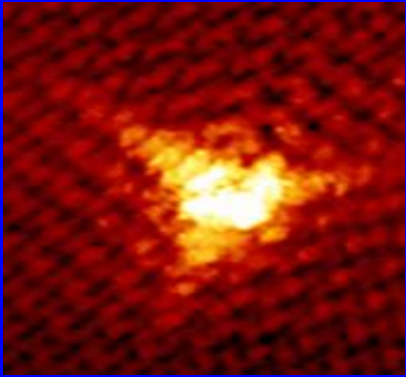
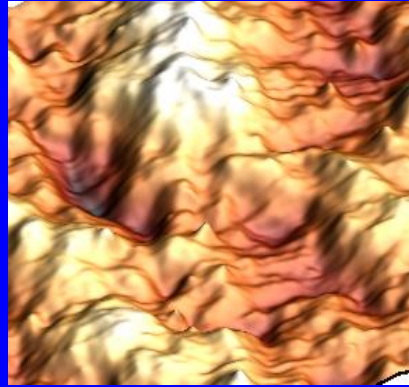


Interaction of Dirac electrons with spins and point charges

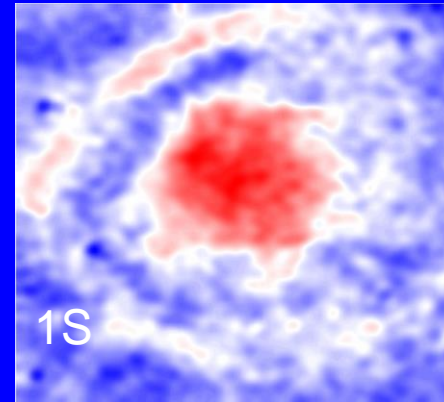
Vacancies in graphene



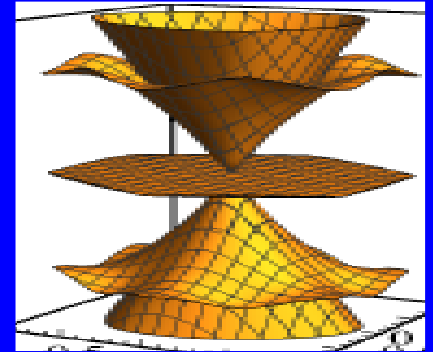
Vacancy Magnetic moment and Kondo screening



Vacancy Charge and Tunable artificial atom



Twisted bilayer graphene



Eva Y. Andrei

LECTURE NOTES POSTED AT:

<http://www.physics.rutgers.edu/~eandrei/links.html#trieste18>



Summer School on
Collective Behaviour in
Quantum Matter

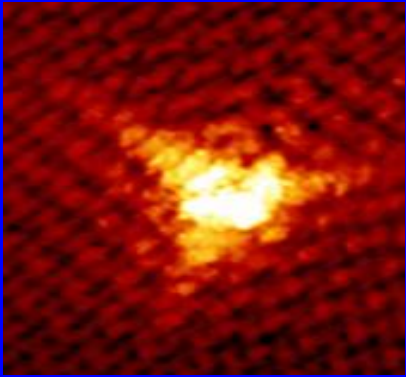


E.Y. Andrei

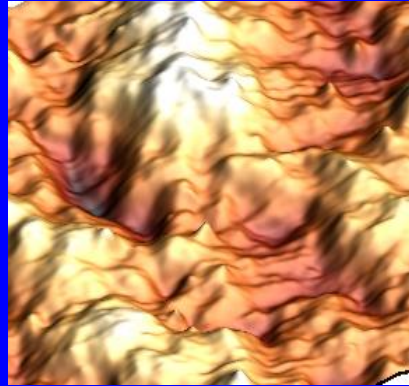


Interaction of Dirac electrons with spins and point charges

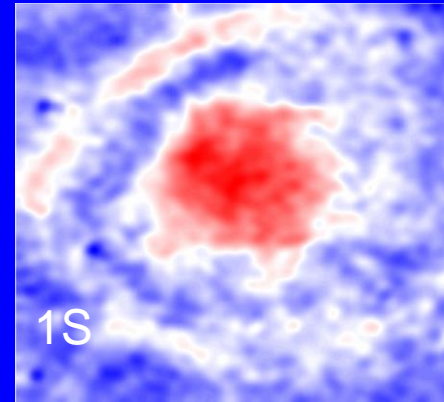
Vacancies in graphene



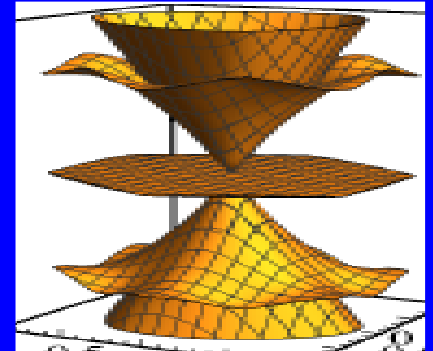
Vacancy Magnetic moment and Kondo screening



Vacancy Charge and Tunable artificial atom



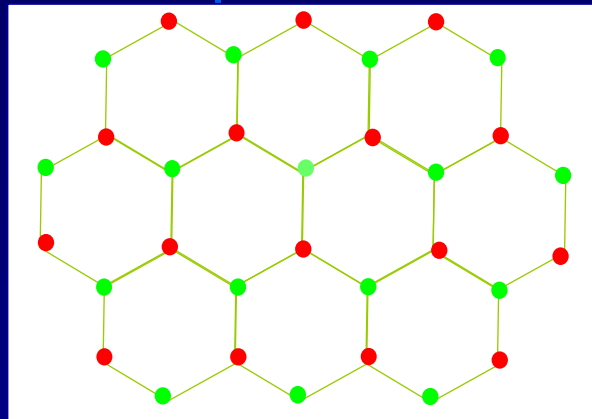
Twisted bilayer graphene



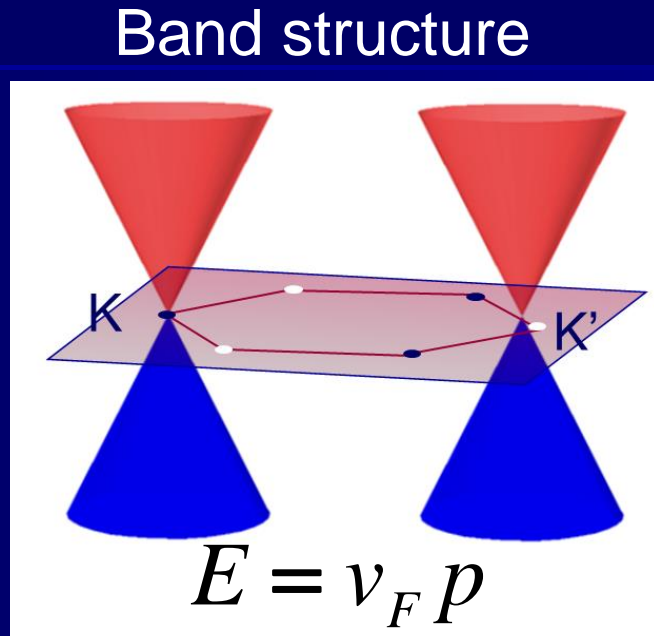
- ❖ Engineering electronic properties
 - Density of states and Landau levels in graphene
 - Scanning tunneling microscopy (STM) and spectroscopy (STS)
 - Defects:
 - Atomic collapse and artificial atom
 - Kondo effect
 - Substrate:
 - Twisted graphene



Density of states

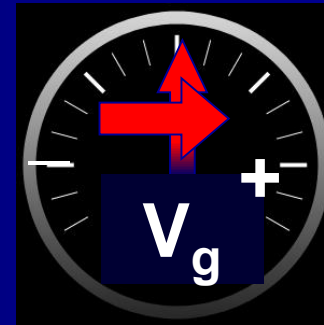


sp² Carbon



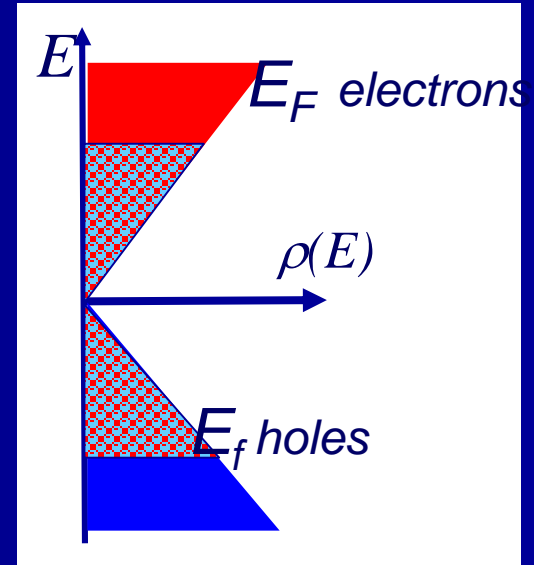
Ultra-relativistic
Chiral quasiparticles

$$\rho(E) = 3^{3/2} a^2 \frac{|E|}{\pi(\hbar v_F)^2}$$

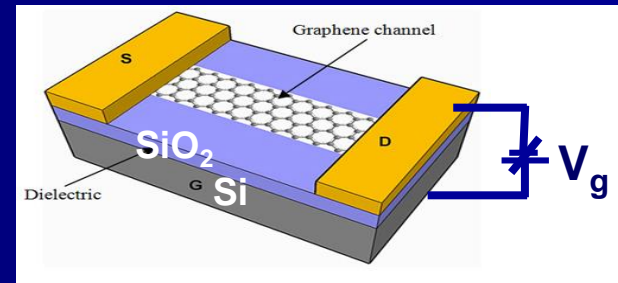


1V \mapsto 7x10¹⁰ cm⁻²

Density of states

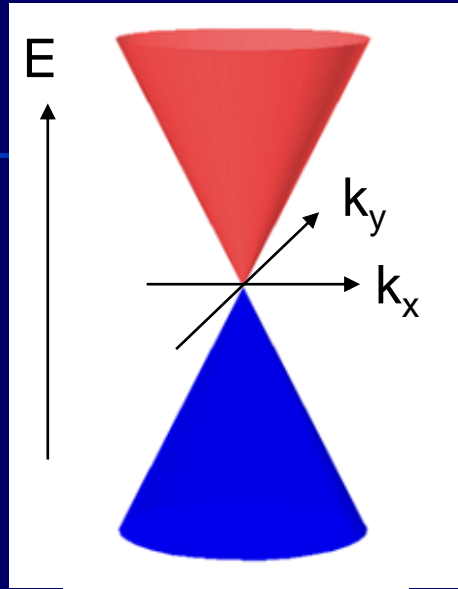


- Ingredients:
1. 2D
 2. Honeycomb structure ● ●
 3. Identical atoms

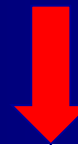


Landau levels in graphene from Dirac-Weyl equation

Band structure

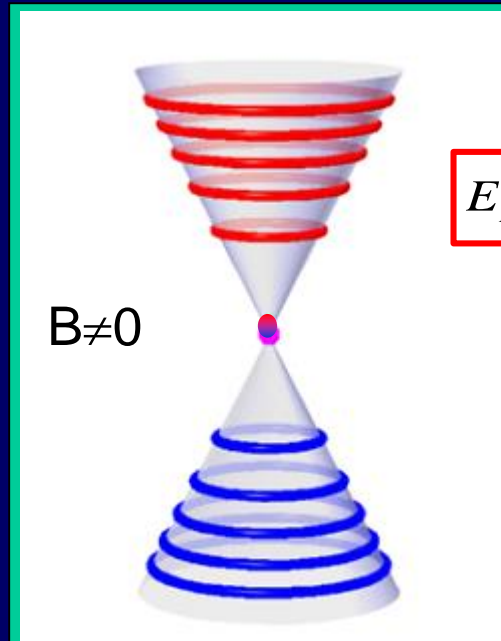
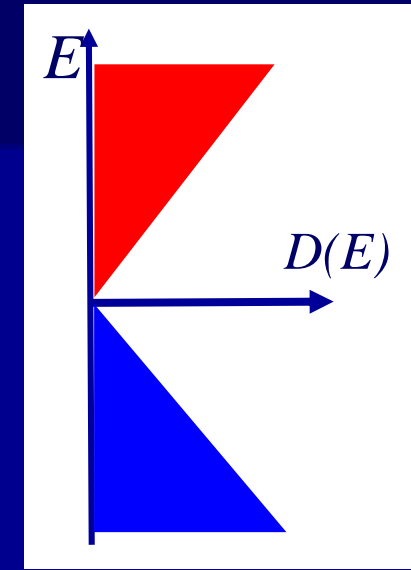


$$H = v_F \begin{pmatrix} 0 & \vec{\sigma} \cdot \vec{p} \\ -\vec{\sigma}^* \cdot \vec{p} & 0 \end{pmatrix}$$



$$H = v_F \begin{pmatrix} 0 & \vec{\sigma} \cdot (\vec{p} - e\vec{A}) \\ -\vec{\sigma}^* \cdot (\vec{p} - e\vec{A}) & 0 \end{pmatrix}$$

Density of states



Finite B → Landau Levels

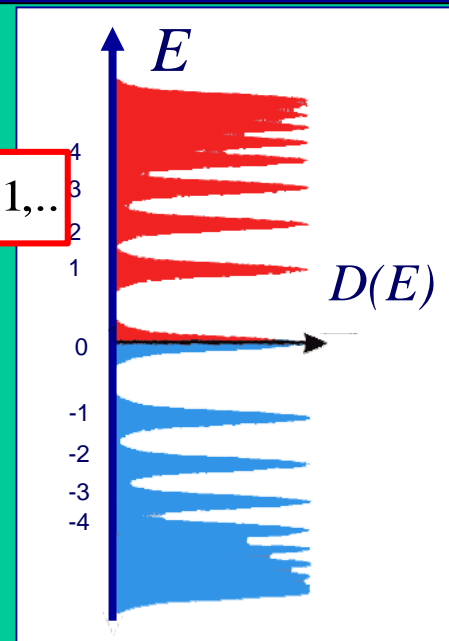
$$E_N = \pm v_F \sqrt{2e\hbar B |N|} = \pm \hbar \omega_c \sqrt{2|N|}; \quad N = 0, \pm 1, \dots$$

$$\hbar \omega_c = \hbar v_F / l_B \approx 35 \sqrt{B} \text{ meV}$$

$$l_B = \sqrt{\hbar / eB} = 25 / \sqrt{B} \text{ nm}$$

$$\text{Degeneracy: } g = 4g_0; \quad \phi_0 = h/e$$

$$\text{Orbital Degeneracy } g_0 = B / \phi_0 = 2.5 \times 10^{14} \text{ m}^{-2} B[T]$$



