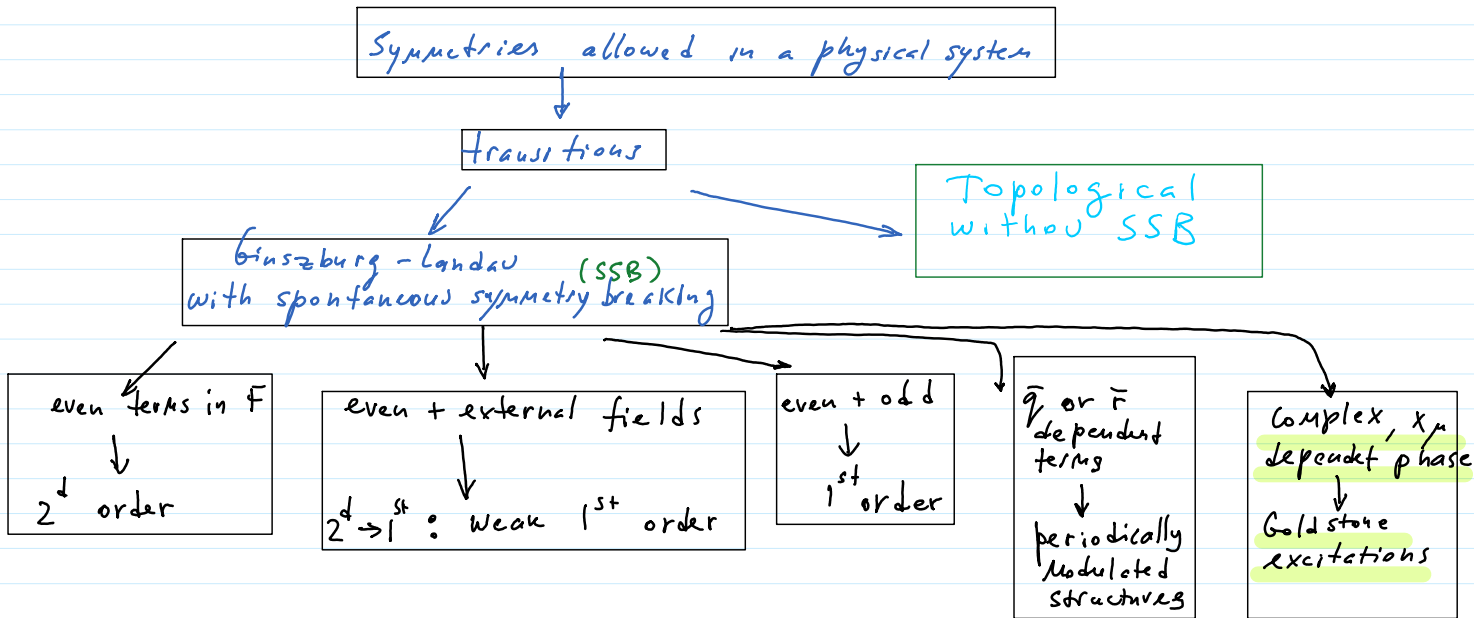


# What kinds of OP?

Thursday, September 20, 2018 9:37 AM

- OP can be a scalar - periodic charge density in a crystal  
vector - magnetisation in a FM or FE  
complex scalar - electron condensate wavefunction in SC  
tensor - liquid crystals, anisotropic SC or superfluidity in  $^3\text{He}$  or high  $T_c$  SC.



In this lecture we will learn  
about modern implementation  
of G-L theory within quantum  
field theory.

# What happens when nature breaks symmetry ?

Saturday, September 1, 2018 9:10 PM

- **Phase transitions** We saw that in Landau's example, the parameter  $a$  in the free energy was temperature dependent. At a temperature  $T_c$ , at which  $a$  changes sign, a phase transition takes place. The transition separates two distinct states of different symmetry. The low-temperature phase has lost some symmetry, more precisely it is missing a symmetry element.<sup>5</sup>
- **New excitations** Our philosophy has been that every particle is an excitation of the vacuum of a system. When a symmetry is broken we end up with a new vacuum (e.g. a vacuum with  $M = -M_0$ ). The fact that the vacuum is different means that the particle spectrum should be expected to be different to that of the unbroken symmetry state (such as  $M = 0$  in our example). We will see that new particles known as Goldstone modes can emerge upon symmetry breaking.<sup>6</sup>
- **Rigidity** Any attempt to deform the field in the broken symmetry state results in new forces emerging. Examples of rigidity include phase stiffness in superconductors, spin stiffness in magnets and the mechanical strength of crystalline solids.
- **Defects** These result from the fact that the symmetry may be broken in different ways in different regions of the system, and are topological in nature. An example is a domain wall in a ferromagnet. These are described in Chapter 29.

