma models are precisely the subgroups of  $Co_0$  fixing a sublattice with rank  $\geq 4$ .  
(`Quantum Mukai Theorem`)

Interpreted by Huybrechts in terms of the bounded derived category of K3 surfaces

$$G \cong \text{Aut}_{H^{2,0} \oplus H^{0,2}}(D^b(K3)) \cap \text{Aut}_{B+iJ}(D^b(K3))$$

We are confirming that using Het[T4]=IIA[K3] duality, and the relation of D-branes to the derived category.

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# But Is There Moonshine In KKP Invariants?

99	4	245760	$2^8:M_{20}$	$2_{II}^{-2}4_{II}^{-2}$	0	1	1	1	1	-	$Mon_a^*$
100	4	30720	$[2^6]_{2,4,5}$	$2_{II}^{-4}5^{-1}$	0	1	1	1	1	-	$Mon_a^*$
101	4	29160	$3^4:A_6$	$3^{+2}9^{+1}$	1	1	1	1	1	-	$S^*$
102	4	20160	$L_3(4)$	$2_{II}^{-2}3^{-1}7^{-1}$	2	1	1	1	2	1	$M_{23}^*$
103	4	12288	$[2^{12}3]$	$2_{II}^{+2}4_3^{+1}8_1^{+1}$	0	1	2	1	1	-	$Mon_a$

So the invariants of KKP will show  
 “Moonshine” with respect to this symmetry.....

But we want M24, not M20 !!

IS THERE MORE GOING ON ??

So we played the sum dimension game in all possible ways for lowest levels of the generating function of DH states: –

$$\prod_n \frac{1}{(1 - q^n)^{20} (1 - yzq^n) (1 - y^{-1}zq^n) (1 - yz^{-1}q^n) (1 - y^{-1}z^{-1}q^n)}$$

$$= \sum_{j_L, j_R} q^n D_{j_L, j_R}^n \chi_{j_L}(y) \chi_{j_R}(z)$$

$$D_{j_L, j_R}^n = \sum_a n_a R_a$$

M24 irreps!





The number of possible decompositions grows rapidly with level  $q^n$

For each such decomposition we calculated the graded character of involutions 2A and 2B in M24

The resulting polynomial in  $q$  is supposed to be the leading terms of SOME modular form of SOME weight with SOME multiplier system....

# Characters Of An Involution

$$Z_{2A} = 8 + 1/q + 36q + 144q^2 + 282q^3 + \dots$$

$$= 8 + 1/q + 36q + 144q^2 + 426q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 218q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 362q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 266q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 410q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 202q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 346q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 378q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 522q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 314q^3$$

$$= 8 + 1/q + 36q + 144q^2 + 458q^3$$

Should be modular form for  $\Gamma_0(2)$ .

Weight?

(assumed half-integral)

Multiplier system?

# A Trick

$\Gamma_0(2)$  is generated by  $T$  and  $ST^2S$

$ST^2S$  has an “effective fixed point”

$$ST^2S \cdot \tau = \frac{\tau}{1-2\tau}$$

$$\tau_0 = \frac{1}{2}(1 + i) \quad (ST^2S)\tau_0 = \tau_0 - 1$$

One can deduce the multiplier system from the weight.

# What Is Your Weight?

$$\tau = \tau_0 + \delta\tau$$

$$ST^2S \cdot \tau = \tau_0 - 1 - \delta\tau$$

$$w = \lim_{\epsilon \rightarrow 0} \frac{1}{2\epsilon} \log \left| \frac{Z(\tau_0 - i\epsilon)}{Z(\tau_0 + i\epsilon)} \right|$$

$$q_0 = e^{2\pi i \tau_0} = -e^{-\pi} = -0.04\dots$$

Convergence is good so can compute the weight numerically.  
For  $Z_{2A}$  it converges to -8.4..... Not pretty. Not half-integral !!

**No positive combination of reps is modular. No M24 Moonshine.**

# Part I Conclusions

So, what can we say about Mathieu Moonshine?

