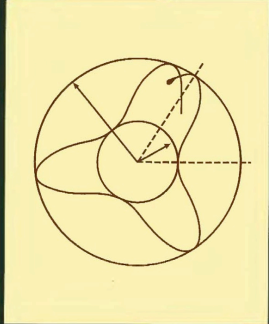


# Quantum Integrability in Systems with Finite Number of Energy Levels

Emil Yuzbashyan





# Classical Integrability

1D Hamiltonians  $H = \frac{p^2}{2m} + V(q)$

Central force, e.g.  
Kepler problem  $H = \frac{\mathbf{p}^2}{2m} + V(r)$

Euler top  $H = \frac{l_1^2}{2I_1} + \frac{l_2^2}{2I_2} + \frac{l_3^2}{2I_3}$

$$\{\mathbf{L}^2, L_z\} = \{\mathbf{L}^2, H\} = \{L_z, H\} = 0$$



Liouville  
(1809 – 1882)

$H(p, q)$ , where  $q = (q_1, \dots, q_n)$ ;  $p = (p_1, \dots, p_n)$ ; i.e.  $n$  degrees of freedom

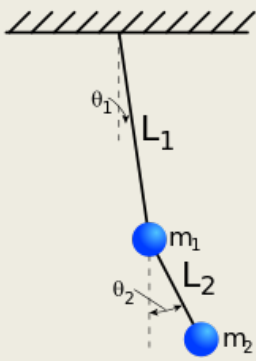
**Definition:**  $H(p, q)$  is integrable if it has  $n$  (maximum possible number) of functionally independent Poisson-commuting integrals

$$\{H_i(p, q), H_j(p, q)\} = 0, \quad i, j = 0, \dots, n - 1; \quad H_0(p, q) \equiv H(p, q)$$

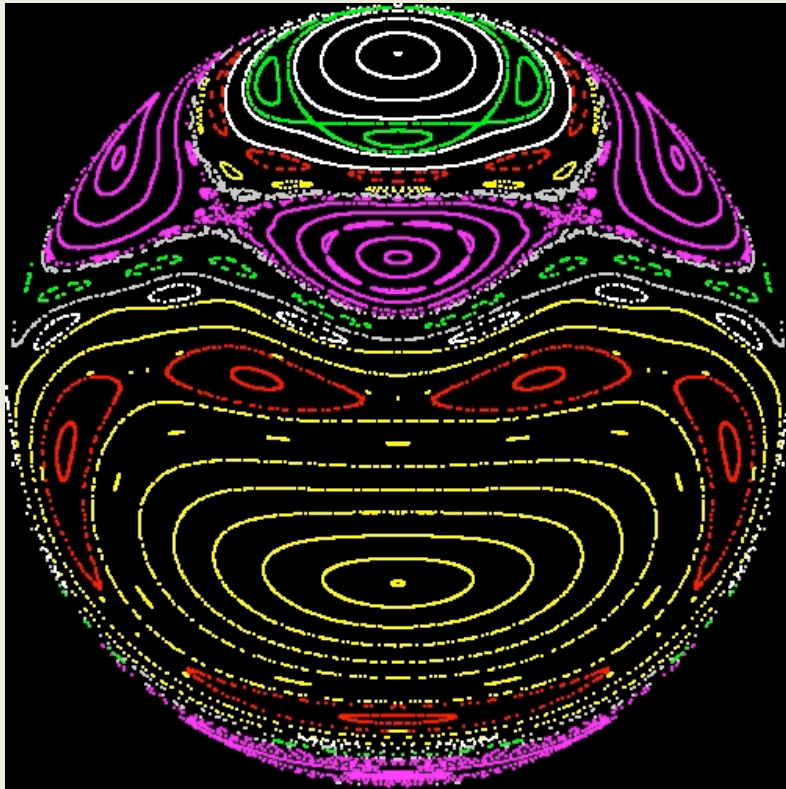


- Unambiguous separation of integrable from nonintegrable (generic)
- Exact solution, various properties that don't have to be verified on a case by case basis, **regular vs. chaotic dynamics**

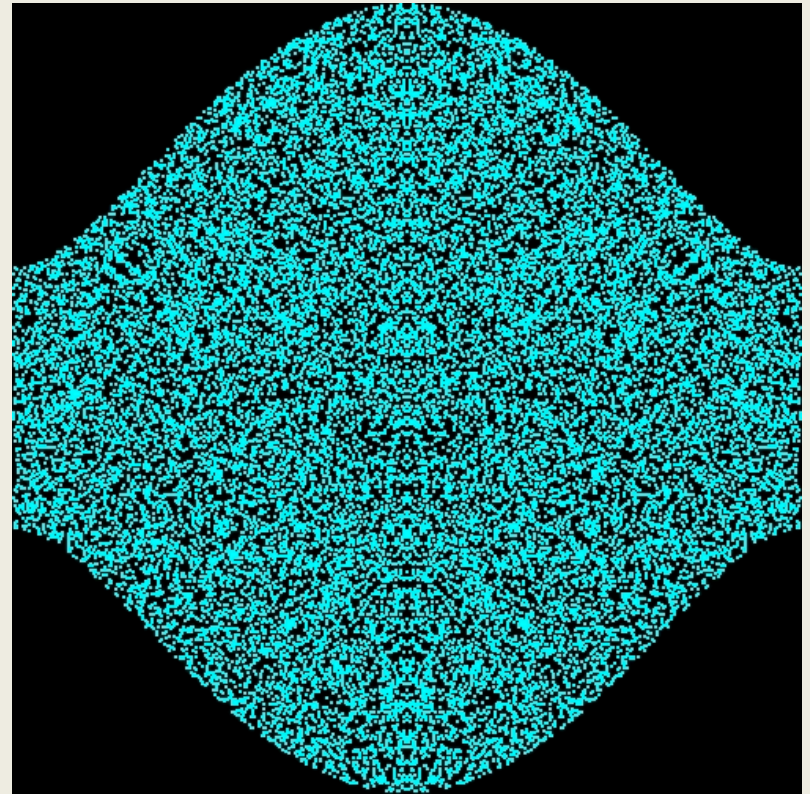
# Classical Regularity vs. Classical Chaos



Double pendulum: cross-sections of trajectories in 4D phase space



regular, quasiperiodic motion



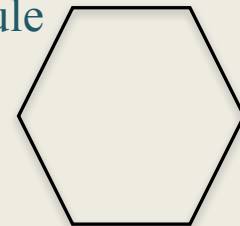
Now “chaos is the sole ruler of the world”

# Q: What is quantum integrability? How is it defined?

Various quantum many-body lattice models (e.g. 1D Hubbard, 1D Heisenberg magnets, BCS) are called “integrable”. What does it mean?

Think finite  $N \times N$  matrix Hamiltonian

Example: Hubbard model  
for benzene molecule



$$H = \begin{pmatrix} \times & 0 & 0 & 0 & 0 \\ 0 & \times & 0 & 0 & 0 \\ 0 & 0 & \times & 0 & 0 \\ 0 & 0 & 0 & \times & 0 \\ 0 & 0 & 0 & 0 & \times \end{pmatrix}$$

Given a matrix  $H$  how do we  
tell if it's integrable?

Can we randomly generate such  
integrable matrices?

**No way! Not even a good definition!** [von Neumann (1931), Weigert (1992), Sutherland, *Beautiful Models* (2004), Caux & Mossel (2011), Yuzbashyan & Shastry (2013)]

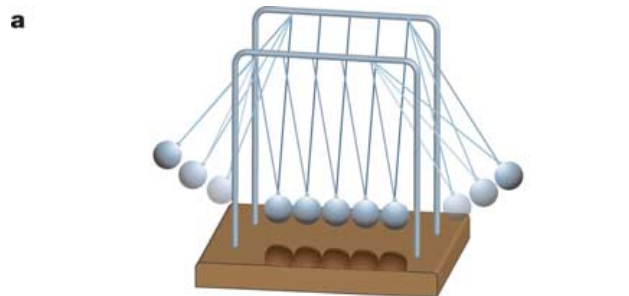
No natural notion of a **nontrivial** integral of motion: for any  $H$  there is a full set of  $H_k$  such that  $[H_i, H_k] = [H_k, H] = 0$

$$H = \sum_{n=1}^N E_n |n\rangle \langle n|, \quad H_k = |k\rangle \langle k|$$

Alternatively, can  
consider powers of  $H$

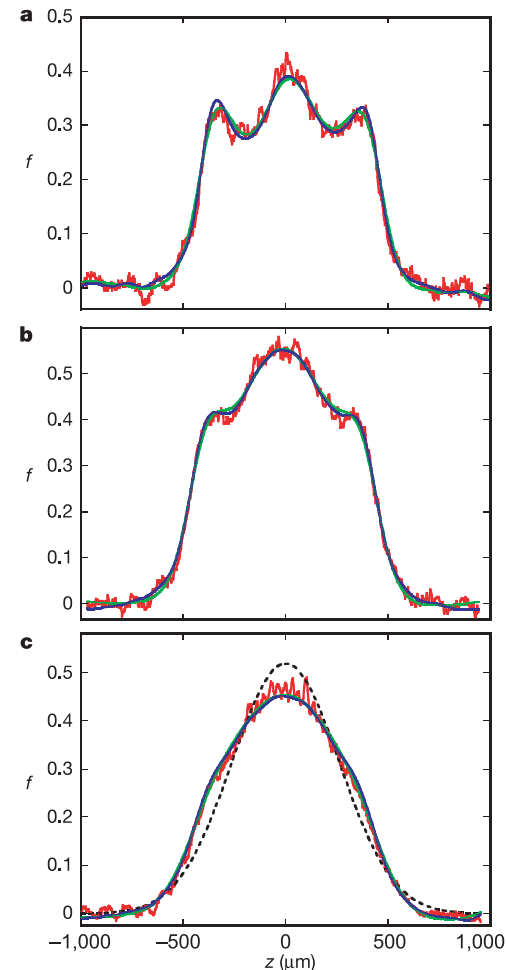
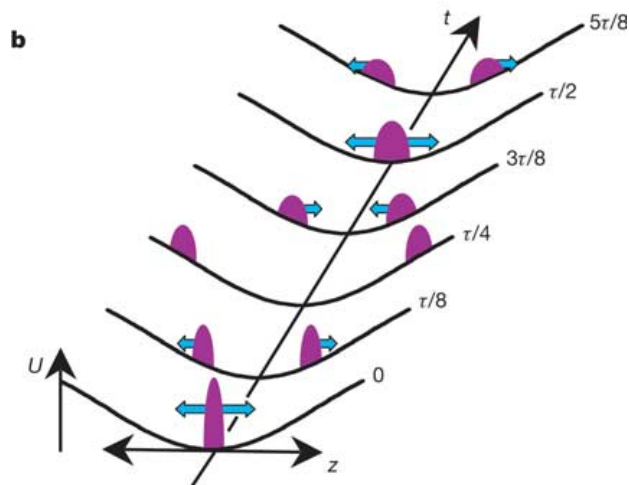
$$H_k = \sum_{n=1}^N a_n H^n$$

