# A loop of SU(2) gauge fields on $S^4$ stable under the Yang-Mills flow

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MIT November 3, 2009

## The space of connections

G a compact Lie group — say G = SU(2)*M* a riemannian 4-manifold — sav  $M = S^4$ *B* a principle *G*-bundle over *M* — say  $B = S^4 \times SU(2)$  $\mathcal{A} =$  the space of connections in BD = d + A the covariant derivative A an su(2)-valued 1-form on  $S^4$  $F = D^2$  the curvature, an su(2)-valued 2-form  $\mathcal{G}$  = the group of automorphisms of B (gauge transformations)  $\mathcal{G} = Maps(S^4 \rightarrow SU(2))$ 

 $\mathcal{A}/\mathcal{G}=$  the gauge equivalence classes of connections

The Y-M flow on the unparametrized loops of connections

The Yang-Mills action  $S_{YM}(A) = \frac{1}{2\pi^2} \int_M \frac{1}{4} tr(-F * F)$ (normalized so that the instanton has  $S_{YM} = 1$ .)

The metric on 
$$\mathcal{A}$$
  $(ds)^2_{\mathcal{A}} = \frac{1}{2\pi^2} \int_{\mathcal{M}} \frac{1}{2} \operatorname{tr}(-\delta A * \delta A)$ 

The gradient flow

$$\frac{\partial A}{\partial t} = -\nabla S_{YM} = *D * F(A)$$
$$(\partial_t A_\mu(x) = D^\nu F_{\nu\mu}(x) = \partial^\nu \partial_\nu A_\mu(x) + \cdots)$$

The Y-M flow is G-invariant, so it acts:

- $\bullet$  on the gauge equivalence classes,  $\mathcal{A}/\mathcal{G}$
- pointwise on loops of connections,  $Maps(S^1 o \mathcal{A}/\mathcal{G})$
- on unparametrized loops,  $\mathcal{L} = Maps(S^1 o \mathcal{A}/\mathcal{G})/Diff(S^1)$

# The problem

What is the generic long-time behavior of the Y-M flow on the space  $\mathcal{L}$  of unparametrized loops?

A stable loop would be a parametrized loop  $\sigma \mapsto A(\sigma)$  on which the flow acts by reparametrization:  $\partial_t A(\sigma) = v(\sigma) \partial_\sigma A(\sigma)$ .

Are there nontrivial stable loops for all the nontrivial elements in  $\pi_0 \mathcal{L} = \pi_1(\mathcal{A}/\mathcal{G})$ ?

 ${\mathcal A}$  is contractible, so

$$\pi_1(\mathcal{A}/\mathcal{G}) = \pi_0\mathcal{G} = \pi_0Maps(S^4 \to G) = \pi_4G$$
  
 $\pi_4SU(2) = \mathbb{Z}_2$ 

SU(2) is the only compact Lie group with nontrivial  $\pi_4$ .

# Personal motivation

Hypothetical application in a speculative physics theory [DF, 2003].

The *lambda model* is a modified 2-d nonlinear model whose target space is the space of space-time fields, e.g.,  $\mathcal{A}/\mathcal{G}$ .

The modification consists of interspersing the ordinary dilation operator of the nonlinear model with the gradient flow of the space-time action, e.g.,  $S_{YM}$ .

The dilation operator of the lambda model generates a measure on the target manifold — a space-time quantum field theory.

A stable loop for the SU(2) Yang-Mills flow is a classical winding mode for the lambda model.

If the stable loop can be quantized in the lambda model, it might give low energy states that are not in lagrangian SU(2) quantum gauge field theory, and that might be observable.

# The result

A plausible outcome of the Y-M flow would be for one point on the loop to flow to a saddle point with a one-dimensional unstable manifold. The outgoing flows in both directions along the unstable manifold would end at the flat connection. The unstable manifold would thus form a stable loop.

Here, I find such a saddle point, with  $S_{YM} = 2$ , and show that its unstable manifold is one-dimensional, so forms a stable loop.

Actually, the saddle point is not a *point*, but rather a loop of fixed points.