Reading for this section:  
QFT for GA . Ch. 26

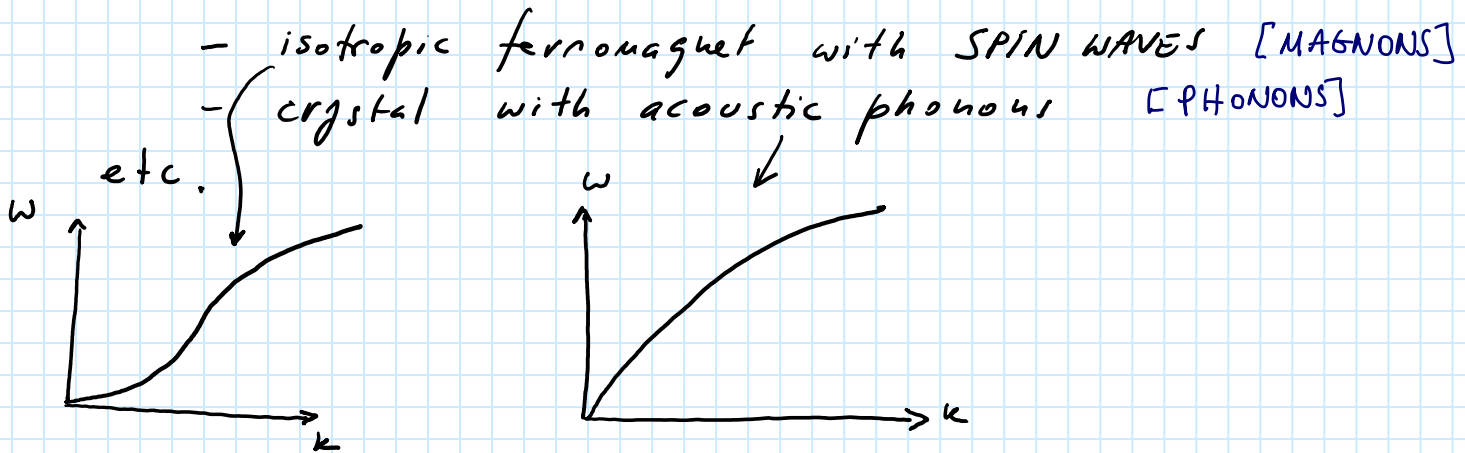
# Excitation spectrum

Thursday, September 20, 2018 10:00 AM

Recall our approach was to describe condensed matter from the excitation spectrum point of view.

Very generally, we can ask <sup>why and</sup> what kind of excitations we can expect in a symmetry broken state.

Experimentally there are many examples of such excitations:



is there any general rule which tells if those excitations really exist?

Meet the Goldstone theorem:

If at the transition we break a continuous symmetry, there must exist in the ordered state of this material a collective mode or collective excitation with gapless energy spectrum.

But what about Superconductivity?

# Do we live in superconducting Universe ?

A PECULIAR DEPARTURE.

Thursday, September 20, 2018 3:25 PM

In the electro-weak theory of Weinberg-Salam there is a combined  $U(1) \times SU(2)$  gauge symmetry. Due to coupling to the Higgs field whose symmetry is spontaneously broken one gauge field remains massless (the photon) and the other three become massive. These massive particles are the  $W^+$ ,  $W^-$ , and  $Z$  bosons.

One of the key ideas first emphasized by Phil Anderson in 1963 was that a massless gauge field can acquire a mass in the presence of a coupling to a spontaneously broken field. A concrete realization of this occurs in superconductors. In the Meissner effect a superconductor thicker than the penetration depth expels magnetic fields. This is like the photon acquires a mass.