# Why is it important? – Integrability enters mainstream



Quantum Newton's cradle

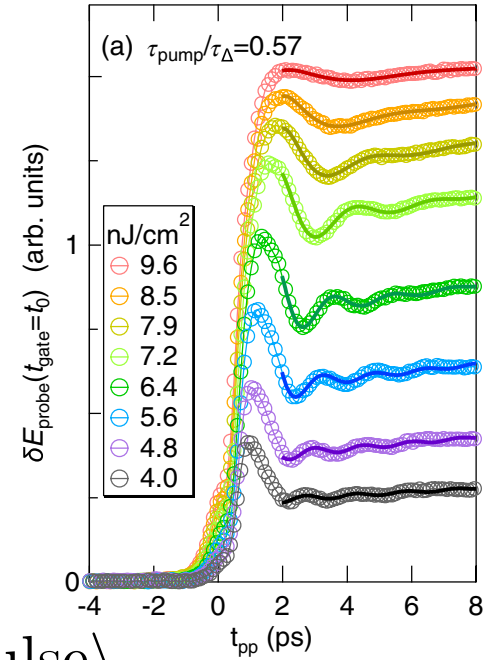
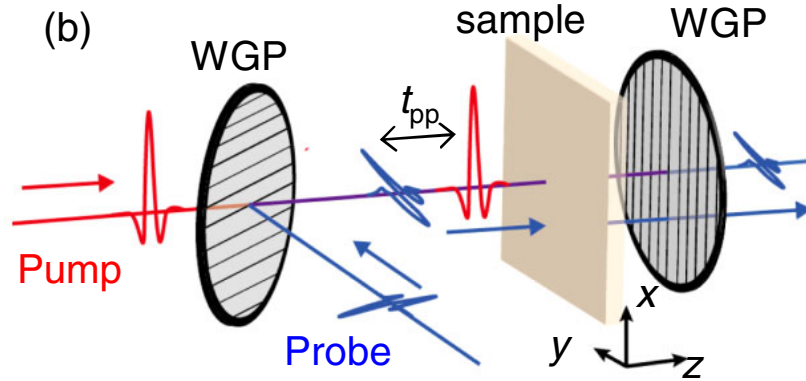
Kinoshita, Wenger, Weiss,  
Nature (2006)



“ $^{87}\text{Rb}$  atoms ... do not noticeably equilibrate even after thousands of collisions. Our results are probably explainable by the well-known fact that a homogeneous 1D Bose gas with point-like collisional interactions is *integrable*.”

## Higgs Amplitude Mode in the BCS Superconductors $\text{Nb}_{1-x}\text{Ti}_x\text{N}$ Induced by Terahertz Pulse Excitation

Ryusuke Matsunaga,<sup>1</sup> Yuki I. Hamada,<sup>1</sup> Kazumasa Makise,<sup>2</sup> Yoshinori Uzawa,<sup>3</sup>  
Hiroataka Terai,<sup>2</sup> Zhen Wang,<sup>2</sup> and Ryo Shimano<sup>1</sup>



$|\psi(0)\rangle = |\text{nonequilibrium state produced by the pulse}\rangle$

$$\hat{H}_{\text{BCS}} = \sum_{i,\sigma} \epsilon_i \hat{c}_{i\sigma}^\dagger \hat{c}_{i\sigma} - u \sum_{i,j} \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \hat{c}_{j\downarrow} \hat{c}_{j\uparrow}$$

$$i \frac{d|\psi\rangle}{dt} = \hat{H}_{\text{BCS}} |\psi\rangle$$

Higgs mode  
(order parameter)  $\Delta(t) = u \sum_i \langle \hat{c}_{i\downarrow}(t) \hat{c}_{i\uparrow}(t) \rangle$

# Previous RU Physics Colloquium, September 2008: “New superfluid states of fermionic matter in and out of (far from) equilibrium”

PRL **96**, 097005 (2006)

PHYSICAL REVIEW LETTERS

week ending  
10 MARCH 2006

## Relaxation and Persistent Oscillations of the Order Parameter in Fermionic Condensates

Emil A. Yuzbashyan,<sup>1</sup> Oleksandr Tsypliyatyev,<sup>2</sup> and Boris L. Altshuler<sup>3,4</sup>

We determine the limiting dynamics of a fermionic condensate following a sudden perturbation

Integrability of  $\hat{H}_{\text{BCS}}$



$$\frac{|\Delta(t)|}{\Delta_\infty} = 1 + a \frac{\cos(2\Delta_\infty t + \phi)}{\sqrt{\Delta_\infty t}}. \quad (1)$$

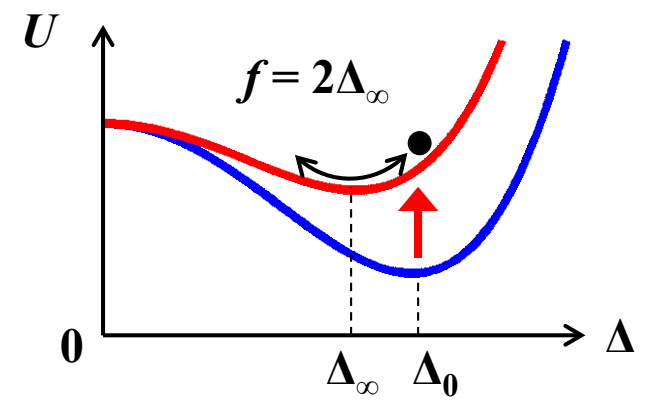
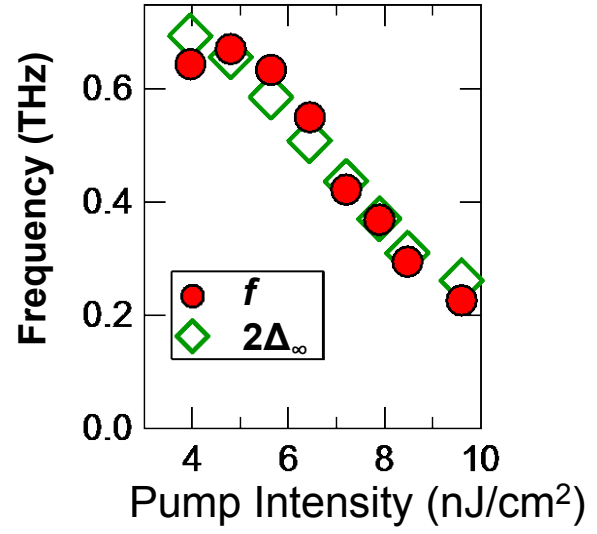
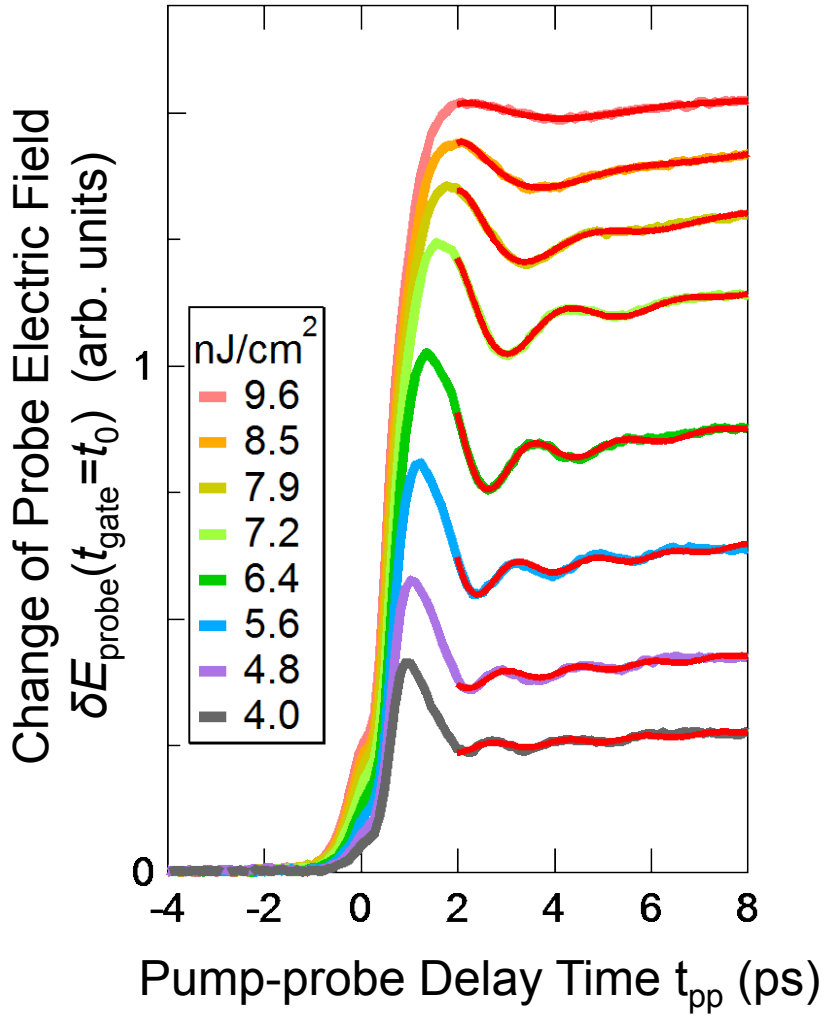
$|\Delta(t)| \rightarrow \text{const}$ , but the condensate (BCS superconductor) doesn't equilibrate

e.g. other degrees of freedom continue to oscillate and  $\Delta_\infty < \Delta_0 = \text{ground state gap}$

# Order parameter dynamics

$$\delta\Delta(t_{pp}) = C_1 + C_2 t_{pp} + \frac{a}{(t_{pp})^b} \cos(2\pi f t_{pp} + \phi)$$

E. Yuzbashyan et al.,  
PRL **96**, 230404 (2006).



Slide from R. Shimano's talk at Rice conference "Interacting quantum systems driven out of equilibrium", May, 2016

R. Matsunaga et al., PRL**111**, 057002 (2013)



Integrable systems do not equilibrate.  
Do they follow **Generalized Gibbs Ensemble (GGE)**?