This is *naive* mathematics: entirely explicit, elementary calculations.

## Previous work

Sibner, Sibner and Uhlenbeck (1989) constructed nontrivial loops of connections in the trivial bundle over  $S^4$ , with certain given U(1) symmetry.

Then they minimized over loops with the given U(1) symmetry the maximum of  $S_{YM}$  on the loop, and showed that this min-max was realized by a solution of the Yang-Mills equations.

As far as I can tell, these solutions have  $S_{YM} > 2$ . Each of these solutions should have a one-dimensional unstable manifold within the space of connections of the given U(1) symmetry, but the full unstable manifold, within the space of all connections, presumably has dimension > 1.

They conjectured the existence of an additional solution, not given by their construction. Presumably, their missing solution is the saddle point described here.

# $SU(3)/SU(2) = S^5 \subset \mathbb{C}^3$

Lacking intuition, I looked for an explicit nontrivial loop of SU(2) connections, planning to run the Y-M flow numerically to see what would happen.

The SU(2) bundles over  $S^5$  are classified by  $\pi_4 SU(2)$ , because they are made by gluing together trivial bundles on the north and south hemispheres using a map from the equator,  $S^4$ , to SU(2). So there is a unique nontrivial SU(2) bundle over  $S^5$ .

 $SU(3) \rightarrow SU(3)/SU(2) = S^5 \subset \mathbb{C}^3$  is a homogeneous model of the nontrivial bundle, with a canonical connection invariant under  $SU(3)_L \times U(1)_R$ .

Pull back along a suitable map  $S^1 \times S^4 \to S^5$  to get a nontrivial loop of SU(2) connections on  $S^4$ ,

$$\sigma \in [0, 2\pi] \mapsto D_{\sigma}$$

with  $D_0$  and  $D_{2\pi}$  nontrivially gauge equivalent flat connections.

Parametrizations

$$S^{3} \subset \mathbb{C}^{2} : \qquad z = (z_{1}, z_{2}) \qquad |z_{1}|^{2} + |z_{2}|^{2} = 1$$
$$S^{4} \subset \mathbb{R} \oplus \mathbb{C}^{2} : \qquad (\cos \theta, z_{1} \sin \theta, z_{2} \sin \theta) \qquad 0 \le \theta \le \pi$$
$$r = e^{x} = \tan \frac{\theta}{2}$$

#### U(2) symmetry

The map  $S^1 \times S^4 \to S^5$  can be chosen so that each connection  $D_{\sigma}$  is invariant under the action of U(2) on  $S^3 \subset \mathbb{C}^2$ .

For example, take

$$(\sigma, \theta, z_1, z_2) \mapsto \left(\cos \theta + i \sin \theta \cos \frac{\sigma}{2}, z_1 \sin \theta \sin \frac{\sigma}{2}, z_2 \sin \theta \sin \frac{\sigma}{2}\right)$$

An additional  $\mathbb{Z}_2$  symmetry exchanges  $D_{\sigma} \leftrightarrow D_{2\pi-\sigma}$ .

So the midpoint  $D_{\pi}$  has an enhanced  $U(2) \rtimes \mathbb{Z}_2$  symmetry.

 $D_{\pi}$  should flow to the saddle point.

The  $\mathbb{Z}_2$  symmetry would exchange the two branches of the unstable manifold.

Instead of running the Y-M flow numerically on the loop, it is considerably easier just to minimize  $S_{YM}$  within the space of  $U(2) \rtimes \mathbb{Z}_2$ -invariant connections.

U(2) acts transitively on  $S^3$ , so the U(2)-invariant connection forms  $A_{\sigma}$  are functions only of  $\theta$ , or x.

The additional  $\mathbb{Z}_2$  symmetry reflects  $\theta \leftrightarrow \pi - \theta$ ,  $x \leftrightarrow -x$ , so the invariant connection forms satisfy reflection symmetry conditions.

# Numerical investigations

The connection 1-form  $A(\theta)$  has two independent components, satisfying certain symmetry conditions under  $\theta \rightarrow \pi - \theta$ .