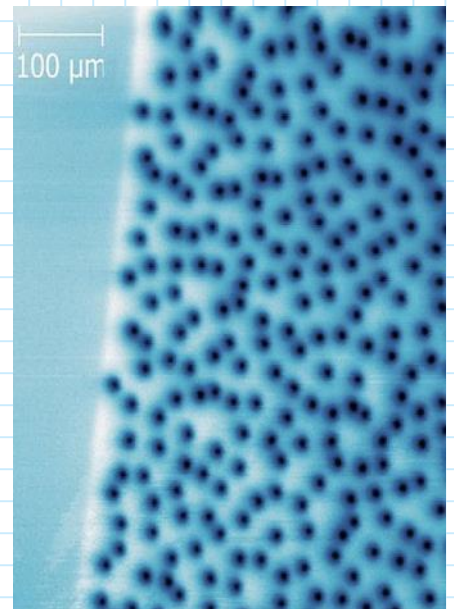
From <http://condensedconcepts.blogspot.com/2012/07/the-higgs-boson-and-condensed-matter.html>

In a type II superconductor, vortices are allowed in the superconducting order parameter field. **Can such vortices occur in the Higgs field?** They may have been important in the early universe.

On fascinating thing is that for the Higgs field the crucial ratio [between the London penetration length and the superconducting coherence length] that determines whether type II behavior is possible is the ratio of Higgs boson mass to  $W$  mass. **The LHC results suggest that type II behavior is possible!**



From P. Coleman's book, "Introduction to many-body..." page 246. Shortly after the importance of this mechanism for relativistic Yang Mills theories was noted by Higgs and Anderson, Weinberg and Salem independently applied the idea to develop the theory of "electro-weak" interactions. According to this picture, the universe we live is a kind of cosmological Meissner phase, formed in the early universe, which excludes the weak force by making the vector bosons which carry it, become massive. It is a remarkable thought that the very same mechanism that causes superconductors to levitate lies at the heart of the weak nuclear force responsible for nuclear fusion inside stars. In trying to discover the Higgs particle, physicists are in effect trying to probe the cosmic superconductor above its gap energy scale.



Vortices in a 200-nm-thick YBCO film imaged by scanning SQUID microscopy

# LG theory in QFT

Friday, August 31, 2018 2:47 PM



AND NOW WE ENTER THE  
WORLD OF QUANTUM FIELDS ●

(JUST ONCE IN THIS COURSE)

# Field theory and L-6 theory.

- Breaking symmetry with Lagrangian.

What we do in QFT is searching for a ground state of  $\varphi(x)$

For simplicity let's start with a simple model:

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - U(\phi) \quad \text{where } U(\phi) = \frac{\mu^2}{2} \phi^2 + \frac{\lambda}{4!} \phi^4$$

Now we move to a very interesting case:  $\mu^2 < 0$ !

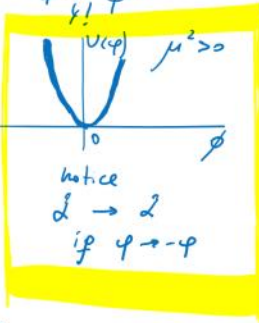
in this case

$$\frac{\partial U}{\partial \phi} = 0 \quad 0 = -\mu^2 \phi + \frac{\lambda}{3!} \phi^3$$

$$(0, \pm \sqrt{6\mu^2/\lambda})$$

$$\text{and } \frac{\partial^2 U}{\partial \phi^2} = 0 \Rightarrow -\mu^2 + \frac{\lambda \phi^2}{2} = 0 \Rightarrow \frac{\partial^2 U}{\partial \phi^2} < 0$$

$$\text{and } = +2\mu^2 \text{ for } \phi_0 = \pm \sqrt{6\mu^2/\lambda}$$



This is very strange as our system has two new vacua

ground state is broken in  $\phi_0 \rightarrow -\phi_0$  symmetry and it happens spontaneously

► What happens to excitations in the new ground state?