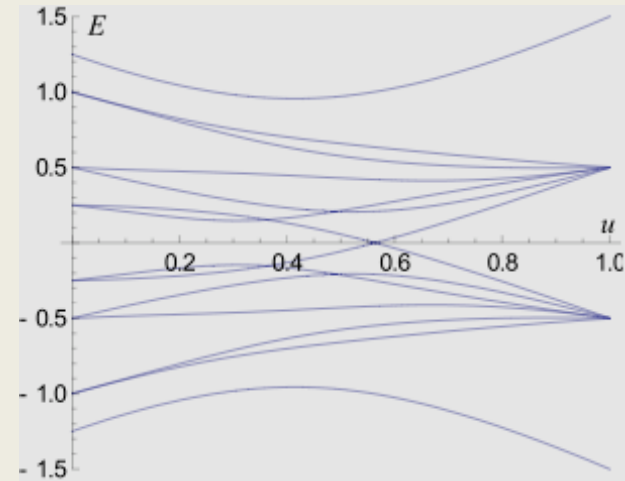
$$\hat{\rho} = \frac{1}{Z} e^{-\sum_k \beta_k \hat{H}_k}, \quad [H_i, H_k] = [H, H_k] = 0$$

- GGE fails for 1D Heisenberg spin chains  
Goldstein & Andrei, Phys. Rev. A (2014); Pozsgay et. al. PRL (2014)
- Does work for 1D Heisenberg spin chains if newly discovered integrals are added  
Ilievski et. al. PRL (2015)

Need to know what quantum integrability is, i.e. **what is a complete set of allowed  $H_k$** ! Otherwise, GGE is **essentially unfalsifiable**

# Signatures (?) of quantum integrability

- Integrals of motion
- No equilibration: Generalized Gibbs Ensemble
- Exact solution for the energy spectrum via Bethe's Ansatz
- Crossings of energy levels as functions of interaction or external field strength (seen as resonances in e.g. relaxation rates)
- Energy levels  $\{E_n\}$  have Poisson statistics, i.e. behave as independent random numbers (observed directly in small systems)



In the absence of a clear notion, have to verify each property independently on a case by case basis.

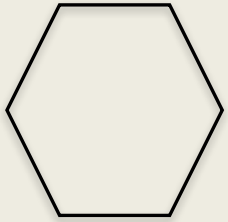
Cannot predict them as in classical integrability

# Properties of quantum integrable systems: Exact Solution

## Example: 1D Hubbard model for benzene molecule

$$\hat{H}(u) = \sum_{j,s=\uparrow\downarrow} (\hat{c}_{js}^\dagger \hat{c}_{j+1s} + \hat{c}_{j+1s}^\dagger \hat{c}_{js}) + u \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow}$$

hopping + onsite interaction



Electrons on a hexagon,

6 sites, 3 spin-up, 3 spin-down     $400 \times 400$  matrix linear in  $u$

Exact Solution via Bethe's Ansatz: Lieb and Wu (1969)

$$e^{6ik_j} = \prod_{\alpha=1}^3 \frac{\Lambda_\alpha - \sin k_j - iu/4}{\Lambda_\alpha - \sin k_j + iu/4}, \quad \prod_{\alpha=1}^3 \frac{\Lambda_\alpha - \Lambda_\beta + iu/2}{\Lambda_\alpha - \Lambda_\beta + iu/2} = - \prod_{j=1}^6 \frac{\Lambda_\beta - \sin k_j - iu/4}{\Lambda_\beta - \sin k_j + iu/4}$$

9 coupled nonlinear equations

$$E = - \sum_{j=1}^6 2 \cos k_j$$

But cf.  $\det(H - EI) = 0$

# Properties of quantum integrable systems: Integrals of motion

## Example: 1D Hubbard model

$$\hat{H}(u) = \sum_{j,s=\uparrow\downarrow} (\hat{c}_{j s}^\dagger \hat{c}_{j+1 s} + \hat{c}_{j+1 s}^\dagger \hat{c}_{j s}) + u \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow}$$

$$\hat{H}_1(u) = -i \sum_{j,s=\uparrow\downarrow} (\hat{c}_{j+2s}^\dagger \hat{c}_{j s} - \hat{c}_{j s}^\dagger \hat{c}_{j+2s}) - iu \sum_{j,s=\uparrow\downarrow} (\hat{c}_{j+1s}^\dagger \hat{c}_{j s} - \hat{c}_{j s}^\dagger \hat{c}_{j+1s}) (\hat{n}_{j+1,-s} + \hat{n}_{j,-s} - 1)$$

Shastry, PRL (1986)

$$[\hat{H}(u), \hat{H}_1(u)] = 0, \quad \text{for all } u$$

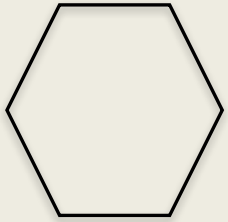
$\hat{H}_2(u), \hat{H}_3(u), \hat{H}_4(u), \dots$  – infinitely many integrals from Shastry's transfer matrix

The Hamiltonian and the first integral are linear in a real parameter  $u$

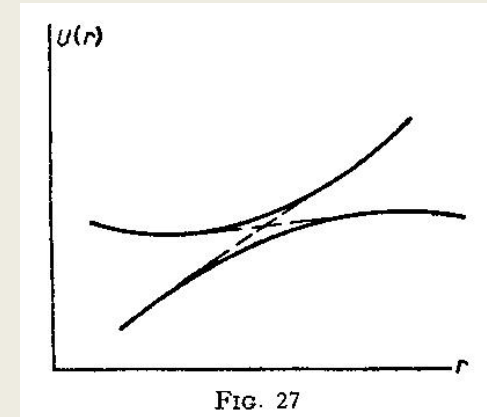
# Properties of quantum integrable systems: Level crossings

## Example: 1D Hubbard model for benzene molecule

$$\hat{H}(u) = \sum_{j,s=\uparrow\downarrow} (\hat{c}_{js}^\dagger \hat{c}_{j+1s} + \hat{c}_{j+1s}^\dagger \hat{c}_{js}) + u \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow}$$



Electrons on a hexagon,  
6 sites, 3 spin-up, 3 spin-down  
400 × 400 matrix linear in  $u$



**Q:** How do eigenvalues look as functions of  $u$ ?



Hund (1927)

### Noncrossing rule:

“Thus we reach the result that...the intersection of terms of like symmetry is impossible (E. Wigner and J. von Neumann 1929)”

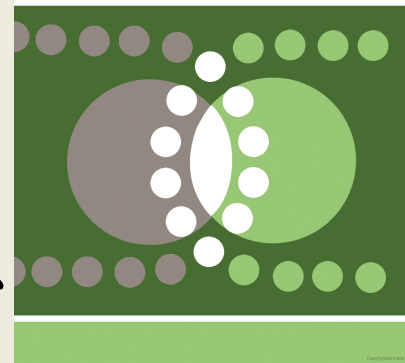
In other words, for a typical  $H(u)$  energy levels with the same quantum numbers (spin, momentum etc.) never cross.

### Quantum Mechanics

(Non-relativistic Theory)

Course of Theoretical Physics  
Volume 3 Third Edition

L. D. Landau and E. M. Lifshitz  
Institute of Physical Problems,  
USSR Academy of Sciences

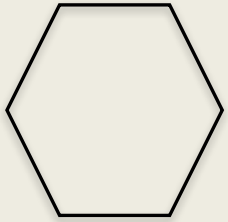


Physics 502

# Properties of quantum integrable systems: Level crossings

Example: 1D Hubbard model for benzene molecule

$$\hat{H}(u) = \sum_{j,s=\uparrow\downarrow} (\hat{c}_{js}^\dagger \hat{c}_{j+1s} + \hat{c}_{j+1s}^\dagger \hat{c}_{js}) + u \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow}$$

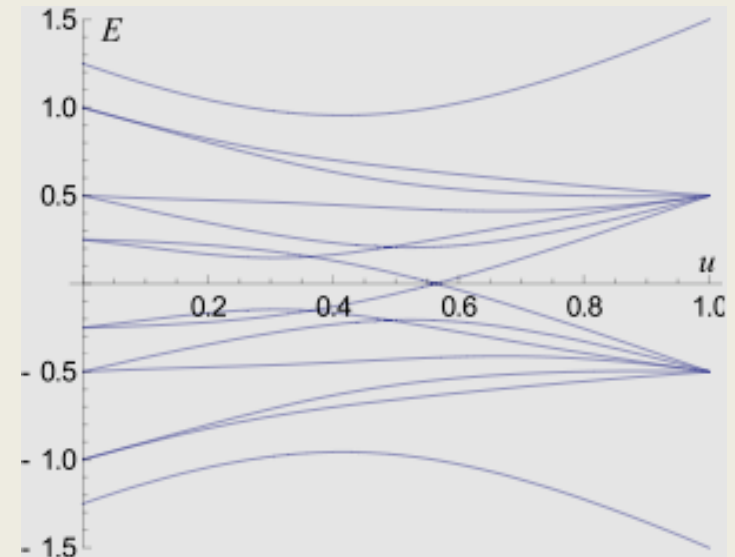


Electrons on a hexagon,  
6 sites, 3 spin-up, 3 spin-down  
 $400 \times 400$  matrix linear in  $u$

**Q:** How do eigenvalues look as functions of  $u$ ?

“The noncrossing rule is **apparently violated** in the case of the 1d Hubbard Hamiltonian for benzene molecule...”