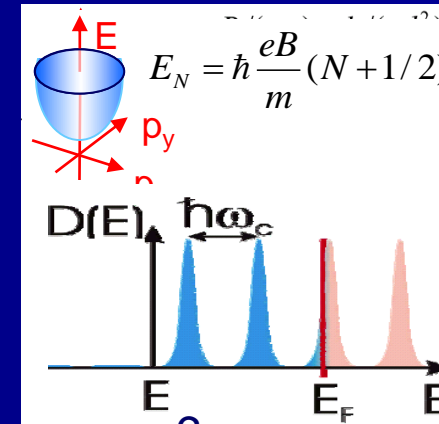
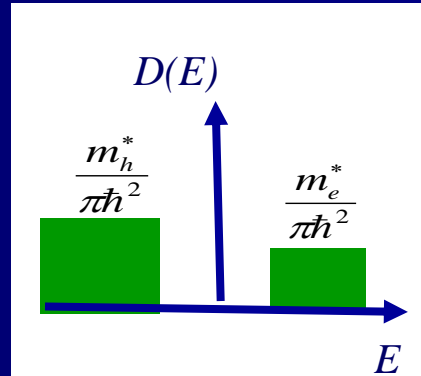
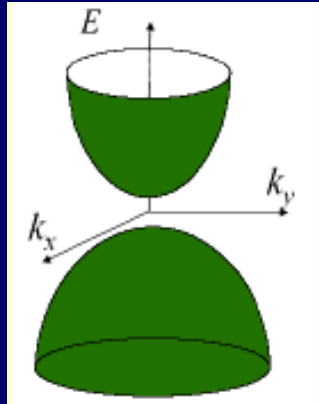
Graphene and conventional 2d electron systems

Low energy excitations

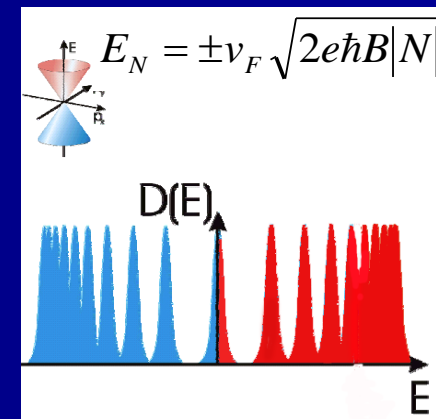
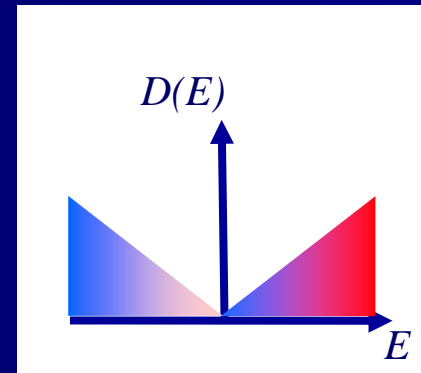
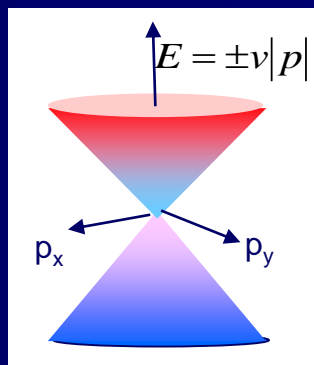
Density of states

Landau levels

Conventional semiconductor

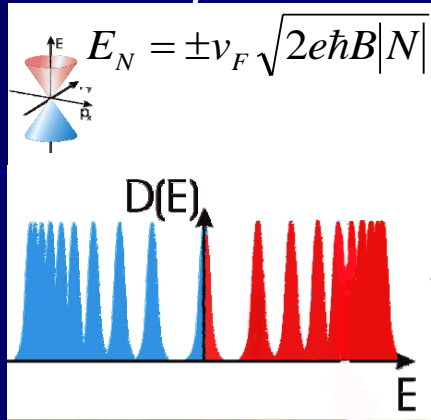


Graphene



Landau levels: graphene and conventional 2D ES

Graphene



$$E_N = \pm v_F \sqrt{2e\hbar B|N|} = \hbar\omega_c \sqrt{2|N|}; \quad N = 0, \pm 1, \dots$$

$$\omega_c = v_F / l_B = v_F \sqrt{eB / \hbar}$$

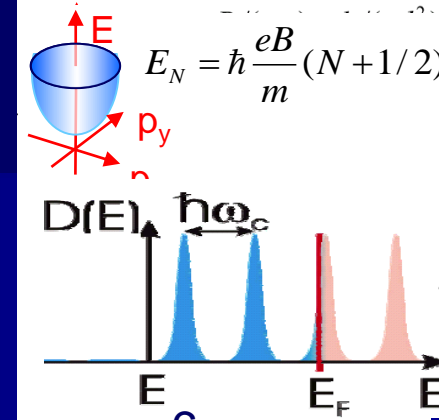
- $E_{N=0} = 0$ Berry phase π
- Electron hole symmetric
- $E \sim N^{1/2}$ Level
- $E \sim B^{1/2}$
- $g_i = 4$

$$\hbar\omega_c \approx 33\sqrt{B} \text{ [meV / T}^{1/2}\text{]}$$

$$l_B = \sqrt{\hbar / eB} = 25/\sqrt{B} \text{ nm}$$

$$g_0 = B / \phi_0 = 8.5 \times 10^{14} \times B \text{ [Tm}^{-2}\text{]}$$

Conventional 2DES



$$E_N = \hbar\omega_c (N + 1/2) \quad N = 0, 1, 2, \dots$$

$$\omega_c = eB / m$$

- Gap at $E=0$ Berry phase $=0$
- Either electrons OR holes.
- Equally spaced levels
- $E \sim B$
- $g_i = 2$

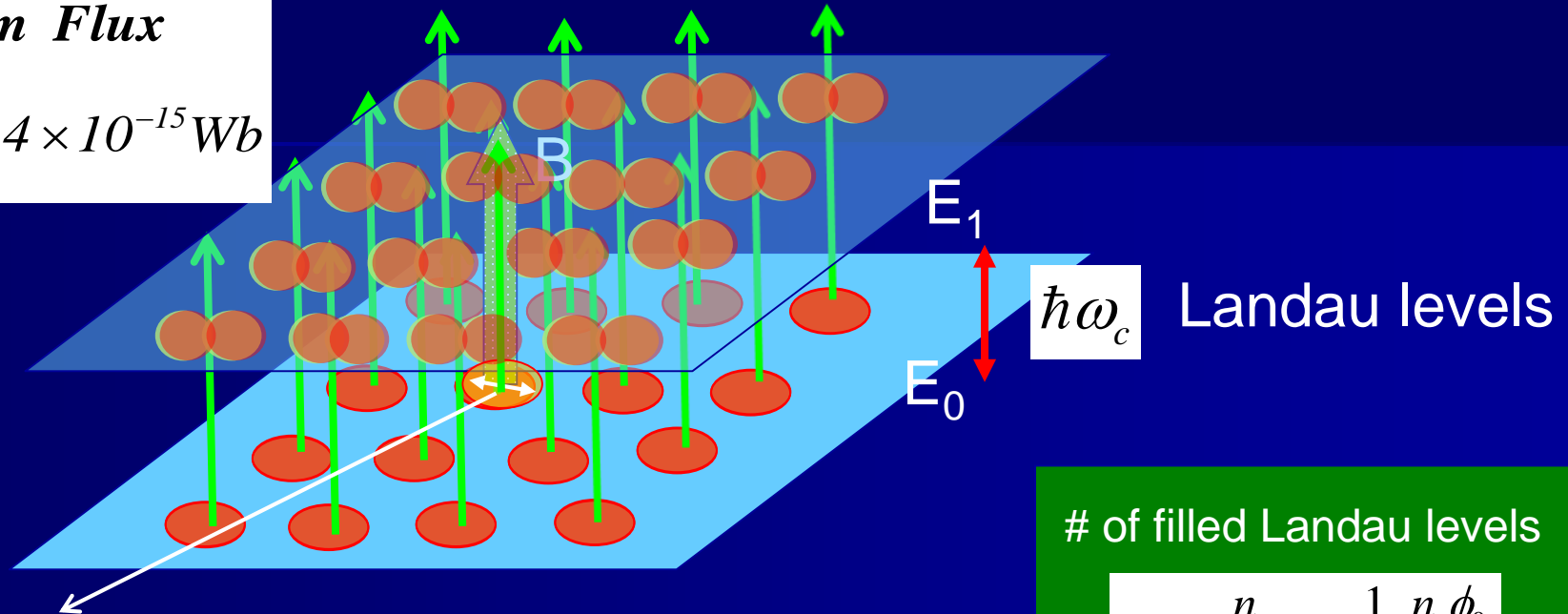
$$\hbar\omega_c \approx 1.4B \text{ [meV / T]} \quad \text{GaAs}$$



Populating Landau levels

Quantum Flux

$$\phi_0 = \frac{h}{e} = 4 \times 10^{-15} \text{ Wb}$$



$$l_B = \sqrt{\hbar / eB} = 25 / \sqrt{B} \text{ nm}$$

Flux line \longleftrightarrow Electronic state

Flux Density B / ϕ_0

Orbital Degeneracy $g_0 = B / \phi_0 = 2.5 \times 10^{14} \text{ Tm}^{-2}$

Total Degeneracy $g_i g_0 = 4g_0$

$\hbar\omega_c$ Landau levels

of filled Landau levels

$$N = \frac{n_s}{g_i g_0} = \frac{1}{g_i} \frac{n_s \phi_0}{B}$$

of electrons per flux line

$$g_i N = \frac{n_s}{g_0}$$

Summary

Quantum unit of flux

$$\phi_0 = \frac{h}{e} = 4.14 \cdot 10^{-7} \text{ gauss} \cdot \text{cm}^2 \text{ [Tesla} \cdot \text{m}^2 \text{]}$$

$$\Rightarrow \frac{\Phi}{\phi_0} = N \quad \text{flux enclosed by cyclotron orbit}$$

Onsager relation :k-space area of cyclotron orbit

$$S(k_N) l_B^2 = 2\pi(N + 1/2 - \gamma / 2\pi)$$

γ = Berry Phase

Landau level energy

Non-relativistic

$$E_N = \hbar \frac{eB}{m} (N + 1/2)$$

Ultra-relativistic (graphene)

$$E_N = \pm v_F \sqrt{2e\hbar B |N|}$$

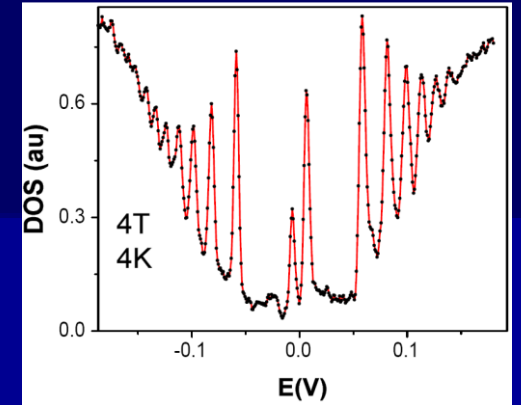
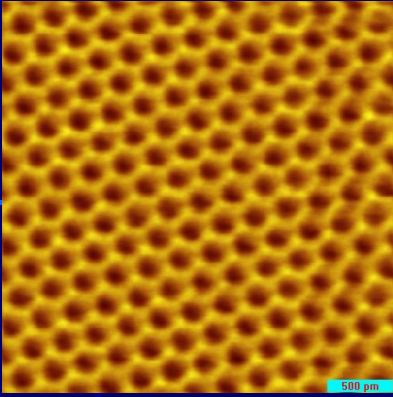
$$4 \frac{B}{\phi_0}$$

degeneracy: $g_i g_0$

$$2 \frac{B}{\phi_0}$$



Scanning tunneling microscopy and spectroscopy



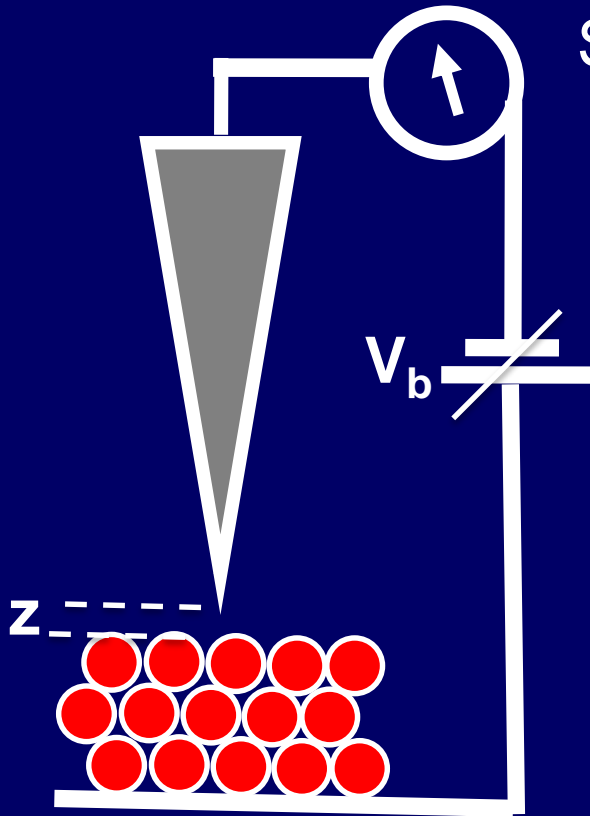
❖ Engineering electronic properties

- Density of states and Landau levels in graphene
- Scanning tunneling microscopy (STM) and spectroscopy (STS)
- Defects:
 - Atomic collapse and artificial atom
 - Kondo effect
- Substrate:
 - Twisted graphene

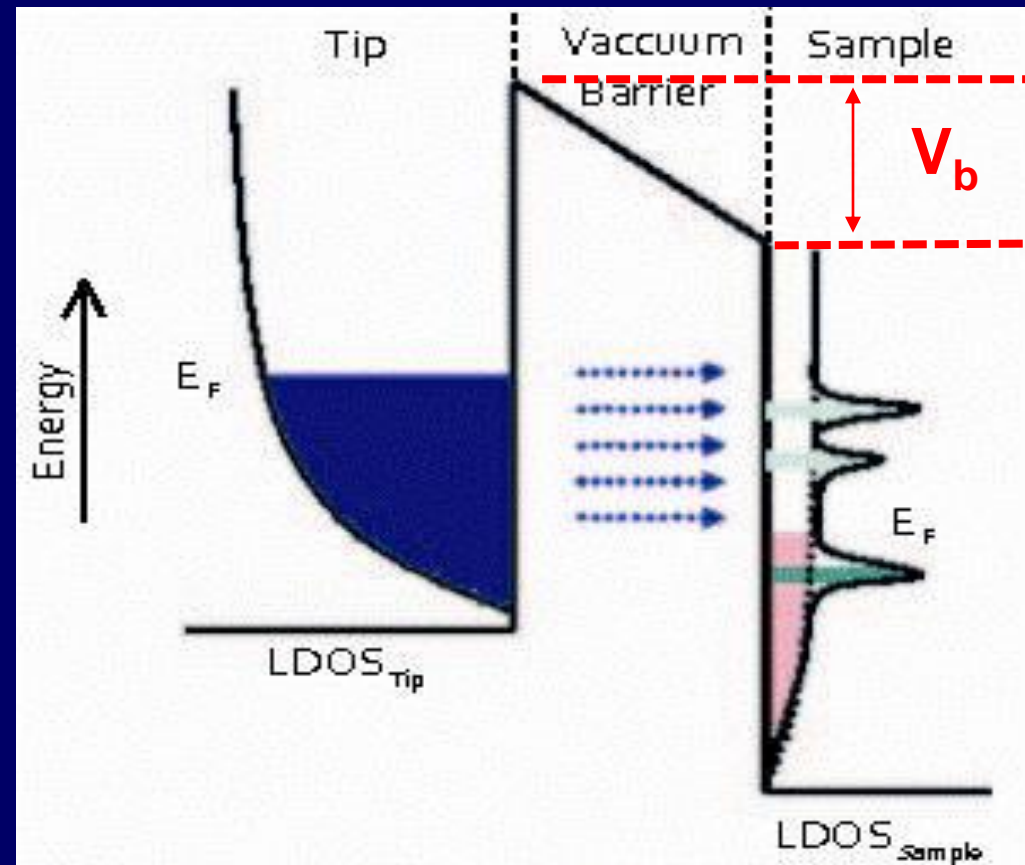


Scanning Tunneling Microscopy (STM)

