

WALL-CROSSING FORMULAE FOR  
BPS STATES & SOME APPLICATIONS  
ETH LECTURE I  
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BASED ON WORK DONE WITH  
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# OUTLINE

1. INTRODUCTION: BPS STATES
2. THREE WALL-CROSSING FORMULAE
3. SUPERGRAVITY DERIVATION OF PRIMITIVE AND SEMI-PRIMITIVE WCF
4. D6-D2-D0 SYSTEM +  $Z_{TOP}$ .
5. D4D2D0 SYSTEM: MODULAR GENERATING FUNCTION
6. ROUTE TO OSV: ENTROPY ENIGMA & DEGENERACY DICHOTOMY
7. OPEN PROBLEMS

# 1. INTRODUCTION

THE "SPACE OF BPS STATES" HAS BEEN A CENTRAL CONCEPT IN SUSY GAUGE THEORY & STRING THEORY FOR ALMOST 30 YEARS.

LECTURES I & II FOCUS ON RECENT PROGRESS IN UNDERSTANDING PHENOMENA ASSOCIATED TO MARGINAL STABILITY.

## A. DEFINING THE "SPACE OF BPS STATES"

FOR DEFINITENESS, WE FOCUS ON THEORIES WITH  $d=4$ ,  $\mathcal{N}=2$  SUSY IN (ASYMPTOTIC) MINKOWSKI SPACE  $M_4$

HILBERT SPACE OF ONE-PARTICLE STATES,  $\mathcal{H}$ , IS A REP. OF THE  $d=4$ ,  $\mathcal{N}=2$  ALGEBRA.

RECALL THE  $N=2, D=4$  SUSY ALGEBRA

$$\mathcal{L} = \mathcal{L}_0 \oplus \mathcal{L}_1$$

$$\mathcal{L}_0 = (\text{Spin}(1,3) \times \mathbb{R}^4) \oplus \mathfrak{u}(2) \oplus \mathbb{R}$$

$\hat{M}_{\mu\nu}$        $\hat{P}_\mu$        $\hat{Z}$

$$\mathcal{L}_1 = [\text{Spinor} \otimes \mathbb{C}^2]_{\mathbb{R}}$$

$Q_{\alpha I}, \bar{Q}^{\dot{\alpha} I}$

$$\{Q_{\alpha I}, \bar{Q}_{\dot{\beta} J}\} = 2 \hat{P}_\mu \sigma_{\alpha\dot{\beta}}^\mu \delta_{IJ}$$

$$\{Q_{\alpha I}, Q_{\beta J}\} = 2 \hat{Z} \epsilon_{\alpha\beta} \epsilon_{IJ}$$

DECOMPOSE  $\mathcal{H} = \bigoplus_{z \in \mathbb{C}} \mathcal{H}_{\hat{N} = z}$

LEMMA:  $E \geq |z|$  ON  $\mathcal{H}_z$

PROOF:  $\mathcal{N} = 2$  IS A 6D SUSY ALGEBRA

DIMENSIONALLY REDUCED TO 4D:

$$\text{Spin}(1,3) \times \text{Spin} 2 \hookrightarrow \text{Spin}(1,5)$$

$$2_{1/2} \oplus 2_{-1/2}^* = 4$$

$$\{Q_{rA}, Q_{sB}\} = \Gamma_{rs}^M P_M \epsilon_{AB} \implies \hat{N} = P_4 + iP_5$$

UNITARY REP.  $\implies P^M P_M \geq 0$ .

$$\implies E^2 - \vec{P}^2 - |z|^2 \geq 0 \quad \blacksquare$$

DEF'N:  $\mathcal{H}^{\text{BPS}}$  IS THE SUBSPACE OF  $\mathcal{H}$  WHERE  $E = |z|$ .

## B. GENERAL FEATURES

THE THEORIES WE WILL DISCUSS  
( $d=4, N=2$  FIELD THEORIES  $\hat{=}$   
COMPACTIFICATIONS OF TYPE II STRINGS  
ON A CALABI-YAU  $X$ )  
HAVE TWO GENERAL FEATURES  
LEADING TO EXTRA STRUCTURE

- (1.) MODULI SPACE OF VACUA  $\tilde{\mathcal{M}}$
- (2.) AT A GENERIC POINT  $u \in \tilde{\mathcal{M}}$   
THERE IS AN UNBROKEN  
ABELIAN GAUGE SYMMETRY.

AS A REP. OF  $W=2$ ,  $\mathcal{H}$   
DEPENDS ON  $u$ , SO WRITE  $\mathcal{H}_u$

MOREOVER,  
ABELIAN GAUGE GROUP  $\Rightarrow$   
LATTICE  $\Lambda$  OF ELECTRIC &  
MAGNETIC CHARGES

•  $\Lambda$  IS A SYMPLECTIC LATTICE

$$\langle \cdot, \cdot \rangle : \Lambda \times \Lambda \rightarrow \mathbb{Z}$$

DUALITY INVARIANT D-S-Z PRODUCT

•  $\mathcal{H}_u$  CAN BE GRADED BY CHARGE  
SECTORS:

$$\mathcal{H}_u = \bigoplus_{\gamma \in \Lambda} \mathcal{H}_{\gamma, u}$$

ON THE SUBSPACE  $\mathcal{H}_{\gamma, u}$  THE  
CENTRAL CHARGE OPERATOR  $\hat{Z}$   
IS A SCALAR:

$$\hat{Z} = Z(\gamma; u),$$

DEFINING THE CENTRAL CHARGE FUNCTION

SO ON  $\mathcal{H}_{\gamma, u}^{\text{BPS}}$

$$E = M = |Z(\gamma; u)|$$

MOREOVER,  $Z: \Lambda \times \tilde{\mathcal{M}} \rightarrow \mathbb{C}$ ,

IS LINEAR ON  $\Lambda$

$$Z(\gamma_1 + \gamma_2; u) = Z(\gamma_1; u) + Z(\gamma_2; u)$$



## KEY PHYSICS POINT:

\* SOME BPS STATES ARE BOUNDSTATES OF OTHER BPS STATES.\*

### BINDING ENERGY:

$$E(u) = |Z(\gamma_1 + \gamma_2; u)| - |Z(\gamma_1; u)| - |Z(\gamma_2; u)| \leq 0$$

$\Rightarrow$  THERE ARE VALUES  $u \in \tilde{\mathcal{U}}$

WHERE SUCH BOUNDSTATES MIGHT

DECAY: POINTS  $u$  WHERE

$$|z_1 + z_2| = |z_1| + |z_2|$$

$$\Rightarrow z_1 \stackrel{|}{\sim} z_2$$

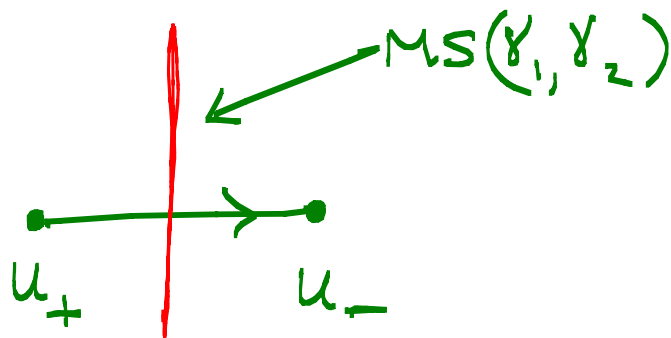
HAVE THE SAME PHASE.

## WALLS OF MARGINAL STABILITY:

$$MS(\gamma_1, \gamma_2) := \{u \mid Z(\gamma_1; u) = \lambda Z(\gamma_2; u), \lambda \in \mathbb{R}_+\}$$

$MS(\gamma_1, \gamma_2)$  IS CODIMENSION ONE IN  $\tilde{\mathcal{M}}$

A BOUNDSTATE OF PARTICLES WITH CHARGES  $\gamma_1, \gamma_2$  CAN DECAY



[CECOTTI, FENDLEY, INTRILIGATOR, VAFA ; SEIBERG & WITTEN]

WE WANT TO SAY HOW MANY STATES DECAY.

$$\dim \mathcal{H}_{\gamma, u_+}^{\text{BPS}} - \dim \mathcal{H}_{\gamma, u_-}^{\text{BPS}} = ?$$

$\Rightarrow$  WALL-CROSSING FORMULA

$\dim \mathcal{H}_{\gamma, u}^{\text{BPS}}$  CAN ALSO CHANGE FOR  
 "UNINTERESTING REASONS"

SO DEFINE AN INDEX

$$\Omega(\gamma; u) = -\frac{1}{2} \text{Tr}_{\mathcal{H}_{\gamma, u}^{\text{BPS}}} (2J_3)^2 (-1)^{2J_3}$$

TECHNICAL POINT:

$$\mathcal{H}_{\gamma, u}^{\text{BPS}} = \underbrace{\mathcal{H}_{\frac{1}{2}\text{HM}}}_{\substack{1/2 \text{ hyper} \\ \text{spin rep}^h}} \otimes \mathcal{H}(\gamma; u)$$

$2(0) + (\frac{1}{2})$  as

$$\Omega(\gamma; u) = \text{Tr}_{\mathcal{H}(\gamma; u)} (-1)^F$$

HENCEFORTH FOCUS ON  $\mathcal{H}(\gamma; u)$

## C. TYPE II STRINGS

NOW - SPECIALIZE TO **TYPE II**  
STRING THEORY ON  $M_4 \times X$ .

1.  $X =$  STATIC, COMPACT, CY 3-FOLD
2. FLAT B-FIELD:  $B \in H^2(X, \mathbb{R})$
3. FLAT RR FIELDS

$\Rightarrow$   $\mathcal{N} = 2, d = 4$  SUGRA ON  $M_4$

$M_4$  IS NONCOMPACT  $\Rightarrow$  TO DEFINE  
THE HILBERT SPACE AS A REP. OF  $\mathcal{N} = 2$   
WE MUST SPECIFY BOUNDARY COND'S  
FOR THE MASSLESS FIELDS:

$$u = \lim_{\vec{x} \rightarrow \infty} (g_{MN}, \phi, B_{MN}, RR)$$

MODULI SPACE  $\tilde{\mathcal{M}}$  IS A PRODUCT:

HYPERMULTIPLETS  $\times$  VECTORMULTIPLETS  
[CPLX STR.,  $\phi$ , RR FIELDS]  $\times$  [COMPLEXIFIED KÄHLER]

WE WORK AT A GENERIC HYPERMULTIPLY.

$\Omega$  IS CONSTANT AS A FUNCTION  
OF HYPERMULTIPLETS, BUT IT TURNS  
OUT TO BE ONLY PIECEWISE CONSTANT  
AS A FUNCTION OF VECTORMULTIPLETS.

RECENT PROGRESS HAS BEEN  
CONCERNED WITH THE DEPENDENCE  
ON VECTORMULTIPLETS, IN THIS TALK,

$$t = B + iJ$$

# LOW ENERGY ABELIAN GAUGE THEORY FROM RR FIELDS

$\mathcal{H}_u$  IS GRADED BY ELECTRIC/MAGNETIC  
CHARGE SECTORS:

$$\mathcal{H}_u = \bigoplus_{\Gamma} \mathcal{H}_{\Gamma, u}$$

$\Gamma \in$  (TWISTED)  $K$ -THEORY( $X$ )

PHYSICISTS USUALLY WORK  
WITH COHOMOLOGY AND  
IN THIS TALK WE REPLACE

$$\mathcal{E} \in K^0(X) \longrightarrow \text{ch}(\mathcal{E}) \sqrt{\hat{A}} \in H^{\text{ev}}(X, \mathbb{Q})$$

$$K^0(X) / \text{TORSION} = \text{LATTICE } \Lambda$$

$$\text{Ch}(\mathcal{E}) \sqrt{\hat{A}} \in \text{CORRESPONDING LATTICE IN } H^{\text{ev}}(X, \mathbb{Q})$$

$\Lambda$  HAS A  $\square$  SYMPLECTIC FORM

$$\begin{aligned} \langle \mathcal{E}_1, \mathcal{E}_2 \rangle &= \text{Index } \not{D}_{\mathcal{E}_1 \otimes \overline{\mathcal{E}}_2} \\ &= \int (\text{ch } \mathcal{E}_1 \sqrt{\hat{A}}) \wedge (\text{ch } \overline{\mathcal{E}}_2 \sqrt{\hat{A}}) \end{aligned}$$

IN TERMS OF COHOMOLOGY:

$$\Gamma = (p^0, P, Q, q_0) \in H^0 \oplus H^2 \oplus H^4 \oplus H^6$$

$$\langle \Gamma, \Gamma' \rangle = \int_X -p^0 q_0' + P \cdot Q' - P' \cdot Q + p^0' q_0$$

WE OFTEN REFER TO THESE  
COMPONENTS VIA THEIR D-BRANE  
SOURCES:

D6	D4	D2	D0
$p^0$	$\underline{P}$	$Q$	$q_0$
$H_6$	$H_4$	$H_2$	$H_0$
$H^0$	$H^2$	$H^4$	$H^6$

SOMETIMES IDENTIFY  $H^6(X, \mathbb{Z}) \cong \mathbb{Z}$



# D. WHY DO WE CARE?

## PHYSICS MOTIVATION

1. THE MAIN MOTIVATION FOR RECENT WORK IS THE PROGRAM, INITIATED BY STROMINGER-Vafa (1995) OF ACCOUNTING FOR BH ENTROPY VIA MICROSTATE COUNTING. THAT GOAL IS STILL NOT FULLY ACCOMPLISHED.