Heilmann and Lieb (1971)



(All) energy levels (14) for a certain complete set of quantum numbers

# Properties of quantum integrable systems: Level crossings

## Counterexample: BCS model

$$\hat{H}_{\text{BCS}} = \sum_i 2\varepsilon_i \hat{s}_i^z - u \sum_{i,j} \hat{s}_i^- \hat{s}_j^+ = \sum_i 2\varepsilon_i \hat{H}_i$$

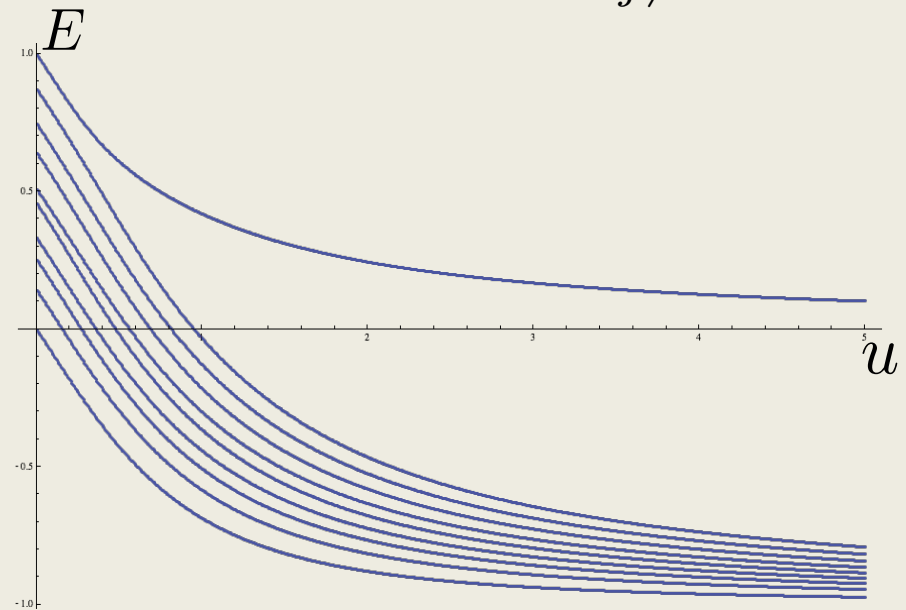
single-particle + superconducting interactions

Integrals of motion for BCS  
(Gaudin magnets)

$$[\hat{H}_i(u), \hat{H}_j(u)] = [\hat{H}_{\text{BCS}}(u), \hat{H}_i(u)] = 0$$

$$\hat{H}_i(u) = \hat{s}_i^z - u \sum_{j \neq i} \frac{\hat{\mathbf{s}}_i \cdot \hat{\mathbf{s}}_j}{\varepsilon_i - \varepsilon_j}$$

**Q:** Are crossings really a **signature** of integrability? Why don't they always happen? Can we **predict** them? their number?



(All) energy levels (10) for a certain complete set of quantum numbers for the BCS model

# Statistics of energy levels $\{E_n\}$ – what to expect?

$\hat{H}$  – complex system, e.g. heavy nucleus, disordered metal, quantum dot, generic many-body interacting system etc.

**Q:** What can we say about its energy levels  $\{E_n\}$ ?

Wigner (1950s): model by a **random matrix**  $H$  consistent with basic space-time symmetries, i.e. choose the Hamiltonian “**at random**”



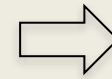
Wigner



Dyson

“We picture a complex nucleus as a black box...we shall consider an **ensemble of Hamiltonians**, each of which can describe a different nucleus.” (Dyson 1962)

Statistical independence of  $H_{ij}$  plus invariance of  $P(H)$  with respect to arbitrary change of basis  $P(O^T H O) = P(H)$



$$P(H) = C \exp(-a \operatorname{tr} H^2)$$

**Gaussian ensemble of random matrices**

Time reversal inv. (no  $B$ -field) –  $H_{ij}$  are real: **Gaussian Orthogonal Ensemble (GOE)**



# Statistics of energy levels $\{E_n\}$ – Random Matrix Theory (RMT)

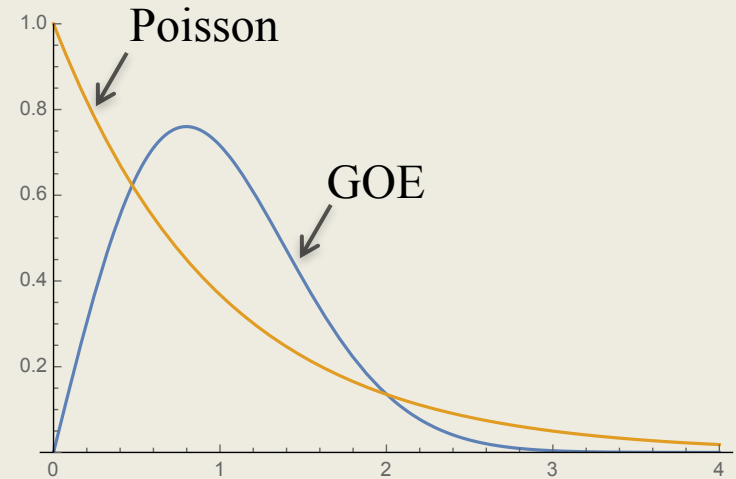
Nearest-neighbor  
level spacing:

$$s_n = \frac{E_{n+1} - E_n}{\delta},$$

$$\delta = \langle E_{n+1} - E_n \rangle$$

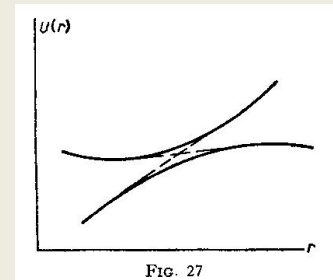
Time reversal inv. (GOE):  
Wigner surmise,  
Wigner-Dyson statistics

$$P(s) = \frac{\pi}{2} s e^{-\frac{\pi}{4} s^2}$$



GOE & Poisson: **two universal distributions**

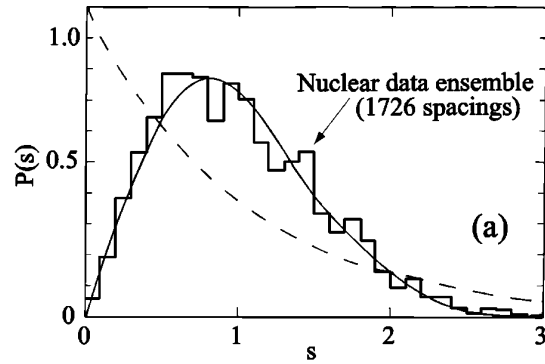
$\{H_{ij}\}$  random uncorrelated  $\implies \{E_n\}$  correlated, level repulsion:  $P(0) = 0$



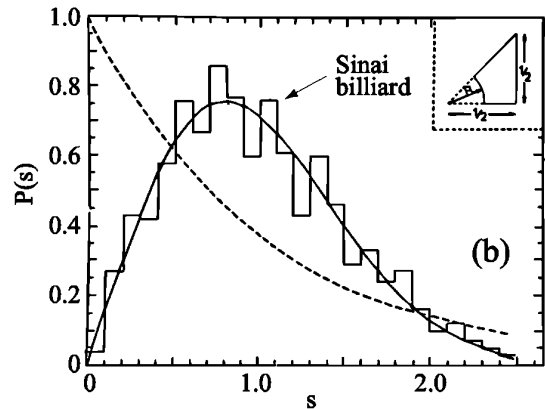
$\{E_n\}$  random uncorrelated  $\implies$  Poisson statistics,  $P(s) = e^{-s}$ , no repulsion

# Universality of Random Matrix Theory (RMT)

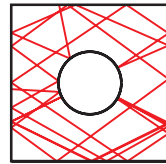
Smooth solid line in all graphs: **GOE**



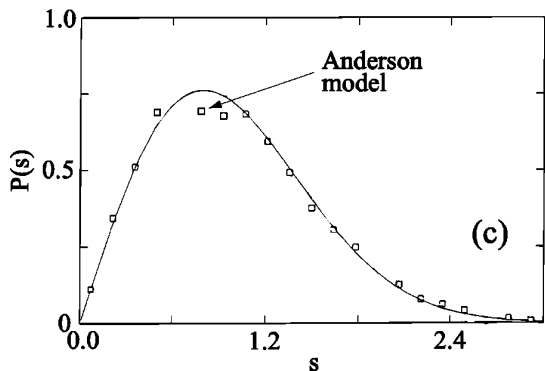
Neutron & proton resonances measured in several heavy nuclei



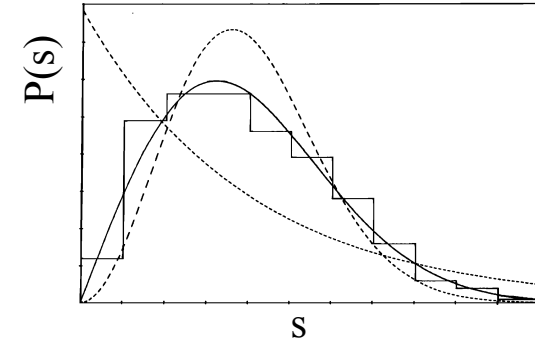
2D chaotic motion



Exp. realization: microwave cavity, Sridhar, PRL (1991)