To investigate this let's select a new vacuum, e.g.  $+\phi_0$ , and excite the field around the ground state. The Taylor expansion gives:

$$U(\varphi - \varphi_0) = U(\varphi_0) + \left. \left( \frac{\partial U}{\partial \varphi} \right) \right|_{\varphi_0} (\varphi - \varphi_0) + \frac{1}{2!} \left. \frac{\partial^2 U}{\partial \varphi^2} \right|_{\varphi_0} (\varphi - \varphi_0)^2 + \dots =$$

$$= \underbrace{U(\varphi_0)}_{\text{Const}} + \underbrace{\mu^2 (\varphi - \varphi_0)^2}_{\equiv \phi'^2} + \dots$$

The final Lagrangian is:

$$\mathcal{L} = \frac{1}{2} (\partial \varphi')^2 - \mu^2 \varphi'^2 + O(\varphi'^3)$$

Let's compare this to the original theory

$$\mathcal{L} = \frac{1}{2} (\partial \varphi)^2 - \frac{\mu^2}{2} \varphi^2 + \frac{\lambda}{4!} \varphi^4 \quad \mathcal{L} \xrightarrow{\varphi \rightarrow -\varphi} \mathcal{L}$$

$$\mu \rightarrow \sqrt{2} \mu$$

Notice, the Lagrangian doesn't break the symmetry, it's still  $\varphi \rightarrow -\varphi$  invariant. But the symmetry is broken in the ground state. As the result, the vacuum gets a non-zero amplitude  $\varphi_0 = \left( \frac{6\mu^2}{\lambda} \right)^{1/2}$  and becomes heavier.

Recall:  
H = K + P  
L = K - P

!!!

# Goldstone Modes

Goldstone modes easier to explain a 2D model.

Consider a 2-component <sup>or 2D</sup> QFT:

$$\mathcal{L} = \frac{1}{2} [(\partial_\mu \varphi_1)^2 + (\partial_\mu \varphi_2)^2] + \frac{\mu^2}{2} (\varphi_1^2 + \varphi_2^2) - \frac{\lambda}{4!} (\varphi_1^2 + \varphi_2^2)^2$$

↗ rotational

← it has  $SO(2)$  symmetry around internal  $\varphi_1(x) - \varphi_2(x)$

the label says "CHATEAU LANDAU"

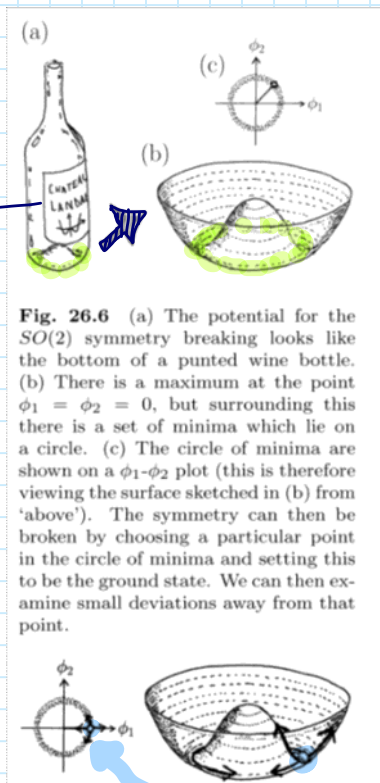


Fig. 26.6 (a) The potential for the  $SO(2)$  symmetry breaking looks like the bottom of a punted wine bottle. (b) There is a maximum at the point  $\phi_1 = \phi_2 = 0$ , but surrounding this there is a set of minima which lie on a circle. (c) The circle of minima are shown on a  $\phi_1 - \phi_2$  plot (this is therefore viewing the surface sketched in (b) from 'above'). The symmetry can then be broken by choosing a particular point in the circle of minima and setting this to be the ground state. We can then examine small deviations away from that point.

There are infinite number of local minima.

$$U(x) = -\frac{\mu^2}{2} x + \frac{\lambda}{4!} x^2$$

$$x \equiv \varphi_1^2 + \varphi_2^2 \Rightarrow \frac{\partial U}{\partial x} = 0 \Rightarrow$$

$$x_{\min} \equiv \varphi_1^2 + \varphi_2^2 = \frac{6\mu^2}{\lambda}$$

Lets imagine we break symmetry e.g.  $(\varphi_1, \varphi_2) = \left( +\sqrt{\frac{6\mu^2}{\lambda}}, 0 \right)$

and investigate the excitations around the ground state.

$$\varphi_1' = \varphi_1 - \sqrt{\frac{6\mu^2}{\lambda}} ; \varphi_2' = \varphi_2$$

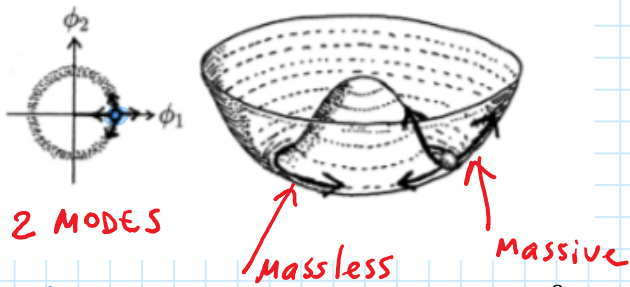
See next figure to get a better idea.