WE DON'T KNOW BPS DEGENERACY FOR CERTAIN NATURAL CHARGE REGIMES, FOR EXAMPLE:

$$\Gamma \rightarrow \lambda \Gamma \quad \lambda \rightarrow \infty$$

2. OSV CONJECTURE:

RELATION BETWEEN

$$\Omega(\Gamma) \stackrel{!}{=} \text{GW/DT/GV INVARIANTS}$$

$\Rightarrow$  NONPTVE TOPOLOGICAL STRING?

# MATH MOTIVATION

1. PHYSICAL STABILITY OF BPS STATES IS RELATED TO MATH. STABILITY IN THE BOUNDED DERIVED CATEGORY OF COHERENT SHEAVES ON A C.Y.: KONTSEVICH, DOUGLAS, BRIDGELAND, THOMAS, PANDHARIPANDE . . . .

PHYSICS  $\Rightarrow$  PREDICTIONS/CONSTRAINTS ON WHAT WE EXPECT SHOULD BE TRUE.

2. MANY INTERESTING CONNECTIONS TO AUTOMORPHIC FORMS AND ANALYTIC NUMBER THEORY; SOME RELATIONS TO ARITHMETIC C.Y.'S.

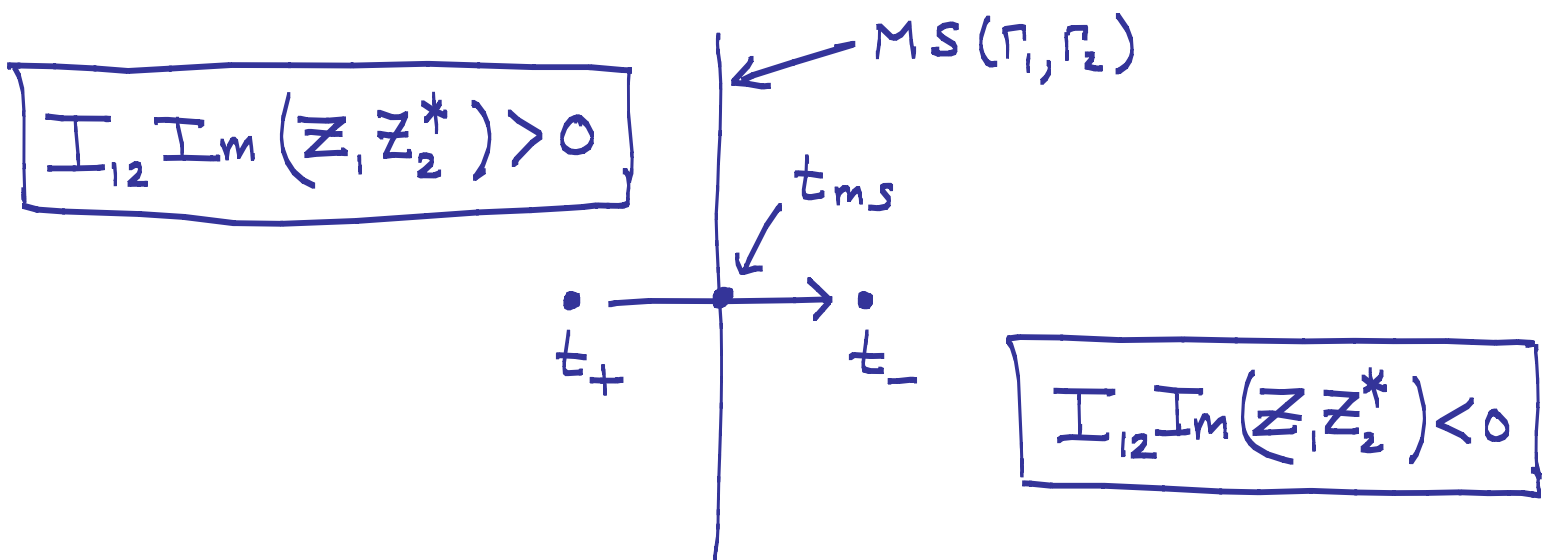
3. THERE ARE SEVERAL OTHER MORE SPECULATIVE APPLICATIONS, E.G. BPS ALGEBRAS: GENERALIZING NAKAJIMA'S WORK AND SUGGESTED BY TYPE II/HET DUALITY SHOULD BE CLOSELY RELATED.

## 2. WALL-CROSSING FORMULAE: STATEMENT

A PRIMITIVE WALL-CROSSING FORMULA:

$\Lambda$  HAS SYMPLECTIC FORM  $\langle \cdot, \cdot \rangle$

LET  $I_{12} := \langle \Gamma_1, \Gamma_2 \rangle$



$\Gamma_1, \Gamma_2$  PRIMITIVE,  $t_{ms}$  GENERIC  $\Rightarrow$

$$\mathcal{H}_+ - \mathcal{H}_- = (J_{12}) \otimes \mathcal{H}(\Gamma_1; t_{ms}) \otimes \mathcal{H}(\Gamma_2; t_{ms})$$

$$J_{12} = \frac{1}{2} (|I_{12}| - 1)$$

$$\Delta \Omega = (-1)^{|I_{12}|-1} |I_{12}| \Omega(\Gamma_1, t_{ms}) \Omega(\Gamma_2, t_{ms})$$

## B. SEMI-PRIMITIVE WALL-CROSSING FORMULA

IN ADDITION TO  $\Gamma_1 + \Gamma_2$  BOUNDSTATES

WE CAN ALSO FORM  $N_1 \Gamma_1 + N_2 \Gamma_2$  BOUNDSTATES

$$MS(\Gamma_1, \Gamma_2) = MS(N_1 \Gamma_1, N_2 \Gamma_2) \quad N_1, N_2 \in \mathbb{Z}_+$$

CONSIDER  $N_1 = 1, N_2 \geq 1$  :

$$\bigoplus_{N_2} u^{N_2} \Delta \mathcal{H} / \Gamma \rightarrow \Gamma_1 + N_2 \Gamma_2$$

CLAIM: THIS IS A  $\mathbb{Z}_2$ -GRADED FOCK SPACE

$$\mathcal{H}(\Gamma_1; t_{ms}) \bigotimes_{k=1}^{\infty} \mathcal{F} \left( u^k \underbrace{(\mathcal{J}_{\Gamma_1, k\Gamma_2}) \otimes \mathcal{H}(k\Gamma_2; it_{ms})}_{\text{GRADED SPACE OF OSCILLATORS}} \right)$$

IN PARTICULAR:

$$\begin{aligned} \Omega_1 + \sum_{N \geq 0} u^N \Delta \Omega(\Gamma_1 + N\Gamma_2) &= \\ &= \Omega(\Gamma_1) \prod_{k \geq 0} \left( 1 - (-1)^{\langle \Gamma_1, k\Gamma_2 \rangle} u^k \right)^{|\langle \Gamma_1, k\Gamma_2 \rangle|} \Omega(k\Gamma_2) \end{aligned}$$

# C. KONTSEVICH - SOIBLELMAN'S MULTIPLICATIVE WCF

THE METHODS I WILL EXPLAIN  
IN THIS LECTURE DO NOT EASILY  
GENERALIZE TO DECAYS

$$\Gamma \rightarrow N_1 \Gamma_1 + N_2 \Gamma_2 \quad N_1, N_2 > 1$$

BUT K & S HAVE PROPOSED A  
REMARKABLE WCF FOR  $\Omega$   
WHICH COVERS ALL CASES.

WE WILL GIVE A PHYSICAL  
EXPLANATION & PROOF OF THE  
KS WCF IN LECTURE II.

### 3. PHYSICAL DERIVATION OF WCF

#### A. THE ATTRACTOR TRICHOTOMY

D-BRANES ARE OBJECTS IN A CATEGORY

IN TYPE IIA/CY, THE SUBCATEGORY OF SUSY BRANES IS PROBABLY THE BOUNDED DERIVED CATEGORY OF COHERENT SHEAVES.

BUT WE WANT TO DESCRIBE THE (PHYSICALLY) STABLE OBJECTS.

AT WEAK STRING COUPLING, AND  $J \rightarrow \infty$   
 $\exists$  A BEAUTIFUL DESCRIPTION OF STABLE BPS STATES USING SUGRA.

IN THE SEMICLASSICAL LIMIT

$\psi \in \mathcal{H}_{\text{BPS}} \rightsquigarrow$  BPS SOLUTION OF SUGRA EQUATIONS

\* SUPERGRAVITY ALLOWS ONE TO IDENTIFY MANY "STABLE OBJECTS" THANKS TO THE ATTRACTOR MECHANISM.

ATTRACTOR MECHANISM: (F.K.S. ; STROMINGER)

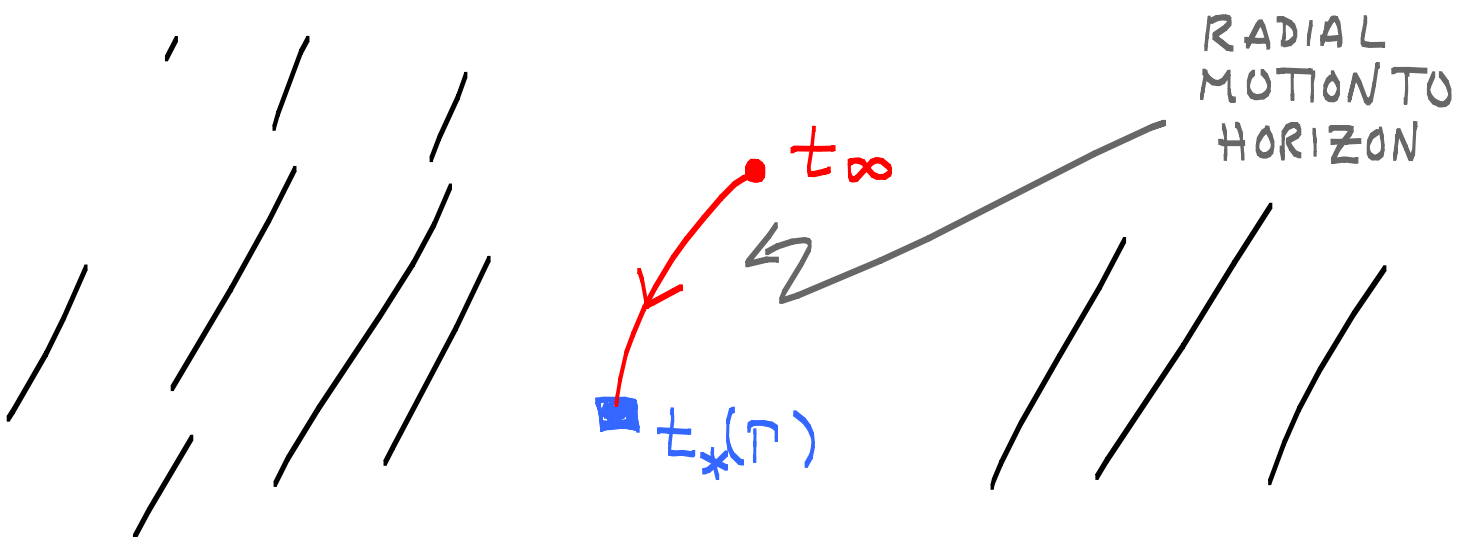
$\Gamma, t_\infty \in \mathbb{R}$  SPHERICAL SYMMETRY

$\Rightarrow \exists$  AT MOST ONE BPS  
SOLUTION OF SUGRA.

IF IT EXISTS ....

SCALAR FIELDS  $t = t(r)$ , AND  
EVOLUTION FROM  $r = \infty$  TO  $r = 0$   
APPROACHES AN ATTRACTIVE  
FIXED POINT  $t_*(\Gamma)$ :

$\widetilde{\mathcal{M}}_{VM}$



ATTRACTOR FLOW = GRADIENT FLOW FOR

$$\log |Z(\Gamma; t)|^2$$

$$Z = \frac{\langle \Gamma, \omega \rangle}{\sqrt{\langle \omega, \omega^* \rangle}}$$

$$\langle \Gamma, \Gamma' \rangle = \int_X -p^0 q'_0 + p q' - q p' + q_0 p'_0$$

$\omega$  = PERIOD VECTOR

IN LARGE RADIUS APPROXIMATION:

$$\omega = -e^t = -e^{B+iJ}$$

$$Z \approx \frac{\frac{1}{6} p^0 t^3 - \frac{1}{2} p t^2 + q t - q_0}{\sqrt{(Im t)^3}}$$



# ATTRACTOR TRICHOTOMY

1.  $t_*(\Gamma) \in \text{Interior}(\tilde{\mathcal{M}})$   
and  $\mathcal{Z}(\Gamma; t_*(\Gamma)) \neq \emptyset$

"REGULAR ATTRACTOR POINT"

2.  $\exists$  NONEMPTY SUBVARIETY  $\subset \tilde{\mathcal{M}}$   
 $\mathcal{Z}(\Gamma; t) = \emptyset$

3.  $t_*(\Gamma) \in \partial \tilde{\mathcal{M}}$

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(1.)  $\exists$  SPHERICALLY SYMMETRIC BPS  
BLACK HOLES IN  $\mathcal{H}_{\text{BPS}}(\Gamma; t)$  FOR ALL  $t$

(2.)  $\mathcal{H}_{\text{BPS}}(\Gamma; t) = \emptyset$  IN AN OPEN  
REGION OF THE ZERO LOCUS.