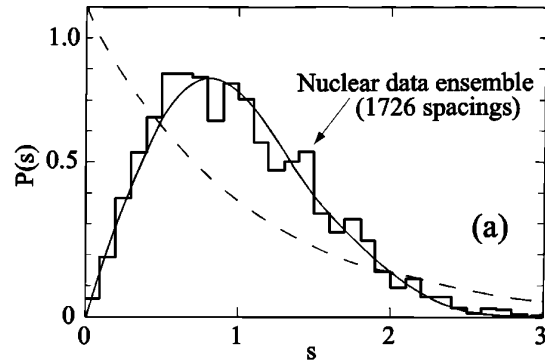


Disordered metal (3D Anderson model at  $w/t = 2$ )

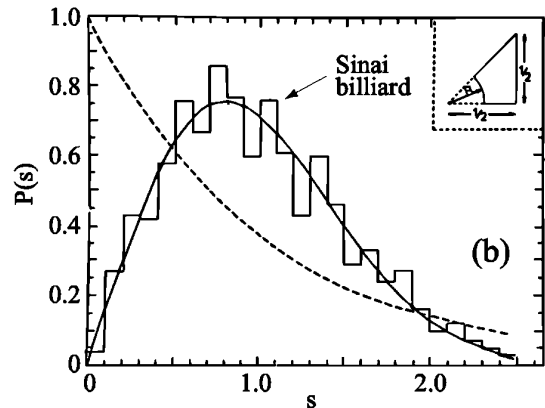


Acoustic resonances in Al blocks

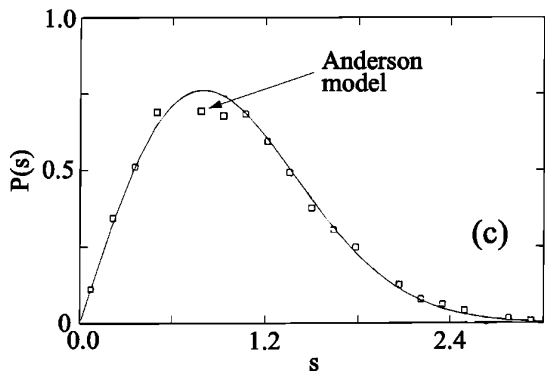
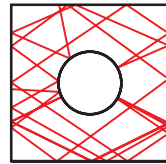
# Universality of Random Matrix Theory (RMT)



Neutron & proton resonances measured in several heavy nuclei



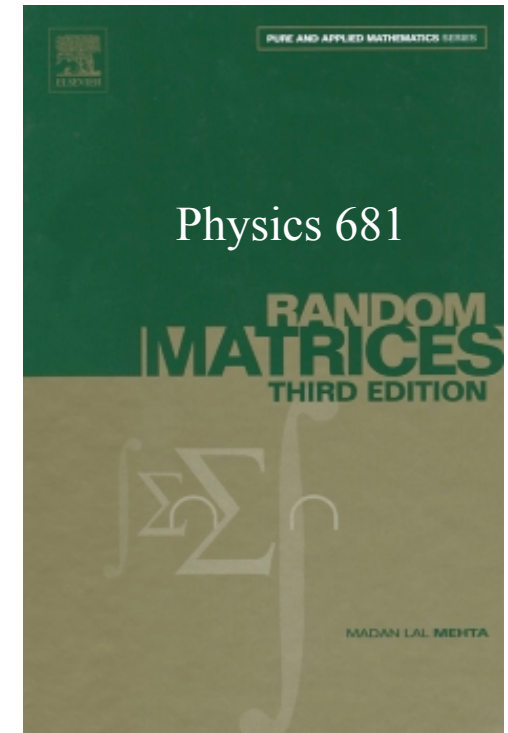
2D chaotic motion



Disordered metal (3D Anderson model at  $w/t = 2$ )

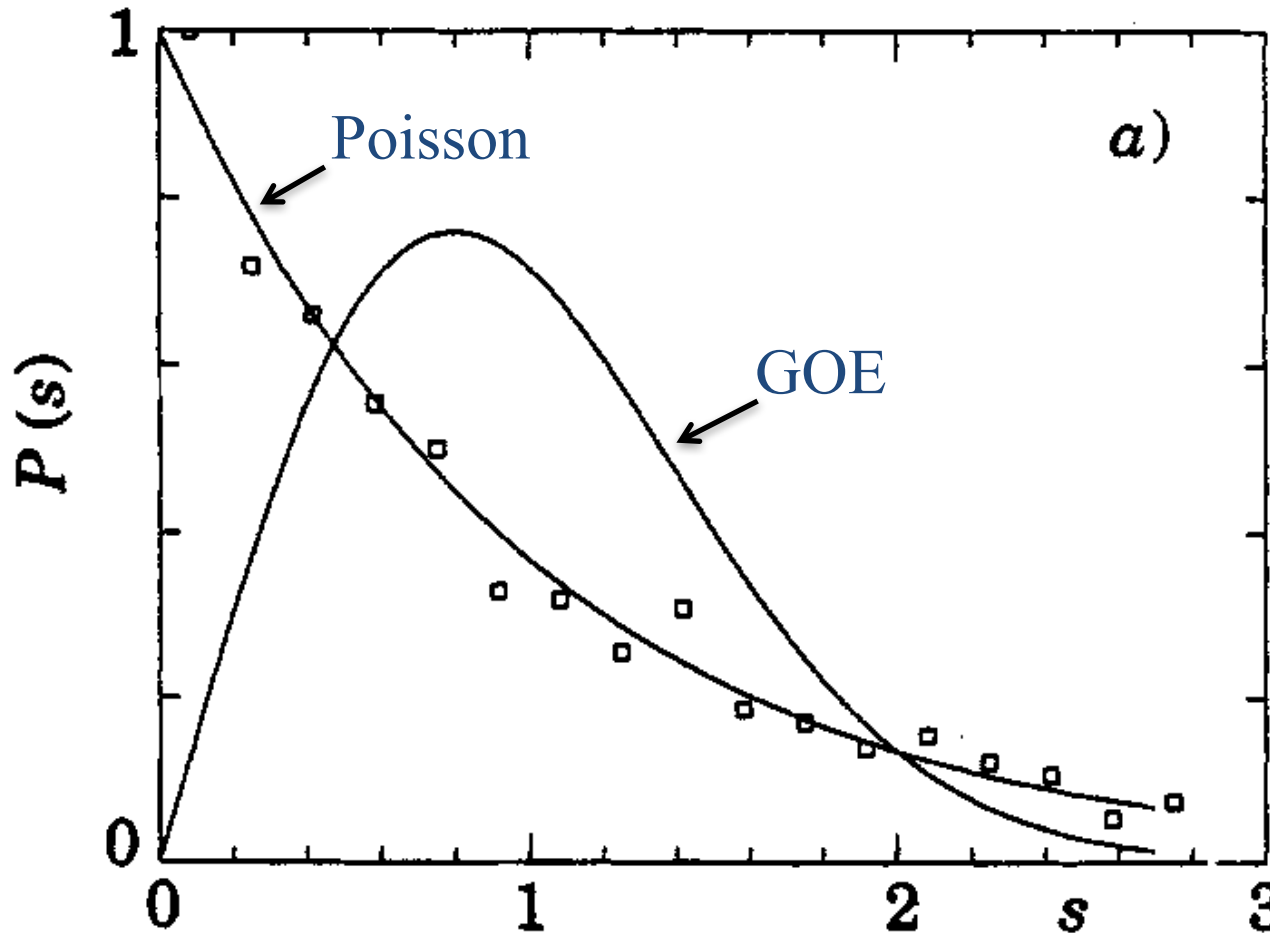
RMT: quantum chaos, long-time dynamics of interacting many-body Hamiltonians, quantum chromodynamics, fractional quantum Hall, superconductivity, number theory, neuroscience, finance etc.

Do Swedish pines diagonalize random matrices? **Le Caer (1989)**.



# Properties of quantum integrable systems: Poisson statistics

Example: 1D Hubbard model



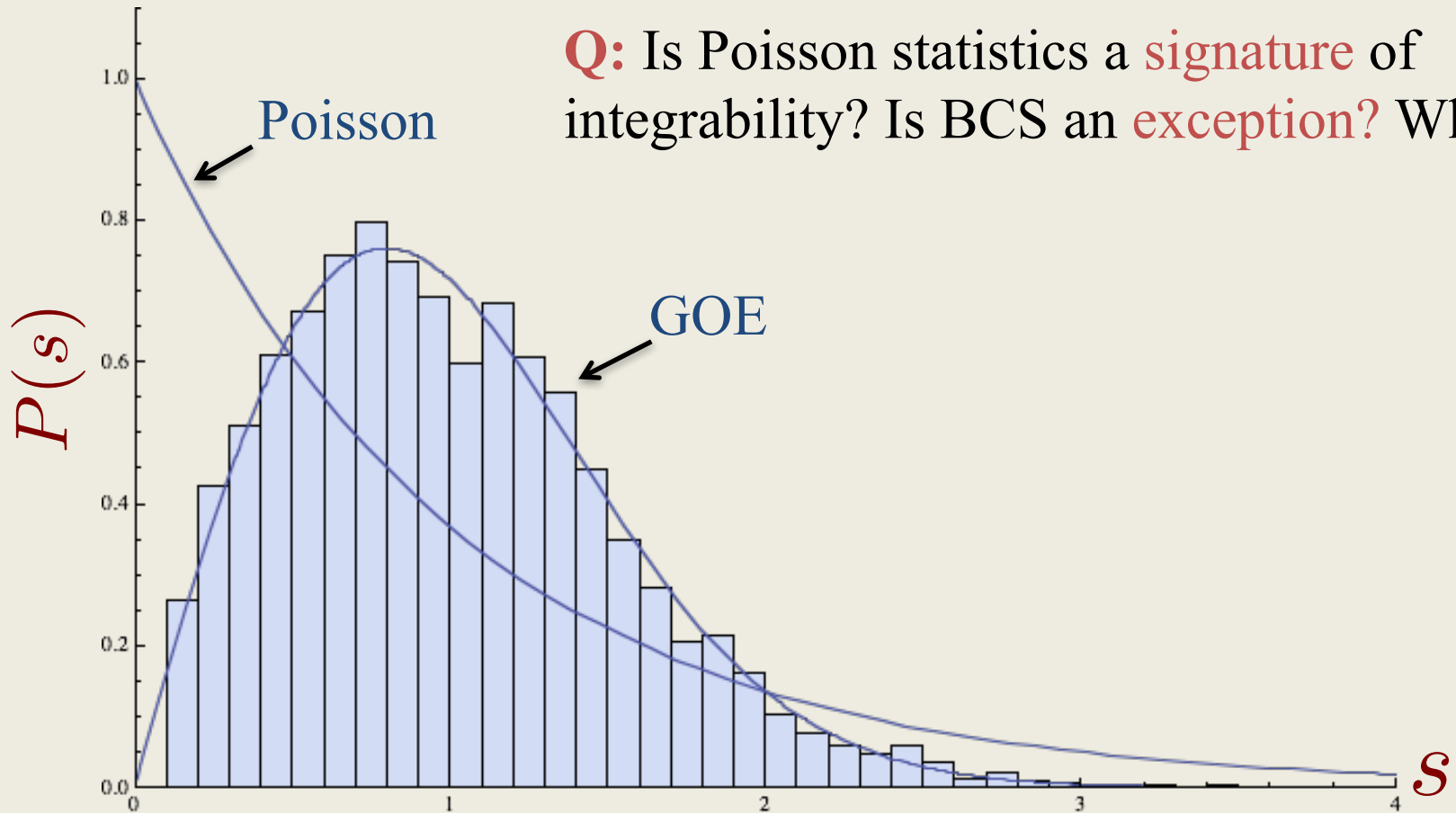
Poilblank et.al.  
Europhys. Lett. (1993)