Write them as suitable polynomials in  $\cos \theta$ .  $S_{YM}$  is a quartic polynomial in the coefficients of the polynomials.

Minimize  $S_{YM}$  numerically on this 2N dimensional submanifold of the space of invariant connections, using Sage, Mathematica, and Maple. The programs misbehaved for N > 15.

The numerics suggest an absolute minimum at  $S_{YM} = 2$ .

 $S_{YM}$  a small integer suggests topology.

 $SU(3): S_{YM} = 2.4$ 

- N  $\min(S_{YM})$
- 1 2.15627
- 2 2.06011
- 3 2.03019
- 4 2.01735
- 5 2.01086
- 6 2.00723
- 7 2.00504
- 8 2.00368
- 9 2.00346
- 10 2.00313
- 11 2.00286
- 12 2.00251
- 13 2.00202
- 14 2.00186
- 15 2.00147

(anti-)self-duality

 $F_{\pm} = \frac{1}{2}(F \pm *F) \qquad \text{* the Hodge operator}$  $S_{\pm} = \frac{1}{8\pi^2} \int_{S^4} (-F_{\pm} *F_{\pm}) = \int_{-\infty}^{\infty} dx \ L_{\pm}(x)$  $S_{YM} = S_{+} + S_{-}$  $S_{+} - S_{-} \in \mathbb{Z} \text{ is the instanton number}$ 

The instanton: F = \*F  $S_+ = 1$  $F_- = 0$   $L_-(x) = 0$   $S_- = 0$ 

The U(2)-invariant instanton centered at the south pole ( $x = \infty$ ) will be written explicitly later. For now, its action density is

$$L_{+}(x) = L_{inst}(x) = .75 \cosh^{-4}(x - x_{+})$$

where

$$r_+ = e^{-x_+}$$

is the instanton size.



The numerics suggest that  $S_{YM} = 2$  is attained as a zero-size instanton at the south pole (at  $x=\infty$ ) combined with a zero-size anti-instanton at the north pole (at  $x=-\infty$ ).

The U(2)-invariant su(2)-valued forms on  $S^3$ U(2) invariance:

$$\omega(hz) = h\omega(z)h^{-1} \qquad h \in U(2)$$

Identify  $S^3$  with SU(2),

$$\hat{e} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$
  $z = g\hat{e}$   $g = \begin{pmatrix} z_1 & -\bar{z}_2 \\ z_2 & \bar{z}_1 \end{pmatrix}$ 

There is a single invariant 0-form:

$$\phi(z)=i(P-Q)=g\begin{pmatrix}i&0\0&-i\end{pmatrix}g^{-1}$$
  $P=zz^{\dagger},\ Q=1-P$ 

There are three invariant 1-forms:

$$v_+ = PdP$$
  $v_- = (dP)P$   $v_3 = (z^{\dagger}dz)(Q-P)$ 

The Maurer-Cartan form on SU(2) is

$$\Omega = gd(g^{-1}) = v_+ - v_- + v_3$$

#### The instanton and the anti-instanton

The basic instanton of size  $r_+$  located at the south pole ( $x = \infty$ )

$$D_+ = d + f_+(x)\Omega$$
  $f_+ = rac{1}{1 + e^{2(x-x_+)}}$   $r_+ = e^{-x_+}$ 

The basic anti-instanton of size  $r_{-}$  at the north pole ( $x = -\infty$ )

$$D_{-} = d + f_{-}(x)\Omega$$
  $f_{-} = \frac{1}{1 + e^{-2(x-x_{-})}}$   $r_{-} = e^{x_{-}}$ 

The instanton and anti-instanton twisted by  $h_{\pm} \in SU(2)/\{\pm 1\}$ 

$$(gh_+g^{-1})D_+(gh_+g^{-1})^{-1}$$
  $(gh_-g^{-1})D_-(gh_-g^{-1})^{-1}$ 

8 (anti-)instanton moduli: 4 the location in  $S^4$ 

- 1 the size  $r_{\pm}$
- 3 the twist  $h_{\pm}$

#### The twisted-pair

Send  $r_{\pm} 
ightarrow$  0. Then, away from the poles,  $f_{\pm 1} = 1$ , so

$$D_{\pm} = g d g^{-1}, \quad ext{so} \quad (g h_{\pm} g^{-1}) D_{\pm} (g h_{\pm} g^{-1})^{-1} = g d g^{-1}$$

so it makes sense to patch the twisted pair at the equator, defining

$$D(h_-,h_+) = egin{cases} (gh_-g^{-1})D_-(gh_-g^{-1})^{-1} & x \leq 0 \ (gh_+g^{-1})D_+(gh_+g^{-1})^{-1} & x \geq 0 \end{cases}$$