$\mathcal{H}_{\text{BPS}}$  MIGHT BE NONEMPTY FURTHER AWAY

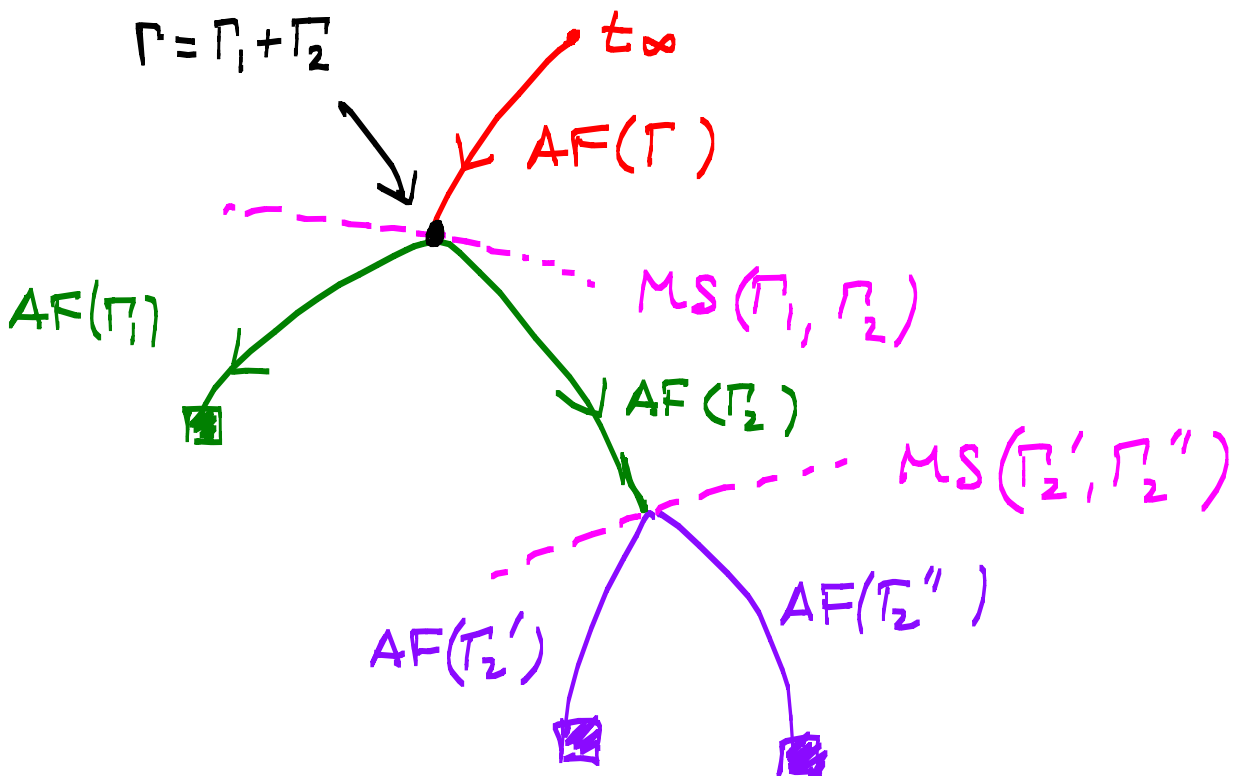
(3.) CANNOT USE SUGRA TO ESTABLISH  
EXISTENCE: MUST USE MICROSCOPIC  
ARGUMENTS.

## B. SPLIT ATTRACTOR FLOWS

IF  $\mathcal{Z}(\Gamma; t) = 0$  HAS SOLUTIONS IN THE INTERIOR OF MODULI SPACE THEN USE:

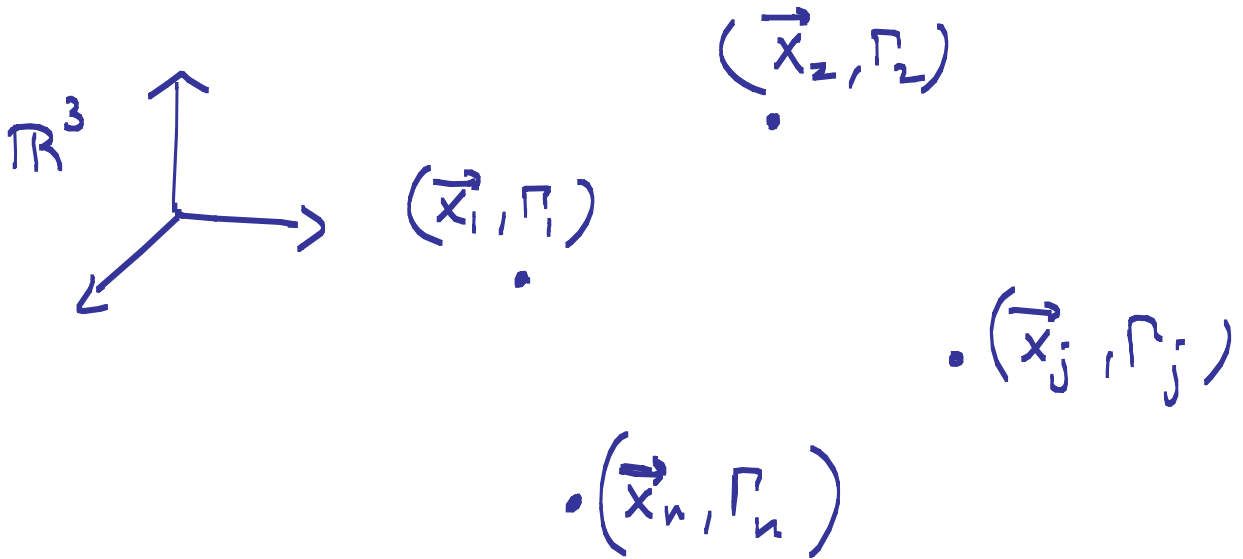
DENEFF'S RULE:  $\mathcal{Z}(\Gamma; t) \neq 0 \iff \exists$  A SPLIT ATTRACTOR FLOW (S.A.F.)

S.A.F.: A PIECEWISE ATTRACTOR FLOW, JOINED ALONG WALLS OF M.S., CONSERVING CHARGE AT THE VERTICES, TERMINATING ON R.A.P.'S :



- IF SUCH A S.A.F. EXISTS WE CAN CONSTRUCT A CORRESPONDING SOLUTION OF SUGRA.

- SPACETIME PICTURE:



- NEAR EACH POINT  $\vec{x}_i$  THE SOLUTION LOOKS LIKE THE SINGLE-CENTERED SOLUTION: "BLACK-HOLE MOLECULES"

# MULTICENTERED SOLUTIONS:

## GENERAL BPS EQUATIONS

$$(1.) \quad ds^2 = -e^{2U} (dt + \Theta)^2 + e^{-2U} d\vec{x}^2$$

$$U = U(\vec{x}), \quad \vec{x} \in \mathbb{R}^3$$

(2.) CHOOSE A HARMONIC MAP

$$H: \mathbb{R}^3 \longrightarrow H^{ev}(X, \mathbb{R})$$

$$H(\vec{x}) = \sum_j \frac{\Gamma_j}{|\vec{x} - \vec{x}_j|} + H_\infty$$

$$2e^U \operatorname{Im}(e^{-i\alpha} \omega) = -H(\vec{x}) \implies$$

(a.)  $t(\vec{x})$  completely fixed,

$$(b.) \quad e^{-2U(\vec{x})} = S(H(\vec{x}))$$

$$(3.) \quad *_3 d\mathbb{H} = \langle dH, H \rangle$$

$\Rightarrow$  INTEGRABILITY CONDITION:

$$\forall i \quad \sum_{\substack{j \\ j \neq i}} \frac{\langle \Gamma_i, \Gamma_j \rangle}{|\vec{x}_i - \vec{x}_j|} = 2 \frac{\text{Im}(\bar{Z}(\Gamma) Z(\Gamma_i))}{|Z(\Gamma)|} \Big|_{t_\infty}$$

SUGRA SOLUTION EXISTS  $\iff$

$$\forall \vec{x} \in \mathbb{R}^3:$$

$$t(\vec{x}) \in \mathcal{M}_{VM} \quad \begin{matrix} | \\ \varepsilon \\ | \end{matrix}$$

$$\pi e^{-2U(\vec{x})} = S(H(\vec{x})) \geq 0$$

( A VERY NONTRIVIAL CONDITION  
TO CHECK ... )

# SPLIT ATTRACTOR CONJECTURE (DENEFF)

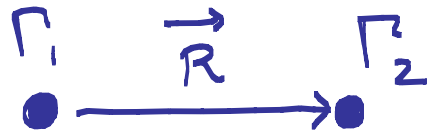
(a.) (COMPONENTS OF MODULI OF) MULTICENTERED SOLUTIONS ARE IN  $1 \leftrightarrow 1$  CORRESPONDENCE WITH S.A.F.'S.

(b.) FOR A FIXED  $(t_\infty, \Gamma)$  THERE ARE A FINITE NUMBER OF S.A.F.'S

- USEFUL BECAUSE CHECKING  $S(H(\vec{x})) > 0$  IS DIFFICULT
- $\mathcal{H}_{BPS}$  IS PARTITIONED BY SPLIT ATTRACTOR FLOWS
- $\exists$  SOME INTERESTING OPEN PROBLEMS HERE ....
  - \* QUANTUM MIXING BETWEEN DIFFERENT TREES
  - \* USEFUL EXISTENCE CRITERION FOR SCALING SOLUTIONS.

## C. DERIVATION OF PRIMITIVE WCF:

CONSIDER BOUNDSTATE OF TWO PRIMITIVE CHARGES:



$$R = \frac{1}{2} \langle \Gamma_1, \Gamma_2 \rangle \frac{|z_1 + z_2|_\infty}{\text{Im}(z_1 \bar{z}_2)_\infty}$$

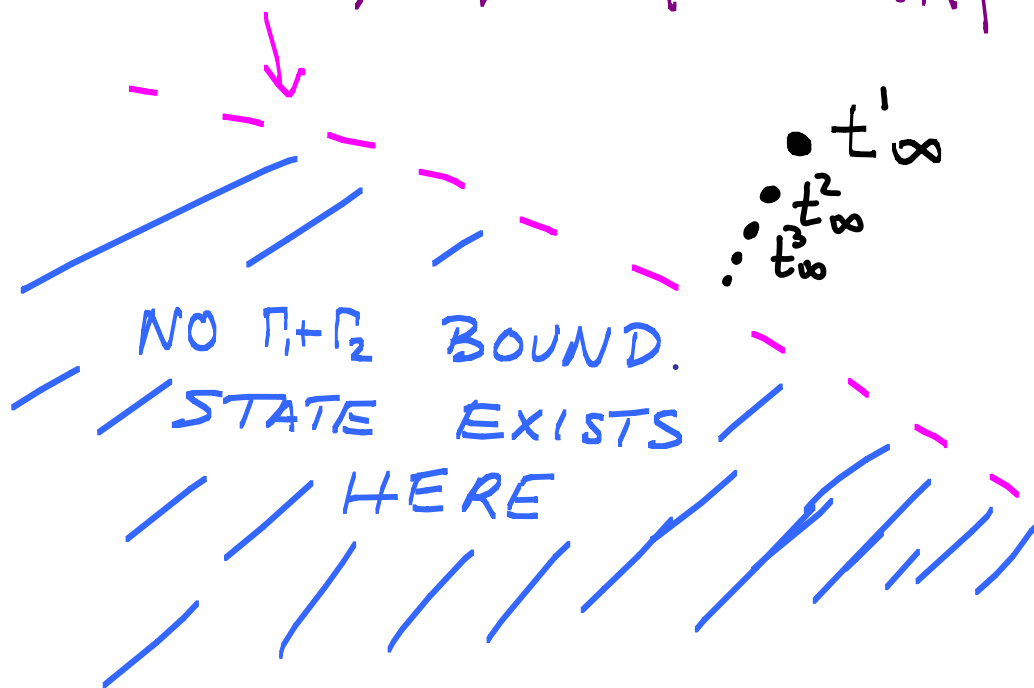
- NOTE  $\Rightarrow \langle \Gamma_1, \Gamma_2 \rangle \text{Im}(z_1 \bar{z}_2)_\infty > 0$
- NOTE THAT BY CHANGING  $t_\infty$  WE CAN MAKE  $\text{Im}(z_1 \bar{z}_2)|_{t_\infty} \rightarrow 0$  WHILE  $|z_1 + z_2|_{t_\infty} \neq 0$
- INDEED  $\text{Im}(z_1 \bar{z}_2)|_{t_\infty} \rightarrow 0$  IF  $t_\infty$  APPROACHES A WALL  $MS(\Gamma_1, \Gamma_2)$

$$MS(\Gamma_1, \Gamma_2) := \{ t \in \mathcal{M}_{VM} \mid \frac{z_1}{z_2} \in \mathbb{R}_+ \}$$

