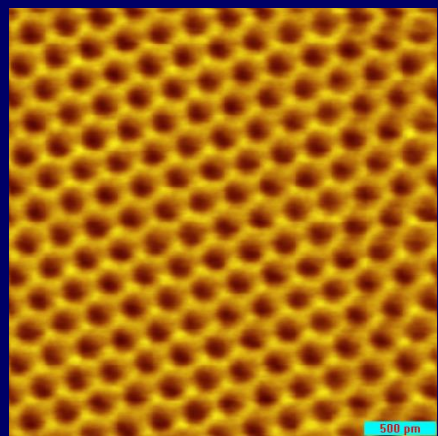
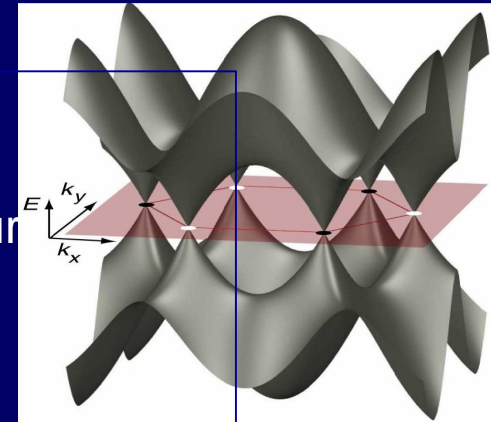


Electronic properties of Graphene and 2-D materials



- ❖ 2D materials – background
 - Carbon allotropes
 - Graphene Structure and Band structure
 - Electronic properties
- ❖ Electrons in a magnetic field
 - Onsager relation
 - Landau levels
 - Quantum Hall effect
- ❖ Engineering electronic properties
 - Kondo effect
 - Atomic collapse and artificial atom
 - Twisted graphene



Eva Y. Andrei
Rutgers University

Aug 27-29, 2018

Summer School on
Collective Behaviour in
Quantum Matter



Graphene: a theorists invention



PHYSICAL REVIEW VOLUME 71, NUMBER 9 MAY 1, 1947

The Band Theory of Graphite

P. R. WALLACE*
National Research Council of Canada, Chalk River Laboratory, Chalk River, Ontario
(Received December 19, 1946)

The structure of the electronic energy bands and Brillouin zones for graphite is developed using the "tight binding" approximation. Graphite is found to be a semi-conductor with zero activation energy, i.e., there are no free electrons at zero temperature, but they are created at higher temperatures by excitation to a band contiguous to the highest one which is normally filled. The electrical conductivity is treated with assumptions about the mean free path. It is found to be about 100 times as great parallel to as across crystal planes. A large and anisotropic diamagnetic susceptibility is predicted for the conduction electrons; this is greatest for fields across the layers. The volume optical absorption is accounted for.



PHYSICAL REVIEW VOLUME 109, NUMBER 2 JANUARY 15, 1971

Band Structure of Graphite*

J. C. SLONCZEWSKI† AND P. R. WEISS
Rutgers, The State University, New Brunswick, New Jersey



PHYSICAL REVIEW LETTERS NUMBER 26 24 DECEMBER 1984

Condensed-Matter Simulation of a Three-Dimensional Anomaly

Gordon W. Semenoff
The Institute for Advanced Study, Princeton, New Jersey 08540, and Department of Physics, (a) University of British Columbia, Vancouver, British Columbia V6T2A6, Canada
(Received 4 September 1984)

A condensed-matter analog of (2+1)-dimensional electrodynamics is constructed, and consequences of a recently discovered anomaly in such systems are discussed.

PHYSICAL REVIEW LETTERS VOLUME 61, NUMBER 18

Model for a Quantum Hall Effect without Landau Levels: Condensed-Matter Realization of the "Parity Anomaly"

F. D. M. Haldane
Department of Physics, University of California, San Diego, La Jolla, California 92093
(Received 16 September 1987)

A two-dimensional condensed-matter lattice model is presented which exhibits a nonzero quantization of the Hall conductance σ^{xy} in the absence of an external magnetic field. Massless fermions without spectral doubling occur at critical values of the model parameters, and exhibit the so-called "parity anomaly" of (2+1)-dimensional field theories.