The 15 parameter conformal group of  $S^4$  absorbs 14 of the 16 moduli. Use 8 to put the instanton and the anti-instanton at the poles, and use dilation to scale  $r_+$  and  $r_-^{-1}$ , to make  $r_+ = r_-$ .

This leaves the 6 parameters of  $O(4) = SU(2)_L \times SU(2)_R / \{\pm 1\}$ .

 $SU(2)_L \times SU(2)_R / \{\pm 1\}$  acts on the twists by

$$(h_+, h_-) \mapsto (g_R h_+ g_L^{-1}, g_R h_- g_L^{-1})$$

Absorb  $h_+$  by taking  $g_L = g_R h_+$ , leaving  $SU(2)_R / \{\pm 1\}$  acting by conjugation on  $h_-$ 

$$(\mathbf{1},h_{-})\mapsto (\mathbf{1},g_{R}h_{-}g_{R}^{-1})$$

Thus the zero-size twisted-pair has 14 conformal moduli, plus a 1 parameter symmetry, plus 1 additional parameter

$$\cosrac{\sigma}{2}=rac{1}{2} ext{tr}(h_-h_+^{-1}) \qquad 0\leq\sigma\leq 2\pi$$

with  $\sigma = 0 \sim \sigma = 2\pi$  because the twists act by conjugation.

#### The loop of zero-size twisted pairs

The relative twist  $h_-h_+^{-1}/{\pm 1}$  lies in  $SU(2)/{\pm 1} = SO(3)$ .

 $\pi_1 SO(3) = \mathbb{Z}_2$ . We want to show that the nontrivial loops of zero-size twisted pairs are nontrivial loops in  $\mathcal{A}/\mathcal{G}$ .

A convenient nontrivial loop of zero-size twisted pairs is

$$D_{\sigma} = \begin{cases} D_{\sigma}^{-} &= e^{\frac{1}{4}\sigma\phi}D_{-}e^{-\frac{1}{4}\sigma\phi} & x \leq 0\\ D_{\sigma}^{+} &= e^{-\frac{1}{4}\sigma\phi}D_{+}e^{\frac{1}{4}\sigma\phi} & x \geq 0 \end{cases}$$

$$gh_-g^{-1} = e^{rac{1}{4}\sigma\phi} = g \begin{pmatrix} e^{rac{i\sigma}{4}} & 0 \\ 0 & e^{-rac{i\sigma}{4}} \end{pmatrix} g^{-1}$$

$$h_-h_+^{-1} = \begin{pmatrix} e^{\frac{i\sigma}{2}} & 0\\ 0 & e^{-\frac{i\sigma}{2}} \end{pmatrix}$$

# Non-triviality of the loop of twisted pairs in $\mathcal{A}/\mathcal{G}$

The twisted pairs, as written, are singular at the poles (before the limit  $r_{\pm} \rightarrow 0$ ). The connections can be made regular everywhere on  $S^4$  by a gauge transformation, giving

$$D_{\sigma} = \begin{cases} D_{\sigma}^{-} &= \Phi_{\sigma}^{-} D_{-} (\Phi_{\sigma}^{-})^{-1} & x \leq 0 \\ D_{\sigma}^{+} &= \Phi_{\sigma}^{+} D_{+} (\Phi_{\sigma}^{+})^{-1} & x \geq 0 \end{cases}$$