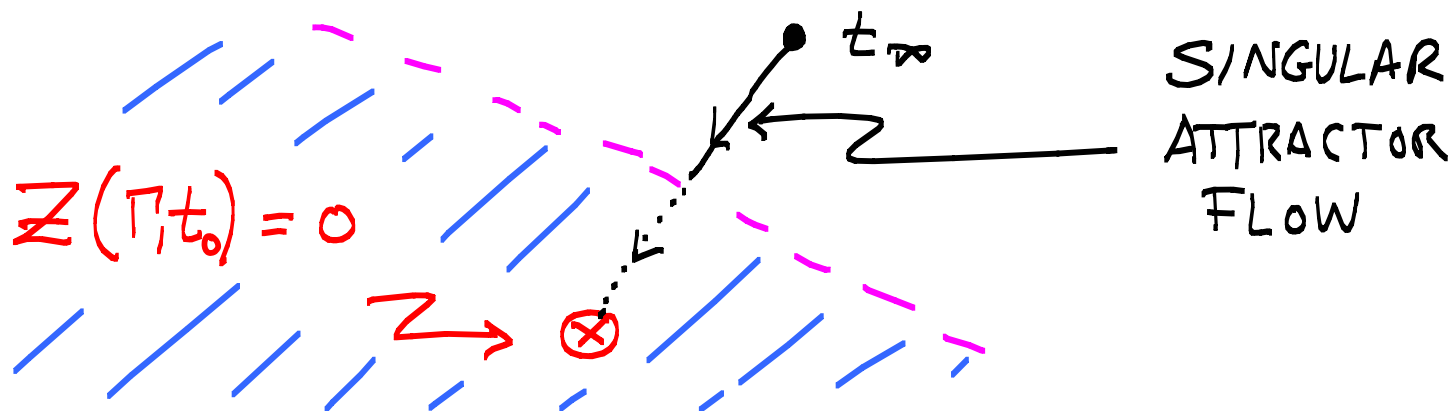
CHANGE BC'S

$$\textcircled{3} \quad \Gamma = \infty \implies$$

$$R_{1,2} \rightarrow \infty$$



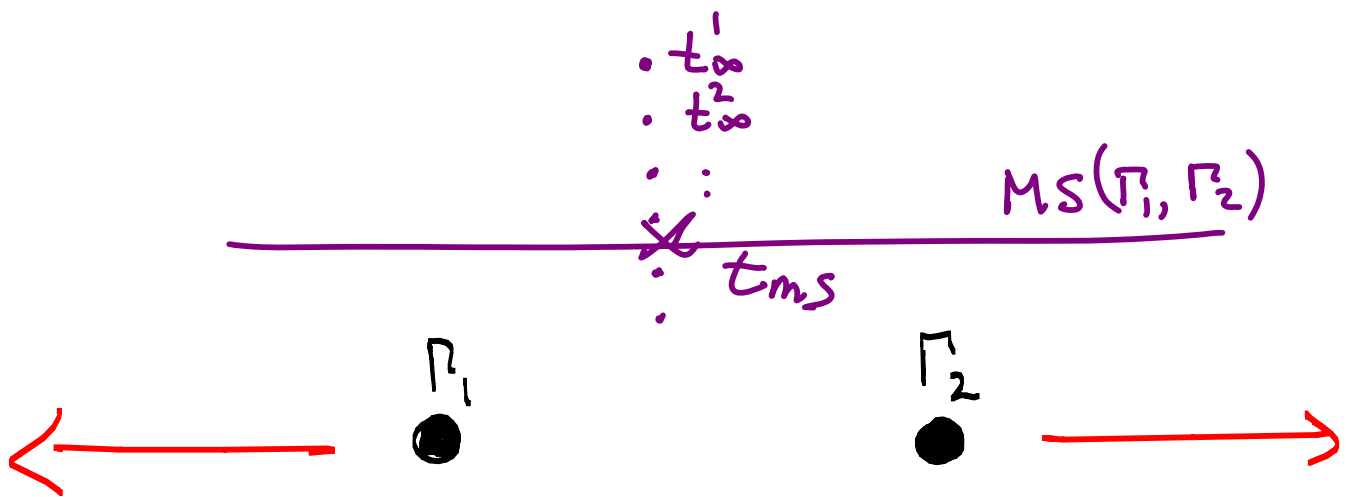
IF  $Z(\Gamma; t_0) = 0$  THEN  $t_0$  IS  
IN THE BLUE REGION.





# MACROSCOPIC ARGUMENT FOR WCF:

$$R_{12} = \frac{1}{2} \langle \Gamma_1, \Gamma_2 \rangle \frac{|z_1 + z_2|_\infty}{\text{Im}(z_1 \bar{z}_2)_\infty}$$



ELECTROMAGNETIC FIELD OF TWO DYONS  
HAS SPIN:

$$J_{12} = \frac{1}{2} \left( \langle \Gamma_1, \Gamma_2 \rangle - 1 \right) \cdot \text{quantum correction}$$

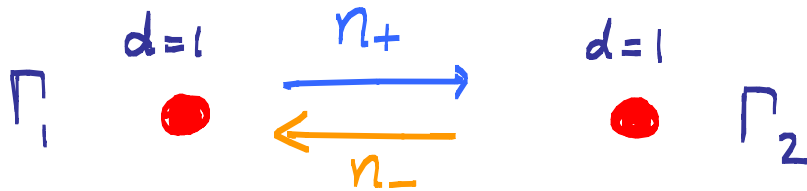
LOCALITY  $\Rightarrow$  FOR  $\Gamma_1, \Gamma_2$  PRIMITIVE:

STATES LOST FROM  $\mathcal{H}(\Gamma; t_\infty)$  ARE

$$(J_{12}) \otimes \mathcal{H}(\Gamma_1; t_{ms}) \otimes \mathcal{H}(\Gamma_2; t_{ms})$$

# MICROSCOPIC ARGUMENT FOR WCF:

WHEN  $\vartheta = \arg z_2/z_1 \rightarrow 0$ , MODEL  
LIGHT D.O.F BY A QUIVER GAUGE THRY:



TRANSLATION TO SUPERGRAVITY:

STABILITY DATA:  $(\vartheta, -\vartheta)$

$$n_+ - n_- = \mathbb{I}_{12}$$

GENERICALLY  $n_+ = 0$  or  $n_- = 0$ .

SUPPOSE  $n_- = 0$ :

$$\vartheta > 0 \quad \mathcal{M} = \mathbb{C}P^{n_+-1}$$

$$\vartheta < 0 \quad \mathcal{M} = \emptyset$$

$$\Delta \mathcal{H} = H^*(\mathbb{C}P^{n_+-1})$$

$$\text{spin}(3) \approx \text{Lefschetz}$$

# QUIVER QUANTUM MECHANICS

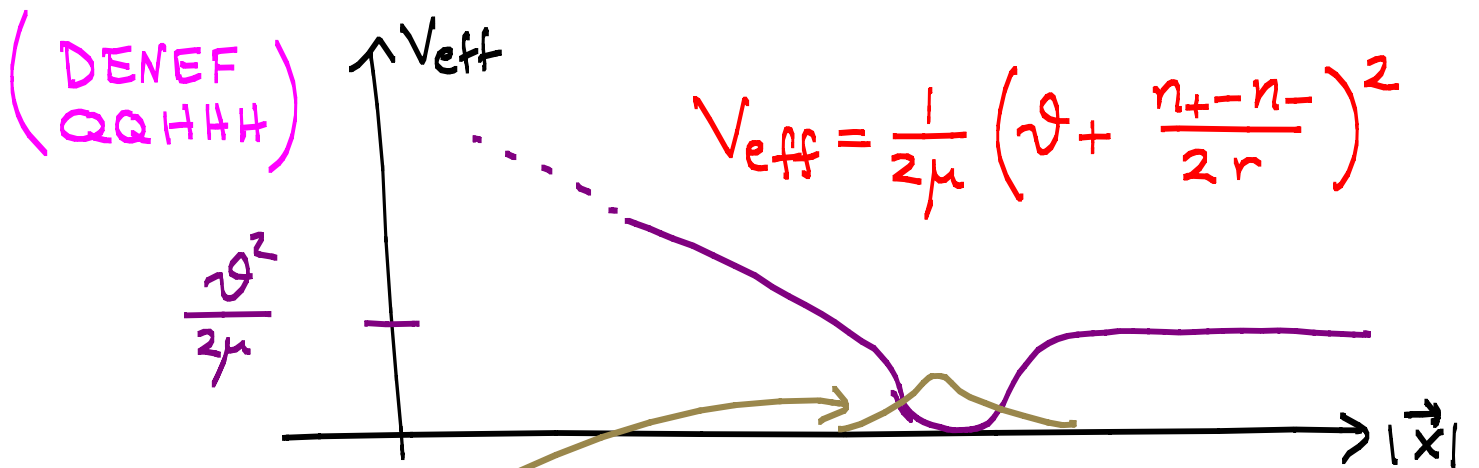
0+1 SUSY QED WITH

1 VM  $(A_0, \vec{x}, \lambda)$

$n_{\pm}$  CM's  $(\vec{\phi}_{\pm}, \vec{\Psi}_{\pm})$  CHARGE  $\pm 1$

SMALL  $|\langle \vec{x} \rangle| \Rightarrow$  HIGGS BRANCH = MODULI OF STABLE QUIVER REPS'S

LARGE  $|\langle \vec{x} \rangle| \Rightarrow$  INTEGRATE OUT  $\vec{\phi}_{\pm} \Rightarrow$



$(n_+ - n_-)$  BPS STATES OF SPIN  $\frac{1}{2}(n_+ - n_- - 1)$

$v < 0$

$n_+$  HIGGS BR.  
BPS STATES

$v = 0$

$(n_+ - n_-)$   
COULOMB BR.  
 $\rightarrow \infty$

$v > 0$

$n_-$  HIGGS BR.  
BPS STATES

# D. DERIVATION OF SEMI-PRIMITIVE WCF

## HALO STATES

SUPPOSE  $\langle \Gamma_1, \Gamma_2 \rangle \neq 0$ ,

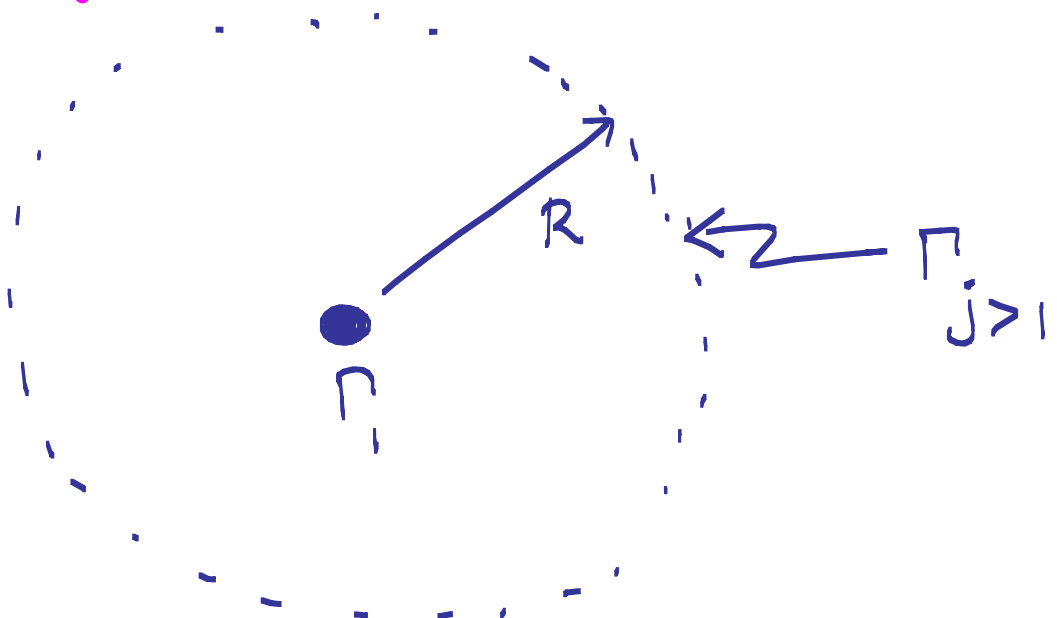
$$\Gamma_j = \lambda_j \Gamma_2 \quad \lambda_j > 0, j=2, \dots, N$$

ARE ALL MUTUALLY LOCAL

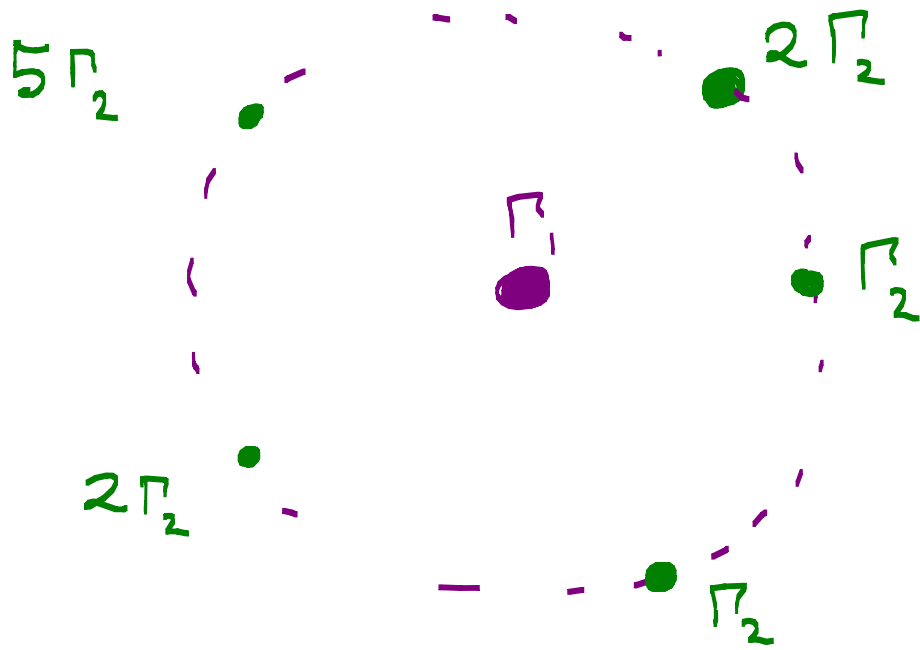
INTEGRABILITY CONDITIONS SAY

$$j \geq 2: \frac{\langle \Gamma_j, \Gamma_1 \rangle}{|\vec{x}_j - \vec{x}_1|} = 2 \frac{\text{Im}(z(\Gamma_j) \overline{z(\Gamma)})}{|z(\Gamma)|}$$