GHV: Quantum Mukai theorem:

Symmetries of K3 sigma models are not subgroups of  $M_{24}$

This talk:

$M_{24}$  does not govern symmetries of nonperturbative spacetime BPS states of type IIA K3 compactifications.

Still leaves the possibility: Algebra of BPS states of the PERTURBATIVE BPS states of IIA on, say,  $K3 \times S^1$ .

Part II

Consistency Conditions  
For Asymmetric  
Orbifolds

with Jeff Harvey and Nati Seiberg  
still in progress...

# Part II Outline

1

Motivation:  $T^2 \times K3$  Orbifolds Of CSS Points

2

A Mysterious Mod-Two Condition

# Application To Heterotic-Type II Duality

Existence of CSS points have some interesting math predictions using string-string duality:

$$\text{Het}/T^2 \times K3' \longleftrightarrow \text{IIA}/\mathcal{X}$$

[Ferrara, Harvey, Strominger, Vafa; Kachru, Vafa (1995) ]

$$\mathcal{X} = \text{K3-fibered CY3}$$

Perturbative  
heterotic BPS  
states



Vertical  
D4-D2-D0  
boundstates



# Generalized Huybrechts Theorem

So, if we can make a suitable orbifold of CSS compactifications of the heterotic string on  $T^6$

$$T^6 / G \cong T^2 \times K3$$

And if there is a type II dual then we can conclude:

$$\text{Aut}_\sigma(D^b(\mathfrak{X})_{\text{vertical}})$$

is the subgroup of  $\text{Co}_0$  fixing the rank two sublattice  $\mathfrak{F}_L$  and centralizing the orbifold action.

# An Explicit Example -1/2

For simplicity:  $\mathbb{Z}_2$  orbifold

$$X \rightarrow RX + \delta$$

$$R = (g_L; g_R) \in G_L \times G_R$$

6=8-d for d=2 so we are looking for d=2 isometric sublattices  $\mathfrak{F}_L \subset \Lambda$  and  $\mathfrak{F}_R \subset \Gamma_8$  fixed by large subgroups of  $Co_0 \times W(E_8)$

Gram matrix of  $\mathfrak{F}_L \cong \mathfrak{F}_R = \begin{pmatrix} 4 & 0 \\ 0 & 4 \end{pmatrix}$

There exists  $g_R$  in  $W(E_8)$  fixing  $\mathfrak{F}_R$  with ev's  $+1^4, -1^4$ . Mod out by this on the right.

# An Explicit Example – 2/2

Need to choose involution  $g_L$ .

There are four conjugacy classes of involutions in  $Co_0$ .

We can choose:

**A**  $g_L \sim \text{Diag}\{-1^8, +1^{16}\}$

**B**  $g_L \sim \text{Diag}\{-1^{16}, +1^8\}$

**C**  $g_L \sim \text{Diag}\{-1^{12}, +1^{12}\}$

The subgroup that centralizes  $g_L$  will be a symmetry.

# Part II Outline

1 Motivation:  $T^2 \times K3$  Orbifolds Of CSS Points

2 A Mysterious Mod-Two Condition

# Consistency Conditions For Asymmetric Orbifolds

These are examples of asymmetric orbifolds.  
Such orbifolds can have anomalies.

So, are they quantum consistent?

Narain, Sarmadi, Vafa (1987) described some general consistency conditions for asymmetric orbifolds. But one condition was a little mysterious:

$$p \cdot gp = 0 \pmod{2} \quad \text{for all Narain vectors } p.$$

OK for C; Not for A & B

# Do We Need The Mod Two Condition?

(Discussion on this includes Nati Seiberg.)

Simplest example of a model that is one-loop-modular but violates the NSV mod two condition:

Consider the product of  $N$  circles at self-dual radius.

$\mathbb{Z}_2$  Orbifold group:  $R_i \rightarrow 1/R_i$

These violate the NSV mod two condition for all  $N$ .

Claim 1: The model is anomalous for  $N = 1, 2, 3 \pmod{4}$

Claim 2: The model is sensible for  $N = 0 \pmod{4}$

Both claims contradict several papers in the literature.