Similarly to RMT  
observed in  
integrable systems

Level spacing distribution for a Hubbard chain with 12 sites at  $1/4$  filling, total momentum  $P = \pi/6$ , spin  $S = 0$

# Properties of quantum integrable systems: Poisson statistics

## Counterexample: BCS Hamiltonian



**Q:** Is Poisson statistics a **signature** of integrability? Is BCS an **exception**? Why?

Level spacing distribution for the BCS Hamiltonian in a  $5000 \times 5000$  same symmetry sector

See also Relano, Dukelsky et. al. PRE (2004)

# Notion of Quantum Integrability: What are we looking for?

**Definition:** Quantum (matrix) Hamiltonian  $H$  is integrable if...



Classical integrability has it!

## Consequences:

1. Exact Solution
2. Energy level crossings: why sometimes there are none? How many crossings to expect?
3. Poisson level statistics and exceptions – need ensembles of integrable models for this.
4. Generalized Gibbs Ensemble for dynamics?

Can we develop a similarly sound notion of integrability in Quantum Mechanics – for  $N \times N$  Hermitian matrices (Hamiltonians)?

$$\hat{H}(u) = \sum_{j,s=\uparrow\downarrow} (\hat{c}_{js}^\dagger \hat{c}_{j+1s} + \hat{c}_{j+1s}^\dagger \hat{c}_{js}) + u \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow}$$

$$\hat{H}_1(u) = -i \sum_{j,s=\uparrow\downarrow} (\hat{c}_{j+2s}^\dagger \hat{c}_{js} - \hat{c}_{js}^\dagger \hat{c}_{j+2s}) - iu \sum_{j,s=\uparrow\downarrow} (\hat{c}_{j+1s}^\dagger \hat{c}_{js} - \hat{c}_{js}^\dagger \hat{c}_{j+1s}) (\hat{n}_{j+1,-s} + \hat{n}_{j,-s} - 1)$$

The Hamiltonian and at least one other integral of motion are linear in a real parameter  $u$ . This integral is sufficient for explaining the level crossings. Same is the case of other parameter-dependent integrable lattice models (BCS, 1D Heisenberg).

For any given number of sites:

$$H(u) = T + uV, \quad H_1(u) = T_1 + uV_1, \quad u - \text{real parameter}$$

$T, V, T_1, V_1 - N \times N$  Hermitian matrices

## Proposed solution: introduce & fix parameter dependence

Let  $H(u) = T + uV$ ,  $u$  – real parameter,  $T, V$  –  $N \times N$  Hermitian matrices

Suppose we require a commuting partner also linear in  $u$ :

$$H_1(u) = T_1 + uV_1$$

$$[H(u), H_1(u)] = 0 \text{ for all } u$$

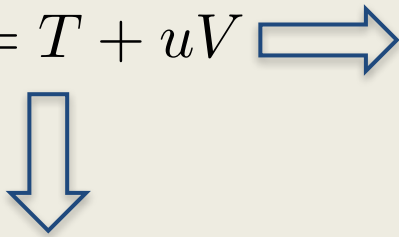


$$[V, V_1] = 0, \quad [T, V_1] = [T_1, V], \quad [T, T_1] = 0$$

These commutation relations severely constraint matrix elements of  $T$ . For a generic/typical  $H(u)$  – no commuting partners except itself and identity. Now can separate generic (no integrals) from special (integrable).



$N \times N$  Hamiltonians linear in a parameter separate into two distinct classes = **good notion of integrability**

$$H(u) = T + uV$$


No commuting partners linear in  $u$  other than itself and identity (typical) – nonintegrable, need  $N^2/2$  real parameters to specify  $H(u)$

Nontrivial commuting partners  $H_k(u) = T_k + uV_k$  exist – integrable, turns out need less than  $4N$  parameters – measure zero in the space of linear Hamiltonians



Owusu & Yuzbashyan, J. Phys. A (2011)  
Yuzbashyan & Shastry, J. Stat. Phys. (2013)

Classification by the number  $n$  of integrals of motion

$n = N - 1$  (maximum possible) – **type 1** integrable system

$n = N - 2$  – **type 2**

$n = N - 3$  – **type 3**

...

$n = N - M$  – **type  $M$**

...

**Definition:** A matrix Hamiltonian  $H \equiv H_0(u) = T_0 + uV_0$  is **integrable** if it has  $n > 1$  linearly independent commuting partners  $H_i(u) = T_i + uV_i$  discounting multiples of the identity.

$$[H_i(u), H_j(u)] = 0 \text{ for all } u \text{ and } i, j = 0, 1, \dots, n - 1$$

General member of the commuting family:  $H(u) = \sum_{i=0}^{n-1} d_i H_i(u)$

What can we achieve with this notion of quantum integrability? –  
almost everything we wanted and more!!

- Explicitly Construct integrable models with any prescribed number  $n$  of integrals!

$$[H_i(u), H_j(u)] = 0, \quad H_i(u) = T_i + uV_i, \quad H_j(u) = T_j + uV_j$$



$$[V_i, V_j] = 0, \quad [T_i, V_j] = [T_j, V_i], \quad [T_i, T_j] = 0$$

Simplest case:  $n = N - 1$  (type 1 – max # of integrals – analog of classical integrability)

Simplest case:  $n = N - 1$  (type 1 – max # of integrals – analog of classical integrability)

Every type 1 family is uniquely specified by a choice of a Hermitian matrix and a vector and vice versa

Hermitian matrix  $E$       Arbitrary vector  $|\gamma\rangle$



$N$  commuting  $N \times N$  Hermitian matrices  $H_i(u)$

General member of the commuting family:  $H(u) = \sum_i d_i H_i(u) = T + uV$

To pick  $H(u)$ , pick  $N$  arbitrary  $d_i$  or, equivalently, pick a matrix  $T$  (or  $V$ )

$$[H(u)]_{km} = u \gamma_k \gamma_m \left( \frac{d_k - d_m}{\varepsilon_k - \varepsilon_m} \right), \quad [H(u)]_{mm} = d_m - u \sum_{j \neq m} \gamma_j^2 \left( \frac{d_j - d_m}{\varepsilon_j - \varepsilon_m} \right)$$

$\varepsilon_k$  – eigenvalues of  $E$ ,  $\gamma_k$  – components of  $|\gamma\rangle$

( $2N$  arbitrary real parameters to pick a commuting family)

$d_k$  – eigenvalues of  $T$  – another  $N$  arbitrary real numbers to pick a specific Hamiltonian within the family

Constructed all  $n = N-1, N-2, N-3$  (types 1, 2, 3) and some for arbitrary other  $n$

# What can we achieve with this notion of quantum integrability? – almost everything we wanted and more!!

- Exact solution through a **single** algebraic equation for all types (cf. Bethe's Ansatz)

$$\text{(type 1)} \quad \sum_{j=1}^N \frac{\gamma_j^2}{\lambda - \epsilon_j} = u, \quad E_k = \frac{\gamma_k^2}{\lambda - \epsilon_k}, \quad |\lambda\rangle = \sum_j \frac{\gamma_j |j\rangle}{\lambda - \epsilon_j}$$

$\gamma_j, \epsilon_j$  - given; solve for  $\lambda$

- Number of level crossings as a function of type, i.e. the number ( $n$ ) of integrals of motion

$$\# \text{ of crossings} = (N^2 - 5N + 2)/2 + n - 2k, \quad k = 1, 2, \dots$$

Typically  $\approx N^2/2$  crossings.

Any type 1 Hamiltonian has at least one crossing.

But for higher types it is also possible to have no crossings.

