

# Breaking News About $N=2^*$ SYM On Four-Manifolds, Without Spin

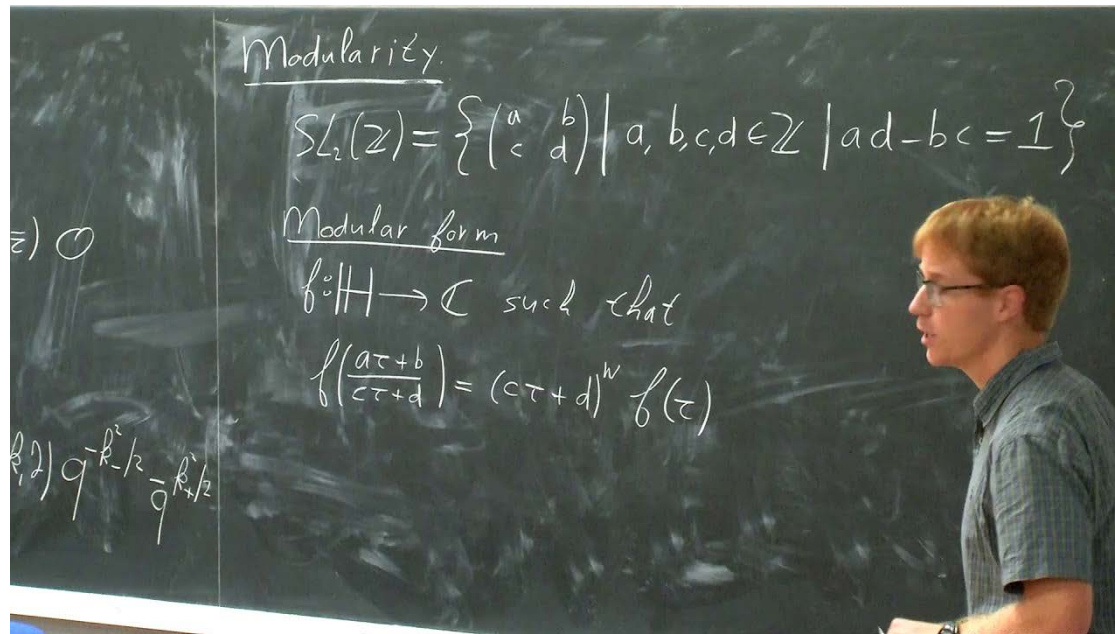
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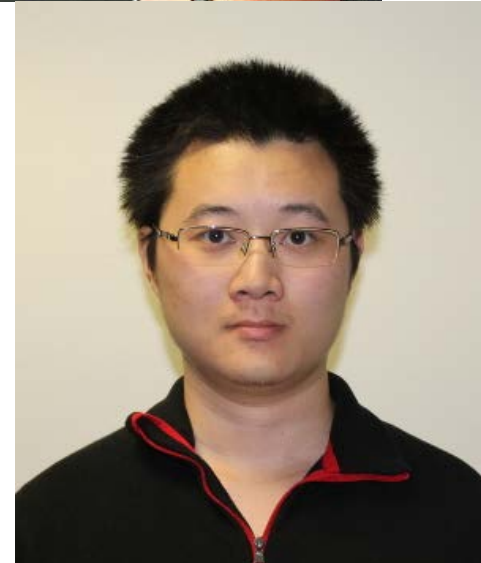
WHCGP

July 20, 2020

# Work with JAN MANSCHOT



+ related work with JM  
and XINYU ZHANG



# 1 Introduction & Main Claims

2 The  $N=2^*$  Theory: UV Meaning Of Invariants

3 Coulomb Branch Integral:  
New Identities & Interactions

4 Evaluation Of CB Integral: Wall Crossing &  
Mock Modular & Jacobi-Maass Forms Galore

5 LEET Near Cusps & Explicit Results

6 Remarks On S-Duality Orbits Of Partition Functions

# Nontechnical Summary

We study a TQFT in 4d whose partition function generalizes both the Donaldson invariants and the Vafa-Witten invariants, and interpolates between them.

The theory depends on a choice of background spin-structure  $\mathfrak{s}$ . This dependence has not previously been discussed. Including it turns out to be nontrivial. We believe we have solved the problem completely.

I gave a preliminary report at the end of my talk at StringMath 2018, where the last slide said...

# Surprise!!

It doesn't work!

Correct version appears to be non-holomorphic.

With Jan Manschot we have an alternative which is currently being checked.

Does the u-plane integral make sense for ANY family of Seiberg-Witten curves ?

どうもありがとうございます





*And then — oh Muse! — after many years wandering o'er stormy seas, 'twixt whirlpools o' singularities and monstrous mock modular forms, unnumbered toils we did endure through dark and dismal nights, 'till with the rosy-fingered dawn, in the safe haven of explicit formulae, with full many consistency checks, we did arrive.*



# Intro & Main Claims – 1/6

$d=4$   $N=2^*$  SYM.  $G = SU(2), SO(3)$

$X$ : Smooth, compact, oriented,  $\partial X = \emptyset$ ,  $b_2^+ > 0$ ,

For simplicity: Connected,  $\pi_1(X) = 0$ , ignore torsion

**Data needed to formulate the invariants:**

$$\tau_0 \in \mathcal{H} ; q_0 := e^{2\pi i \tau_0} \quad m \in \mathbb{C}$$

(UV) Spin-c structure:  $\mathfrak{s}$ ,  $c_{uv} := c_1(\mathfrak{s}) \in H^2(X, \mathbb{Z})$

$\nu \in H^2(X; \mathbb{Z}/2\mathbb{Z})$       Orientation of  $H^2(X; \mathbb{R})$

# Intro & Main Claims – 2/6

Path integral defines a “function”

$$Z_\nu(\tau_0, m, c_{uv}): H_*(X; \mathbb{Z}) \rightarrow \mathbb{C}$$

$$Z_\nu(\tau_0, m, c_{uv})(x) = \sum_{k \geq 0} q_0^k \int_{\mathcal{M}_k} e^{\mu(x)} \text{Eul}(\mathcal{E}_\xi; m)$$

$\mathcal{M}_k$ : Moduli of ASD connections on a principal  $SO(3)$  bundle  $P \rightarrow X$  with  $\nu = w_2(P)$  and instanton no. =  $k$

$$\mu: H_*(X, \mathbb{Z}) \rightarrow H^{4-*}(\mathcal{M}_k; \mathbb{Q})$$

$\mathcal{E}_\xi$  :  $U(1)$ -equivariant virtual bundle over moduli space of instantons



# Intro & Main Claims – 3/6

Special cases were studied in  
[Moore & Witten 1997; Labastida & Lozano 1998 ]

Those studies were limited to spin manifolds  
with trivial spin-c structure.

Related work: Dijkgraaf, Park, Schroers 1998  
N=1 deformation of N=4 SYM, twisted using  
Kahler structure for Kahler 4-folds with  $b_2^+ \geq 3$ .

Recently an important contribution to related issues appeared in [18]. It would be fruitful to apply the physical methods of [18] to the problems addressed here. In that way one could compute the entire generating functional of the  $N = 2$  theory with a massive adjoint hypermultiplet, and work on more general four-manifolds.

# Intro & Main Claims – 4/6

An acs  $\mathcal{J}$  defines a canonical spin-c structure  $\mathfrak{s}(\mathcal{J})$ .

(Use canonical homomorphism  $U(2) \rightarrow Spin^c(4)$ .)

1A: For such a spin-c structure and  $m \rightarrow 0$

$$Z_\nu(\tau_0, m, c_{uv}) \rightarrow Z_\nu^{VW}(\tau_0)$$

1B:  $m \rightarrow \infty$  &  $q_0 \rightarrow 0$  with  $\Lambda^4 := 4m^4 q_0$  fixed:

$$Z_\nu^{renorm}(\tau_0, m, c_{uv}) \rightarrow Z_\nu^{DW}$$

# Intro & Main Claims – 5/6

$$Z_\nu = Z_\nu^{CB} + Z_\nu^{SW}$$

$Z_\nu^{CB}$  : Coulomb branch integral

2a: Writing a single-valued measure requires nonholomorphic interactions with  $\mathfrak{s}$

( $\Rightarrow$  implications for class S generalization )

2b: *Integrand* is a total derivative of a Maass-Jacobi form. (“Mock Jacobi form”)

2c: *Value* of the integral is a nonholomorphic completion of a mock modular form.