# Can You Orbifold By T-Duality?

Would be a nice way to construct “monodrofolds” and “T-folds”

[Hellerman & Walcher 2005]

Example: Consider a periodic scalar at the self-dual radius:

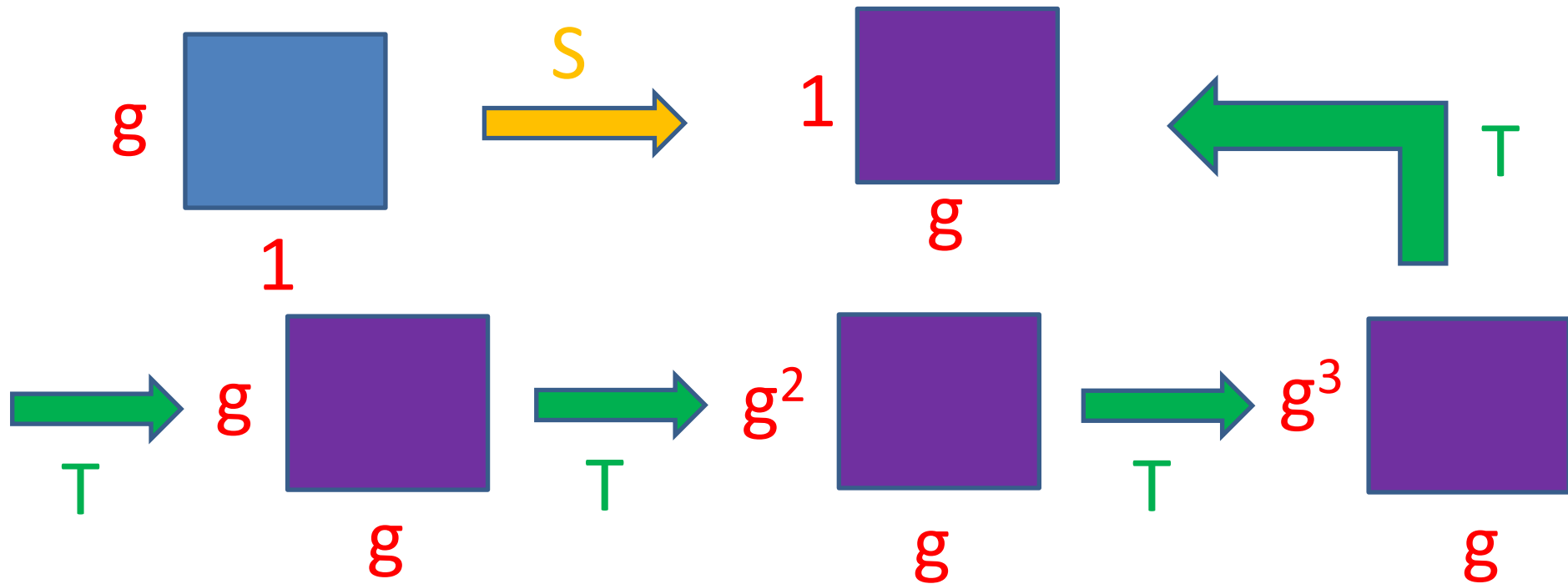
$$g : X_L \rightarrow X_L \quad g : X_R \rightarrow -X_R$$

One loop modular anomaly in g-twisted sector:

$$E_L = \frac{1}{2} p_L^2 + \mathbb{Z} \in \frac{1}{4} \mathbb{Z} \quad E_R = \frac{1}{16} + \frac{1}{2} \mathbb{Z}$$

But four copies of the Gaussian model has no one-loop anomaly. 55

Still, even with level matching satisfied, something peculiar is going on:



So, in the twisted sector,  $g$  is actually order four!



Gaussian model at self-dual point has  $(SU(2)_L \times SU(2)_R)/\mathbb{Z}_2$  symmetry.

T-duality is a 180 degree rotation in  $SO(3)_R$  :

$$J^3 \rightarrow -J^3 \quad J^\pm \rightarrow J^\mp$$

Therefore lifts to an order **four** element in  $SU(2)_R$   
-- even in the untwisted sector!