$\Rightarrow$  ALL  $|\vec{x}_j - \vec{x}_1|$  ARE EQUAL



CROSS  $MS(\Gamma_1, \Gamma_2)$ : HALO RADIUS  $\nearrow \infty$



THE PARTICLES IN THE HALO  
GENERATE A FOCK SPACE WITH

$(\mathcal{J}_{\Gamma_1, k\Gamma_2}) \otimes \mathcal{H}(k\Gamma_2; it_m)$  CREATION  
OPERATORS OF  
CHARGE  $k\Gamma_2$

ALL WALLS  $W(\Gamma_1, N\Gamma_2)$  COINCIDE  $\Rightarrow$   
CROSSING A WALL WE LOSE ENTIRE  
FOCK SPACE:

$$\Omega(\Gamma_1) + \sum_{N \geq 1} \Delta\Omega(\Gamma_1 \rightarrow \Gamma_1 + N\Gamma_2) u^N$$

$$= \Omega(\Gamma_1) \prod_{k > 0} \left( 1 - (-1)^{k \langle \Gamma_1, \Gamma_2 \rangle} u^k \right)^{|\langle \Gamma_1, k\Gamma_2 \rangle|} \Omega(k\Gamma_2)$$

## 4. D6D2D0 SYSTEM

AS EXPLAINED AT THE END, A KEY INGREDIENT IN OSV IS THE SYSTEM:

1 D6 BRANE WRAPPING  $X$ ,

BOUND TO D2 & D0 BRANES IN  $X$ .

$$\begin{array}{cccc} H^0 \oplus H^2 \oplus H^4 \oplus H^6 & \ni & \Gamma = (p^0, P, Q, q_0) \\ \text{D6} & \text{D4} & \text{D2} & \text{D0} \end{array}$$

CONSIDER:  $\Gamma(\beta, n) := \Gamma = (1, 0, -\beta, n)$

$\beta = \text{P.D.}[\sigma] \quad \sigma \subset X \quad \text{HOLOMORPHIC CURVE}$

CHARGE OF (THE DUAL OF) AN IDEAL SHEAF:

$$\text{ch } \mathcal{G} \sqrt{\hat{A}} = 1 - \beta + ndV$$

CONSIDER BINDING THESE

TO D2D0 PARTICLES WITH CHARGE:

$$\Gamma_h = (0, 0, -\beta_h, n_h)$$

# PLOT MARGINAL STABILITY CURVE

$$\mathbb{Z}(\Gamma(\beta, n); t) = \lambda \mathbb{Z}(\Gamma_n; t) \quad \lambda \in \mathbb{R}_+$$

$$\mathbb{Z}(\Gamma, t) = \frac{\langle \Gamma, \omega \rangle}{\sqrt{\langle \omega, \omega^* \rangle}}$$

SUGRA REGIME:  $\Omega = -e^t$

$$t = B + iJ$$

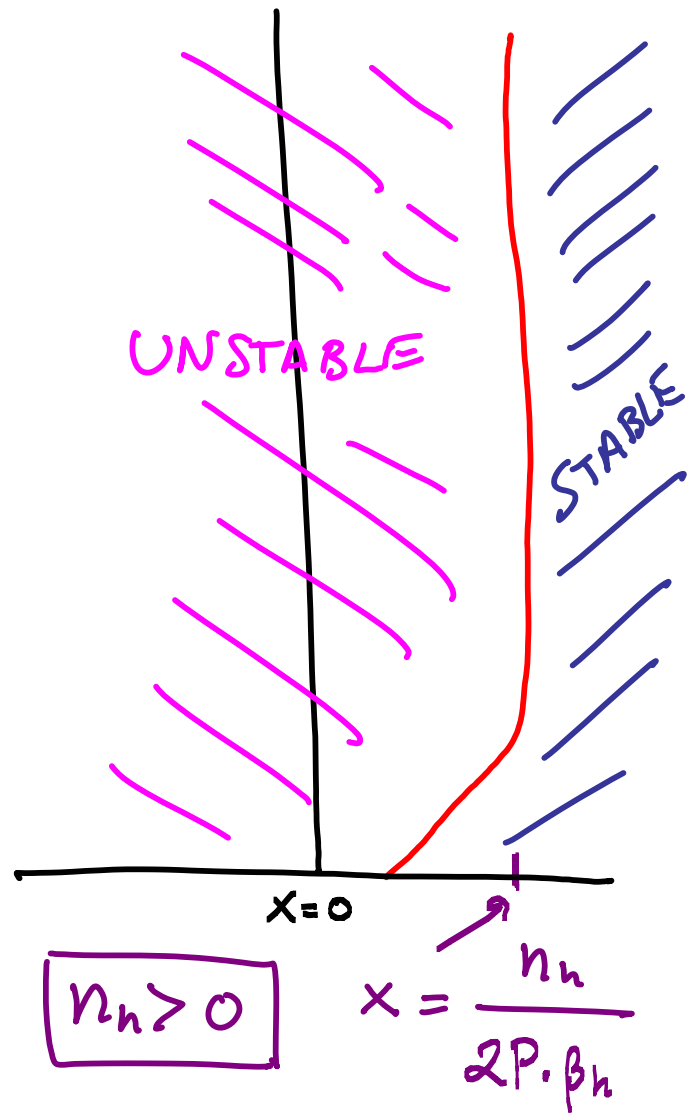
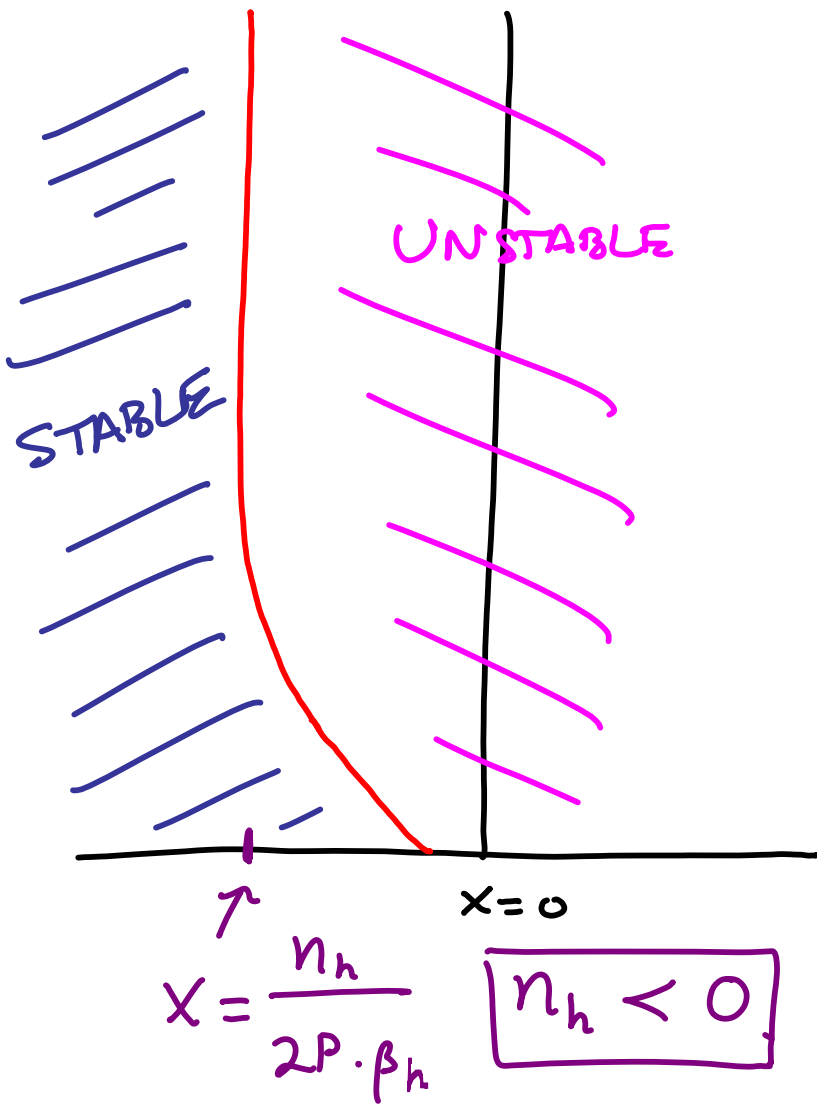
$$\frac{t^3}{6} - \beta \cdot t - n = \lambda (-\beta_n \cdot t - n_n) \quad \lambda \in \mathbb{R}_+$$

THESE WALLS EXTEND TO  $\infty$  IN THE KÄHLER CONE!

SET  $t = \mathbb{Z}P$

$P \in \mathbb{R}$

$z = x + iy$





CONSIDER THE HALO BOUNDSTATES  
WITH CENTRAL PARTICLE  $\Gamma(\beta, n)$  AS  
WE INCREASE THE B-FIELD

$$B = x P \quad x \text{ INCREASES}$$

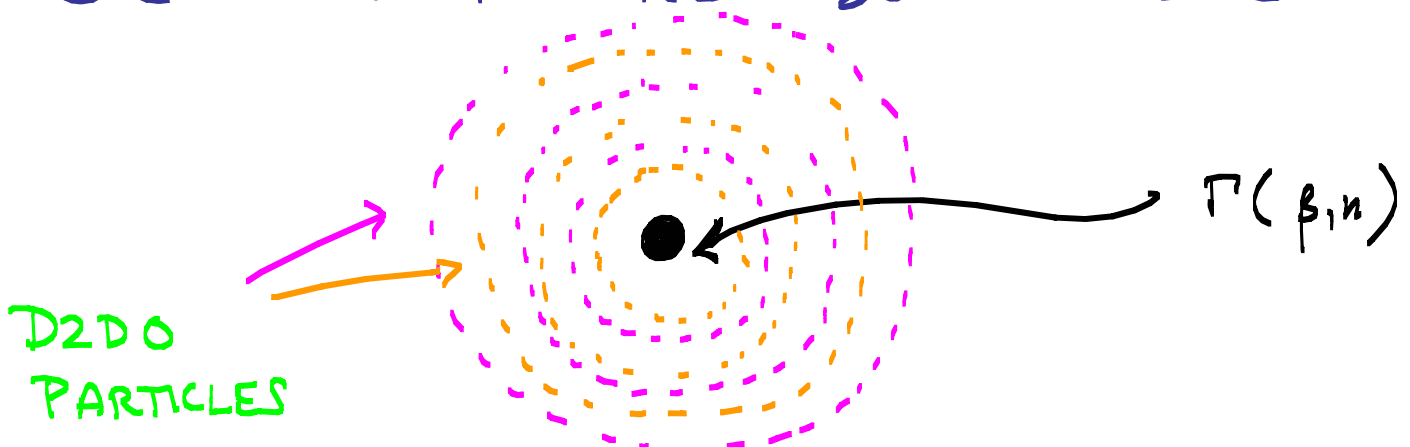
HALOS OF D2D0 PARTICLES  $(0, 0, -\beta n, n_h)$ .  
APPEAR & DISAPPEAR.

FOR  $x > 0$

ALL  $n_h < 0$  STATES HAVE DECAYED.

AS  $x \rightarrow +\infty$  WE MOVE INTO THE STABLE  
REGION FOR ALL  $n_h > 0$ , AND EVER  
LARGER "ATOMS" BECOME STABLE