STM measures tunnel current across a vacuum barrier

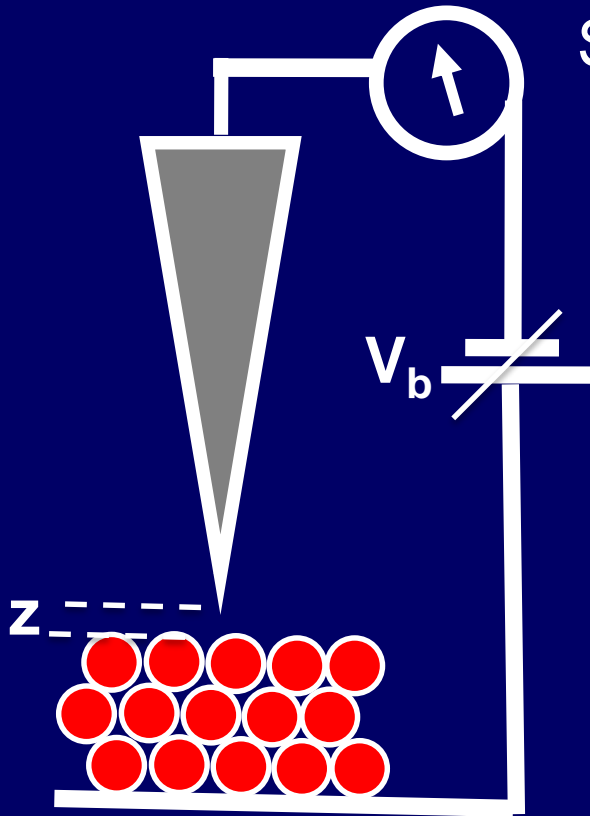


$$I(r, z, V) \propto \left[\int_0^{eV_b} \rho(r, \varepsilon) d\varepsilon \right] \exp^{-z(r)\kappa}$$



Scanning Tunneling Microscopy (STM)

STM measures tunnel current across a vacuum barrier

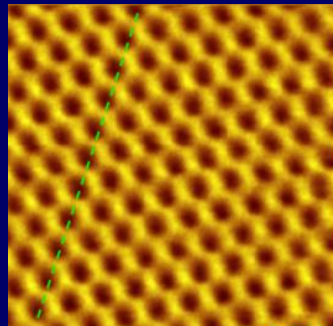


$$I(r, z, V) \propto \left[\int_0^{eV_b} \rho(r, \varepsilon) d\varepsilon \right] \exp^{-z(r)\kappa}$$

I, V_b constant

Topography:
Imaging Atoms

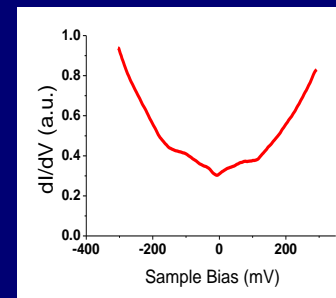
$$I(r, z) \propto \exp^{-z\kappa(r)}$$



z constant

Spectroscopy:
Density of states

$$dI/dV_b \propto \rho(r, V_b)$$



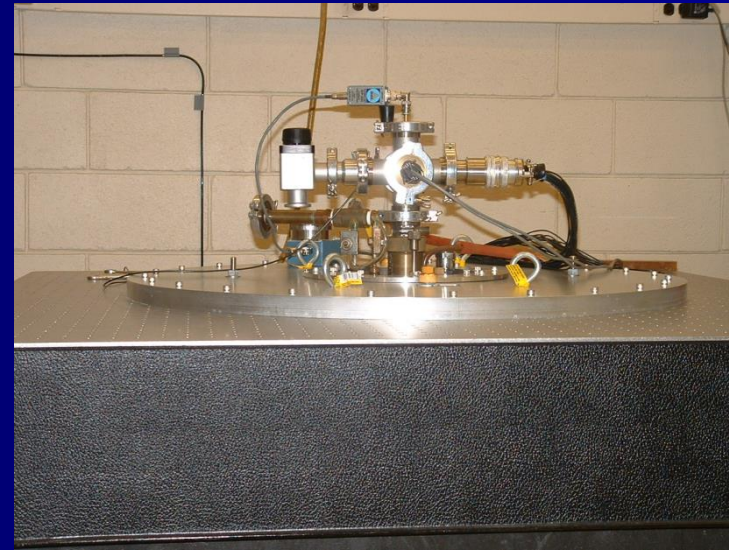
Rutgers Low Temperature High field STM

Low temperature STM



■ Rutgers STM

- Temperature $T=4$ (2K)
- Magnetic field $B=13$ (15T)
- Scan range 10^{-10} - 10^{-3} m



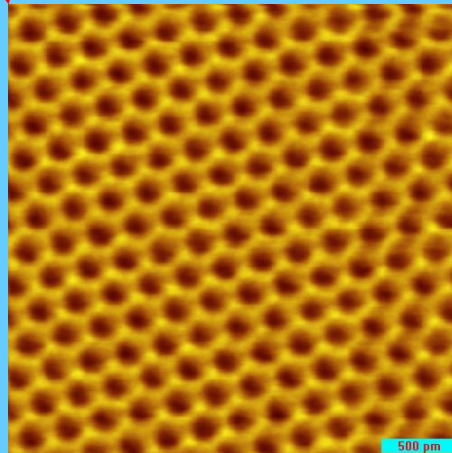
- Topography \mapsto structure
- Spectroscopy \mapsto Density of states $B=0$
- Spectroscopy \mapsto Density of states $B>0$



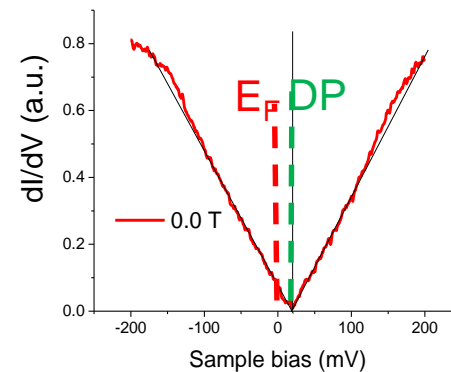
Graphene on Graphite : the Perfect substrate



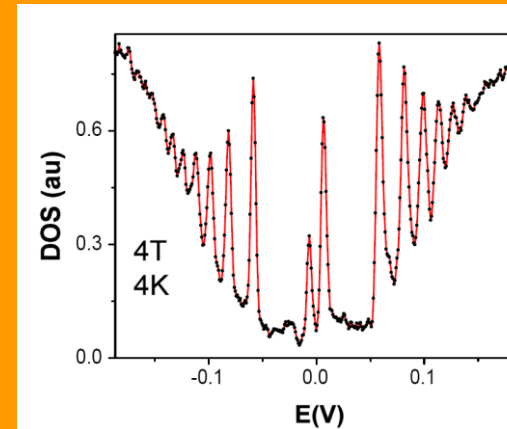
topography



B=0 spectroscopy



B>0 spectroscopy



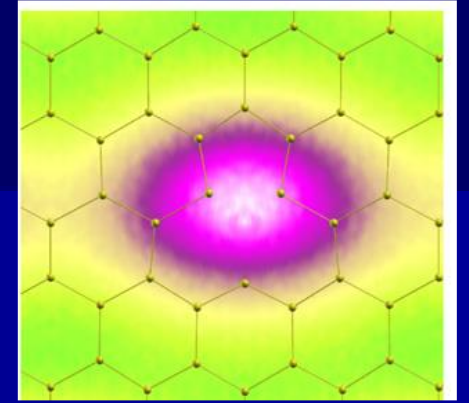
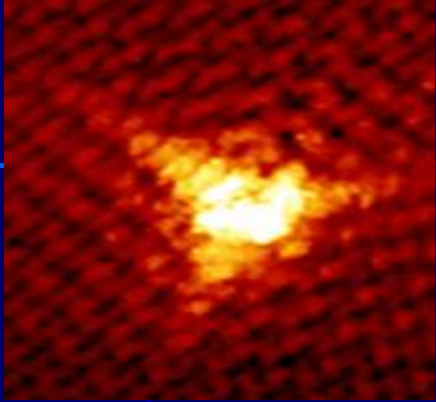
- Local doping
- Electron-phonon coupling

$$E_N = \pm v_F \sqrt{2e\hbar B |N|}$$

- Local Fermi velocity
- Quasiparticle lifetime
- Coupling to substrate



Vacancies: Inducing local magnetic moments and charge



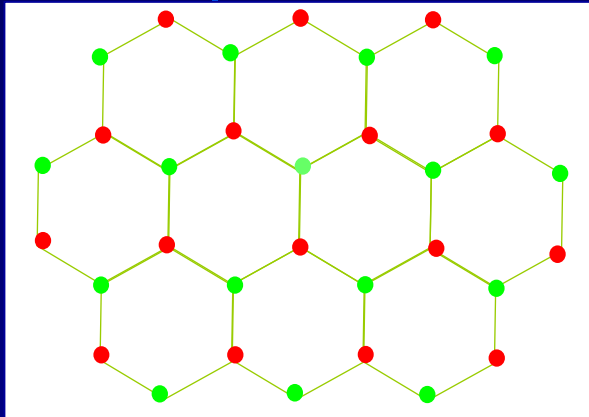
❖ Engineering electronic properties

- Density of states and Landau levels in graphene
- Scanning tunneling microscopy (STM) and spectroscopy (STS)
- Defects:
 - Atomic collapse and artificial atom
 - Kondo effect
- Substrate:
 - Twisted graphene

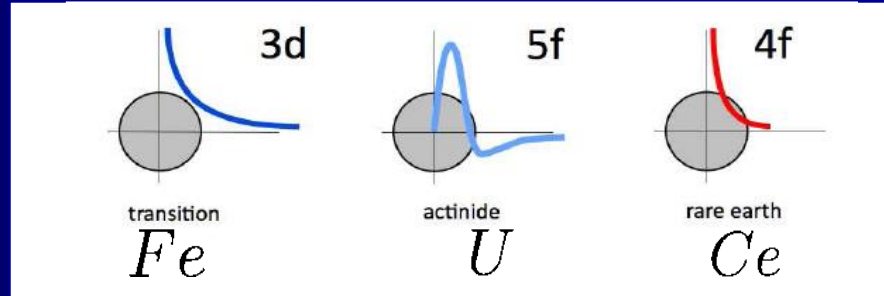


Perfect Graphene

Magnetism: Spin of localized electrons in partially filled inner d or f shell .



sp^2 Carbon



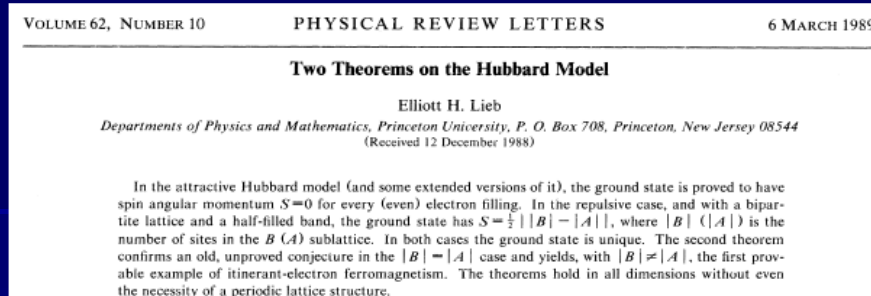
Carbon

- *No d, f electrons*
- *But partially filled p shell*

Graphite, graphene, ..., Carbon allotropes
? Non-Magnetic ?



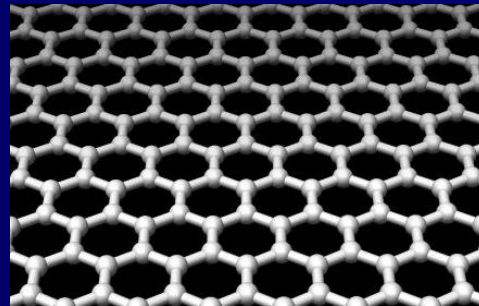
Magnetism and Perfect Graphene



...repulsive Hubbard model + **bipartite lattice** + **half-filled band**:
 spin of ground state with N_A, N_B populated sites:

$$S = \frac{1}{2}(N_A - N_B)$$

Pristine graphene (graphite) $N_A = N_B \rightarrow S = 0$



Perfect graphene
Non-Magnetic!