$$\begin{split} \Phi_{\sigma}^{-}(x,g) &= e^{\frac{1}{2}\sigma k_{-}(x)\phi} \qquad \Phi_{\sigma}^{+}(x,g) = e^{\left(\pi - \frac{1}{2}\sigma k_{+}(x)\right)\phi} \\ k_{+}(-\infty) &= 0 \quad k_{+}(-\infty) = 1 \qquad k_{-} + k_{+} = 1 \, . \\ D_{2\pi} &= \Phi D_{0}\Phi^{-1} \\ \Phi &= e^{-\pi k_{+}(x)\phi} = g \begin{pmatrix} e^{-i\pi k_{+}(x)} & 0 \\ 0 & e^{i\pi k_{+}(x)} \end{pmatrix} g^{-1} \end{split}$$

 $\Phi = \Sigma H : S^4 \to S^3$ , the suspension of the Hopf map  $H : S^3 \to S^2$ , representing the nontrivial element in  $\pi_4 S^3$ .

# Stability

The zero-size twisted-pairs are all critical points of the Y-M action, so the loop of zero-size twisted pairs is pointwise fixed under the Y-M flow.

Is the loop of zero-size twisted-pairs stable under the flow?

The instanton and the anti-instanton are individually stable, so we need only calculate the flow in the two zero-modes  $r_+$  and  $\sigma$ , in the limit where  $r_+$  is asymptotically small.

To determine the topology of the flow, it should be enough to calculate  $\dot{r}_+$  and  $\dot{\sigma}$  as functions of  $r_+$  and  $\sigma$ , at least to leading order in  $r_+$ .

# Calculation of $\dot{r}_+$ and $\dot{\sigma}$

Write the general asymptotically small U(2)-invariant perturbation

$$D_{\sigma} = \begin{cases} e^{\frac{1}{4}\sigma\phi} \left( D_{-} + \delta A_{-} \right) e^{-\frac{1}{4}\sigma\phi} & x \leq 0 \\ e^{-\frac{1}{4}\sigma\phi} \left( D_{+} + \delta A_{+} \right) e^{\frac{1}{4}\sigma\phi} & x \geq 0 \end{cases}$$

(1) Enforce the flow equation to leading order

$$\dot{r}_{-}\partial_{r_{-}}D_{-} + \frac{1}{4}\dot{\sigma}[\phi, D_{-}] = *D_{-}*D_{-}\delta A_{-}$$
$$\dot{r}_{+}\partial_{r_{+}}D_{+} - \frac{1}{4}\dot{\sigma}[\phi, D_{+}] = *D_{+}*D_{+}\delta A_{+}$$

(2) Require  $D_{\sigma}$  to be regular at x = 0,

$$e^{\frac{1}{4}\sigma\phi} (D_{-} + \delta A_{-}) e^{-\frac{1}{4}\sigma\phi} - e^{-\frac{1}{4}\sigma\phi} (D_{+} + \delta A_{+}) e^{\frac{1}{4}\sigma\phi} = 0 + O(x^{2})$$

Together, (1) and (2) ensure that  $D_{\sigma}$  satisfies the flow equation.

Working in  $A_x = 0$  gauge, expand in the invariant 1-forms on  $S^3$ 

$$\delta A_{\pm} = \delta A_{\pm}^+(x)v_+ + \delta A_{\pm}^-(x)v_- + \delta A_{\pm}^3(x)v_3$$

The flow equations are thus second order inhomogeneous linear ordinary differential equations in x, with non-constant  $3 \times 3$  matrix coefficients. They can be diagonalized and integrated exactly.

Then there are 6 patching equations: on the values of the coefficients of  $v_+$ ,  $v_-$ ,  $v_3$  at x = 0, and the first derivatives.

After elementary but laborious calculations, I get

$$\dot{r}_{+} = r_{+}^{3}(1 + 2\cos\sigma) + O(r_{+}^{5})$$
$$\dot{\sigma} = -8r_{+}^{2}\sin\sigma + O(r_{+}^{4})$$

The topology of the flow is easiest understood by looking at the flow lines.





### The stable loop

There is a stable loop consisting of two branches.

One branch consists of the line of fixed points at  $r_+ = 0$  from  $\sigma = \pi$  to  $\sigma = 0$ , then the outgoing trajectory along the vertical axis at  $\sigma = 0$ .