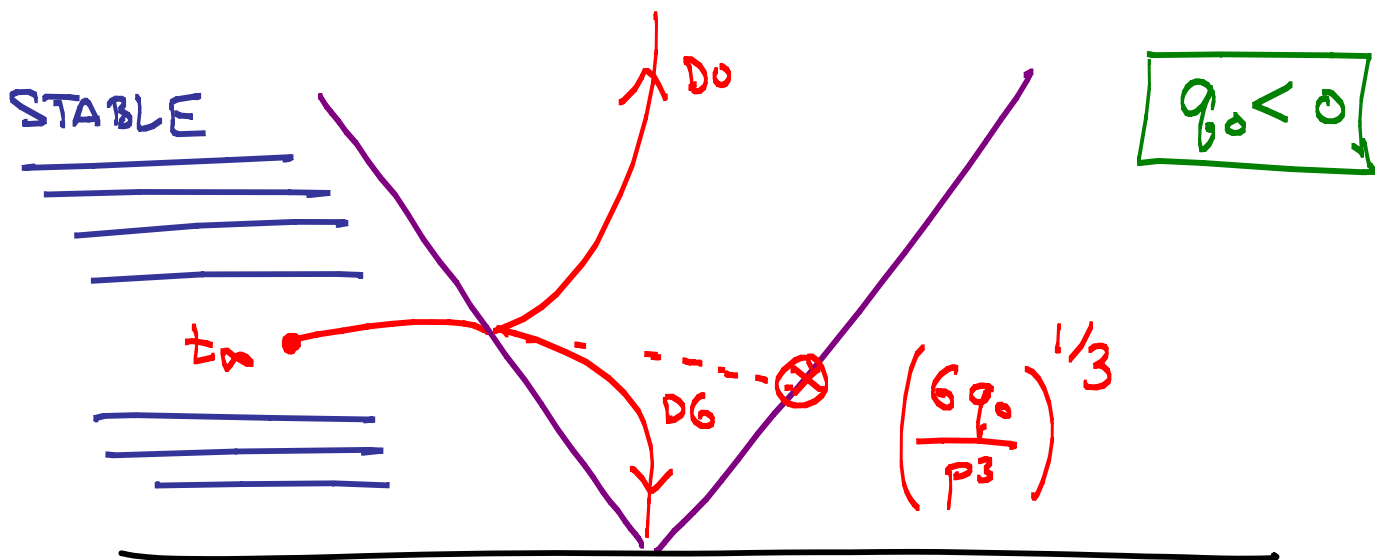
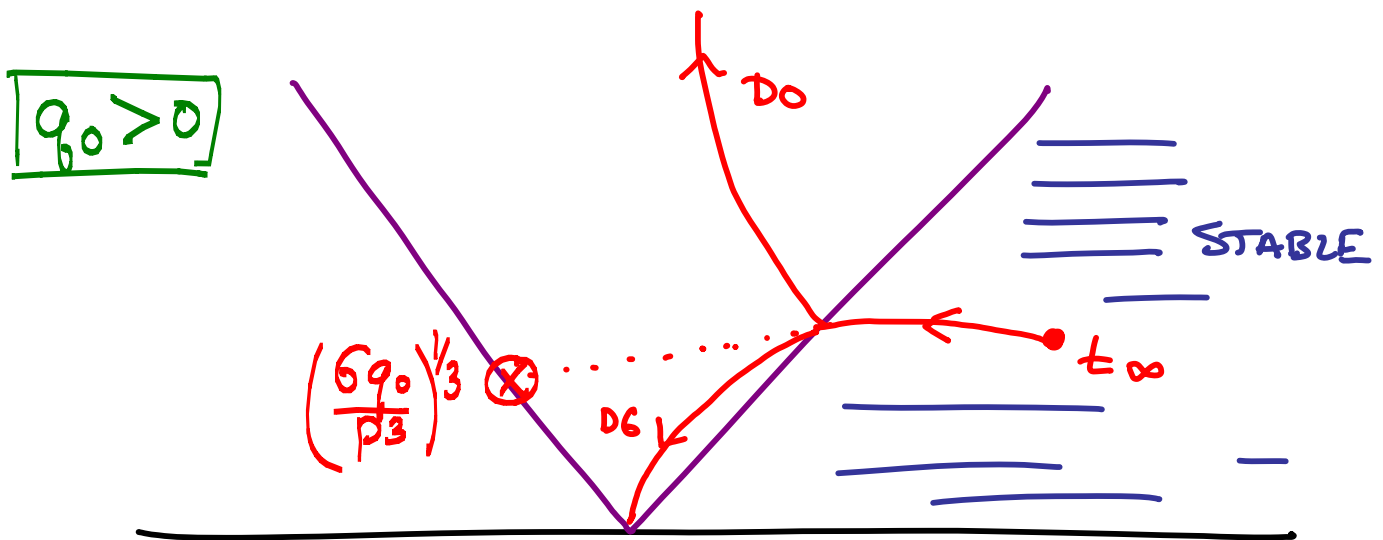
GENERAL PICTURE: BOHR MODEL



WHEN  $\beta_h = 0$  WALLS LOOK DIFFERENT

$$\Gamma = \underbrace{1}_{\Gamma_1} + \underbrace{q_0 dV}_{\Gamma_2} \quad Z = \frac{t^3}{6} - q_0$$

SET  $t = (x+iy)P \Rightarrow$  ZERO @  $t = \left(\frac{6q_0}{p^3}\right)^{1/3} P$



# INTRODUCE GENERATING FUNCTION

$$Z_{\text{D6D2D0}}(u, v; t) := \sum_{n, \beta} \Omega(\Gamma(\beta, n); t) u^n v^\beta$$

SEMI-PRIMITIVE WALL-CROSSING FORMULA:

CONTRIBUTION OF FOCK SPACE GENERATED BY  $\Gamma_h = -\beta_h + n_h dV$  CROSSING INTO STABLE REGION:

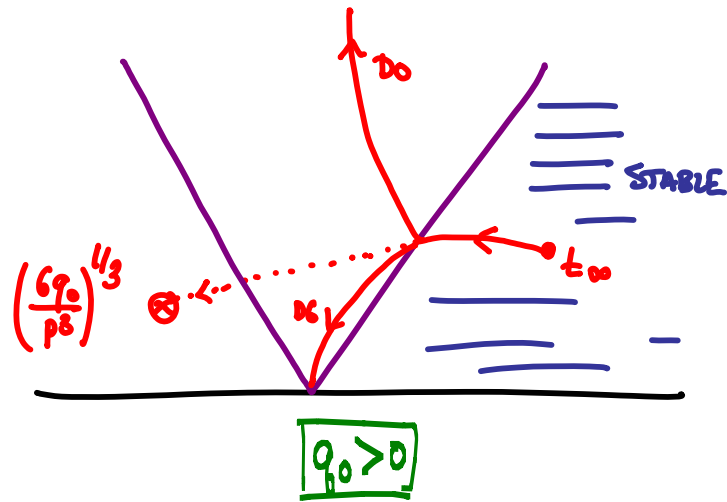
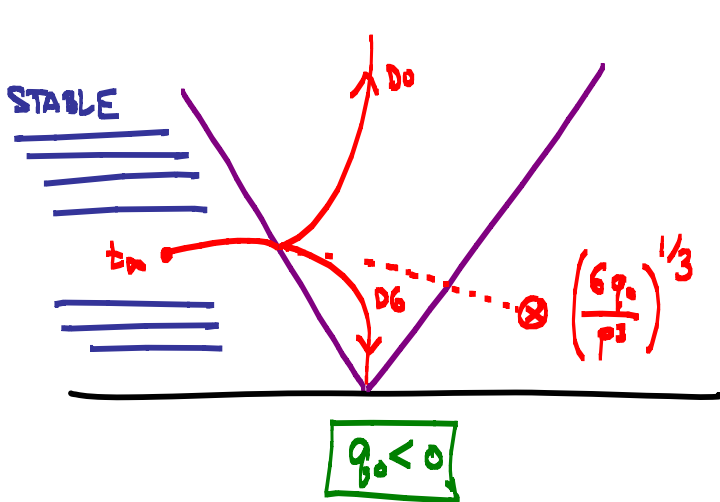
$$Z_{\text{D6D2D0}} \rightarrow \left(1 - (-u)^{n_h} v^{\beta_h}\right)^{|n_h|} n_{\beta_h}^0 Z_{\text{D6D2D0}}$$

$$\begin{aligned} \Omega(-\beta_h + n_h dV) &= \sum_{m_L, m_R} (-1)^{2m_L + 2m_R} N_{\beta_h}^{m_L m_R} \\ &= n_{\beta_h}^0 \end{aligned}$$

"SPIN ZERO GV INVARIANT" ( $\beta_h \neq 0$ )

# EXAMPLE: D6D0

$$\mathbb{Z}_{D6D0}(u) = \sum \Omega(1 + q_0 dV; t) u^{q_0}$$



$$\Omega(q_0 dV) = -\chi(X)$$

$$\mathbb{Z}_{D6D0}(u) = \begin{cases} (M(-u))^{\chi(X)} & \arg z < \frac{\pi}{3} \\ 1 & \frac{\pi}{3} < \arg z < \frac{2\pi}{3} \\ (M(-\bar{u}^{-1}))^{\chi(X)} & \frac{2\pi}{3} < \arg z \end{cases}$$

$$M(u) := \prod_{k \geq 1} (1 - u^k)^{-k}$$

SIMILARLY, WALL-CROSSINGS FOR  
THE FULL  $Z_{D6D2D0}$  AS  $x \rightarrow \infty$   
BUILD UP AN INFINITE PRODUCT  
SIMILAR TO THE INFINITE  
PRODUCT FORM OF  $Z_{DT}(u, v)$

ON THE OTHER HAND, AN ARGUMENT  
FROM M-THEORY [Dijkgraaf, Verlinde, Vafa; Denef, Moore]  
IMPLIES:

$$\lim_{x \rightarrow +\infty} Z_{D6D2D0}(u, v; z^P) = Z_{DT}(u, v)$$

$$\lim_{x \rightarrow -\infty} Z_{D6D2D0}(u, v; z^P) = Z_{DT}(\bar{u}', v)$$

CORE  
REGION

- STATES IN CORE REGION ARE COMPLICATED BOUND STATES
- PRODUCT OF WALL-CROSSINGS  $\Rightarrow$

$$Z_{DT}^{l, r=0}(u, v) = \prod_{\beta > 0, k > 0} \left( 1 - (-u)^k v^\beta \right)^{k n_\beta^0}$$

- LIMIT FOR  $x \rightarrow +\infty$  :

$$Z_{DT}^l(u, v) = \underbrace{Z_{DT}^{l, r=0}(u, v)}_{\text{HALOS}} \underbrace{Z_{DT}^{l, r>0}(u, v)}_{\text{CORES}}$$

$$Z_{DT}^{l, r>0}(u, v) = \prod_{\substack{\beta > 0, k > 0 \\ r > 0}} \prod_{l=0}^{2r-2} \left( 1 - (-u)^{r-l-1} v^\beta \right)^{(-1)^{r+l} \binom{2r-2}{l} n_\beta^r}$$

# APPLICATION TO LOCAL CALABI-YAU

(M. AGANAGIC, G.M., D. JAFFERIS)

B. SZENDROI HAS PROPOSED THAT THE NONCOMMUTATIVE CONIFOLD SHOULD HAVE  $Z_{DT}$  GIVEN BY:

$$Z = (M(-w))^2 \prod_{k=1}^{\infty} (1 - (-w)^k v)^k \prod_{k=1}^{\infty} (1 - (-u)^k v^{-1})^k$$

$\uparrow$   $\uparrow$   
D2  $\overline{D2}$

WE CAN REDEIVE THIS RESULT USING THE SEMI-PRIMITIVE WCF.

## 5. D4-D2-D0 SYSTEM

THE BEST-STUDIED SYSTEM FOR

OSV + BH ENTROPY IS  $p^0 = 0$ .

$$\Gamma = P + Q + q_0 dV$$

### A. MACROSCOPIC VIEWPOINT

$\Gamma$  HAS A REG. ATTRACTOR POINT IFF:

$P$  IN KÄHLER CONE  $\hat{q}_0 < 0$

$$\hat{q}_0 := q_0 - \frac{1}{2} (D_{ABC} P^C)^{-1} Q_A Q_B$$

SINGLE-CENTERED SOLN'S ARE BLACK HOLES

$$\text{HORIZON AREA} = 4 S(\Gamma) = 4\pi |z_*(\Gamma)|^2$$

$$S(\Gamma) = \frac{2\pi}{\sqrt{6}} \cdot \sqrt{-\hat{q}_0 \chi(P)}$$

$$\chi(P) := P^3 + c_2 \cdot P > 0 \text{ FOR } P \in \text{KÄHLER CONE}$$



WE WOULD LIKE TO COMPARE

$S(\Gamma)$  WITH  $\log \Omega(\Gamma; t)$

AS IN STROMINGER-VAFA  $\epsilon$  M-S-W.

KEY QUESTION: WHICH VALUE OF  $t$ ?

FOR MICROSCOPIC DERIVATION MUST

USE LARGE RADIUS:

$$\Omega(\Gamma)_{\infty} := \lim_{J \rightarrow \infty} \Omega(\Gamma; B + iJ)$$

SURPRISE! WHEN  $h''(x) > 1$  THERE ARE SPLITTINGS @  $\infty$  :

$$\Gamma = P + Q + q_0 dV \\ = (P' + Q' + q_0' dV) + (P'' + Q'' + q_0'' dV)$$

WITH:  $\sqrt{-\hat{q}_0'' (P'')^3} > \sqrt{-\hat{q}_0 P^3}$

$\Rightarrow$  EVEN THE LEADING ORDER ENTROPY IS CHAMBER DEPENDENT  
[E. ANDRIYASH + G. M.]

• FOR  $\Gamma = P + Q + q_0 dV$ ,

$P \in$  KÄHLER CONE,  $\exists$  DISTINGUISHED

CHAMBER:

$$\Omega(\Gamma)_{\infty} := \lim_{\lambda \rightarrow \infty} \Omega(\Gamma; B + i\lambda P)$$

CLAIM: LIMIT EXISTS  $\frac{1}{\epsilon}$  IS  
B-INDEPENDENT

(FINITENESS OF ATTRACTOR FLOW TREES)

HENCEFORTH WORK IN THIS  
CHAMBER.