Vacancies $\rightarrow N_A > N_B \mapsto$ magnetic moment

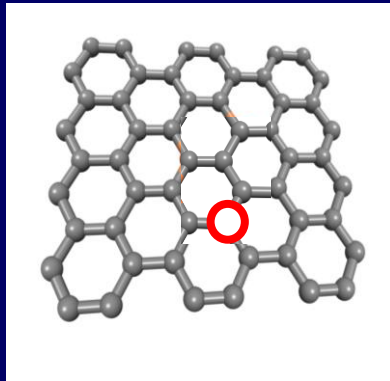
A.H. Castro Neto et al. Solid State Commun. (2009).
 T. Wehling, Phys. Lett. (2009)
 O. Yazyev, et al Rep. Prog. (2010).
 M. Vojta et al, EPL, 90 (2010) 27006
 T. O. Wehling, Phys. Rev. B 81, 115427(2010)
 J. O. Sofo, et al Phys. Rev. B 85, 115405 (2012)

Material	$\chi_V (\times 10^{-5})$
Superconductor	-10 ⁵
Pyrolytic carbon	-40.9
Bismuth	-16.6
Mercury	-2.9
Silver	-2.6
Carbon (diamond)	-2.1
Lead	-1.8
Carbon (graphite)	-1.6
Copper	-1.0
Water	-0.91

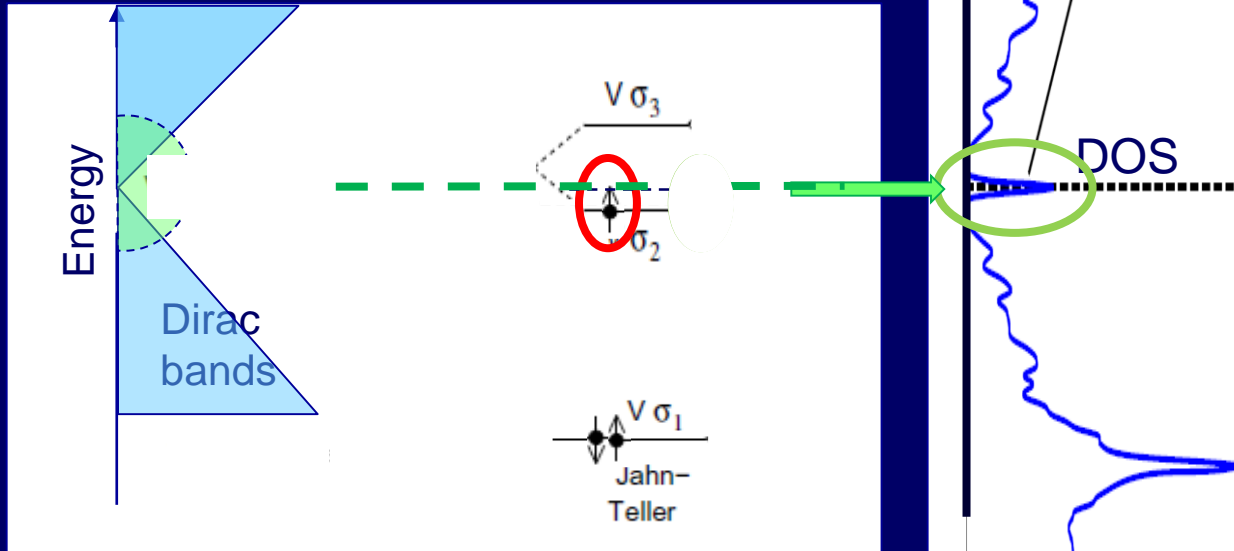


Imperfect Graphene - Vacancy Magnetic Moment

remove
Carbon atom



Yazyev & Helm (2007),
Popovi'c, Nanda, Satpathy (2012)



Broken
AB
symmetry

σ Dangling bond \mapsto localized state $\mapsto 1 \mu_B$

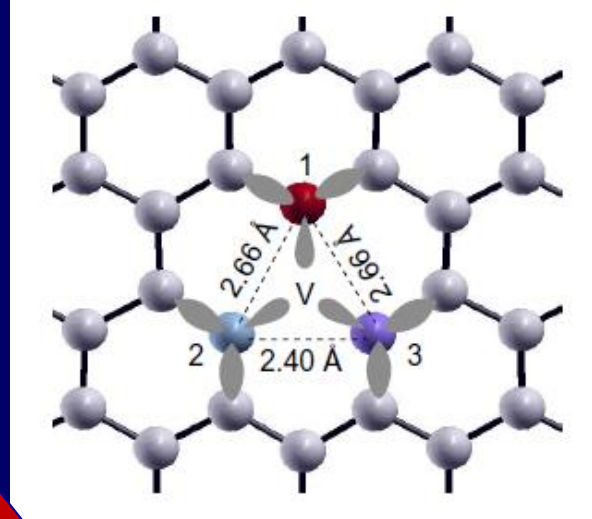
p_z \mapsto quasi-localized state on other sublattice $\mapsto \sim 0.5-0.7 \mu_B$

Zero mode peak at \sim Dirac Point



Vacancy Properties

Interaction of ultra-relativistic electron with magnetic moment?

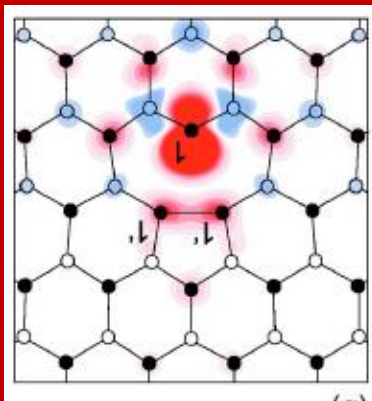


Interaction of ultra-relativistic electron with Point charge ?

Magnetic

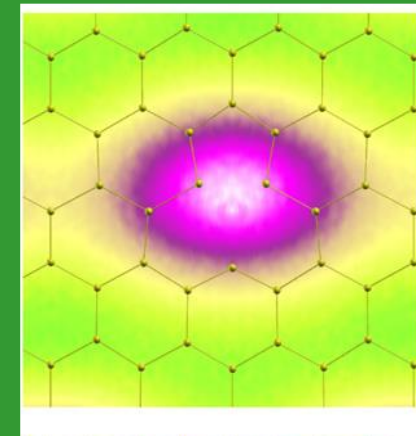
Charge

$\sim 1.7\mu_B$



Yazyev & Helm (2007)

Charge $\sim +1|e|$

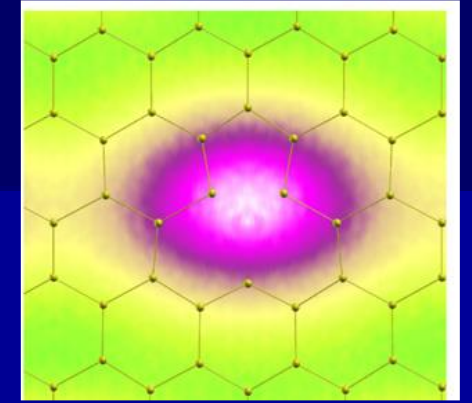
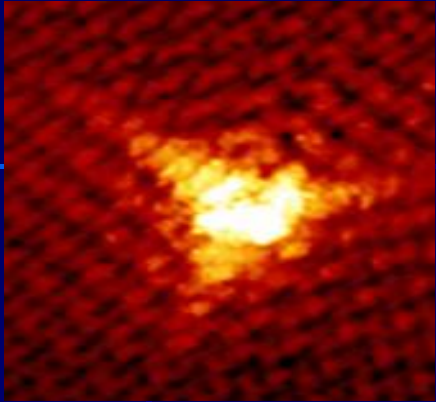


Y Liu et al (2015)
Padmanabhan & Nanda (2016)

Andrei



➤ Vacancy Charge and Tunable artificial atom



❖ Engineering electronic properties

- Density of states and Landau levels in graphene
- Scanning tunneling microscopy (STM) and spectroscopy (STS)
- Defects:
 - Atomic collapse and artificial atom
 - Kondo effect
- Substrate:
 - Twisted graphene

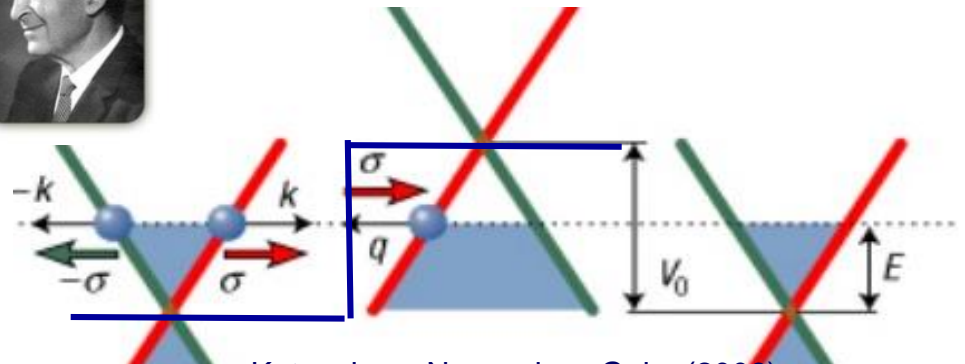


Pristine graphene

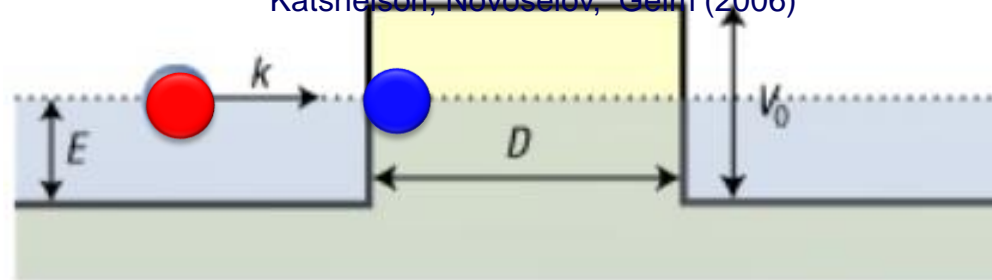
Pseudospin + chirality \mapsto Klein tunneling



Klein Tunneling



Katsnelson, Novoselov, Geim (2006)



Katsnelson, Novoselov, Geim (2006)

No electrostatic confinement

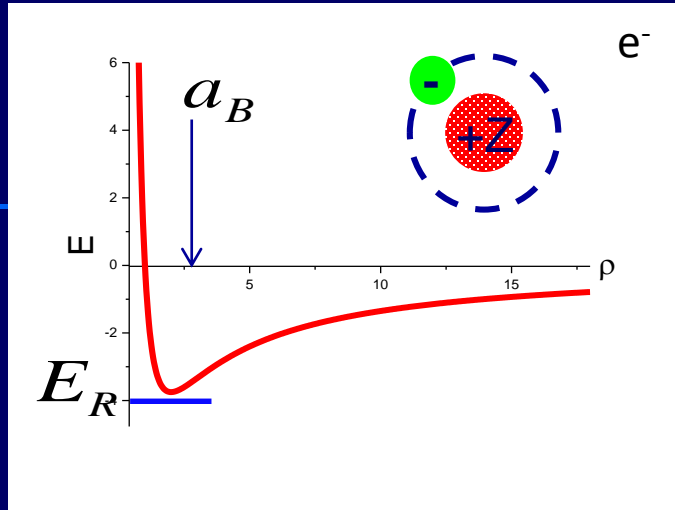


- No quantum dots
- No switching
- No guiding

Can one use a point charge to control the carriers?



Electron in $1/r$ potential : Bohr atom



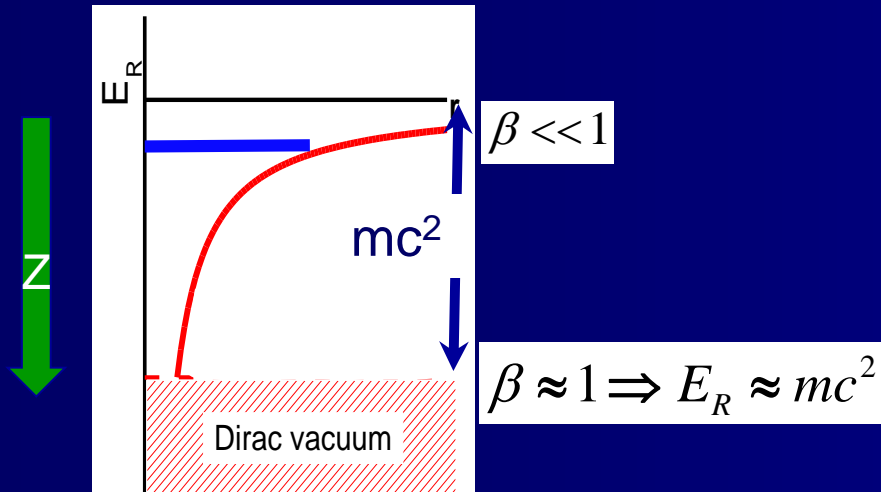
Non relativistic classical

$$KE = \frac{p^2}{2m}; PE = -\frac{Ze^2}{r}$$

Semiclassical approximation

$$p \rightarrow \frac{\hbar}{r}$$

$$E(\rho) \approx mc^2 \left(\frac{1}{2\rho^2} - \frac{\beta}{\rho} \right)$$



Compton wavelength

$$\tilde{\lambda}_c = \frac{\hbar}{mc}, \quad \rho = \frac{r}{\tilde{\lambda}_c}$$

Coulomb coupling constant

$$\beta \equiv Z\alpha$$

Fine structure constant

$$\alpha \equiv \frac{e^2}{\hbar c} \sim \frac{1}{137}$$

$Z \gg 1$ Must Include relativistic effects

$$\frac{p^2}{2m} \rightarrow \sqrt{(pc)^2 + (mc^2)^2}$$

Length scale:
Bohr radius

$$a_B = \frac{\tilde{\lambda}_c}{\beta}$$

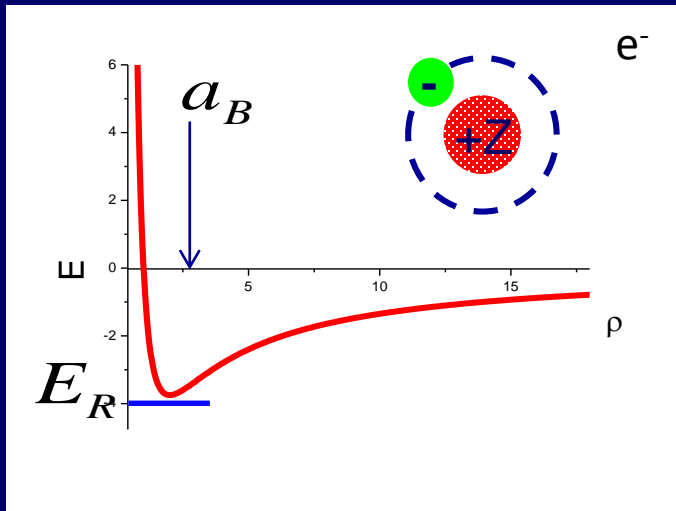
Energy scale
(Rydberg)

$$E_R = -\frac{1}{2} mc^2 \beta^2$$

QM ensures stability of the atom



Electron in $1/r$ potential : Bohr atom



relativistic massive particle

$$E = mc^2 (1/2 \rho^2 - \beta / \rho)$$

$$E = mc^2 (\sqrt{1/\rho^2 + 1} - \beta / \rho)$$

$$E_R = \frac{1}{2} mc^2 \beta^2$$

$$\xrightarrow{Z=1} 13.6eV$$

$$\xrightarrow{Z \gg 1, \beta \sim 1} \sim mc^2$$

$Z \gg 1$ Must Include relativistic effects

$$\frac{p^2}{2m} \rightarrow \sqrt{(pc)^2 + (mc^2)^2}$$

Bohr radius $a_B = \frac{\lambda_c}{\beta} \Rightarrow \frac{\lambda_c}{\beta} \sqrt{1 - \beta^2}$