2d: VW expression for  $\mathbb{CP}^2$  is a special case

# Intro & Main Claims – 6/6

For  $b_2^+ > 1$   $Z_\nu$  is a linear combination of SW invariants with coefficients in a ring of modular forms for  $\tau_0$

**Corollary: VW invariants vanish if**  
 $X = Y_1 \# Y_2$  with  $b_2^+(Y_i) > 0$

(VW invariants only rigorously defined for algebraic surfaces: Tanaka-Thomas 2017; Sheshmani-Yau 2019)

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# $\mathcal{N} = 2^*$ Theory

## Bosonic Fields:

Vectormultiplet  $A \in \mathcal{A}(P)$   $\phi \in \Gamma(adP \otimes \mathbb{C})$

$$S = \int \bar{\tau}_0 tr(F_+^2) + \tau_0 tr(F_-^2) + \dots$$

Adjoint HM:  $q, \tilde{q} \in \Gamma(adP \otimes \mathbb{C})$

$$W = Tr( q (Ad(\phi) + m) \tilde{q} )$$

$\Rightarrow U(1)_b$  symmetry: Charge( $q, \tilde{q}$ ) = (1, -1)



# Topological Twisting

Couple to background  $SO(3)_R$  bundle with connection.

Choose an isomorphism with  $SO(3)$  bundle with connection associated to  $(\Lambda^{2,+}TX, \nabla^{LC})$

*Magically, all metric dependence is Q-exact (Witten 1988):*

$$S = \int \tau_0 \operatorname{tr}(F^2) + Q(*)$$

N.B. Also holomorphic in  $\tau_0$

With adjoint hypers topological twisting only makes sense if they couple to a background spin-c structure  $\mathfrak{s}$  and spin-c connection [Labastida-Marino 95]

# Topological Twisting

HM bosons  $(q, \tilde{q}^*) \Rightarrow M \in \Gamma(W^+ \otimes adP \otimes \mathbb{C})$

$W^+ \rightarrow X$  : Positive chirality rank two bundle  
associated to uv spin-c structure  $\mathfrak{s}$

$Q$  –fixed point equations

$$F^+ + [M, \bar{M}] = 0 \quad \mathbf{D}M = 0$$

“Nonabelian monopole/SW equations”

[Labastida-Marino; Losev-Shatashvili-Nekrasov]

$U(1)_b$  acts on the moduli space  $\mathcal{M}_Q$  of these eqs.

Fixed point set:  $M = 0$  is  $\mathcal{M}_{asd}(P) = \mathcal{M}_k$

# Observables

$$\mathcal{O}: H_*(X, \mathbb{Z}) \rightarrow Q\text{-coho}$$

$$\mathcal{O}(p) = [Tr \phi^2(p)]$$

$$\mathcal{O}(S) = \left[ \int_S Tr(\phi F + \psi^2) \right]$$

$$Q\text{-coho} \cong H_{U(1)_b}^*(\mathcal{M}_Q)$$

$m : U(1)_b$  equivariant parameter  
= deg 2 generator of  $S^*(u_b(1))$

# Localization

$Q$ : Path integral  $\rightarrow \int_{\mathcal{M}_Q} \dots$

$U(1)_b$ :  $\int_{\mathcal{M}_Q} \dots \rightarrow \int_{\mathcal{M}_{asd}} \dots$

$$\langle e^{O(x)} \rangle_{\mathcal{N}=2^*} = \sum_{k \geq 0} q_0^k \int_{\mathcal{M}_k} e^{\mu(x)} \text{Eul}(\mathcal{E}_\zeta; m)$$

$\mathcal{E}_\zeta$  : Obstruction bundle for elliptic complex, pulled back to  $\mathcal{M}_k$ .

# Index Computations

$$v \dim \mathcal{M}_Q = \dim G \frac{c_{uv}^2 - (2\chi + 3\sigma)}{4}$$

N.B. Independent of instanton number  $k$  !

$$\dim \mathcal{M}_k = 8k - \frac{3}{2}(\chi + \sigma)$$

⇒ Partition function is an infinite  $q_0$  - series even without insertion of observables.

$$\text{Index } \mathbf{D} = -8k + \frac{3}{8}(c_{uv}^2 - \sigma)$$

Conjecture:  $\mathcal{E}_\zeta = \ker(\mathbf{D}^*)$  for large  $k$

# Relation To Vafa-Witten Equations-1/2

$$A \in \mathcal{A}(P) \quad C \in \Gamma(\text{ad } P) \quad B^+ \in \Omega^{2,+}(\text{ad } P)$$

$$F^+ + [B^+, B^+] + [C, B^+] = 0$$

$$D_\mu C + D^\nu B_{\nu\mu}^+ = 0$$

$$\text{ACS } \mathcal{J} \Rightarrow \Lambda^{2,+} T^*X \cong \underline{\underline{\mathbb{R}}} \oplus K_{\mathbb{R}}$$

$\mathcal{J}$  also determines a  
canonical spin-c structure  $\mathfrak{s}(\mathcal{J})$

$$W^+ \cong \underline{\underline{\mathbb{R}}} \otimes \mathbb{C} \oplus K$$



# Relation To Vafa-Witten Equations -2/2

DW twist of N=4 SYM is inequivalent to the SW twist.

Nevertheless, for  $\mathfrak{s}(\mathcal{J})$  Q-fixed point eqs coincide

Seiberg-Witten  $\cong$  Vafa-Witten

# Mass Limits

$$\text{Lim}_{m \rightarrow 0} [N = 2^* \text{SYM}] = [N = 4 \text{SYM}]$$

SW94:

$$m \rightarrow \infty \ \& \ q_0 \rightarrow 0$$

$$\Lambda_0^4 = 4 m^4 q_0$$

$\Rightarrow$  *pure SYM*

# Mass Limits – 2

$$\langle e^{\mathcal{O}(x)} \rangle_{\mathcal{N}=2^*} = \sum_{k \geq 0} q_0^k \int_{\mathcal{M}_k} e^{\mu(x)} \text{Eul}(\mathcal{E}_\varsigma; m)$$

$$\text{Eul}(\mathcal{E}_\varsigma; m) = \prod_i (x_i + m) = m^{-\text{Index}(D)} \sum_{\ell} \frac{c_{\ell}(\mathcal{E}_\varsigma)}{m^{\ell}}$$

Leading term for  $m \rightarrow 0$  :  $c_{\text{top}}(\mathcal{E}_\varsigma)$

For  $\varsigma(\mathcal{I})$ :  $\mathcal{E}_\varsigma \cong T^* \mathcal{M}_k \Rightarrow$  “Euler character of  $\mathcal{M}_k$ ”

Leading term for  $m \rightarrow \infty$  :  $c_0(\mathcal{E}_\varsigma) = 1$

$\Rightarrow$  Donaldson invariants

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# Coulomb Branch Integral

This is a useful and nontrivial test case for a more general very interesting open problem:

Generalize DW theory to class S.

CB = Base of a Hitchin system  $\mathcal{B}$

Here:  $u \in \mathbb{C} \cong \mathcal{B}$

Physics described by special geometry of a family of Abelian varieties over  $\mathcal{B}$

SW94: Jacobians of a holo family of curves

Equipped with meromorphic differential

# Seiberg-Witten Geometry

$$E_u \quad y^2 = \prod_{i=1}^3 (x - \alpha_i) \quad \alpha_i = u e_i(\tau_0) + \frac{m^2}{4} e_i(\tau_0)^2$$

$e_i(\tau_0)$  half-periods of  $E_{\tau_0} = \mathbb{C}/(\mathbb{Z} + \tau_0\mathbb{Z})$