Now the method of orbits gives a sensible  $Z$

# Re-Interpreting The NSV Condition

The NSV condition is not a true consistency condition:  
It means the group acting on the CFT is really  $\mathbb{Z}_4$

Conclusion: You can orbifold by simultaneous T-duality of  $N$  copies of the Gaussian model for any  $N = 0 \pmod{4}$

You can also show this by constructing proper cocycles for the vertex operators needed for a consistent OPE: The lifted action of the  $\mathbb{Z}_2$  automorphism of the Narain lattice lifts to an order four automorphism of the CFT.

We are exploring generalizations of this to other orbifolds of toroidal compactifications, together with an interpretation in terms of Chern-Simons theory.

# Part III

## Holography & Zamolochikov Volumes Of Moduli Spaces of Calabi-Yau Manifolds

N. Benjamin, M. Cheng, S. Kachru, G. Moore, and N. Paquette,  
“Elliptic Genera and 3d Gravity,” arXiv:1503.04800

G. Moore,  
“Computation Of Some Zamolodchikov Volumes, With An Application,”  
arXiv:1508.05612

# Part III

## Holography & Zamolochikov Volumes Of Moduli Spaces of Calabi-Yau Manifolds

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# AdS3/CFT2

$$\mathcal{C}_M = \text{Sym}^M(K3)$$

The large M limit of these CFT's exist:

Holographically dual to IIB strings on

$$AdS_3 \times S^3 \times K3$$

(Gives one route to application of Rademacher series and mock modular forms to string theory.)

[Dijkgraaf, Moore, Maldacena, Verlinde 2000; Manschot & Moore 2007]

Some recent activity has centered on question:

“Do more general sequences  $\{\mathcal{C}_M\}$  have holographic duals with weakly coupled gravity?”

Put necessary conditions (e.g. existence of a Hawking-Page phase transition) on partition functions  $Z(\mathcal{C}_M)$  for a holographic dual of an appropriate type to exist.

Keller; Hartman, Keller, Stoica; Haehl, Rangamani; Belin, Keller, Maloney; ....

# Shamit's Question

“How likely is it for a sequence of CFT's  $\{ \mathcal{C}_M \}$  to have this HP phase transition? ”

A complicated question  
and hard to make precise.

There is a natural probability distribution  
on some ensembles of CFT's.

# Zamolodchikov Metric

Space of CFT's is thought to have a topology. So we can speak of continuous families and connected components.

At smooth points the space is thought to be a manifold and there is a canonical isomorphism:

$$\Psi : \text{ExactMarg}(\mathcal{C}) \rightarrow T_{\mathcal{C}}\mathcal{M}$$

$$\frac{\partial}{\partial t} |_0 S[t] = \int \mathcal{O} \quad \Psi(\mathcal{O}) = \frac{\partial}{\partial t} |_0 = v \in T_{\mathcal{C}}\mathcal{M}$$

$$\langle \mathcal{O}(z_1) \mathcal{O}(z_2) \rangle := g_{\mathcal{Z}}(v, v) \frac{d^2 z_1 d^2 z_2}{|z_1 - z_2|^4}$$



# Remarks

## Canonical normalization

Moduli spaces arising in string compactifications and AdS3/CFT2 holography have finite Zamolodchikov volumes! [Horne & Moore, 1994; Douglas 2004; Douglas & Lu, 2005]

Moduli space of K3  $\sigma$ -models:

$$O_{\mathbb{Z}}(II^{20;4}) \backslash O_{\mathbb{R}}(20;4) / (O_{\mathbb{R}}(20) \times O_{\mathbb{R}}(4))$$