Rydberg $E_R = -\frac{1}{2} mc^2 \beta^2 \Rightarrow mc^2 \sqrt{1 - \beta^2}$



Bohr atom: QM+ Relativity \mapsto Atomic Collapse

Dirac 1928: Total energy of Electron near nucleus of charge Z :

$$E_R = mc^2 \sqrt{1 - \beta^2}$$

$$\beta_c \equiv Z_c \alpha \approx 1$$

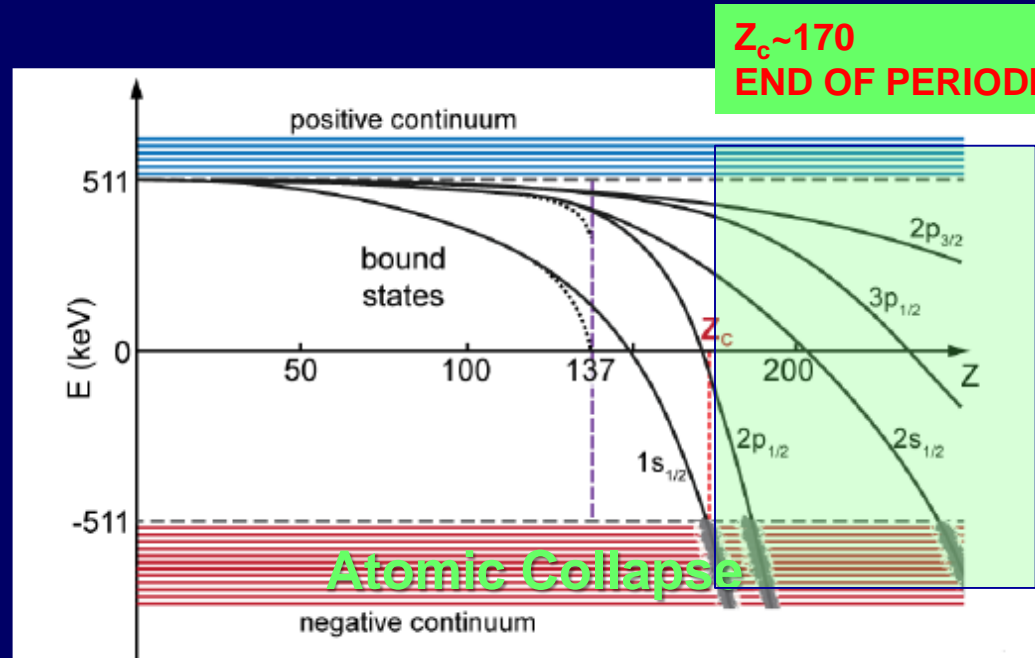
$$Z_c \sim 1/\alpha = 137$$

$\beta \geq 1 \Rightarrow$ No solution

Regularize problem
finite size nucleus: $r_0 \sim 10^{-15}$ m

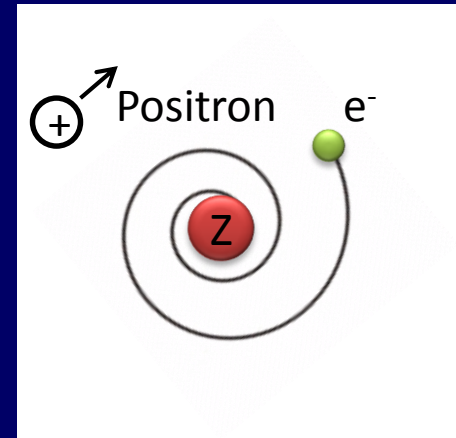
$$\beta_c \sim 1.24 \mapsto Z_c \sim 170$$

Pomeranchuk and
Smorodinskii (1945)



$Z_c \sim 170$
END OF PERIODIC TABLE

Atomic Collapse



Spontaneous positron emission

118
Uuo
Ununoctium
(294)

In fact
Periodic
table ends
earlier

QM+RELATIVITY :
atom collapses



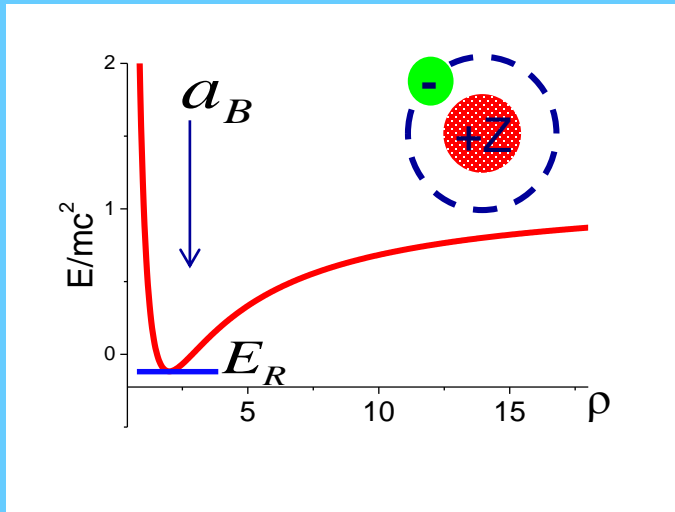
Relativistic Electron in 1/r potential

relativistic massive Bohr atom

$$E \approx mc^2 (\sqrt{1/\rho^2 + 1} - \beta/\rho)$$

2D, m=0, c=v_F

$$E \approx \frac{\hbar v_F}{r} \left(\frac{1}{2} - \beta \right)$$



Length scale

$$a_B = \frac{\tilde{\lambda}_c}{\beta} \sqrt{1 - \beta^2}$$

Energy scale

$$E_R = mc^2 \sqrt{1 - \beta^2}$$

$$\beta_c = 1 \mapsto Z_c \sim 137$$

relativistic massless Bohr atom

Compton wavelength

$$\tilde{\lambda}_c = \frac{\hbar}{mc}, \quad \rho = \frac{r}{\tilde{\lambda}_c}$$

Coulomb coupling constant

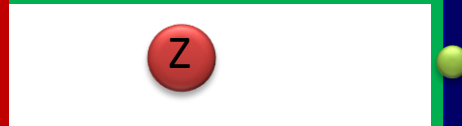
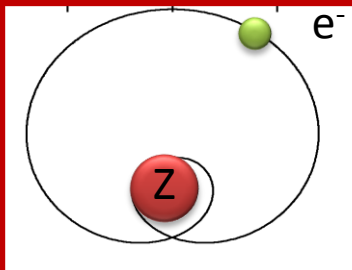
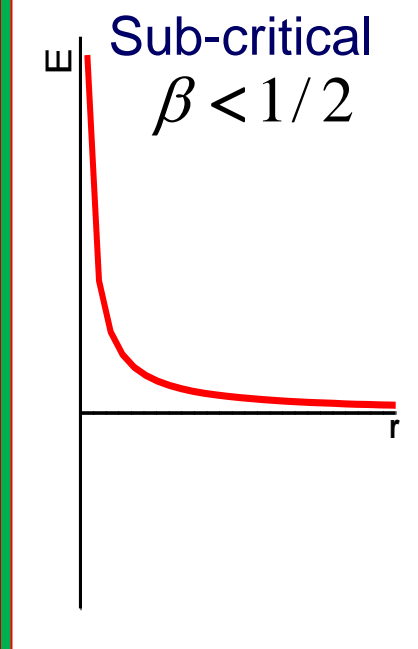
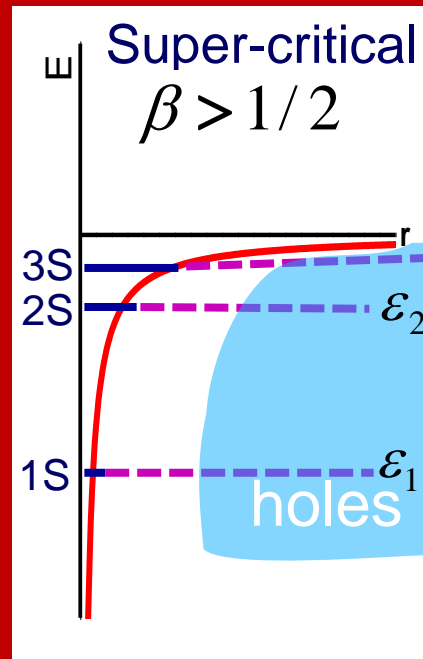
$$\beta \equiv Z\alpha$$

Fine structure constant

$$\alpha \equiv \frac{e^2}{\hbar c} \sim \frac{1}{137}$$



Ultra-relativistic Electron in 1/r potential

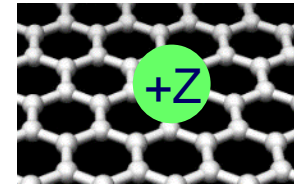


$$|\epsilon_n| = \frac{Ze^2}{r_0} e^{-\pi n \hbar / \gamma}$$

No bound states
Klein tunneling

Ultra-relativistic Bohr atom

Graphene



$$E \approx \frac{\hbar v_F}{r} \left(\frac{1}{2} - \beta \right)$$

$$\beta = Z \alpha_g \quad \alpha_g = \alpha \frac{c}{\kappa v_F} \approx 2$$

Scale free

Dielectric constant

Critical charge \mapsto Quantum Phase transition

$$\beta_c = 1/2$$

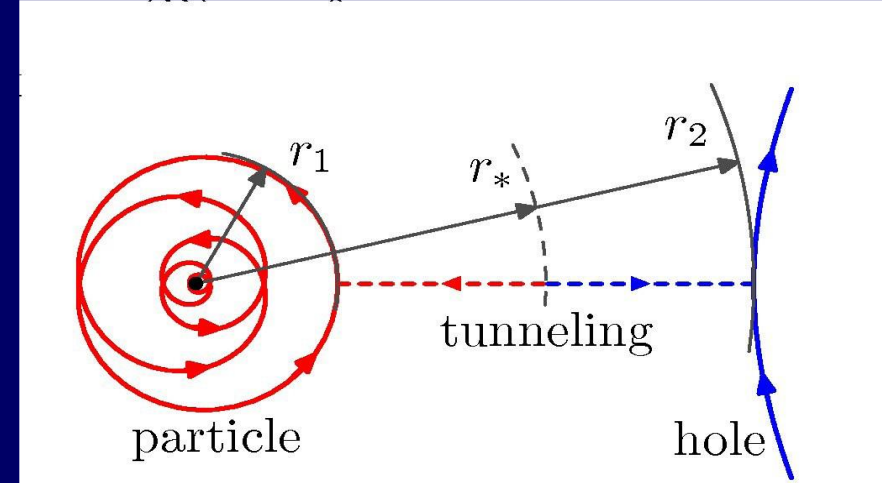
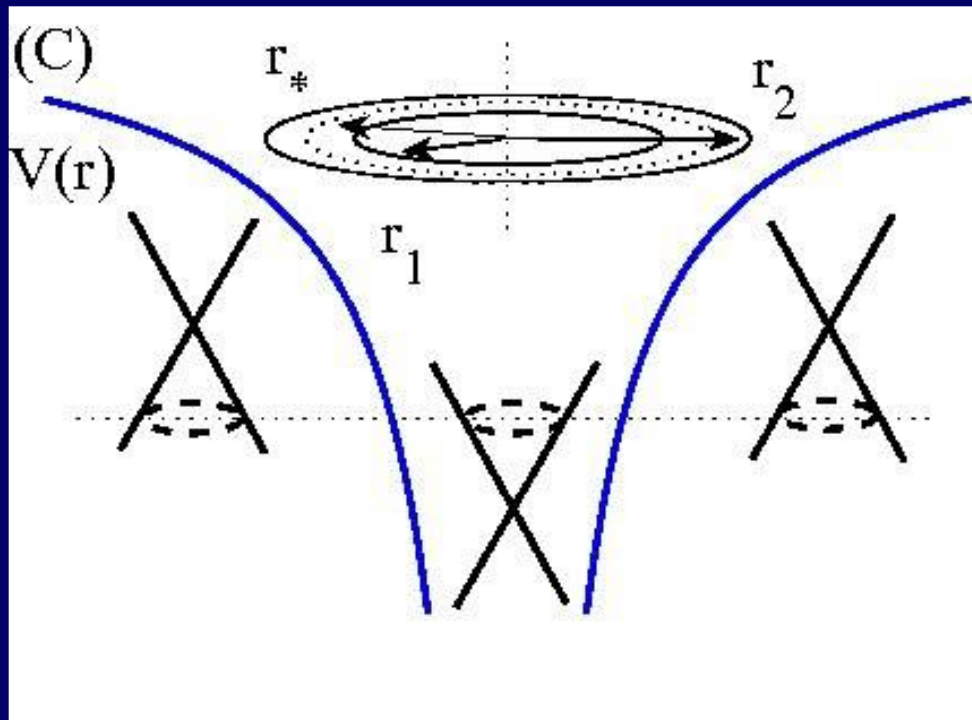
$$Z_c \sim 1$$

Shytov, Katsnelson, Levitov 07
Pereira, Nilsson, Castro Neto 07

O. Ovdat et al Nat. Comm. 8, 507 (2017)



Ultra-relativistic Case



$$r_{1,2} = \frac{Ze^2 \pm M}{\epsilon}$$

$$r^* = \frac{e^2 Z}{\kappa E} = \beta \lambda_c$$

$$M = (m + 1/2)\hbar \quad \text{angular momentum}$$

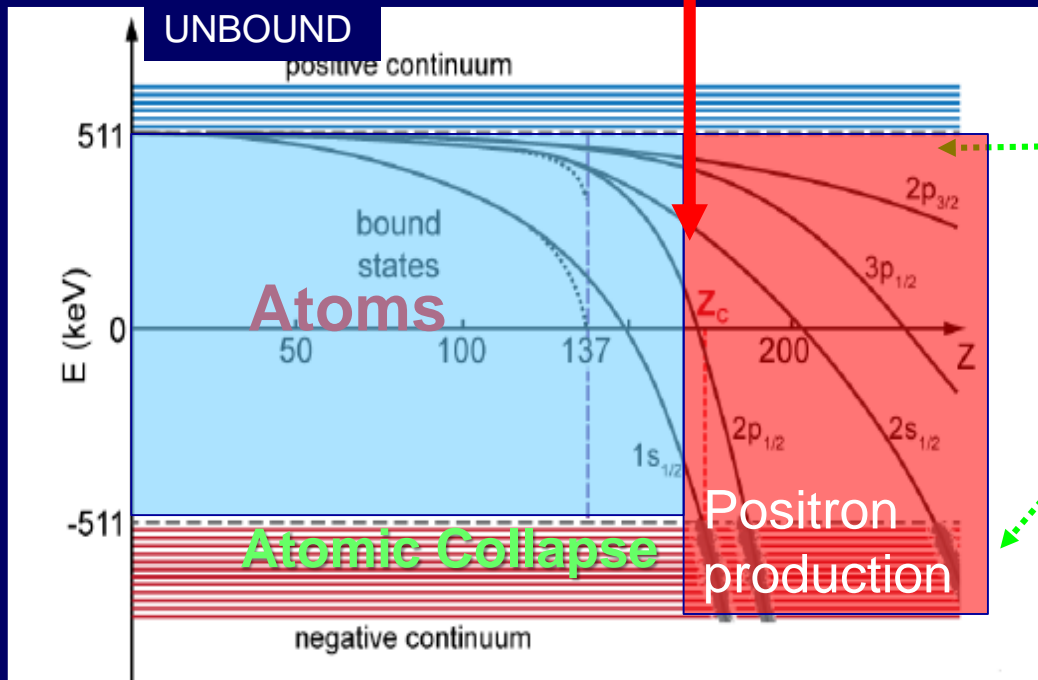
- Type of carriers is reversed as E_F crosses DP
- Klein tunneling couples electron-like states at small r to hole-like states at large r