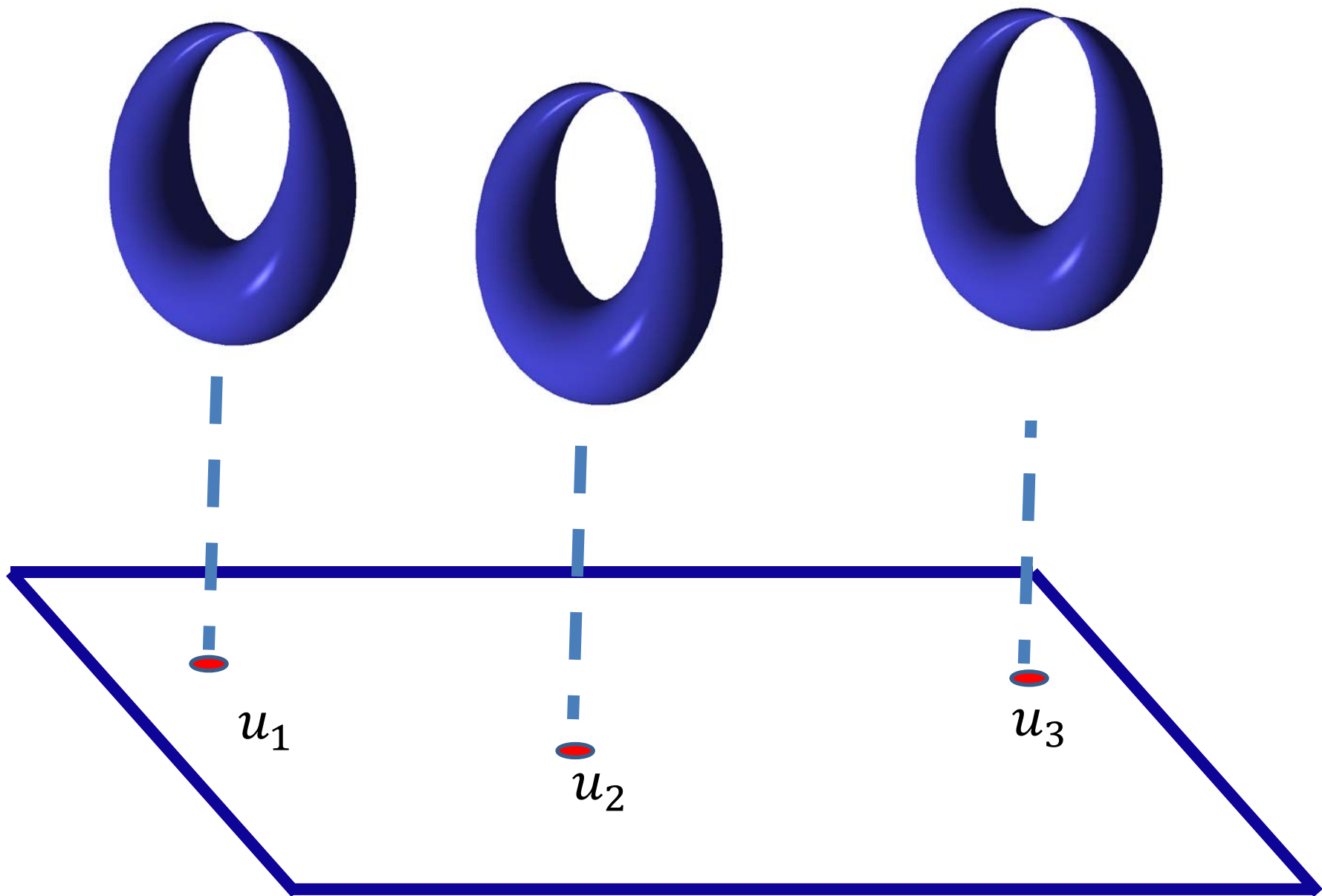
$$e_i(\tau_0) \in \left\{ \frac{1}{3} (\vartheta_3^4(\tau_0) + \vartheta_4^4(\tau_0)), \dots \right\}$$

N.B. After choice of duality frame  $E_u$  has a  $\tau(u, m, \tau_0)$  which should not be confused with  $\tau_0$

$$\lim_{m \rightarrow 0} \tau(u, m, \tau_0) = \tau_0$$

$$\lim_{u \rightarrow \infty} \tau(u, m, \tau_0) = \tau_0$$





$$u_j = m^2 e_j(\tau_0)$$

# Path Integral Of U(1) LEET

LEET: U(1) Maxwell + N=2 superpartners  
with topological couplings

$$Z_{\nu}^{CB} = \int_{\mathcal{B}} d^2u \, B(u)^{\sigma} A(u)^{\chi} Z_{Maxwell}$$

$$B = \prod_i (u - u_i)^{\frac{1}{8}}$$

⇒ Potential problems with single-valued measure.

# CB Measure: 1997-1998

$$Z_{\nu}^{CB}(p, S) = \int_{\mathcal{B}} d^2u B^{\sigma} e^{pu} e^{S^2 T} A^{\chi} \Psi_{\nu}$$

$$A = \left(\frac{da}{du}\right)^{-\frac{1}{2}} \quad \Psi_{\nu} \sim \sum_{fluxes} e^{-\int \bar{\tau} F_{dyn,+}^2 + \tau F_{dyn,-}^2}$$

Depend on duality frame –

- but the local system has nontrivial monodromy.

# CB Measure Only SV For $X$ Spin

$A^X e^{S^2 T} \Psi_\nu$  is independent of duality frame,  
up to  $8^{\text{th}}$  roots of unity.

On a spin manifold,  $\sigma = 0 \pmod{8}$  :  
The measure is single-valued.

If  $X$  is not spin the above measure  
is not single-valued ....

# CB Measure: New Interactions

We need to include the background spin-c structure  $\mathfrak{s}$

There are couplings to the UV spin-c connection:

$$\Delta S_{LEET} = \int c(u) F_b^2 + d(u) F_b F_{dyn}$$

[Shapere-Tachikawa, 2008]

Surprise! No choice of holomorphic coupling makes the measure single-valued!

# Resolution

“Weakly gauge” the  $U(1)_b$  symmetry:

Gauge group:  $U(1)_b \times G$   $G \in \{SU(2), SO(3)\}$

⇒ Rank TWO gauge group.

Take UV coupling of  $U(1)_b$  to zero:

Freezes  $U(1)_b$  v.m fields to classical values

$$m = \langle a_b \rangle$$

Nati Seiberg & Ann Nelson – 1993

# Non-Holomorphic Coupling

Rank 2 Maxwell action:  $F_I = (F_b, F_{dyn})$

$$\sim \int \bar{\tau}_{IJ} F_+^I F_+^J + \tau_{IJ} F_-^I F_-^J + \dots$$

$$\tau_{IJ} = \begin{pmatrix} \frac{d^2 \mathcal{F}}{da^2} & \frac{d^2 \mathcal{F}}{dadm} \\ \frac{d^2 \mathcal{F}}{dadm} & \frac{d^2 \mathcal{F}}{dm^2} \end{pmatrix} \quad v = \frac{d^2 \mathcal{F}}{dadm}$$

$$\int \bar{v} F_b^+ F_{dyn}^+ + v F_b^- F_{dyn}^-$$

Remark: SW limit  $m \rightarrow \infty$

$$e^{\int \bar{v} F_b^+ F_{dyn}^+ + v F_b^- F_{dyn}^-}$$

Metric dependent & nonholomorphic,  
varying continuously on  $\mathcal{B}$

$$\rightarrow e^{i\pi \int w_2(X) \frac{F_{dyn}}{2\pi}}$$

Important implications for the generalization  
of CB integral to class S theories: We do not  
want a  $\mathbb{Z}_2$ -valued QRIF.



# Coulomb Branch Measure: 2019 -2020

$$Z_{\nu}^{CB} = \int_{\mathcal{B}} \Omega$$

$$\Omega = du \wedge d\bar{u} B^{\sigma} e^{p u} e^{S^2 T} A^{\chi} C^{c_{uv}^2} \Psi_{\nu}$$

Nontrivial question: Is this single-valued ?

Step 1: Use modular parametrization.  
Identify  $\mathcal{B}$  with the modular curve  $\mathcal{H}/\Gamma(2)$

# Modular Parametrization

Weak coupling duality frame:

Nekrasov: Instanton partition function

$$\mathcal{F}(a, m) = \frac{1}{2} \tau_0 a^2 +$$
$$+ m^2 f_1(\tau_0) \left( \log \left( \frac{2a}{m} \right) - \frac{3}{4} + \frac{3}{2} \log \left( \frac{m}{\Lambda} \right) \right)$$

$f_n(\tau_0)$ : polynomials:  
 $E_2, E_4, E_6$  wt =  $2n - 2$

$$+ a^2 \sum_{n=2}^{\infty} f_n(\tau_0) \left( \frac{m}{a} \right)^{2n}$$

[Minhahan, Nemeschansky, Warner; Dhoker, Phong]

$\Lambda, m$  dependence (also A, B couplings):

[Manschot, Moore, Xinyu Zhang 2019]

# Modular Parametrization

$$\tau = \frac{d^2 \mathcal{F}}{da^2} \quad \frac{da}{du} = \oint_A \frac{dx}{y}$$