Also for  $Sym^m(K3)$  – a similar double-coset

# Volumes

Using results from number theory, especially the “mass formulae” of Carl Ludwig Siegel, one can -- with some nontrivial work -- compute the Z-volumes of these spaces. For example:

$$\begin{aligned} \text{vol}_Z(K3) &= \\ \pi^{-40} \frac{(131)(283)(593)(617)(691)^2(3617)(43867)}{2^{40} \cdot 3^{34} \cdot 5^{15} \cdot 7^9 \cdot 11^5 \cdot 13^4 \cdot 17^3 \cdot 19^3 \cdot 23} \\ &\cong 1.66 \times 10^{-61} \end{aligned}$$

$$\text{vol}_Z(S^m K3) = \text{????}$$

Much harder, but from C.L. Siegel we get:

$$\frac{\text{vol}(O_{\mathbb{Z}}(Q_{r,s},m) \setminus O_{\mathbb{R}}(Q_{r,s},m))}{\text{vol}(O_{\mathbb{Z}}(Q_{r,s}) \setminus O_{\mathbb{R}}(Q_{r,s}))} = \prod_{p < \infty} \alpha_p(m)$$

$$A(d, m, p^t) := \#\{v \pmod{p^t} \mid Q_{r,s}(v) = 2m \pmod{p^t}\}$$

$$\alpha_p(m) := \lim_{t \rightarrow \infty} \frac{A(d, m, p^t)}{p^{t(2d-1)}}$$

$$d = r + 4s$$

$$A(d, m, p^t) := \#\{v \pmod{p^t} \mid Q_{r,s}(v) = 2m \pmod{p^t}\}$$

$$A(d, p^e, p^t) := p^{t(2d-1)} (1 - p^{-d}) \frac{1 - p^{-(e+1)(d-1)}}{1 - p^{-(d-1)}}$$

For p an odd prime & t > e

$$A(d, 2^e, 2^t) := 2 \cdot 2^{t(2d-1)} (1 - 2^{-d}) \frac{1 - 2^{-(e+1)(d-1)}}{1 - 2^{-(d-1)}}$$

$$\text{vol}_Z(S^m K3) = \rho m^{42} f_{13}(m)$$

$$f_{13}(m) = \prod_{p|m} \frac{1 - p^{-12-12e_p(m)}}{1 - p^{-12}}$$

$$\rho = \pi^{-42} \frac{(103)(131)(283)(593)(617)(691)(3617)(43867)(2294797)}{2^{51} \cdot 3^{35} \cdot 5^{15} \cdot 7^{10} \cdot 11^5 \cdot 13^4 \cdot 17^3 \cdot 19^3 \cdot 23^2}$$

$$\cong 5.815 \times 10^{-63}$$

# An Answer To Shamit's Question

Consider the ensemble of CFT's of the form

$$\mathcal{E} := \{ S^{m_1} K3 \times S^{m_2} K3 \times \dots \\ \times S^{m_k} K3 \}$$

Have central charge  $c = 6(m_1 + \dots + m_k)$

In a paper with N. Benjamin, M. Cheng, N. Paquette, and S. Kachru we found necessary conditions on the elliptic genera of a sequence of CFTs  $\mathcal{C}_n$  drawn from this ensemble to have a weakly-coupled gravity dual in the limit of  $n \rightarrow \infty$

I will call one of these conditions property  $\wp$

Rather than put a probability distribution on sequences  $\{\mathcal{C}_n\}$  of CFT's drawn from  $\mathcal{E}$  we consider the relative volumes:

$$\frac{\text{vol}(\wp; \mathcal{E}_M)}{\text{vol}(\mathcal{E}_M)}$$

where  $\mathcal{E}_M \subset \mathcal{E}$  is the sub-ensemble of central charge  $c = 6M$

Using the above results one can show:

$$\lim_{M \rightarrow \infty} \frac{\text{vol}(\wp; \mathcal{E}_M)}{\text{vol}(\mathcal{E}_M)} = 0$$

**Conclusion: Almost every sequence  $\{\mathcal{C}_n\}$  does not have a holographic dual**

# Summary

Part 1: There are heterotic compactifications with large crystal symmetries related to the Conway group, but there is no M24 Moonshine in KKP's refined K3 BPS invariants.

Part 2: Consistency conditions for asymmetric orbifolds should be re-examined. Sometimes one can orbifold by T-duality, contrary to standard wisdom.

Part 3: Zamolodchikov volumes of certain moduli spaces of CFT's are interesting. The set of sequences of CFT's with weakly coupled gravity duals is measure zero (suitably defined).