# LG theory of QFT

Friday, August 31, 2018 6:57 PM

3

Again as before we can check what happens if we consider our Lagrangian for the new ground state.



Consider  $U(\varphi_1, \varphi_2) = \frac{-\mu^2}{2}(\varphi_1^2 + \varphi_2^2) + \frac{\lambda^2}{4!}(\varphi_1^2 + \varphi_2^2)^2$

We expand around minimum  $(\varphi_1, \varphi_2) = \left(\sqrt{\frac{\mu^2}{\lambda}}, 0\right)$

and get:  $\frac{\partial^2 U}{\partial \varphi_1^2} = 2\mu^2$        $\frac{\partial^2 U}{\partial \varphi_2^2} = 0$

$$\mathcal{L} = \frac{1}{2} \left[ (\partial \varphi_1)^2 + (\partial \varphi_2)^2 \right] - \mu^2 (\varphi_1')^2 + \mathcal{O}(\varphi_2'^2) + \mathcal{O}(\varphi_1'^3)$$

$\mu^2$  massive or gapped  
 $\mathcal{O}(\varphi_2'^2)$  massless or gapless in solid state

So the particle with field  $\varphi_1'$  has mass and  $\varphi_2'$  is massless. See Fig. above, the excitations in  $\varphi_2'$  are gapless!

(in particle physics this would be massless)

The vanishing of the mass is the result of Goldstone theorem:

Breaking a continuous symmetry always results in massless excitations known as a Goldstone mode.



## Breaking symmetry in a gauge theory

4

The most amazing effect occur when we apply the same ideas to the broken ground state in a gauge theory.

Here we want to discuss the famous Higgs mechanism.

Consider a scalar field theory:

$$\mathcal{L} = (\partial^\mu \psi^\dagger - ig A^\mu \psi^\dagger)(\partial_\mu \psi + ig t_\mu \psi) + \mu^2 \psi^\dagger \psi - \lambda (\psi^\dagger \psi)^2 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

this theory can describe for example an electron interacting with photons.  
The important point - this theory is gauge invariant, i.e.

$$\begin{cases} A_\mu \rightarrow A_\mu - \frac{1}{g} \partial_\mu \alpha(x) \\ \psi \rightarrow \psi e^{i\alpha(x)} \end{cases}$$

The theory as written above describes

2 massive scalar particle

$$E_p = (p^2 + \mu^2)^{1/2} \quad \text{and}$$

2 transverse polarized massless photons  
 $E = |\omega|$

↳ transverse polarized massless photons

$$E_p = |p|$$

# Higgs Anderson mechanism

Monday, September 3, 2018 10:54 AM

5

Now we will break the symmetry  
Let's move to the polar coordinates:

$$\Psi(x) = \rho(x) e^{i\theta(x)} \quad \text{and select some unique angle } \theta_0 \text{ for all } x.$$

So we start at this specific state and want to know what excitations can emerge around this state?

$$\begin{aligned} \partial_\mu \Psi + iq A_\mu \Psi &= (\partial_\mu \rho(x)) e^{i\theta(x)} + \\ &+ i (\partial_\mu \theta(x)) \rho e^{i\theta} + q A_\mu \rho e^{i\theta} = \\ &= (\partial_\mu \rho) e^{i\theta} + i \rho e^{i\theta} (\underbrace{\partial_\mu \theta + q A_\mu}_{\text{Compare to } (\partial_\mu \Psi + iq A_\mu \Psi)}) \end{aligned}$$

we introduce a new gauge field  $(\partial_\mu + iq A_\mu) \Psi$   
 $A_\mu + \frac{1}{q} \partial_\mu \theta(x) \equiv C_\mu$

So the term

$$\begin{aligned} (\partial^\mu \Psi^\dagger - iq A^\mu \Psi^\dagger) (\partial_\mu \Psi - iq A_\mu \Psi) &= \\ = (\partial_\mu \rho)^2 + \rho^2 q^2 C_\mu C^\mu &\leftarrow \text{SHOW THIS} \end{aligned}$$