What is the experimental signature of Atomic collapse

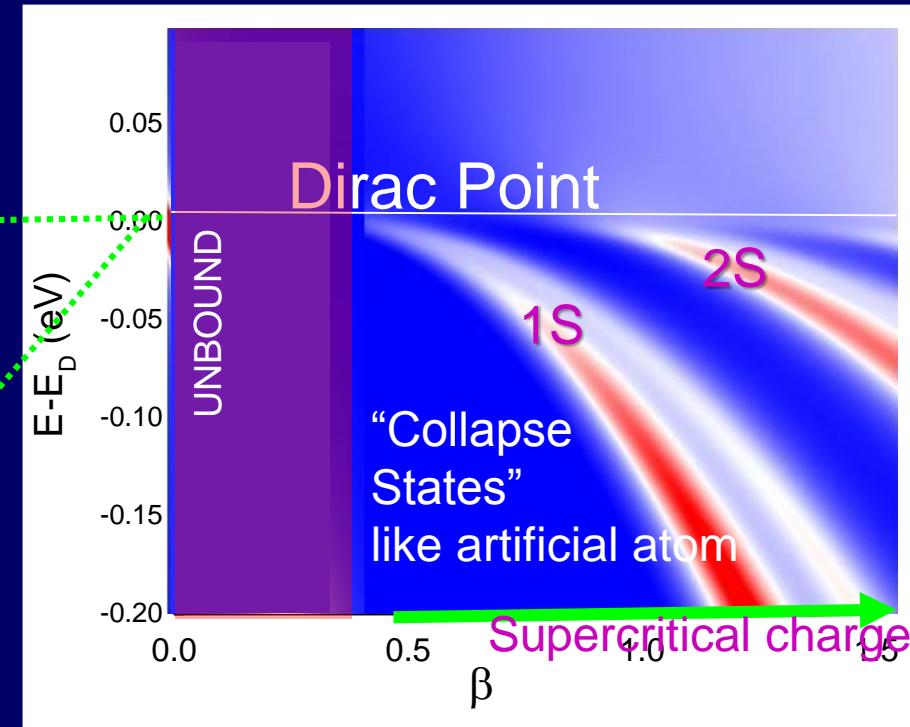
ATOM

$$Z_c \sim 170$$



Schwinger (1950)
Zeldovich (1970)

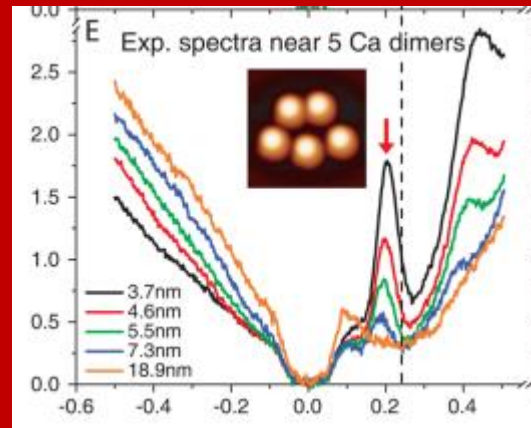
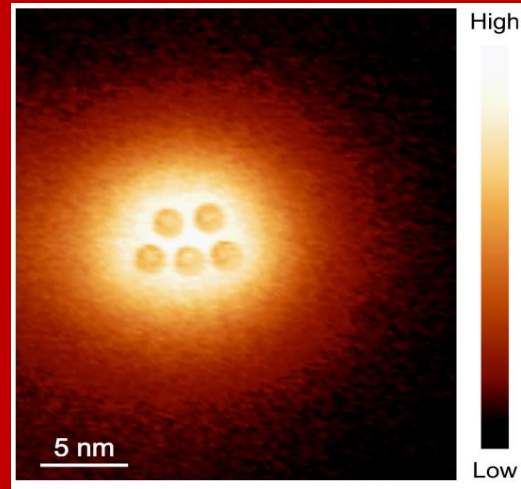
GRAPHENE + CHARGE



D. Moldovan, M.R. Masir, F. Peeters,

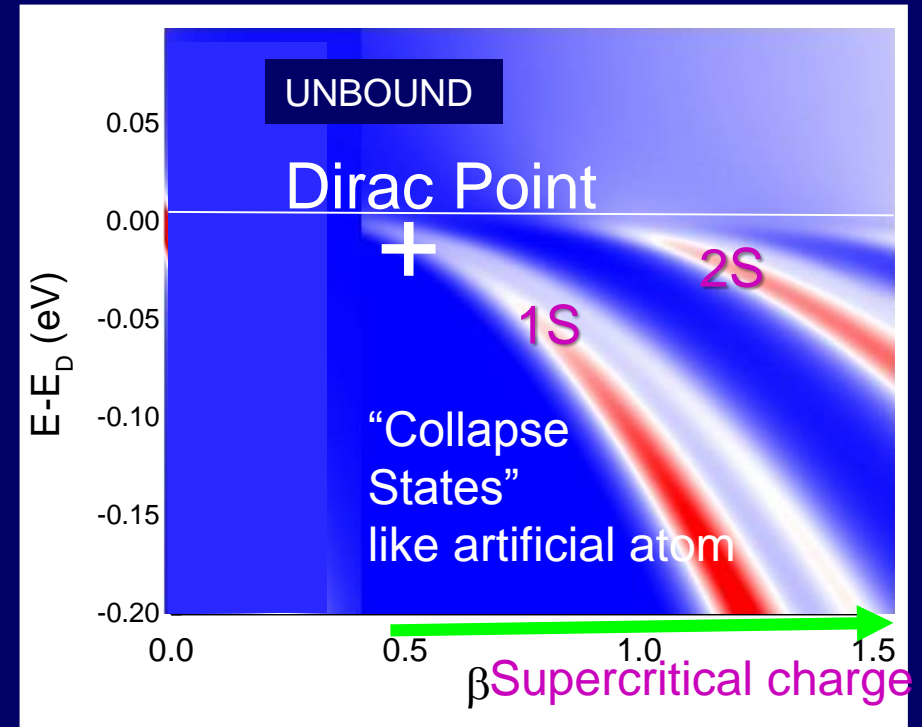


What is the experimental signature of Atomic collapse



Wang et al Science 2013

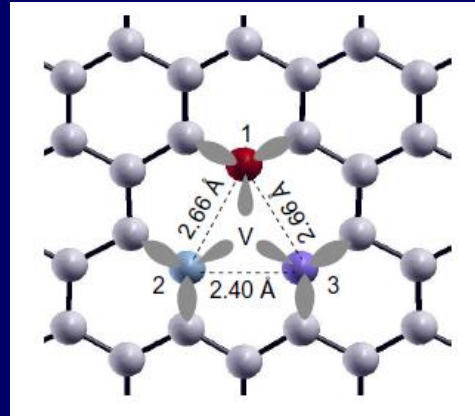
GRAPHENE + CHARGE



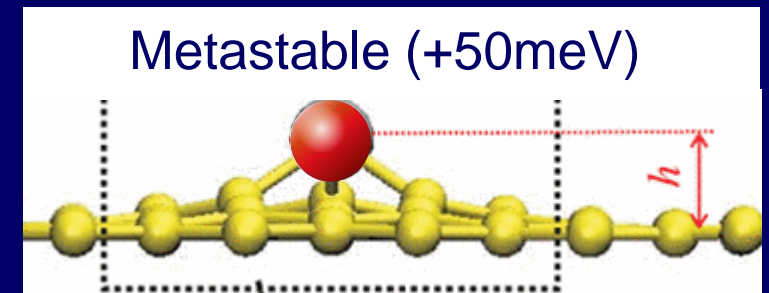
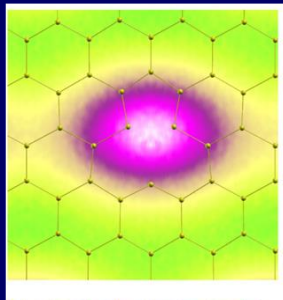
D. Moldovan, M.R. Masir, F. Peeters,



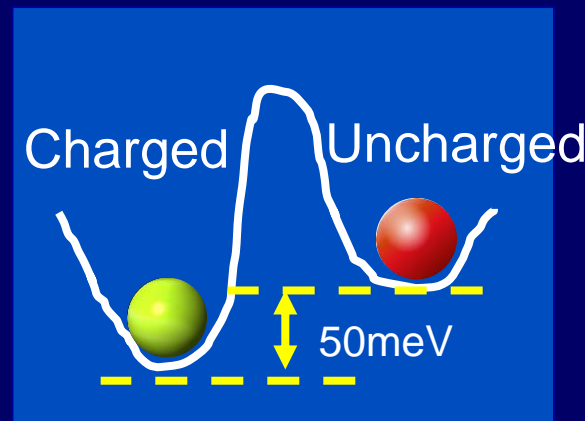
Can one host a stable charge in graphene?



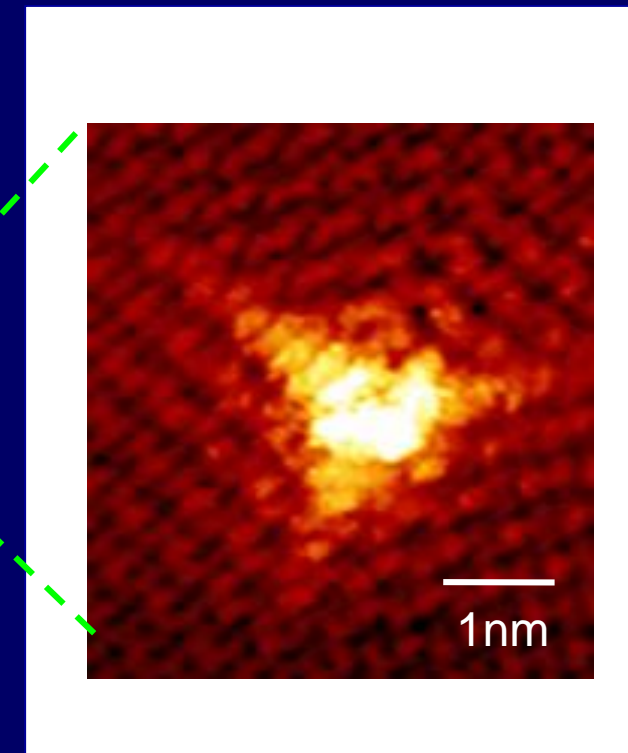
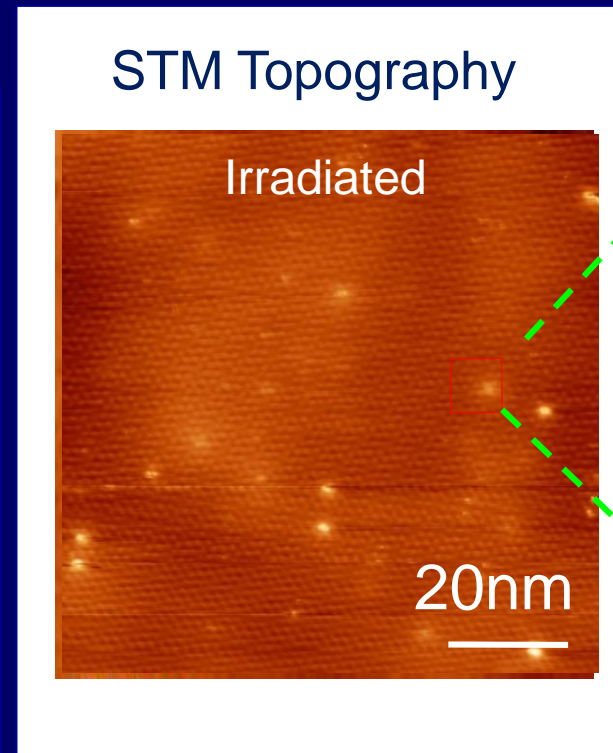
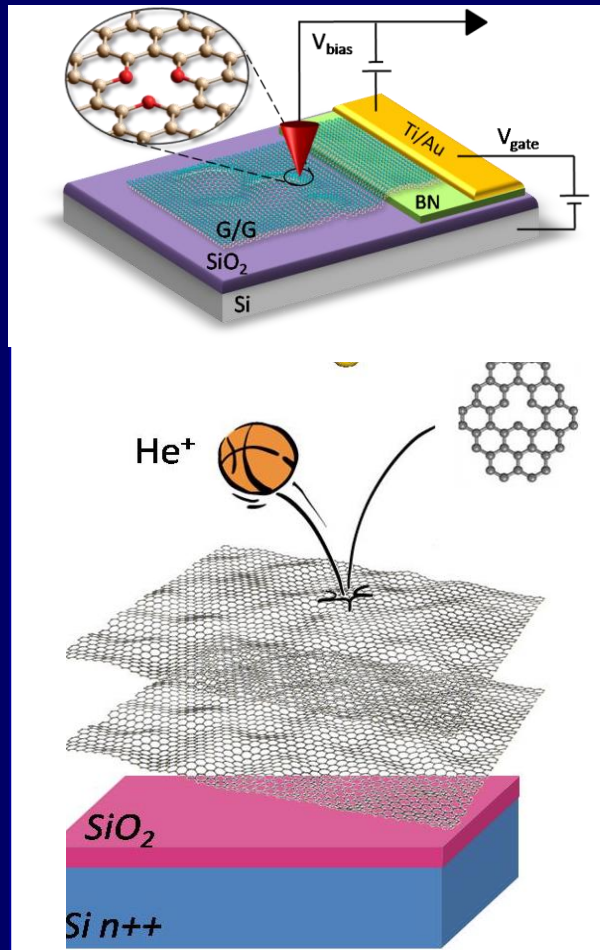
Charge $\sim +1|e|$



Uncharged metastable state
Stabilized by strain or substrate



Making Vacancies in graphene

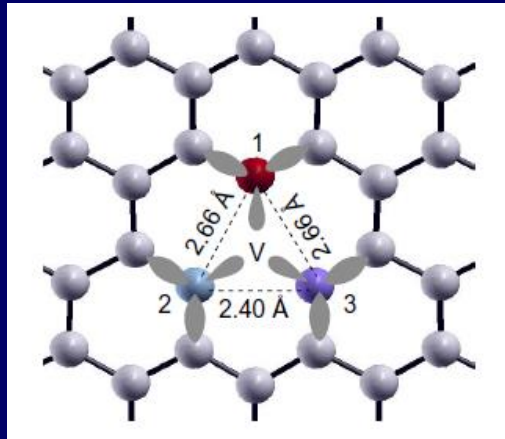


Single atom vacancy → triangular structure.