# Integrable Matrix Theory (IMT) – ensemble theory of quantum integrability

Two matrices  $T, E$  & vector  $|\gamma\rangle \iff$  type 1  $H(u) = T + uV$

Other types arise similarly from two commuting matrices and a vector

To generate an integrable matrix with any prescribed number of integrals – generate  $T, E$  and  $|\gamma\rangle$

# Integrable Matrix Theory (IMT) – ensemble theory of quantum integrability

Two matrices  $T, E$  & vector  $|\gamma\rangle \iff$  type 1  $H(u) = T + uV$

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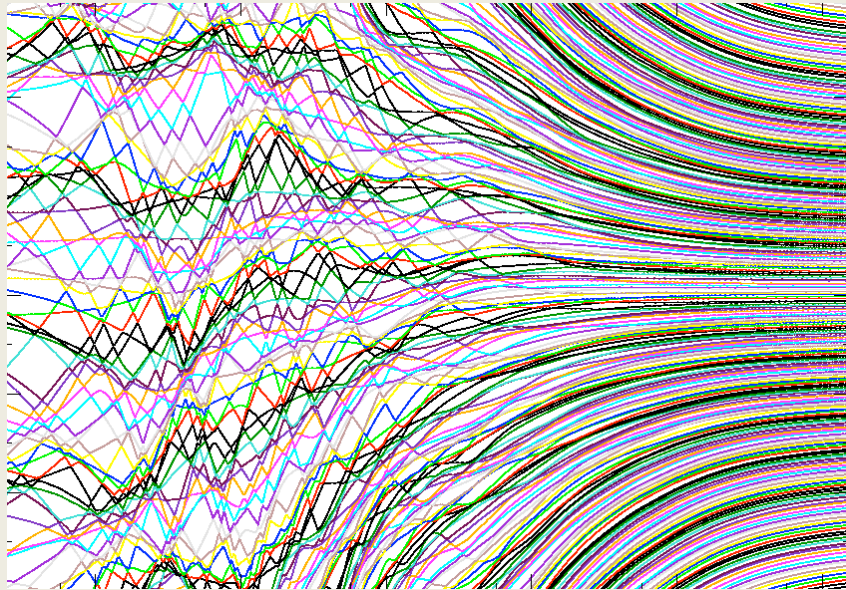
To generate an **ensemble** of integrable matrices with any prescribed number of integrals – generate an **ensemble** of  $T, E$  and  $|\gamma\rangle$

Probability density function  $P(T, E, \gamma)$  from rotational invariance as in Random Matrix Theory

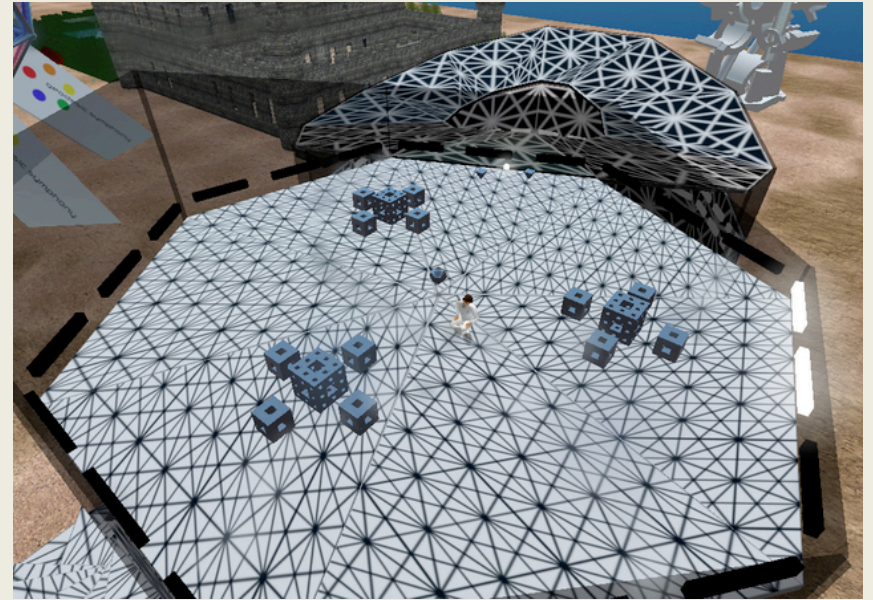
$T, E$  – random matrices, e.g. from GOE,  $|\gamma\rangle$  – random vector

Now can study **ensembles of integrable matrices** and obtain integrable counterparts of the RMT results as opposed to only a **spectral statistics** of isolated integrable models!!

# Integrable Matrix Theory (IMT) – ensemble theory of quantum integrability



From Ben Simon's group website



Regularity by Jackal Ennui: "A little ode... to regularity and chaos"

**RMT** – theory of quantum chaos

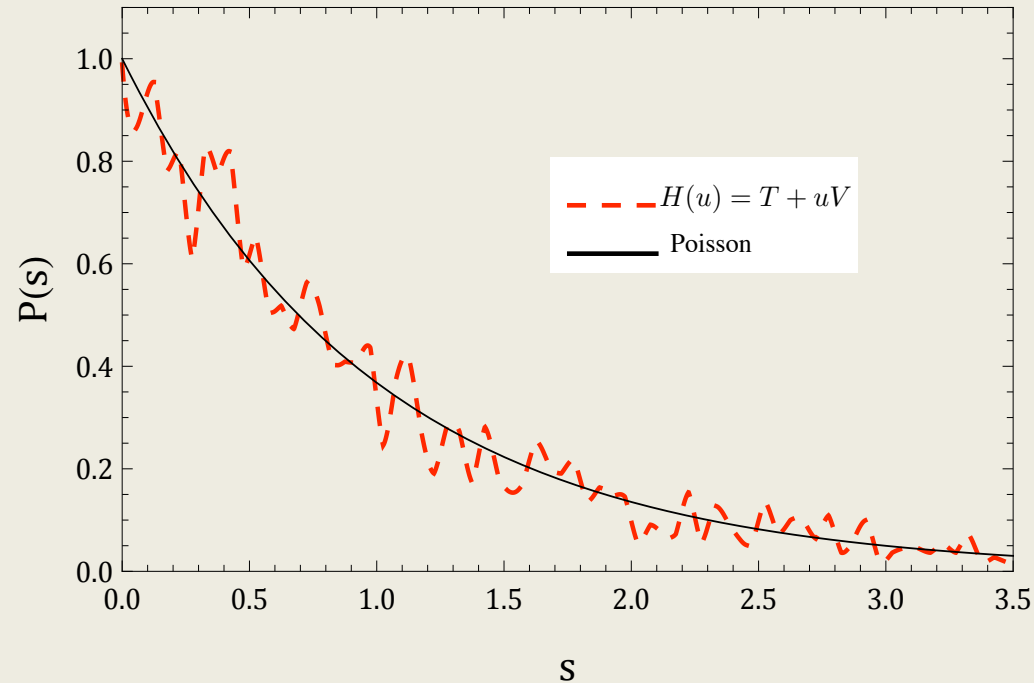
**IMT** – theory of quantum regularity



# Integrable Matrix Theory, Level Statistics

- I. Statistics are typically Poisson as long as the number of integrals (= size-type) isn't too small

Scaramazza, Shastry,  
Yuzbashyan, PRE (2016)



Nearest neighbor level spacing distribution for a  $4000 \times 4000$  time reversal invariant integrable Hamiltonian  $H(u) = T + uV$  at  $u = 1$

General member of the commuting family: 
$$H(u) = \sum_{i=0}^{n-1} d_i H_i(u)$$

Poisson because of superposition of many independent spectra

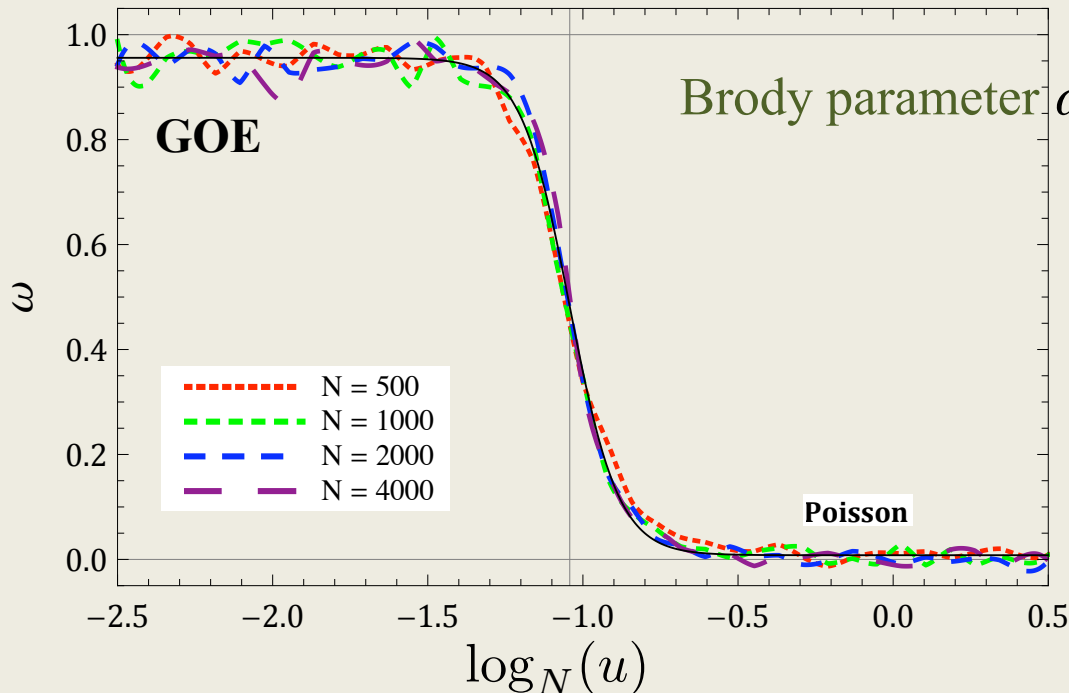
# Integrable Matrix Theory, Level Statistics

- I. Statistics are typically Poisson as long as the number of integrals (= size-type) isn't too small
  - II. There are two exceptions to Poisson statistics
    - A. There is a single, isolated value of the coupling  $u = u_0$  where the level statistics of  $H(u) = T + uV$  are Wigner-Dyson (here  $u_0 = 0$ ).
- $T, E$  – random matrices, e.g. from GOE,  $|\gamma\rangle$  – random vector

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But it reverts to Poisson already at  $(u - u_0) \propto 1/N$



Brody distribution:

$$P(s, \omega) = a s^\omega e^{-b s^{\omega+1}}$$

$$P(s, 1) = \frac{\pi}{2} s e^{-\frac{\pi}{4} s^2} - \text{Wigner}$$

$$P(s, 0) = e^{-s} - \text{Poisson}$$

$N \times N$  type 1, number of integrals =  $N - 1$

# Exceptions to Poisson Statistics in IMT

A. There is a single, isolated value of the coupling  $u = u_0$  where the level statistics of  $H(u) = T + uV$  are Wigner-Dyson.