No long range order in 2-Dimensions

David Mermin

Herbert Wagner



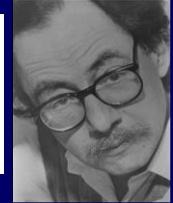
.. *long-range fluctuations can be created with little energy cost and since they increase the entropy they are favored.*

It is pointed out that a rigorous inequality first proved by Bogoliubov may be used to rule out the existence of quasi-averages (or long-range order) in Bose and Fermi systems for one and two dimensions and $T \neq 0$.

Commun. math. Phys. 31, 259--264 (1973)

There are no Goldstone Bosons
in Two Dimensions*

Sidney Coleman**



VOLUME 17, NUMBER 22 PHYSICAL REVIEW LETTERS 28 NOVEMBER 1966

ABSENCE OF FERROMAGNETISM OR ANTIFERROMAGNETISM
IN ONE- OR TWO-DIMENSIONAL ISOTROPIC HEISENBERG MODELS*

N. D. Mermin† and H. Wagner‡

Laboratory of Atomic and Solid State Physics, Cornell University, Ithaca, New York
(Received 17 October 1966)

It is rigorously proved that at any nonzero temperature, a one- or two-dimensional isotropic spin-S Heisenberg model with finite-range exchange interaction can be neither ferromagnetic nor antiferromagnetic. The method of proof is capable of excluding a variety of types of ordering in one and two dimensions.

J. Phys. C: Solid State Phys., Vol. 6, 1973. Printed in Great Britain. © 1973

Ordering, metastability and phase transitions in
two-dimensional systems

J M Kosterlitz and D J Thouless
Department of Mathematical Physics, University of Birmingham, Birmingham B15 2TT, UK



...continuous symmetries cannot be spontaneously broken at finite temperature in systems with sufficiently short-range interactions in dimensions $D \leq 2$.

No long range order in 2D

... *No Magnets*
... *No superfluids*
... *No superconductors*

... *No 2D crystals*

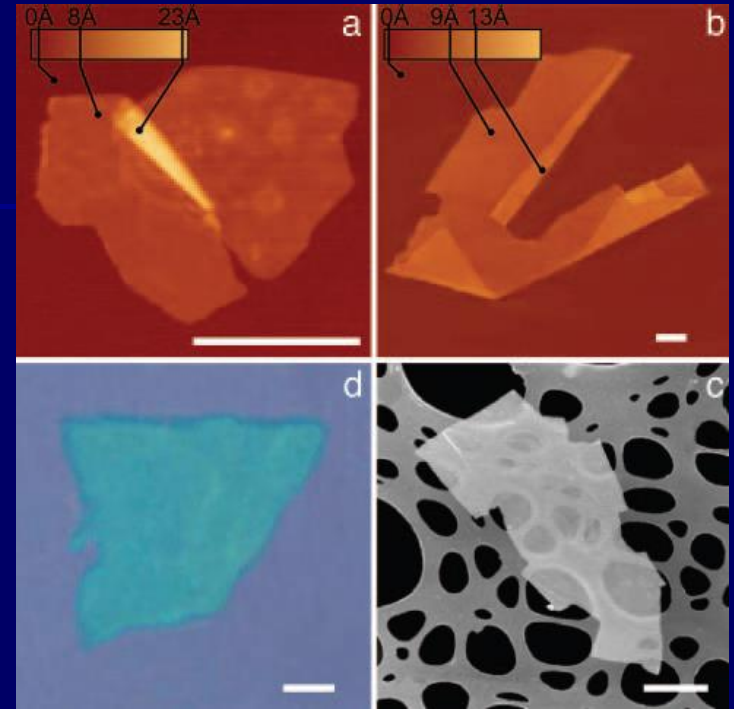
E.Y. Andrei



First 2D materials



Graphene, hBN, MoS₂,
NbSe₂, Bi₂Sr₂CaCu₂O_x,



Two-dimensional atomic crystals

K. S. Novoselov*, D. Jiang*, F. Schedin*, T. J. Booth*, V. V. Khotkevich*, S. V. Morozov†, and A. K. Geim**

*Centre for Mesoscience and Nanotechnology and School of Physics and Astronomy, University of Manchester, Manchester M13 9PL, United Kingdom; and †Institute for Microelectronics Technology, Chernogolovka 142432, Russia

Edited by T. Maurice Rice, Swiss Federal Institute of Technology, Zurich, Switzerland, and approved June 7, 2005 (received for review April 6, 2005)