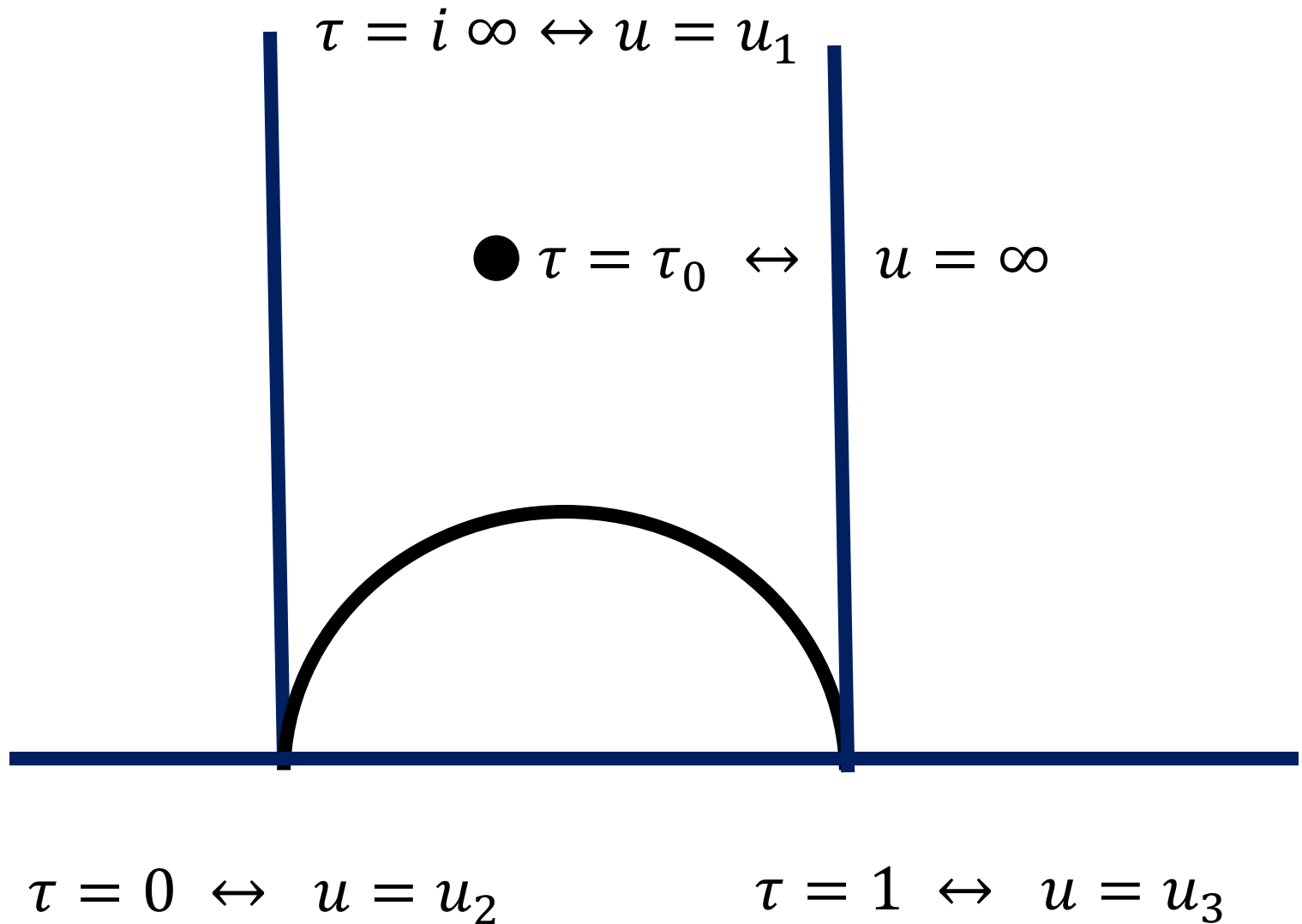
$$m^2 \left( \frac{da}{du} \right)^2 = \frac{\vartheta_4^4(\tau) \vartheta_3^4(\tau_0) - \vartheta_3^4(\tau) \vartheta_4^4(\tau_0)}{\eta^6(\tau_0)}$$

$$m^{-2} u(\tau, \tau_0) = \frac{e_1^2(\tau_0) e_{23}(\tau) + \text{cycl.}}{e_1(\tau_0) e_{23}(\tau) + \text{cycl}}$$

[Huang, Kashani-Poor, Klemm]

$$\mathcal{B} \cong \mathcal{H} / \Gamma(2)$$

# Modular Parametrization



# Two New Nontrivial Identities

$$C := \exp \left( -2 \pi i \frac{d^2 \mathcal{F}}{dm^2} \right) = \left( \frac{\Lambda}{m} \right)^{\frac{3}{2}} \frac{\vartheta_1(2\tau, 2\nu)}{\vartheta_2^2(\tau_0) \vartheta_4(2\tau)}$$

$$\nu := \frac{d^2 \mathcal{F}}{dadm}$$

$$\frac{\vartheta_2(2\tau, \nu)}{\vartheta_3(2\tau, \nu)} = \frac{\vartheta_2(2\tau_0, 0)}{\vartheta_3(2\tau_0, 0)}$$

Determines

$$\nu(\tau, \tau_0)$$

# The "Period Point" $J$

$$b_2^+ > 1 \Rightarrow Z_\nu^{CB} = 0$$

$$b_2^+ = 1 \quad Z_\nu^{CB} \neq 0$$

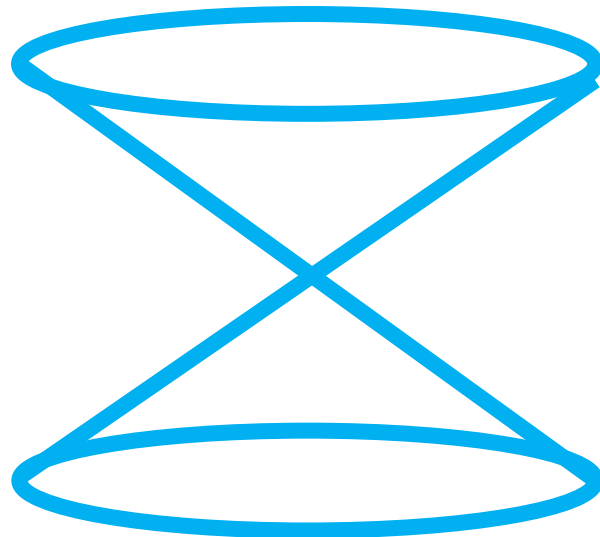
$Z_{Maxwell}$ :

**Frame dependent.**

**Not holomorphic.**

**Metric dependent.**

$H^2(X; \mathbb{R})$



$$*J = J$$

$$J^2 = 1$$

$$J^0 > 0$$

# Maxwell Partition Function

Sum over the first Chern class  $\lambda \in 2L + \nu$ ,  
 $L = H^2(X; \mathbb{Z})$  (Simplicity: Put  $S = 0$ .)

$$\Psi_\nu^J = \sum_{\lambda \in 2L + \nu} \partial_{\bar{\tau}} E_\lambda^J q^{-\frac{1}{4}\lambda^2} e^{\pi i \lambda \cdot c_{uv}} v(\tau, \tau_0)$$

$$E_\lambda^J = \text{Erf}(x_\lambda) \quad \text{Erf}(x) := \int_0^x e^{-\pi t^2} dt$$

$$x_\lambda = \sqrt{\text{Im}\tau} \left( \lambda + \frac{\text{Im}\nu}{\text{Im}\tau} c_{uv} \right) \cdot J$$

With all these ingredients we can now check that the CB measure is indeed monodromy invariant and hence well-defined.

The definition of the integral is still rather subtle. One must define naively divergent expressions like

$$Z_{\mu}^{CB} = \int_{\mathcal{H}/\Gamma(2)} d^2\tau (Im \tau)^{-s} q^n \bar{q}^{\tilde{n}}$$

with  $n < 0$  and  $\tilde{n} < 0$

It can be done in a satisfactory way:  
Korpas, Manschot, Moore, Nidaiev 2019



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# Evaluation Of CB Integral ?

$$Z_\nu^{CB} = \int_{\mathcal{H}/\Gamma(2)} \Omega \quad (\text{For simplicity: } p = S = 0.)$$

$$\Omega = d\tau \wedge d\bar{\tau} B^\sigma A^\chi C^{c_{uv}^2} \Psi_\nu^J$$

$$\Psi_\nu^J = \sum_{\lambda \in 2L + \nu} \partial_{\bar{\tau}} E_\lambda^J q^{-\frac{1}{4}\lambda^2} e^{\pi i \lambda \cdot c_{uv}} v(\tau, \tau_0)$$

$$\Omega = d \Lambda \quad \Lambda = d\tau B^\sigma A^\chi C^{c_{uv}^2} \hat{G}$$

$$\Psi_\nu^J = \partial_{\bar{\tau}} \hat{G}$$

# Evaluation Of CB Integral ?

$$\Psi_\nu^J = \sum_{\lambda \in 2L + \nu} \partial_{\bar{\tau}} E_\lambda^J q^{-\frac{1}{4}\lambda^2} e^{\pi i \lambda \cdot c_{uv}} v(\tau, \tau_0)$$

$$\Psi_\nu^J = \partial_{\bar{\tau}} \hat{G}$$

$$\hat{G} = \sum_{\lambda \in 2L + \nu} E_\lambda^J q^{-\frac{1}{4}\lambda^2} e^{\pi i \lambda \cdot c_{uv}} v(\tau, \tau_0)$$

??? NO!!!  $\lim_{\lambda^2 \rightarrow +\infty} E_\lambda^J = \pm 1$

# Evaluating Difference Of CB Integrals

$$\Psi^{J_1} - \Psi^{J_2} = \partial_{\bar{\tau}} \widehat{G}^{J_1, J_2}$$

$$\widehat{G}^{J_1, J_2} = \sum_{\lambda \in 2L + \nu} E_{\lambda}^{J_1, J_2} q^{-\frac{1}{4}\lambda^2} \dots$$

$$E_{\lambda}^{J_1, J_2} = \text{Erf}(x_{\lambda}^{J_1}) - \text{Erf}(x_{\lambda}^{J_2})$$

Converges nicely!