M. M. Ugeda, *et al* PRL 104, 096804 (2010).

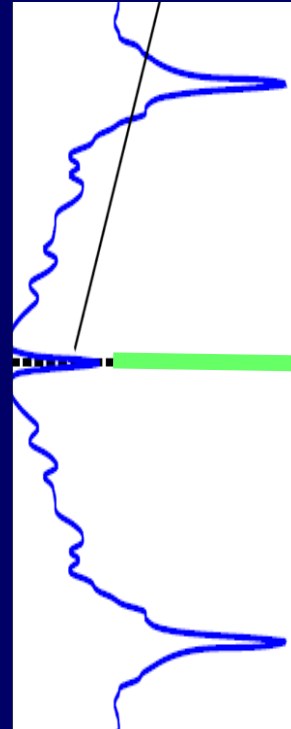


Charged Vacancy Spectrum - Artificial Atom

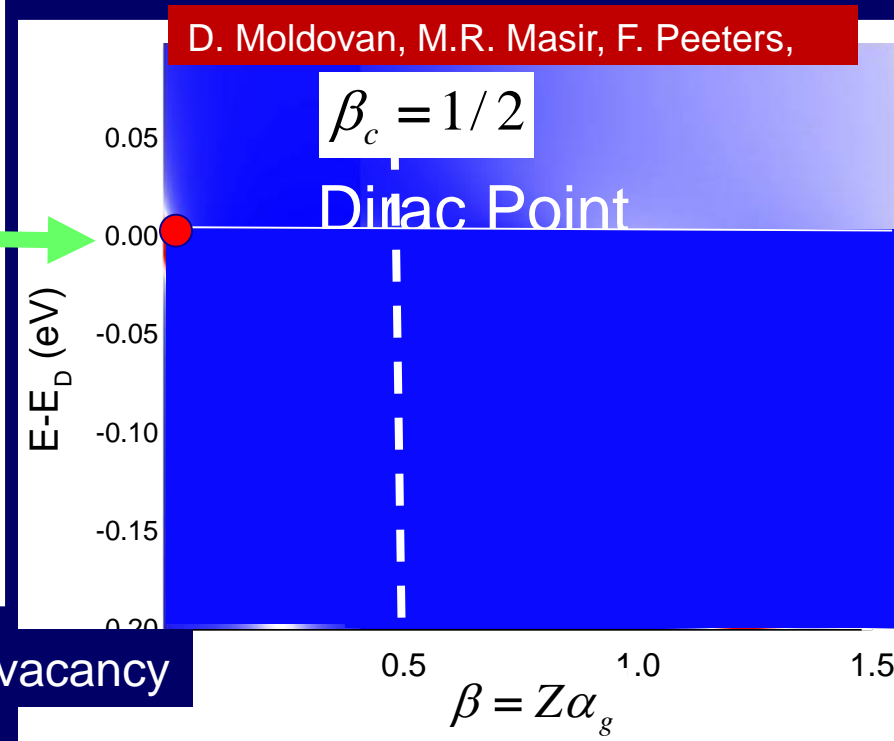


Zero mode

Neutral vacancy



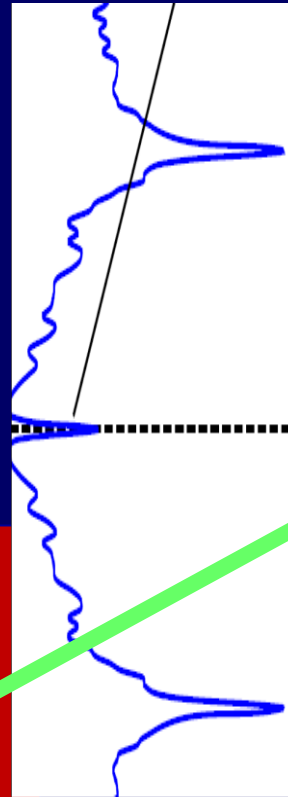
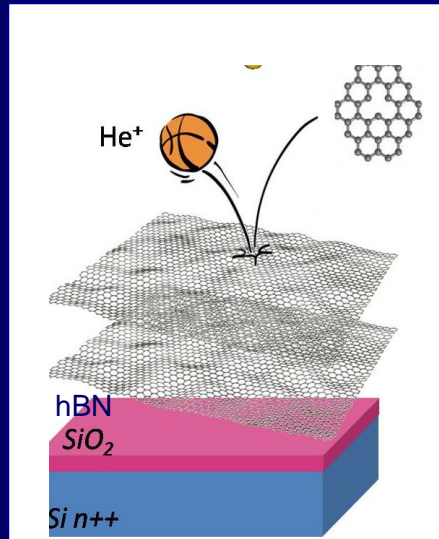
Charged vacancy



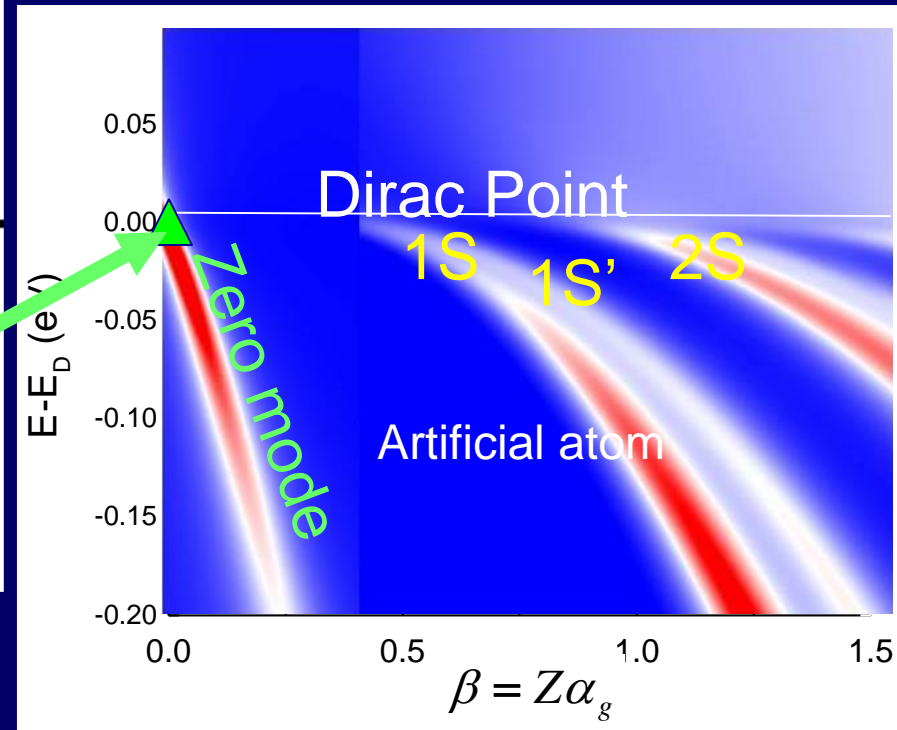
- ❖ Zero mode measures vacancy charge
- ❖ No zero mode in perfect graphene



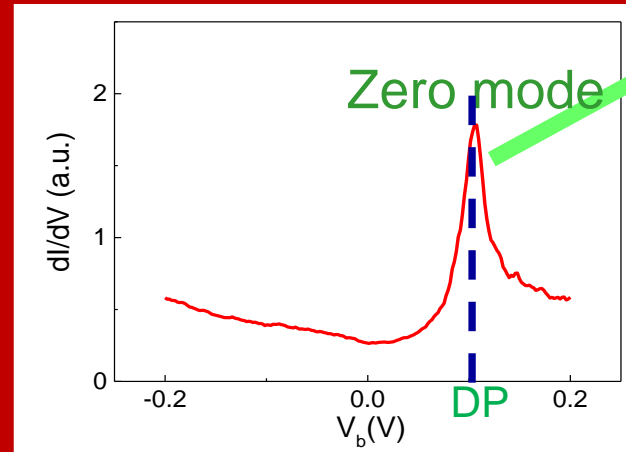
Vacancy in Graphene/hBN



J. Mao et al Nature Physics 2016



As prepared vacancy

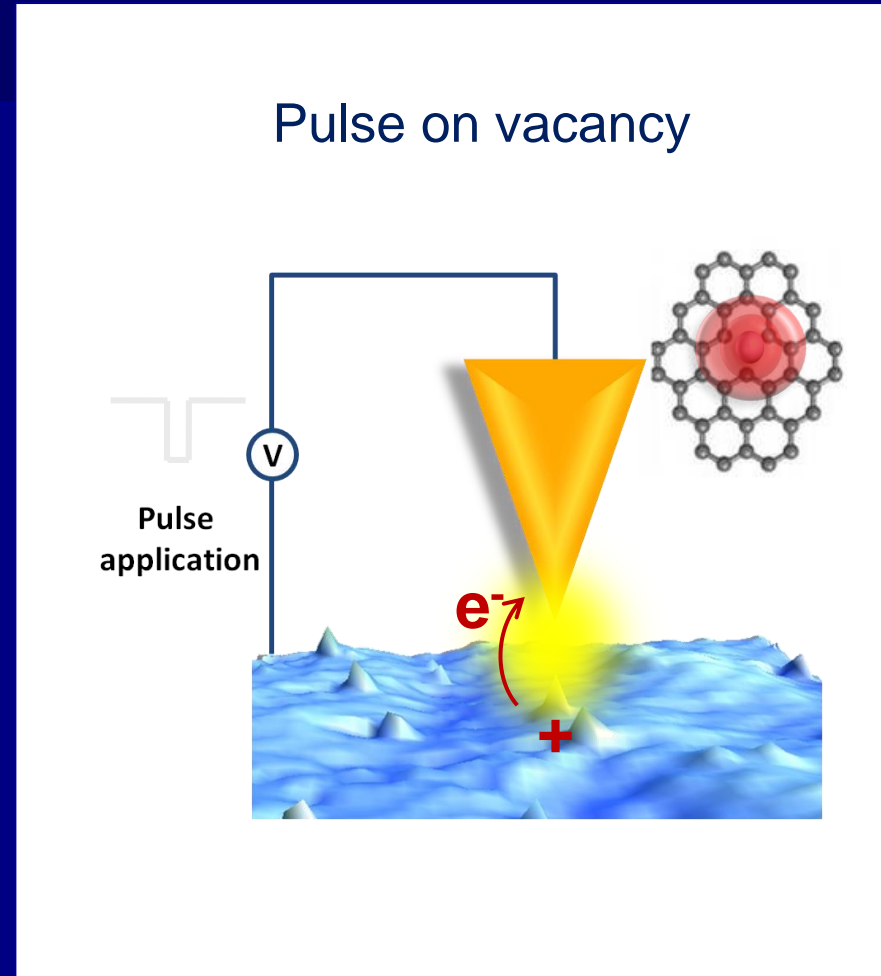
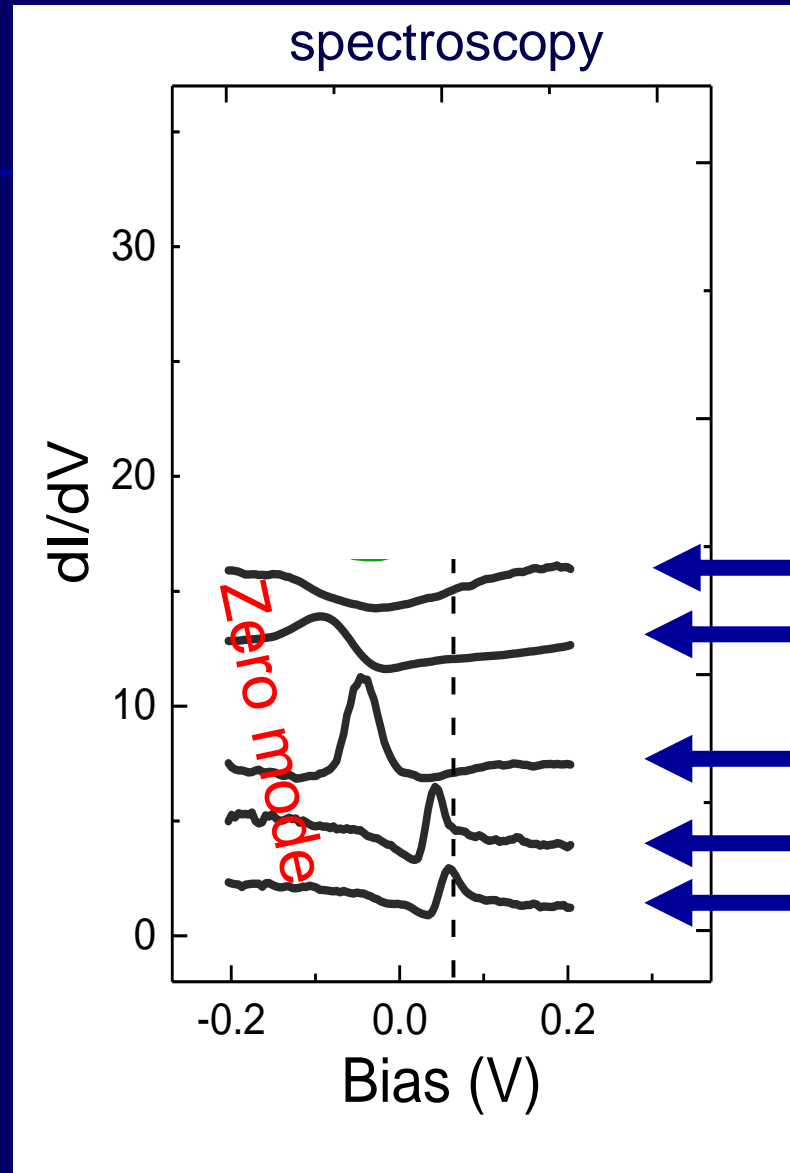


Negligibly small charge!