The second branch consists of the line of fixed points at  $r_+ = 0$ from  $\sigma = \pi$  to  $\sigma = 2\pi$ , then out along the vertical axis at  $\sigma = 2\pi$ .

Recall that the two vertical axes,  $\sigma = 0$  and  $\sigma = 2\pi$  are gauge equivalent, under a non-trivial gauge transformation.

I strongly suspect that the outgoing flows at  $\sigma=$  0 and  $\sigma=2\pi$  end at the flat connection.

## Geometry

The metric inherited from the space of connections  $\mathcal{A}$  is, to leading order in  $r_+$ ,

$$(ds)^2 = 64\left[(dr_+)^2 + r_+^2 (d\sigma')^2\right] \qquad \sigma' = \frac{1}{4}\sigma \qquad 0 \le \sigma' \le \frac{\pi}{2}$$

The flow is the gradient flow wrt this metric for

$$S_{YM} = 2 - 16r_{+}^{4}(1 + 2\cos\sigma) + O(r_{+}^{6})$$

 $\mathcal{A}/\mathcal{G}$  is a cone: the upper right quadrant of the plane, the positive *x*-axis identified with the positive *y*-axis.

The space of zero-size twisted pairs lives at the vertex of the cone, at  $r_+ = 0$ .



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### The outgoing trajectory

The outgoing trajectory at  $\sigma = 0$  (or  $\sigma = 2\pi$ ) is the flow of connections of the form

$$D = d + f(x)\Omega$$
  $f(\pm \infty) = 0$ ,  $f(x) = f(-x)$ 

generated by

$$\frac{df}{dt} = \partial_x^2 f - 4f(1-f)(2f-1)$$

with initial conditions

$$f(x) 
ightarrow rac{1}{1+r_+(t)^2 e^{2|x|}} \qquad t 
ightarrow -\infty$$
  
 $r_+(t)^2 = (-6t)^{-1} + O(t^{-2})$ 

$$\frac{df}{dt} = \partial_x^2 f - 4f(1-f)(2f-1)$$

is a nonlinear diffusion equation, a special case of the FitzHugh–Nagumo equation

$$\partial_t u = \partial_x^2 u - u(u-1)(u-a)$$
 at  $a = \frac{1}{2}$ .

This is not integrable, but some exact solutions are known, including travelling shock waves which become static when  $a = \frac{1}{2}$ . These are our instanton and anti-instanton.

The calculation described above guarantees an early time solution that starts as a widely separated shock-anti-shock pair moving slowly towards each other with a speed that goes as  $\frac{1}{-2t}$ .

Does this solution exist for all t? As  $t \to +\infty$ , does the shock-anti-shock pair annihilate to f = 0 (the flat connection)?

## Questions

 What is the outgoing trajectory from the loop of twisted pairs located at separation *R* in euclidean ℝ<sup>4</sup>? How does it approach the flat connection?

I expect that any low energy states in the lambda model would come from this asymptotic approach to the flat connection on  $\mathbb{R}^4$ .

• Global stability? Is the attracting basin open and dense? Are there any other locally stable loops?

• Stable 2-spheres (lambda instantons)?

• 
$$\pi_2(\mathcal{A}/\mathcal{G}) = \pi_5 G$$

- $\pi_5 SU(3) = \mathbb{Z}$ .  $G_2/SU(3) = S^6$  is a model. Numerics are essentially the same [DF, Pisa Workshop on Geometric Flows, June 2009]. The space of twisted pairs in SU(3) contains a  $\mathbb{CP}^1$ . The stability calculation has not yet been done.
- $\pi_5 SU(2) = \mathbb{Z}_2$ . I have no idea what a stable 2-sphere might look like. Is there a homogeneous model? The model in the literature,

$$\Sigma H \circ \Sigma^2 H : S^5 \to S^4 \to S^3$$

does not seem useful.

Ricci flow

- $\pi_0 Diff(S^4) = 0$ ? (no exotic 5-spheres?)
- $\pi_2(Metrics/Diff(S^4)) = 0?$