⇒ Can use this to evaluate the difference  $Z_{\nu}^{CB, J_1} - Z_{\nu}^{CB, J_2}$  by a sum of residues.

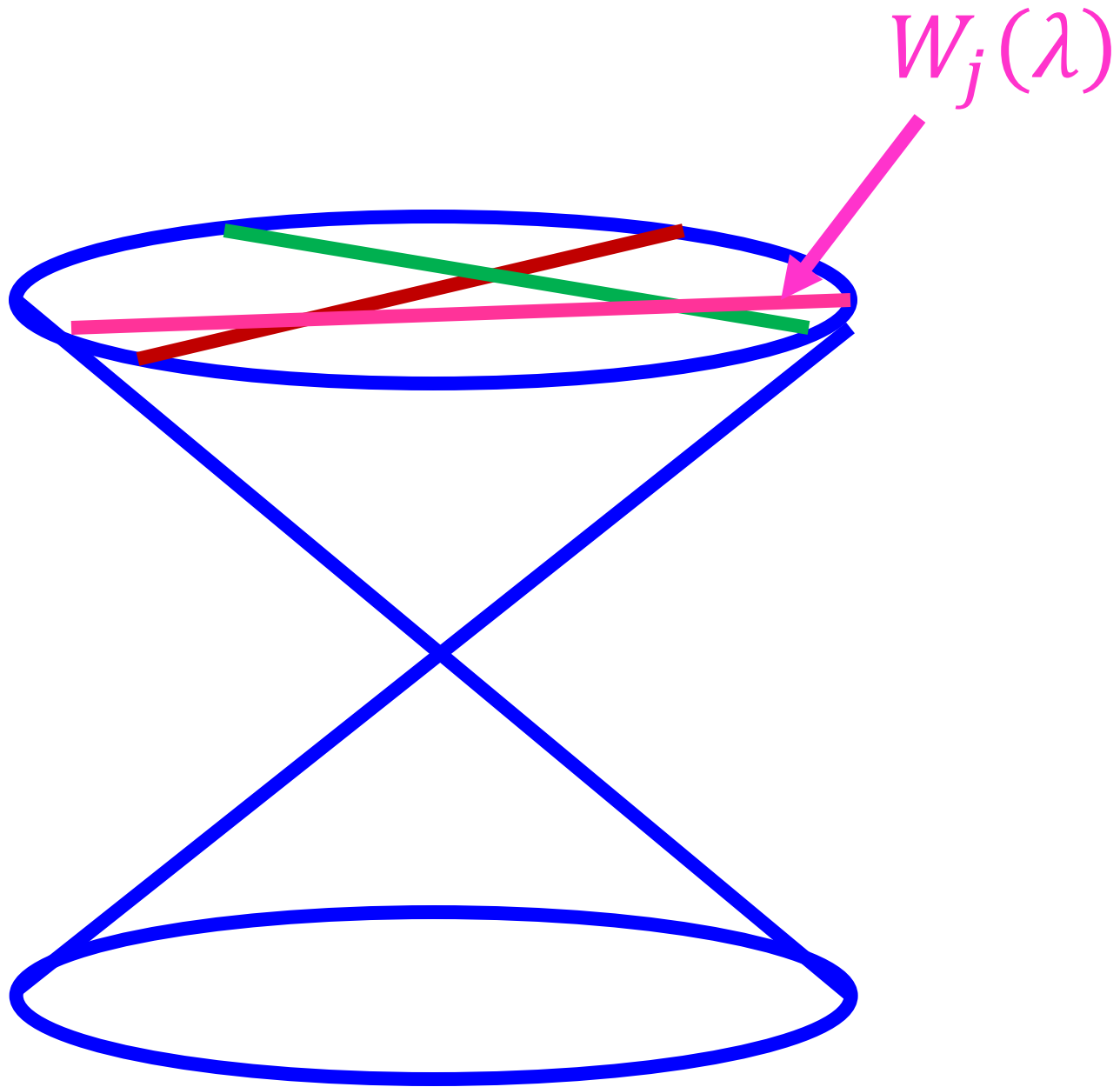
# Wall-Crossing

As the contour approaches the cusp  $u_j$ :  $E_\lambda^{J_1, J_2}$  limits to

$$\text{sign} \left[ \left( \lambda \pm \frac{1}{2} c_{uv} \right) \cdot J_1 \right] - \text{sign} \left[ \left( \lambda \pm \frac{1}{2} c_{uv} \right) \cdot J_2 \right]$$

$\Rightarrow Z_\nu^{CB, J}$  is piecewise constant as function of  $J$   
but has nontrivial chamber dependence.

Chambers defined by various walls  $W_j(\lambda)$



# Continuous Metric Dependence

For the boundary at  $u \rightarrow \infty$  the modular parameter  $\tau \rightarrow \tau_0$ . This leads to **continuous** metric dependence.

For  $\mathfrak{s}(\mathcal{J})$  one finds:

$$\eta(\tau_0)^{-2\chi} \sum_{\lambda} [E(\sqrt{y_0}\lambda \cdot J_1) - E(\sqrt{y_0}\lambda \cdot J_2)] (\lambda \cdot c_{uv}) q_0^{-\lambda^2}$$

+ Another Term

Closely related: Nonholomorphic:  $y_0 = \text{Im}(\tau_0)$

# The Special Period Point

For any manifold with  $b_2^+ = 1 \exists$  special  $J_0$  such that

$$\Omega = d \Lambda \quad \Lambda = d\tau B^\sigma A^\chi C^{c_{uv}^2} \hat{G}$$

Where we can write  $\hat{G}$  explicitly so that  $\Lambda$  is:

1. Well-defined
2. Nonsingular away from  $\tau \in \{0, 1, i\infty, \tau_0\}$
3. Modular: Good  $q_i$  expansion near cusps



# Mock Jacobi-Maass Forms

These conditions determine  $\hat{G}$  uniquely.

It is a Jacobi-Maass form evaluated at  $z = c_{uv} \nu(\tau, \tau_0)$

After doing the integration by parts we obtain  
mock modular forms as functions of  $\tau_0$

For  $X = \mathbb{CP}^2$  and  $\mathfrak{s}(\mathcal{J})$  we reproduce exactly  
the mock modular forms used in Vafa-Witten.

+ many generalizations

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# LEET Near Cusps $u_j$

In the region of each cusp  $u_j$ ,  $j = 1, 2, 3$   
the LEET changes:

We have a U(1) VM coupled to a charge 1 HM.  
(In the appropriate duality frame) [Seiberg-Witten 94]

There is a separate contribution to the path integral  
coming from the path integral of these three LEET.

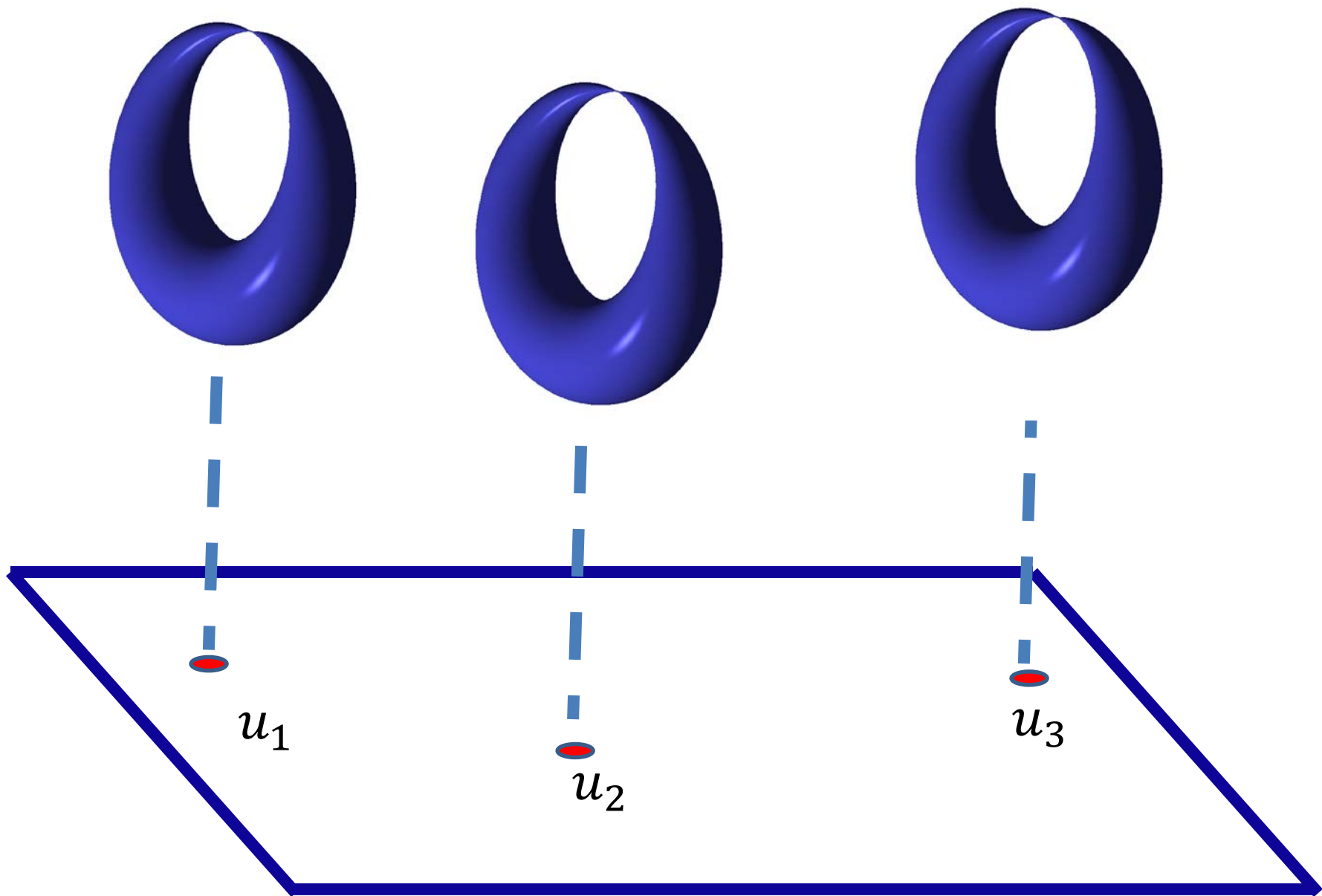
We add the contributions, because we sum over vacua:

$$Z_{\mathcal{V}} = Z_{\mathcal{V}}^{CB} + \sum_{j=1}^3 Z_{\mathcal{V},j}^{SW}$$

When  $b_2^+ > 1$   $Z_\nu^{\text{CB}}$  vanishes –  
- we get true topological invariants:

$$Z_\nu = \sum_{j=1}^3 Z_{\nu,j}^{\text{SW}}$$

So it is quite interesting to determine  
The three effective actions



$$u_j = m^2 e_j(\tau_0)$$

# General Form Of Effective Action Near $u_j$

$a$ : Local special coordinate vanishing at  $u_j$

$$S_{LEET,j}^{SW} = \int \alpha_j(a) Eul(X) + \beta_j(a) Sig(X) + \gamma_j(a) F_{dyn}^2 + \int \delta_j(a) F_{dyn} \wedge F_b + \varepsilon_j(a) F_b \wedge F_b + Q(*)$$

# Determination Of Effective Action

MW97: The terms in the effective action at  $u_j$  can be determined from the contribution to the wall-crossing behavior  $Z_{\nu}^{CB}$  from  $u_j$

$$Z_{\nu,j}^{SW} = \sum_{c_{ir} = w_2(X) \bmod 2} SW(c_{ir}) \left[ \mathcal{A}_j^{\chi} \mathcal{B}_j^{\sigma} \mathcal{C}_j^{c_{uv}^2} \mathcal{D}_j^{c_{uv} \cdot c_{ir}} \mathcal{E}_j^{c_{ir}^2} \right]_{q_j^0}$$

There is a prescription for including the homology observables  $e^{\mu(x)}$

$$Z_\nu = \kappa_\nu \left( \frac{\Lambda}{m} \right)^{3/8(c_{uv}^2 - 2\chi - 3\sigma)} \eta(\tau_0)^{3(2\chi + 3\sigma)} \left\{ \eta(\tau_0/2)^{-5\chi - 6\sigma - c_{uv}^2} e^{S^2 \mathcal{K}_1 + \frac{p}{4} \frac{m^2}{\Lambda^2} e_2(\tau_0)} \right. \\ \left. \sum_{c_{ir}} SW(c_{ir}) e^{\frac{i\pi}{2}(c_{ir} - c_{uv}) \cdot \nu \chi h} \left( \frac{\vartheta_3(\tau_0/2)}{\vartheta_4(\tau_0/2)} \right)^{\frac{1}{2} c_{uv} \cdot c_{ir}} e^{\frac{m}{\Lambda} (\vartheta_2 \vartheta_3(\tau_0))^2 c_{ir} \cdot S} \right. \\ \left. + \dots \right\}$$

$X = K3 @ p = 0 \ \& \ S = 0$

$$Z_\mu = 2^{12} \left( \frac{\Lambda}{m} \right)^{\frac{3}{8} c_{uv}^2} \left[ \frac{\delta_{\mu = \frac{1}{2} c_{uv} \bmod 2}}{(\vartheta_2 \eta)^p} + \frac{e^{i\pi \mu \cdot c_{uv} / 2}}{(\vartheta_4 \eta)^p} - \frac{e^{i\pi \mu \cdot c_{uv} / 2 - i\pi \mu^2 / 4}}{(\vartheta_3 \eta)^p} \right]$$

$$p = 12 + \frac{1}{2} c_{uv}^2$$

$\vartheta_i \eta$  evaluated at  $\tau_0$



# Relation To Previous Results

For  $c_{uv}^2 = 2\chi + 3\sigma$  and  $m \rightarrow 0$  we recover and generalize formulae of [VW;DPS] for VW invariants.

For  $c_{uv} = 0$  we recover formulae of Labastida-Lozano

For  $m \rightarrow \infty$ ,  $q_0 \rightarrow 0$  after suitable renormalization we recover the “Witten conjecture” for the Donaldson invariants in terms of the Seiberg-Witten invariants.

A generalization and unification of the 1990’s formulae:  
Vafa-Witten; Witten; Moore-Witten;  
Dijkgraaf-Park-Schroers; Labastida-Lozano

1 Introduction & Main Claims

2 The  $N=2^*$  Theory: UV Meaning Of Invariants

3 Coulomb Branch Integral:  
New Identities & Interactions

4 Evaluation Of CB Integral: Wall Crossing &  
Mock Modular & Jacobi-Maass Forms Galore

5 LEET Near Cusps & Explicit Results

6 Remarks On S-Duality Orbits Of Partition Functions

# Concluding Remarks

Twisted  $N = 2^*$  on four-manifolds with a spin-c structure unifies and generalizes previous expressions for invariants of 4-manifolds derived from SYM.

Some technical points are still being sorted out.

Non-simply connected generalization and implications for three-manifold invariants?

Hamiltonian formulation (Floer theory)?

Derivation from 6d (2,0) theory?

# S-Duality

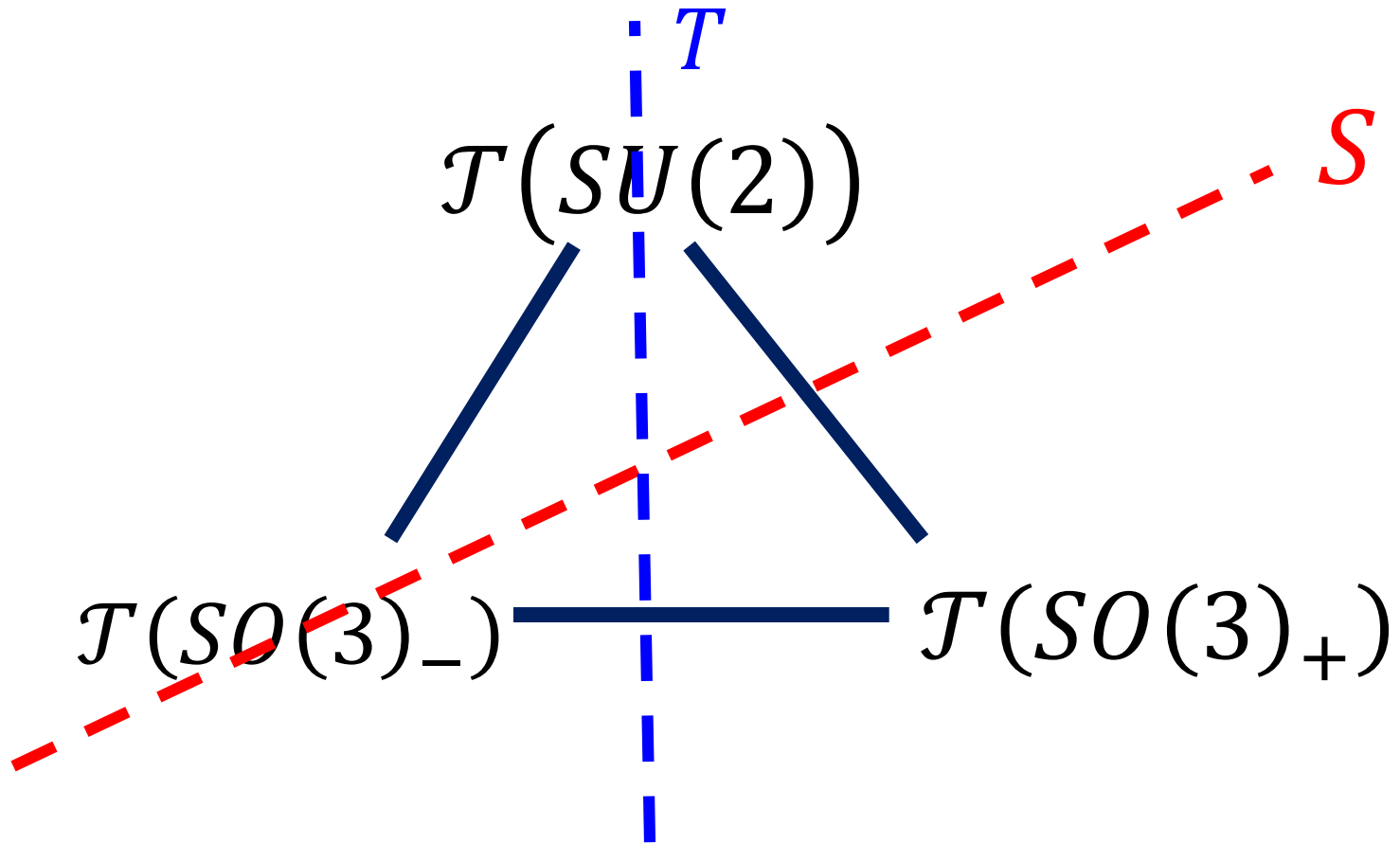
In the  $SU(2)$  theory  $Z_\nu$  is the partition function in the presence of 't Hooft flux