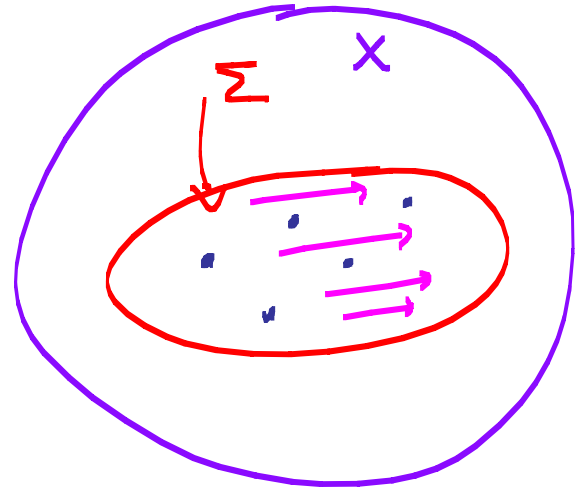
## B. MICROSCOPIC VIEWPOINT

FOR LARGE  $J$ : SINGLE D4 WRAPS  $\Sigma \in |P|$

$\chi(P) = P^3 + c_2 \cdot P = \text{EULER CHARACTER OF } \Sigma$

FLUX  $F \in H^2(\Sigma, \mathbb{Z})$

AND  $N \overline{D0}$ 's



COMPUTE INDUCED RR CHARGES:

D2:  $Q = (2\Sigma)_*(F)$

Do: 
$$\hat{q}_0 = \frac{\chi(P)}{24} + \frac{1}{2}(F^-)^2 - N$$

SUSY  $\Rightarrow N \geq 0, F^{2,0} = 0 \Rightarrow (F^-)^2 \leq 0 \Rightarrow$

$$\hat{q}_0 \leq (\hat{q}_0)_{\max} = \frac{\chi(P)}{24}$$

N.B. SIGN CONVENTION

$\mathcal{M}(P, F, N) :=$  MODULI OF SUCH D4'S

$$\text{Hilb}^N(\Sigma) \longleftrightarrow \mathcal{M}(P, F, N)$$

ROUGHLY:



$$\Sigma_{\text{Smooth}} \longleftrightarrow \{\Sigma \in |P| \mid F \in H^1(\Sigma)\}$$

//  
MODULI OF STABLE OBJECTS  $E$   
IN THE DERIVED CATEGORY  
WITH SPECIFIED CHERN CLASSES //

$$\text{Ch } E \sqrt{\hat{A}} = P + Q + q_0 \quad (*)$$

$$= \bigcup_{F, N: \otimes} \mathcal{M}(P, F, N)$$

$$d(F, N) := (-1)^{\dim \mathcal{M}} \chi(\mathcal{M}(P, F, N))$$

$$\Omega(\Gamma)_{\infty} = \text{FINITE SUM OF } d(F, N)$$

## C. MODULAR GENERATING FUNCTION

NOW WE WANT TO ASSEMBLE  
"BLACK HOLE" DEGENERACIES IN A  
MODULAR GENERATING FUNCTION.

SUSY PARTITION FUNCTION FOR D4:

$$Z_{D4D2D0} \sim \text{Tr} (-1)^{2J_3} e^{-\beta H - 2\pi i q_\Lambda C^\Lambda}$$

CAN BE WRITTEN  $Z_P(\tau, \bar{\tau}, C) :=$

$$\sum_{F, N} d(F, N) \exp \left\{ -2\pi i \tau \hat{q}_0 - 2\pi i \bar{\tau} \frac{1}{2} (F^+)^2 - 2\pi i F \cdot \left( C + \frac{P}{2} \right) \right\}$$

$$\tau = \beta c^1 + i\beta \in \mathbb{H}; \quad C \in \mathcal{L}_\Sigma^* (H^2(X))$$

U-DUALITY  $\Rightarrow$

$Z_P(\tau, \bar{\tau}, c)$  IS A JACOBI FORM  $\Rightarrow$

$$Z_P(\tau, \bar{\tau}, c) = \sum_{\mu \in L^*/L} H_\mu(\tau) \underbrace{\oplus_{\mu, L}(\tau, \bar{\tau}, c)}_{\text{SIEGEL-NARAIN}}$$

$$L := 2_\Sigma^*(H^2(X, \mathbb{Z})) \subset \underbrace{H^2(\Sigma; \mathbb{Z})}_{\text{SELF-DUAL}}$$

[  $l \in L$  IS ALWAYS IN  $H^{1,1}(\Sigma) \Rightarrow$   
 $d(F+l, N) = d(F, N) \quad \forall l \in L$  ]

•  $H_\mu(\tau)$  IS A VECTOR-VALUED NEARLY HOLO.

MODULAR FORM OF WEIGHT  $W = -1 - \frac{h^{1,1}(X)}{2}$

AND MULTIPLIER SYSTEM  $M^*$  DUAL  
TO THAT OF  $\oplus_{\mu, L}$

# POLAR STATES

- $w < 0 \Rightarrow H_\mu$  IS DETERMINED BY ITS POLAR TERMS.

SUPPRESS  $\mu$ -INDEX FOR SIMPLICITY:

$$H(\tau) = \sum_{\hat{q}_0} \Omega(\Gamma)_{\infty} e^{-2\pi i \hat{q}_0 \tau}$$

$$= \underbrace{\sum_{0 < \hat{q}_0 \leq \frac{\chi(P)}{24}} (\dots)}_{\text{POLAR}} + \underbrace{\sum_{-\infty < \hat{q}_0 \leq 0} (\dots)}_{\text{NONPOLAR}}$$

$\Rightarrow$  BLACK HOLE DEGENERACIES ARE COMPLETELY DETERMINED BY THE POLAR DEGENERACIES.



## D. MACROSCOPIC POLAR STATES

$$\text{IF } \Gamma = (0, P, Q, q_0) = P + Q + q_0 dV$$

$$\text{IS POLAR: } 0 < \hat{q}_0 \leq (\hat{q}_0)_{\max}$$

THEN IT CANNOT HAVE A  
REGULAR ATTRACTOR POINT.

$$\text{INDEED } S(\Gamma) = \frac{2\pi}{\sqrt{6}} \cdot \sqrt{-\hat{q}_0 \chi(P)}$$

SO NO SINGLE-CENTERED SOLUTION

BUT  $H(\tau)$  HAS  $w < 0 \Rightarrow$  SOME  
POLAR DEGENERACIES ARE NONZERO

$\Rightarrow$  THESE MUST BE REALIZED AS  
SPLIT ATTRACTOR STATES.

# SIMPLE EXAMPLE

$$\text{PURE D4: } \Gamma = P + q_0 dV$$

$$\text{WITH } q_0 = \hat{q}_0 = (\hat{q}_0)_{\max} = \frac{\chi(P)}{24}$$

FIND ONLY ONE SPLITTING

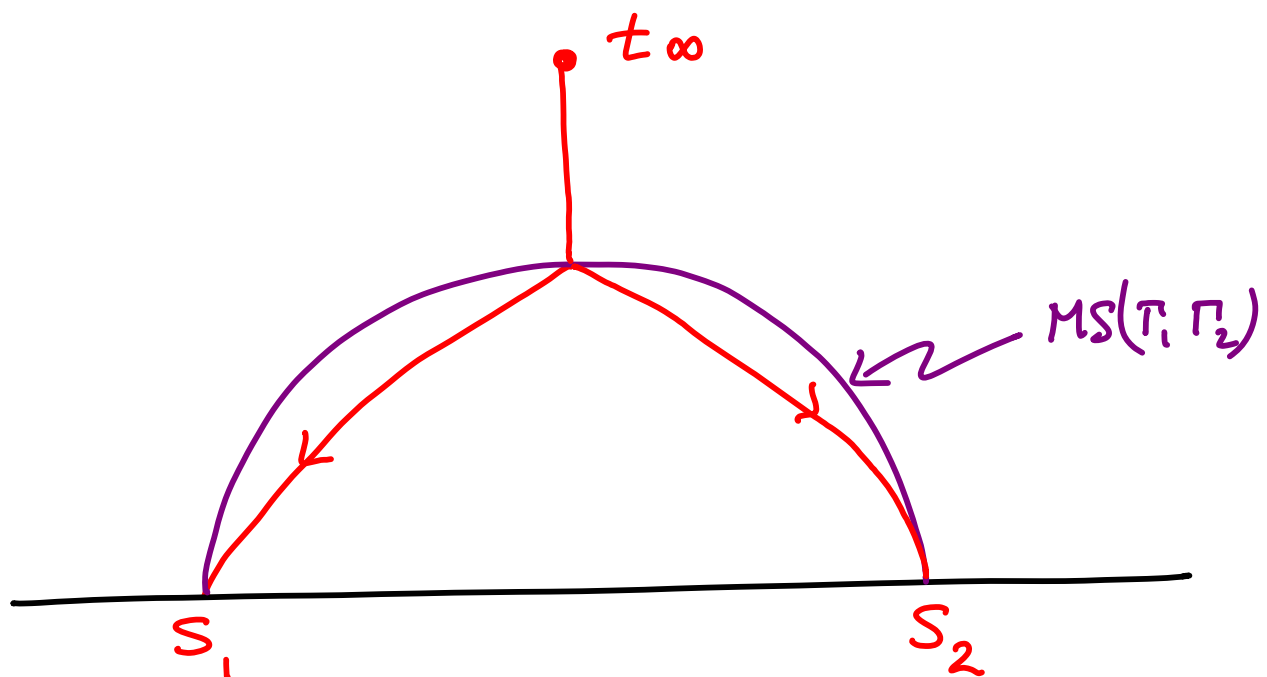
$$\Gamma = P + q_0 dV = \Gamma_1 + \Gamma_2$$

$$= e^{S_1} \left( 1 + \frac{C_2(x)}{24} \right) - e^{S_2} \left( 1 + \frac{C_2(x)}{24} \right)$$

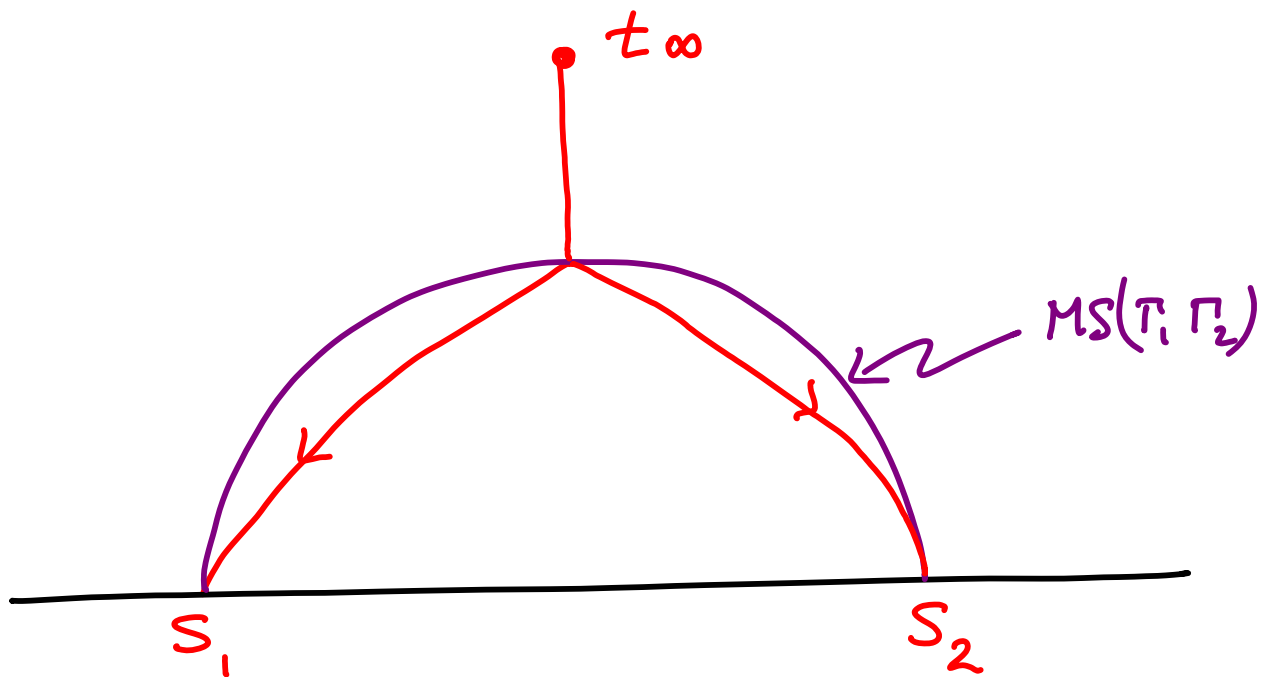
1 DG WITH FLUX =  $S_1$

1 DG w/ FLX  $S_2$

$$S_1 - S_2 = P$$



MOREOVER - YOU CAN COMPUTE THE POLAR DEGENERACY:



$$\Omega(\Gamma, t_\infty) = (-1)^{I_{12}-1} |I_{12}| \Omega(\Gamma_1) \Omega(\Gamma_2) = (-1)^{I_{12}-1} |I_{12}|$$

$$I_{12} = \langle \Gamma_1, \Gamma_2 \rangle = \frac{P^3}{6} + \frac{C_2(X) \cdot P}{12}$$

INDEED = THE CORRECT ANSWER FOR  
 $\chi(\text{MODULI OF PURE } D_4) = \chi(|P|)$

## E. EXTREME POLAR STATE CONJECTURE

DESCRIBING THE SPLIT ATTRACTOR  
FLOWS FOR  $0 < \hat{q}_0 < \frac{\chi(p)}{24}$   
IS MUCH MORE COMPLICATED...

IN GENERAL, POLAR STATES CAN  
BE VERY COMPLICATED SPLIT  
ATTRACTORS, REALIZED IN MANY  
DIFFERENT WAYS.....