$T, E$  – random matrices, e.g. from GOE,  $|\gamma\rangle$  – random vector

A. Statistics are non-Poisson when normally uncorrelated parameters become correlated (**atypical** integrable model, special member of the family)

$T = f(E)$ ,  $d_i = f(\varepsilon_i)$  – non-Poisson with strong level repulsion, e.g. BCS model has  $d_i = \varepsilon_i$  (all-to-all energy-independent interactions)

General member of the commuting family:  $H(u) = \sum_i d_i H_i(u) = T + uV$

Most general type 1 integrable model:

$$[H(u)]_{km} = u\gamma_k\gamma_m \left( \frac{d_k - d_m}{\varepsilon_k - \varepsilon_m} \right), \quad [H(u)]_{mm} = d_m - u \sum_{j \neq m} \gamma_j^2 \left( \frac{d_j - d_m}{\varepsilon_j - \varepsilon_m} \right)$$

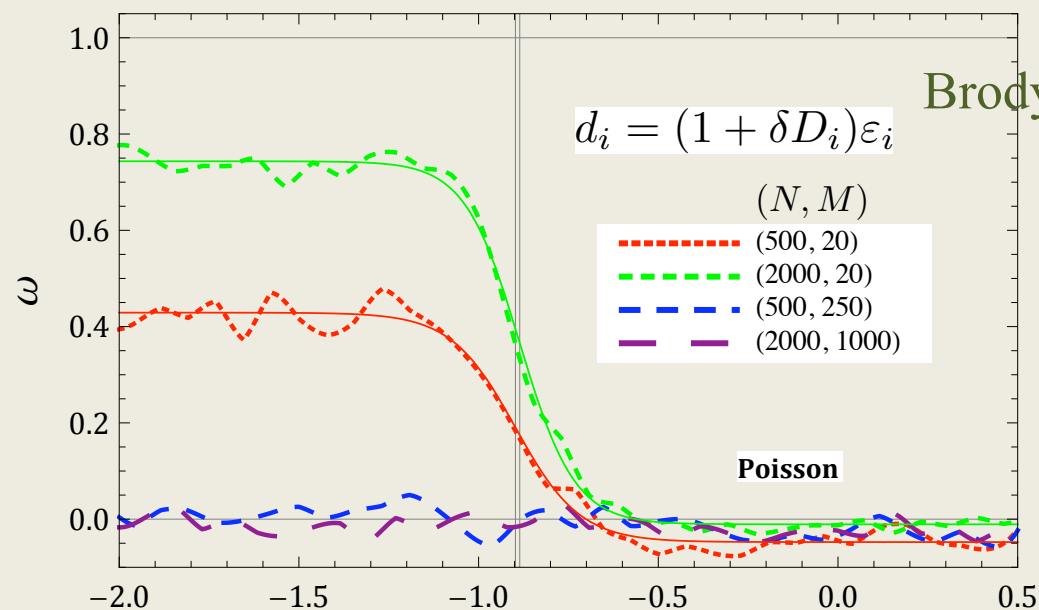
# Exceptions to Poisson Statistics in IMT

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$T, E$  – random matrices, e.g. from GOE,  $|\gamma\rangle$  – random vector

A. Statistics are non-Poisson when normally uncorrelated parameters become correlated (**atypical** integrable model, special member of the family)

Reverts to Poisson at deviations  $\delta \propto 1/N$  from such special members



Brody parameter  $\omega$  as a function of  $\log_N(\delta)$

Brody distribution:

$$P(s, \omega) = a s^\omega e^{-b s^{\omega+1}}$$

$$P(s, 1) = \frac{\pi}{2} s e^{-\frac{\pi}{4} s^2} - \text{Wigner}$$

$$P(s, 0) = e^{-s} - \text{Poisson}$$

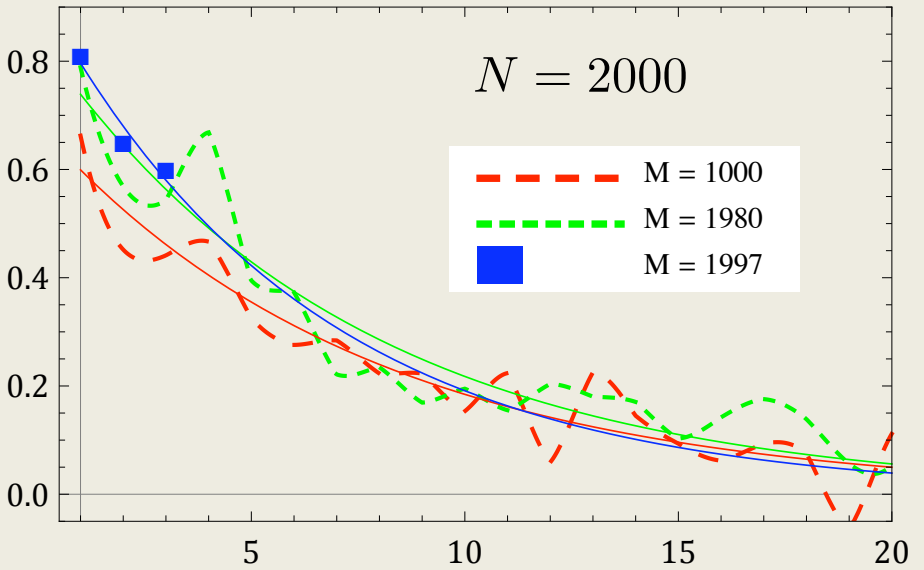
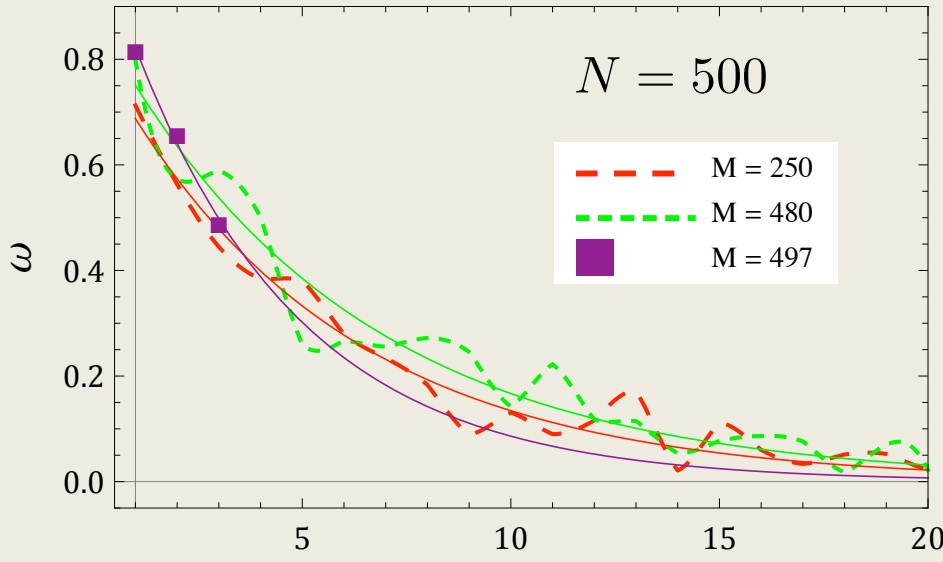
$D_i$  –  $\mathcal{O}(1)$  random number

$\log_N(\delta)$   $N \times N$  type M, number of integrals =  $N - M$ ,  $u = 1$

# Q: How many nontrivial integrals of motion must a system have so that its level statistics are Poisson?

# of nontrivial integrals =  
Size - Type =  $N - M$

$$H(u) = \sum_{i=1}^k d_i H_i(u), \quad k \leq N - M$$



Brody parameter  $\omega$  as a function of  $k$  for  $N \times N$  type  $M$  matrices.  
Fit:  $a \exp(-bk / \ln N)$ .  $b = (1.13, 1.04; 0.99, 1.03)$  for  $M = (250, 480; 1000, 1980)$

$\omega = 1$  - GOE,  $\omega = 0$  - Poisson

# of integrals needed  $\approx \ln N = \log$  of Hilbert space dim  $\propto$  particle #

Proposed a simple notion of integrability for parameter-dependent  $N \times N$  Hamiltonians

$$[H(u), H_1(u)] = 0 \text{ for all } u$$



### Consequences:

1. Exact solution in terms of a single algebraic equation
1. # of level crossings as function of size and # of integrals. # of crossings varies within the commuting family. Typically  $N^2/2$  crossings, but can also have no crossings when the # of integrals is less than maximal
1. Integrable Matrix Theory – theory of quantum regularity. Typical statistics are Poissonian when the # of integrals  $> \ln N$ . Guaranteed Wigner-Dyson at isolated values of the parameter and for special, “correlated members” of the commuting family (explains BCS). Further: ergodicity etc.
1. Generalized Gibbs Ensemble works when the # of integrals are maximal. Has to do with localization of the eigenstates of  $H(u)$ . Does it work for fewer integrals?
1. Solvable multi-state Landau-Zener problems are integrable matrices. Can we solve new such problems?  
 $H(t) = A + tB$ , where  $A, B - N \times N$  Hermitian matrices.  
 $t$  goes from  $-\infty$  to  $+\infty$ . Determine  $p(i \rightarrow k)$



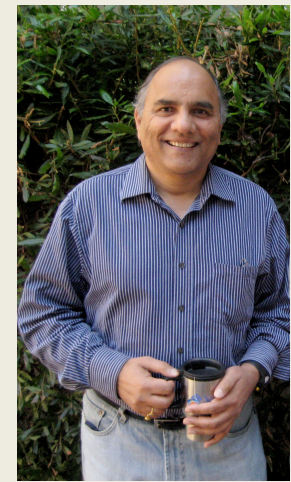
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