“Partition function in a background field for a magnetic  $\mathbb{Z}_2$  1-form symmetry.”

The  $Z_\nu$  span a vector space  $\mathcal{V}$

But arbitrary linear combinations aren't physically meaningful

# Three Distinct Theories

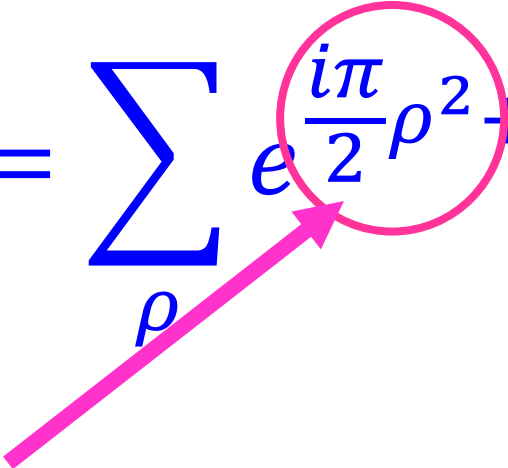


Gaiotto, Moore, Neitzke 2009;

Aharony, Seiberg, Tachikawa 2013

# Partition Functions For The $SO(3)_{\pm}$ Theories

$$Z_{\nu}^{SO(3)_{+}} = \sum_{\rho} e^{i\pi \nu \cdot \rho} Z_{\rho}$$

$$Z_{\nu}^{SO(3)_{-}} = \sum_{\rho} e^{\frac{i\pi}{2}\rho^2 + i\pi \nu \cdot \rho} Z_{\rho}$$


$$\Delta S = \frac{i\pi}{2} \int P_2(w_2(P))$$

Aharony, Seiberg, Tachikawa 2013

# S-Duality Transformations

$$T: Z_\nu \rightarrow \xi_\nu Z_\nu$$

$$S: Z_\nu \rightarrow (-i \tau_0)^w \sum_{\rho} e^{i \pi \nu \cdot \rho} Z_\rho$$

$$w = \frac{1}{2} (\chi + 3 \sigma - c_{uv}^2)$$

$$\xi_\nu = \omega^{-\frac{1}{2}(2\chi - 3\sigma + c_{uv}^2 + 12\nu^2)} \quad \omega = e^{\frac{2\pi i}{24}}$$

Derivation from 6d ?

# Orbit Of Partition Functions -1/2

The  $Z_{\nu}$  span a vector space  $\mathcal{V}$

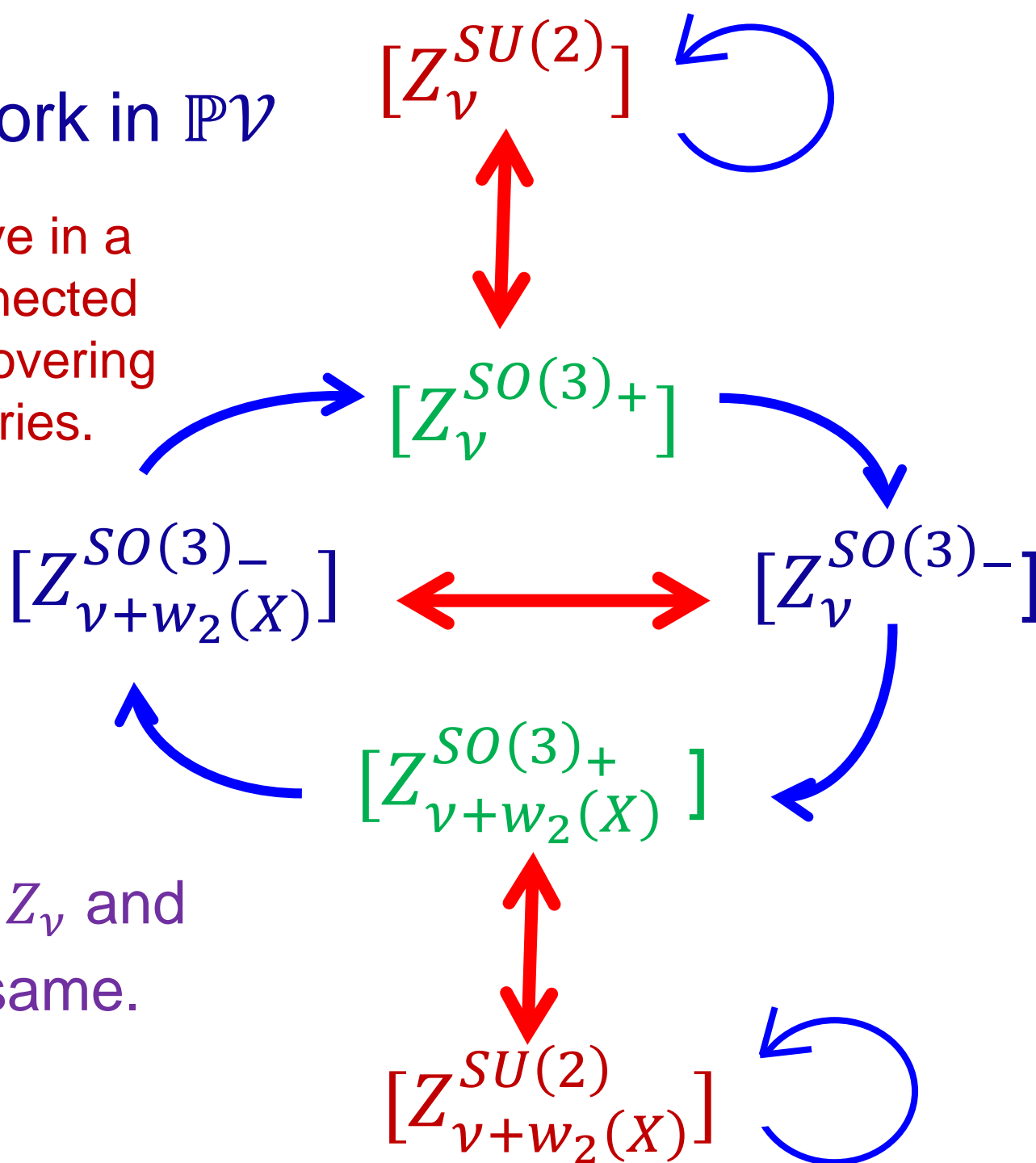
The physical partition functions of the theories form an orbit in that vector space.

It is a finite covering of the triangle of theories.



For simplicity, work in  $\mathbb{P}\mathcal{V}$

Partition functions live in a disjoint union of connected orbits, each double-covering the triangle of theories.



Orbits of  $Z_{\nu}^{SU(2)} = Z_{\nu}$  and  $Z_{\nu+w_2(X)}^{SU(2)}$  are the same.

REMARKS ON CLASS S:  
SLIDES FROM MY  
STRING MATH 2018  
TALK IN SENDAI, JAPAN

# u-plane for class S: General Remarks

UV interpretation is not clear in general.

These theories might give new 4-manifold invariants.