BUT IN THE LIMIT  $p \rightarrow \infty$  WE CAN  
SAY SOMETHING

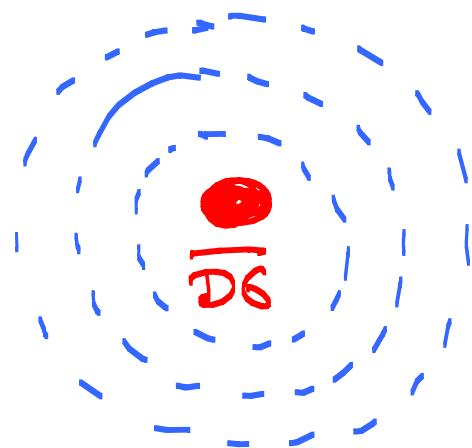
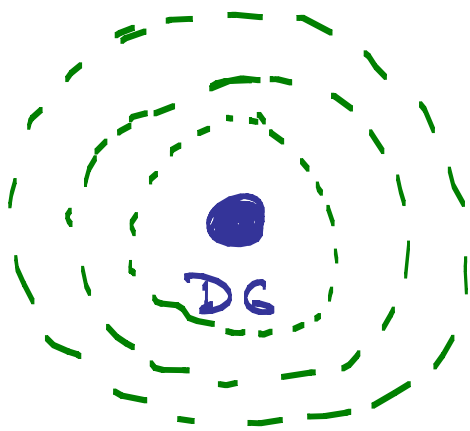
# EXTREME POLAR STATES

$$H^{\text{POLAR}}(\tau) = \underbrace{|\Gamma_p| e^{-2\pi i \tau \frac{\chi(p)}{24}} + \dots}_{\text{"EXTREME POLAR"}} + \underbrace{O\left(e^{\frac{-2\pi i \tau}{|\Gamma|}}\right)}_{\text{"BARELY POLAR"}}$$

E.P.S. CONJECTURE:  $\exists \epsilon < 1$  SO THAT

$$\frac{\hat{q}_0^{\max} - \hat{q}_0}{\hat{q}_0^{\max}} < \epsilon \implies$$

POLAR STATES SPLIT AS  $D\overline{6D6} + \text{HALOS}$ :



$$\Gamma_1 = e^{S_1} (1 - \beta_1 + n_1 dV)$$

$$\Gamma_2 = -e^{S_2} (1 - \beta_2 + n_2 dV)$$

## 6. ROUTE TO THE OSV CONJECTURE

A. BY THE W.C.F. THE (EXTREME)  
POLAR DEGENERACIES GO LIKE

$$\Omega(D6-D2-D0) \times \Omega(\overline{D6-D2-D0})$$

B. BUT BPS INVARIANTS OF  
THE D6-D2-D0 SYSTEM ARE  
RELATED TO GROMOV-WITTEN  
INVARIANTS COUNTING WORLDSHEET  
INSTANTONS IN X

SO, BY THE W.C.F. TOGETHER  
 WITH RESULTS ON  $Z_{D_6 D_2 D_0}$   
 THE EXTREME POLAR  
 DEGENERACIES ARE RELATED TO

$$|Z_{\text{TOP}}|^2$$

SUGGESTING A RELATION LIKE  
 THE OSV CONJECTURE

$$\Omega(\Gamma)_\infty = \int d\phi |Z_{\text{top}}(g_{\text{top}}, t)|^2 e^{-2\pi g_0 \phi}$$

- $\exists$  STRONG ARGUMENTS FOR  $|\hat{q}_0| \gg P^3$
- $\exists$  POTENTIAL COUNTEREXAMPLES FOR  $|\hat{q}_0| \lesssim P^3$ : "ENTROPY ENIGMA"

## IN THE CHARGE REGIME

$$g_{\text{top}} \sim \sqrt{\frac{-\hat{g}_0}{p^3}} \lesssim \mathcal{O}(1)$$

THE DERIVATION IN DENEF-MOORE  
BREAKS DOWN!

- BARELY POLAR DEGENERACIES  
BECOME LARGE
- CORRECTIONS TO THE CARDY  
FORMULA (LEADING TERM IN  
F.T. EXPANSION) BECOME LARGE,  
....

THERE IS A GOOD PHYSICAL  
REASON THE DERIVATION BREAKS  
DOWN ...



# ENTROPY ENIGMA

NOW CHOOSE  $q_0 < 0$ ,  $P$  AMPLE SO

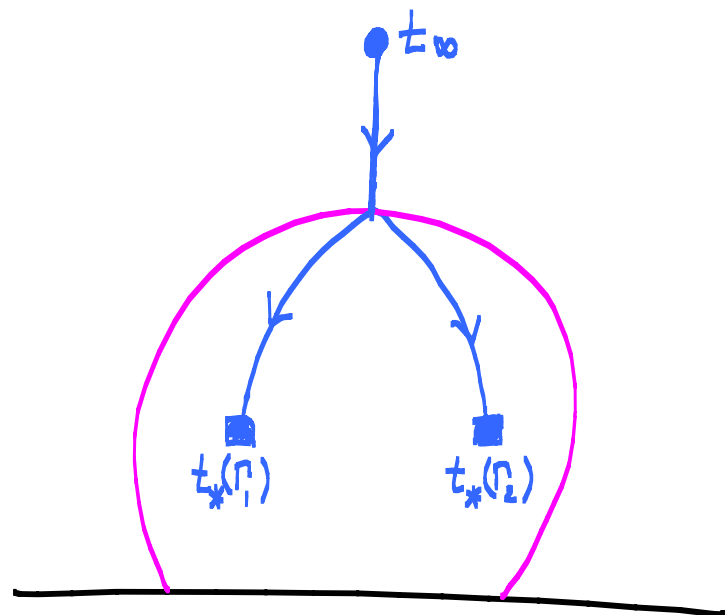
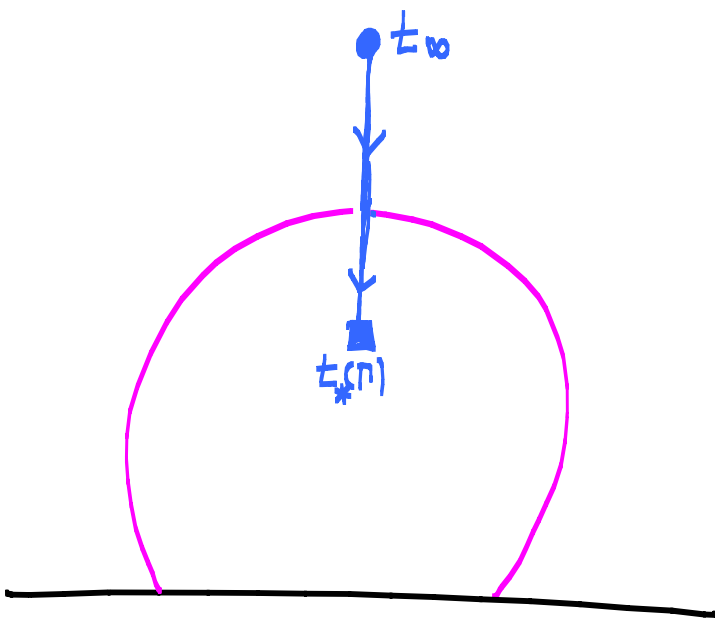
$$\Gamma = (0, P, 0, q_0)$$

HAS A REGULAR ATTRACTOR POINT

NEVERTHELESS! WE CAN CHOOSE

$q_0, Q_A$  SO THAT  $\exists$  A TWO-CENTERED SOLUTION WITH  $\Gamma = \Gamma_1 + \Gamma_2$

$$\Gamma_1 = (r, \frac{1}{2}P, Q, \frac{1}{2}q_0) \quad \Gamma_2 = (-r, \frac{1}{2}P, -Q, \frac{1}{2}q_0)$$



BOTH SOLUTIONS EXIST

SO... COMPARE ENTROPIES

$$S(\Gamma) \quad \text{vs.} \quad S(\Gamma_1) + S(\Gamma_2)$$

IN FACT,

$\exists$  FAMILY OF CHARGES

$$\lambda \Gamma = \lambda(0, P, 0, q_0) = \Gamma_1^\lambda + \Gamma_2^\lambda$$

$$\Gamma_1^\lambda = \left(r, \frac{\lambda}{2} P, \lambda^2 Q, \frac{\lambda}{2} q_0\right) \quad \Gamma_2^\lambda = \left(-r, \frac{\lambda}{2} P, -\lambda^2 Q, \frac{\lambda}{2} q_0\right)$$

SCALING OF ENTROPIES:

$$S(\lambda \Gamma) = \lambda^2 S(\Gamma)$$

BUT!

$$S(\Gamma_1^\lambda) = S(\Gamma_2^\lambda) \sim \frac{(\lambda P)^3}{r} \sim \lambda^3$$

$\Rightarrow$  MANY IMPLICATIONS FOR PHYSICS & MATHEMATICS

## SOME TECHNICAL DETAILS

1. CONSTRUCT A FAMILY OF 2-CENTERED

$$\tilde{\Gamma}_1^\lambda = \left( r, \frac{p}{2}, Q, \lambda^{-2} \frac{q_0}{2} \right)$$

$$\tilde{\Gamma}_2^\lambda = \left( -r, \frac{p}{2}, -Q, \lambda^{-2} \frac{q_0}{2} \right)$$

$\tilde{\Gamma}_i^\lambda$  CAN BE 1-CENTERED BH'S OR  
CAN THEMSELVES BE POLAR

2. ATTRACTOR FORMALISM HAS A  
SCALING SYMMETRY UNDER

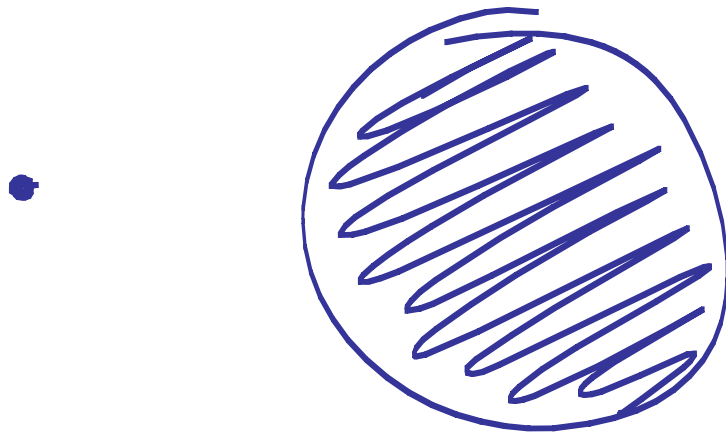
$$T_\lambda (p^0, p, Q, q_0) = (p^0, \lambda p, \lambda^2 Q, \lambda^3 q_0)$$

$$S(T_\lambda \Gamma) = \lambda^3 S(\Gamma)$$

3. APPLY TO  $T_\lambda \tilde{\Gamma}_1^\lambda + T_\lambda \tilde{\Gamma}_2^\lambda = \lambda \Gamma$

RECENTLY, DEBOER ET. AL.

SHOWED THAT IF WE SPLIT  
THE D2-D0 CHARGE ASYMMETRICALLY  
BETWEEN THE TWO CENTERS  
THEN THE COEFFICIENT OF THE  
 $\lambda^3$  GROWTH CAN BE INCREASED:



DOMINATES



BUT BOTH CONTRIBUTIONS SCALE  
LIKE  $\lambda^3$ .

# DEGENERACY DICHOTOMY

- WE HAVE FOUND CONTRIBUTIONS TO  $\Omega(\lambda\Gamma)_\infty$  GROWING LIKE  $e^{\lambda^3}$

- IF INDEED  $\Omega(\lambda\Gamma)_\infty \sim e^{\lambda^3}$  THEN WEAK COUPLING OSV IS WRONG, SINCE OSV  $\Rightarrow \Omega(\lambda\Gamma)_\infty \sim e^{\lambda^2}$ .

- BUT  $\Omega(\lambda\Gamma)_\infty$  IS AN INDEX. IT IS POSSIBLE THAT

$$\Omega(\lambda\Gamma)_\infty = \sum \pm e^{\lambda^3} \sim e^{\lambda^2}$$

- WE ARGUE THAT THIS IS UNLIKELY, BUT IT IS NOT EXCLUDED.

SUPPOSE THAT THERE ARE  
"MAGICAL CANCELLATIONS" AND

$$\Omega(\Gamma)_{\infty} \sim e^{\lambda^2}$$

• THIS RAISES THE QUESTION  
OF  $\dim \mathcal{H}(\Gamma; t)$  vs.  $\Omega(\Gamma; t)$

• PHYSICALLY: THE DIMENSION IS RELEVANT

• BUT ALL TESTS OF THE STROMINGER-  
VAFA PROGRAM USE THE INDEX  
(WITH ONE EXCEPTION).

• IT IS  $\nabla$  TO SUPPOSE THAT IN  
THE EXACT THEORY, NONPTVE  
STRINGY EFFECTS GIVE:

$$\dim \mathcal{H}(\Gamma; t) = \Omega(\Gamma; t)$$

IF WE GRANT THIS POINT,  
AND IF, MORE SPECULATIVELY,  
THERE ARE "MAGICAL CANCELLATIONS"  
SO THAT

$$\log \Omega(0, \lambda p, 0, \lambda q_0) \underset{\lambda \rightarrow \infty}{\sim} \lambda^2$$

THEN THE SPECTRUM OF  
NEAR-BPS STATES TAKES A  
REMARKABLE FORM:

$$E - |Z| = 0 \quad \sim e^{\lambda^2} \text{ states}$$

$$E - |Z| \sim e^{-1/g_s} \quad \sim e^{\lambda^3} \text{ states}$$

## 7. SOME OPEN PROBLEMS

S.A.F., E.P.S., ... CONJECTURES

a.) RELATION OF  $Z_{D_4 D_2 D_0}$  TO M5 ELLIPTIC GENUS.

b.) HOW TO COMPUTE POLAR DEGENERACIES EFFECTIVELY?

c.) RESOLVE THE QUESTION OF THE ENTROPY ENIGMA: ARE THERE CANCELLATIONS BRINGING  $e^{\lambda^3} \rightarrow e^{\lambda^2}$ ?

d.) IN MANY WAYS  $\Omega(\Gamma, t_*(\Gamma))$

SEEMS LIKE A MORE NATURAL QUANTITY

- MICROSCOPIC COMPUTATION?
- AUTOMORPHIC PROPERTIES?
- OSV-LIKE RELATION?