# Higgs Anderson Mechanism

Monday, September 3, 2018 11:03 AM

6

We can also transform the

field energy  $F_{\mu\nu} = \partial_\mu A^\nu - \partial_\nu A^\mu$   
 $= \partial_\mu C^\nu - \partial_\nu C^\mu$

Finally

$$\mathcal{L} = (\partial_\mu \phi)^2 + \phi^2 g^2 C_\mu C^\mu + \mu^2 \phi^2 - \frac{1}{4} F^{\mu\nu} F_{\mu\nu} \equiv C^2$$

Now BREAK THE SYMMETRY:

$$\phi_0 = \sqrt{\mu^2 / 2\lambda} \quad \theta_0 = 0$$

Let's introduce the excitations around the ground state:

$$\frac{\chi}{\sqrt{2}} = \phi - \phi_0$$

SHOW THIS



$$\mathcal{L} = \frac{1}{2} (\partial_\mu \chi)^2 - \mu^2 \chi^2 - \sqrt{\lambda} \mu \chi^3 - \frac{\lambda}{4} \chi^4 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{M^2}{2} C_\mu C^\mu + g^2 \left(\frac{\mu^2}{\lambda}\right)^{1/2} \chi C_\mu C^\mu + \frac{1}{2} g^2 \chi^2 C_\mu C^\mu + \dots$$

$$+ \frac{1}{2} \left( \frac{\mu}{\lambda} \right)^2 \chi C_{\mu} C^{\mu} + \frac{1}{2} g^2 \chi^2 C_{\mu} C^{\mu} + \dots$$

here  $M = g \sqrt{\mu^2 / \lambda}$

# Higgs Anderson Mechanism

Monday, September 3, 2018

11:10 AM



Now we see that we have

$\chi$  field excitations with mass  $\sqrt{2}\mu$

BUT  $C^\mu$  which was massless  
gauge field analog of  $A_\mu$  now  
has mass  $M$ .

Also  $\theta$  which was massless  
now is NOT in the <sup>broken symmetry</sup> theory  
and we instead have a massive  
term  $C^\mu$

The massless photon field  $A_\mu$  has  
"eaten"  $\theta(x)$  and got mass  $C_\mu(x)$

So we have:

$$\chi(x) \quad E_p = (p^2 + (\sqrt{2}\mu)^2)^{1/2}$$

+

3 vector fields

$$C_\mu(x) \quad E_p = \left[ p^2 + \left( \frac{g^2 \mu^2}{\lambda} \right) \right]^{1/2}$$

Summary: By applying a gauge transformation

Summary: By applying a gauge transformation  $A_\mu \rightarrow C_\mu$  we remove massless Goldstone modes and gained mass in excitations combining it with the gauge field.

e.g.  $\left\{ \begin{array}{l} 2 \times \text{massive } \overset{\text{scalar}}{\text{particles}} \\ 2 \times \text{massless photons} \end{array} \right\} \rightarrow \left\{ \begin{array}{l} 1 \times \text{massive scalar particle} \\ 3 \times \text{massive vector particles} \end{array} \right\}$

In simpler words: If you have a gauge invariant theory, e.g. when your phase depends on the point in space  $x_\mu$ , e.g.  $\varphi(x_\mu) = \varphi_0(x_\mu) e^{i\theta(x_\mu)}$

By breaking this symmetry we destroy UNPHYSICAL MASSLESS Goldstone modes, by giving those excitation mass via the interaction with a gauge field (e.g. photons)

In particle's words it gives mass to otherwise massless bosons, and known as Higgs particles: Z and W bosons

Similar mechanism was proposed by P. Anderson for superconductivity.

IF THIS STILL NOT CLEAR READ

Chapter 26, QFT for gifted

One more surprise (without proof).

Order in reduced dimensions:

All what we have discussed in the past 3 lectures was referring to 3D world, But what about low dimensional materials?

Berezinskii - Mermin - Wagner theorem

Continuous symmetry cannot be broken at FINITE T's in any system with  $D \leq 2$  with short-range interactions.

Sometimes, you can hear that there is no long-range ordering in low-D materials.

{ Read WIKIPEDIA ARTICLE on: MERMIN - WIGNER theorem }