The u-plane is an integral over the base  $\mathcal{B}$  of a Hitchin fibration with a theta function associated to the Hitchin torus. It will have the form

$$Z_u = \int_{\mathcal{B}} du d\bar{u} \mathcal{H} \Psi$$

$\mathcal{H}$  is holomorphic and metric-independent

$\Psi$ : NOT holomorphic and metric-DEPENDENT  
“theta function”

# Class S: General Remarks

$$\mathcal{H} = \alpha^\chi \beta^\sigma \det \left( \frac{da^i}{du_j} \right)^{1 - \frac{\chi}{2}} \Delta_{phys}^{\frac{\sigma}{8}}$$

$\Delta_{phys}$  a holomorphic function on  $\mathcal{B}$  with first-order zeros at the loci of massless BPS hypers

$\alpha, \beta$  will be automorphic forms on  
Teichmuller space of the UV curve  $\mathcal{C}$

$\alpha, \beta$  are related to correlation functions for fields in the (0,2) QFT gotten from reducing 6d (0,2)

# Class S: General Remarks

$$\Psi \sim \sum_{\lambda} e^{i\pi\lambda\cdot\xi} e^{-i\pi\bar{\tau}(\lambda_+, \lambda_+) - i\pi\tau(\lambda_- \cdot \lambda_-) + \dots}$$

$$\lambda \in \lambda_0 + \Gamma \otimes H^2(X; \mathbb{Z})$$

$$\Gamma \subset H^1(\Sigma; \mathbb{Z})$$

Lagrangian  
sublattice

$$\xi \in \Gamma \otimes H^2(X; \mathbb{R})$$

**If**  $\xi = \rho \otimes w_2(X) \pmod{2}$  **then** WC from interior of  $\mathcal{B}$  will be cancelled by SW invariants

$\Rightarrow$  No new four-manifold invariants...



$\Psi$  comes from a “partition function” of a level 1 SD 3-form on  $M_6 = \Sigma \times X$

Quantization: Choose a QRIF  $\Omega$  on  $H^3(M_6; \mathbb{Z})$

**Natural choice:** [Witten 96,99; Belov-Moore 2004]

$$\Omega(x) = \exp\left( i \pi \text{WCS}(\theta \cup x; S^1 \times M_6) \right)$$

Choice of weak-coupling duality frame + natural choice of  $spin^c$  structure gives

$$\xi = \rho \otimes w_2(X)$$

# Case Of $SU(2)$ $\mathcal{N} = 2^*$

Using the tail-wagging-dog argument, analogous formulae were worked out for  $\mathcal{N} = 2^*$ , by Moore-Witten and Labastida-Lozano in 1998, but only in the case when  $X$  is spin.

L&L checked S-duality for the case  $b_2^+ > 1$

The generalization to  $X$  which is NOT spin is nontrivial: The standard expression from Moore-Witten and Labastida-Lozano is NOT single-valued on the u-plane.

This is not surprising: The presence of external  $U(1)_{baryon}$  gauge field  $F_{baryon} \sim c_1(\mathfrak{s})$  means there should be new interactions:

$$e^{\kappa_1(u)c_1(\mathfrak{s})^2 + \kappa_2(u)\lambda \cdot c_1(\mathfrak{s})} \quad \text{Shapere \& Tachikawa}$$

Holomorphy, 1-loop singularities,  
single-valuedness forces:

$$(u - u_1) \frac{c_1(\mathfrak{s})^2}{8} e^{-i \frac{\partial a_D}{\partial m} \lambda \cdot c_1(\mathfrak{s})}$$



# Surprise!!

It doesn't work!

Correct version appears to be non-holomorphic.

With Jan Manschot we have an alternative which is currently being checked.

Does the u-plane integral make sense for ANY family of Seiberg-Witten curves ?

**MORE DETAILS ABOUT MOCK  
MODULAR FORMS :  
SLIDES FROM MY  
JMM TALK  
JANUARY, 2020, DENVER**

# Relation To Mock Modular Forms -1.1

$Z_u$  : A sum of integrals of the form :

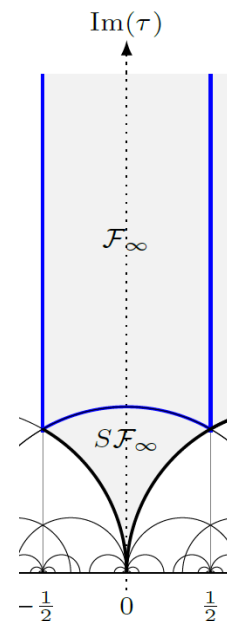
$$I_f = \int_{\mathcal{F}_\infty} d\tau d\bar{\tau} (\text{Im } \tau)^{-s} f(\tau, \bar{\tau})$$

Support of  $c$  is bounded below  $f(\tau, \bar{\tau}) = \sum_{m-n \in \mathbb{Z}} c(m, n) q^m \bar{q}^n$

Strategy: Find  $\hat{h}(\tau, \bar{\tau})$  such that

$$\partial_{\bar{\tau}} \hat{h} = (\text{Im } \tau)^{-s} f(\tau, \bar{\tau})$$

$\hat{h}(\tau, \bar{\tau})$  is modular of weight  $(2, 0)$



# Relation To Mock Modular Forms – 1.2

$$\hat{h}(\tau, \bar{\tau}) = h(\tau) + R$$

We choose an explicit solution

$$\partial_{\bar{\tau}} R = (Im\tau)^{-s} f(\tau, \bar{\tau})$$

vanishing exponentially fast at  $Im\tau \rightarrow \infty$

$h(\tau)$  : mock modular form

$$h(\tau) = \sum_{m \in \mathbb{Z}} d(m) q^m \quad q = e^{2\pi i \tau}$$

$$h\left(-\frac{1}{\tau}\right) = \tau^2 h(\tau) + \tau^2 \int_{-i\infty}^0 \frac{f(\tau, \bar{v})}{(\bar{v} - \tau)^s} d\bar{v}$$

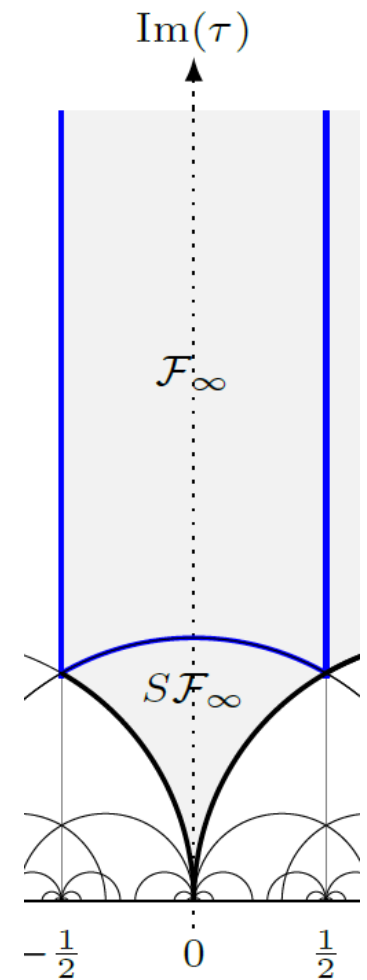
# Doing The Integral

$$I_f = \int_{\mathcal{F}_\infty} d\tau d\bar{\tau} y^{-s} f(\tau, \bar{\tau})$$

$$\partial_{\bar{\tau}} \hat{h} = y^{-s} f(\tau, \bar{\tau})$$

$$h(\tau) = \sum_{m \in \mathbb{Z}} d(m) q^m$$

$$I_f = d(0)$$



Note:  $d(0)$  undetermined by diffeq but fixed by the modular properties: Subtle!

$\exists$  Long history of the definition & evaluation of such integrals with singular modular forms – refs at the

# Examples 1.1

$$X = \mathbb{CP}^2: \quad b_2 = b_2^+ = 1$$

$$Z_u = \int_{\mathcal{F}_\infty} d\tau d\bar{\tau} \mathcal{H} \Psi$$

$$\mathcal{H} = \frac{\vartheta_4^{12}}{\eta^9} \exp[ S^2 T(\tau) ]$$

$$\Psi = e^{-2\pi y b^2} \sum_{k \in \mathbb{Z} + \frac{1}{2}} \partial_{\bar{\tau}}(\sqrt{y} (k + b)) (-1)^k \bar{q}^{k^2} e^{-2\pi i \bar{z} k}$$

$$y = \text{Im}(\tau) \quad z = \frac{S}{\omega} \quad b = \frac{\text{Im}(z)}{y}$$

# Examples 1.2

$$h(\tau, z) = \frac{r}{\vartheta_4(\tau)} \sum_{n \in \mathbb{Z}} \frac{(-1)^n q^{\frac{n^2}{2} - \frac{1}{8}}}{1 - r^2 q^{n - \frac{1}{2}}}$$

$$r = e^{i\pi z} \quad z = \frac{S}{\omega} \quad \omega = \vartheta_2(\tau)\vartheta_3(\tau)$$

$$Z_u = Z_{DW}(S) = [\mathcal{H} h(\tau, z)]_q^0$$

$$Z_{DW}(S) = -\frac{3}{2}S + S^5 + 3S^9 + 54S^{13} + 2540S^{17} + \dots$$