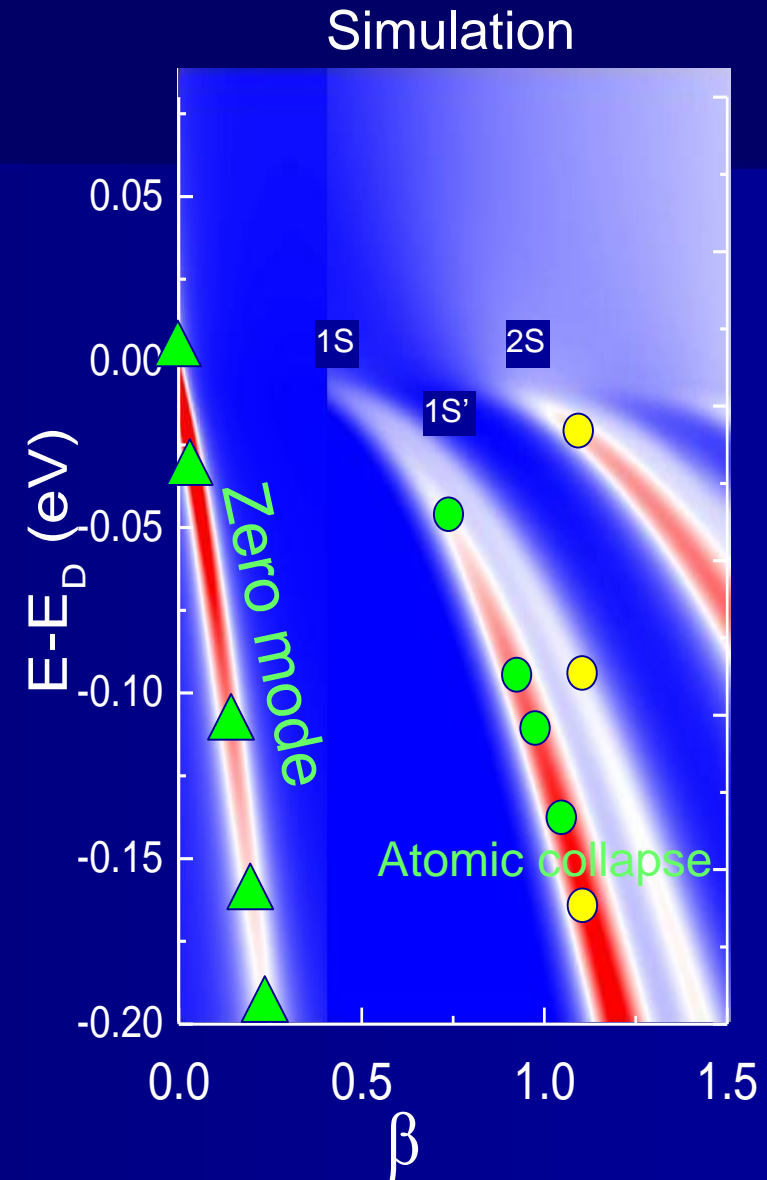
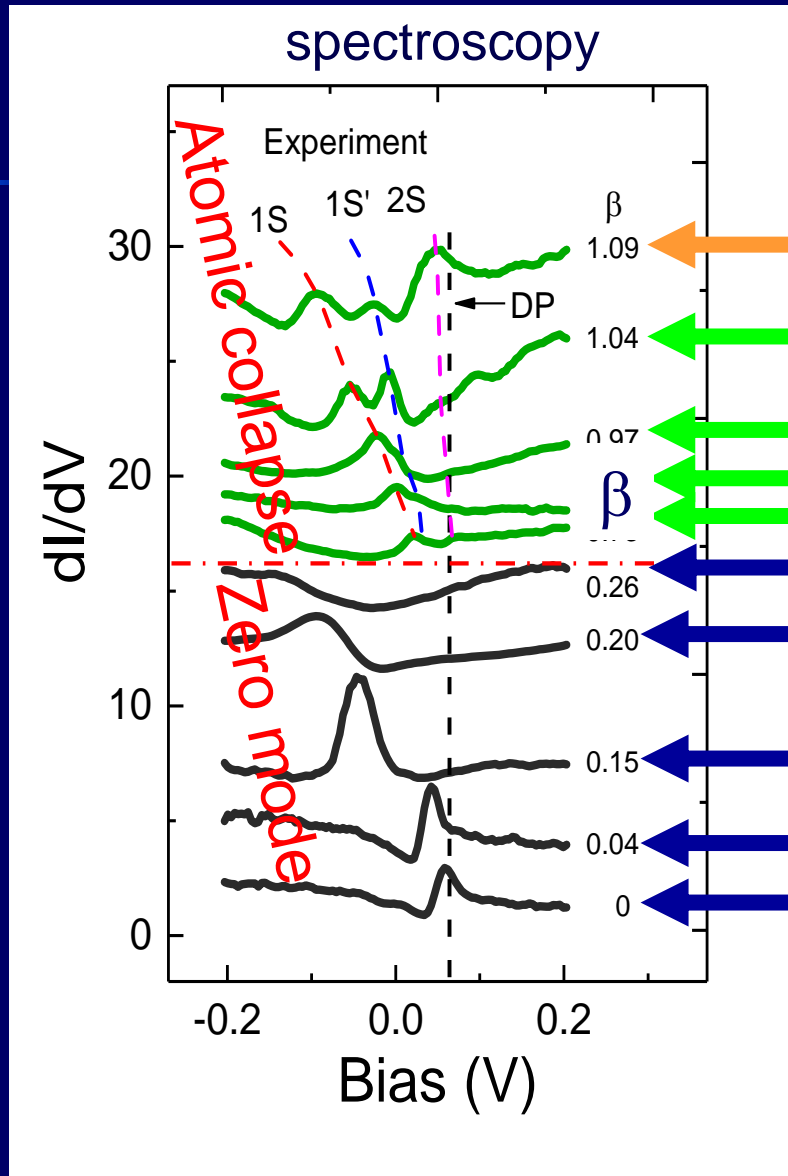
Zero mode measures vacancy charge



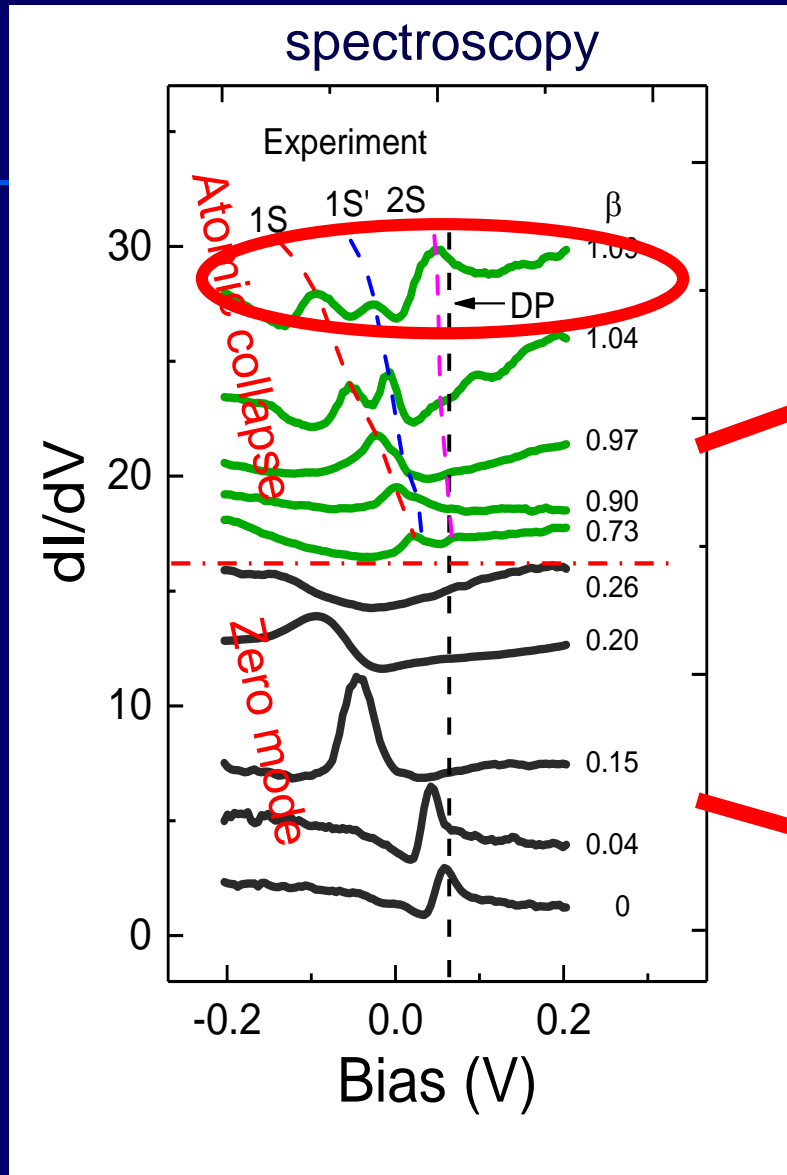
Charging a Vacancy



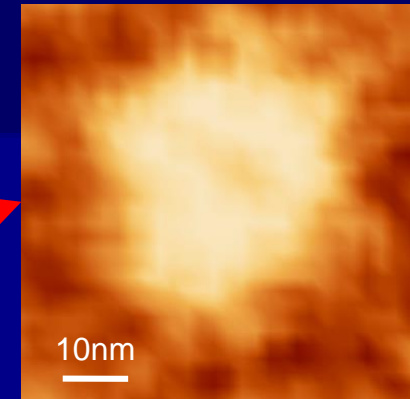
Charge Buildup



Charge Buildup



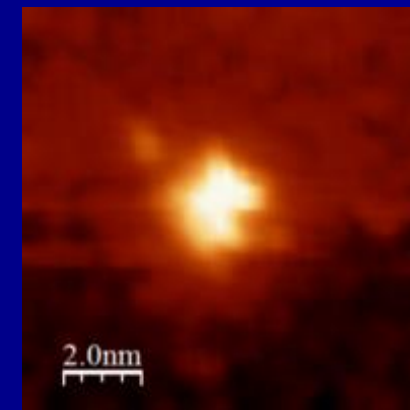
1S



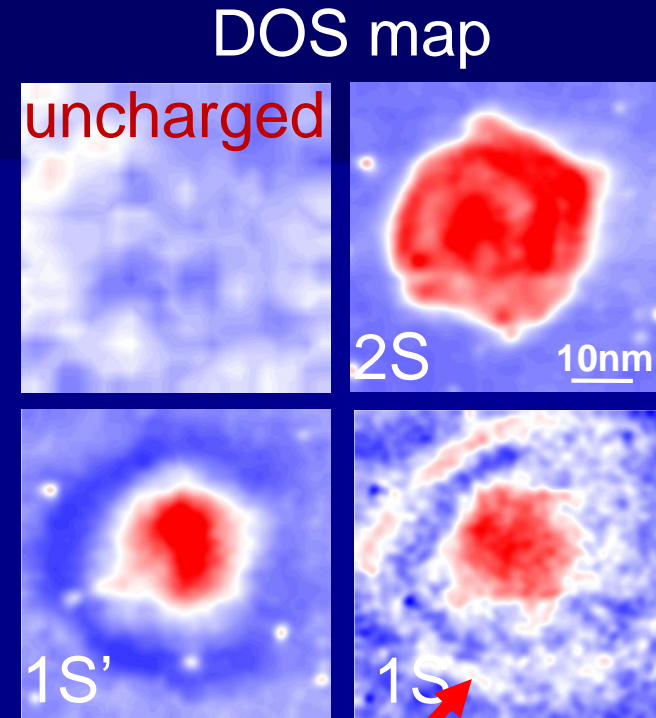
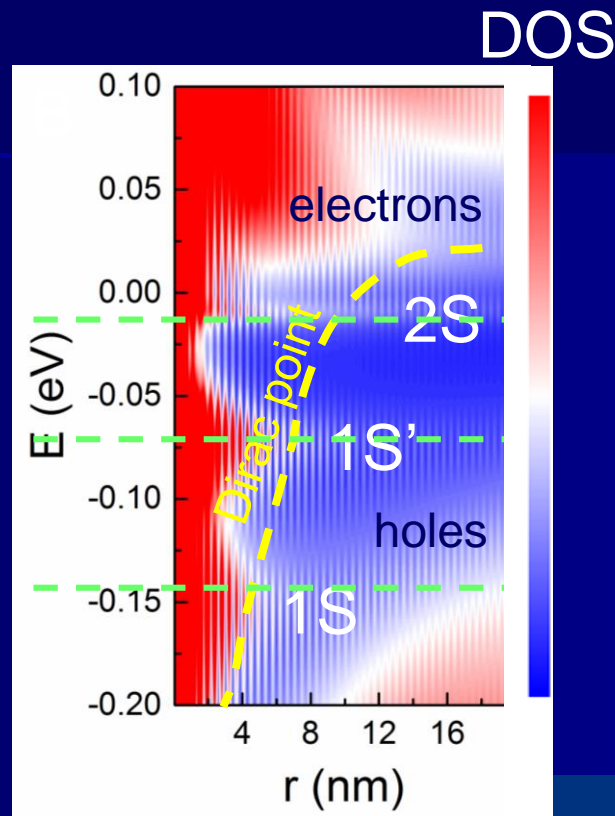
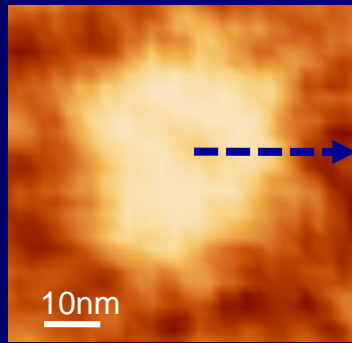
Collapse peaks extended $\sim 20\text{nm}$

ZM peak tightly localized $\sim 2\text{nm}$

Zero mode peak



Spatial Dependence - Artificial Atom



Captured electron state surrounded by halo of hole states

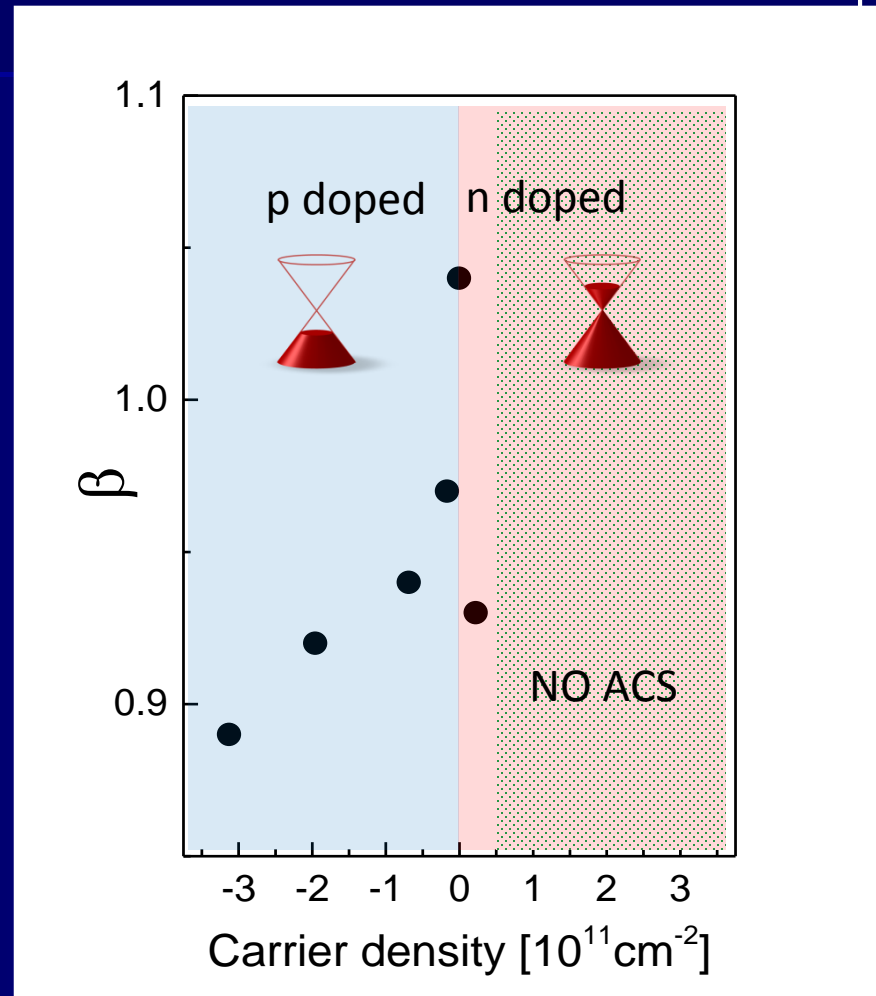
mechanism to confine electrons in graphene



Asymmetric Screening

J. Mao et al Nature Physics 2016

Gate dependence



Gate controlled switch for trapping and releasing carriers



Summary of part III

- QED Fine structure constant measures strength of electromagnetic interaction
- IN QED interactions is weak \mapsto periodic table could survive to $Z \sim 137$

$$\alpha_{QED} = \frac{e^2}{\hbar c} \approx \frac{1}{137}$$

$$Rydberg = \frac{Z^2 m e^4}{2 \hbar^2} = \frac{1}{2} m c^2 (Z \alpha)^2$$

- In graphene fine structure constant is large \mapsto strong interactions

$$\alpha_{graphene} = e^2 / \kappa \hbar v_F \approx 1$$

- “Atomic collapse” observable already for $Z \sim 1$

Question we can ask:
Physics at large α ?