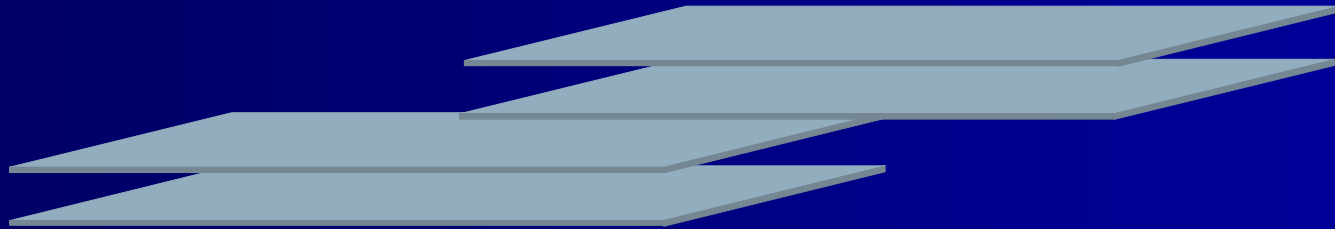
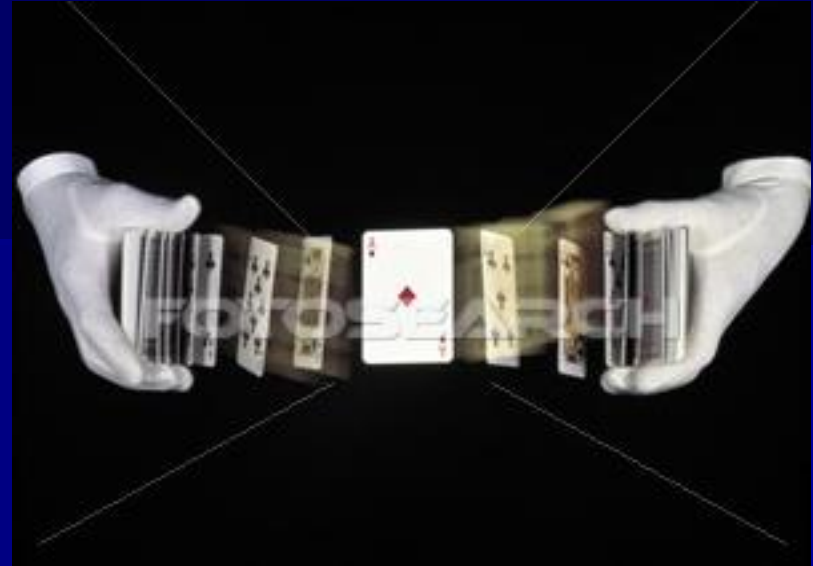
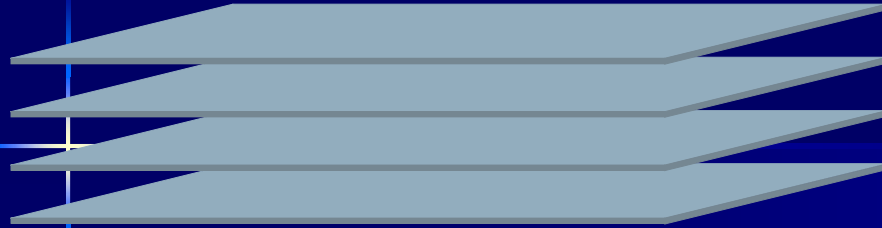
We report free-standing atomic crystals that are strictly 2D and can be viewed as individual atomic planes pulled out of bulk crystals or as unrolled single-wall nanotubes. By using micromechanical cleavage, we have prepared and studied a variety of 2D crystals including single layers of boron nitride, graphite, several dichalcogenides, and complex oxides. These atomically thin sheets (essentially gigantic 2D molecules unprotected from the immediate environment) are stable under ambient conditions, exhibit high crystal quality, and are continuous on a macroscopic scale.

wafer (Fig. 1d), because even a monolayer adds up sufficiently to the optical path of reflected light so that the interference color changes with respect to the one of an empty substrate (phase contrast). The whole procedure takes literally half an hour to implement and identify probable 2D crystallites. Their further analysis was done by atomic force microscopy (AFM), for which single-layer crystals were selected as those exhibiting an apparent (12) thickness of approximately the interlayer distance in the corresponding 3D crystals.



Can We Cheat Nature?

ANY LAYERED MATERIAL



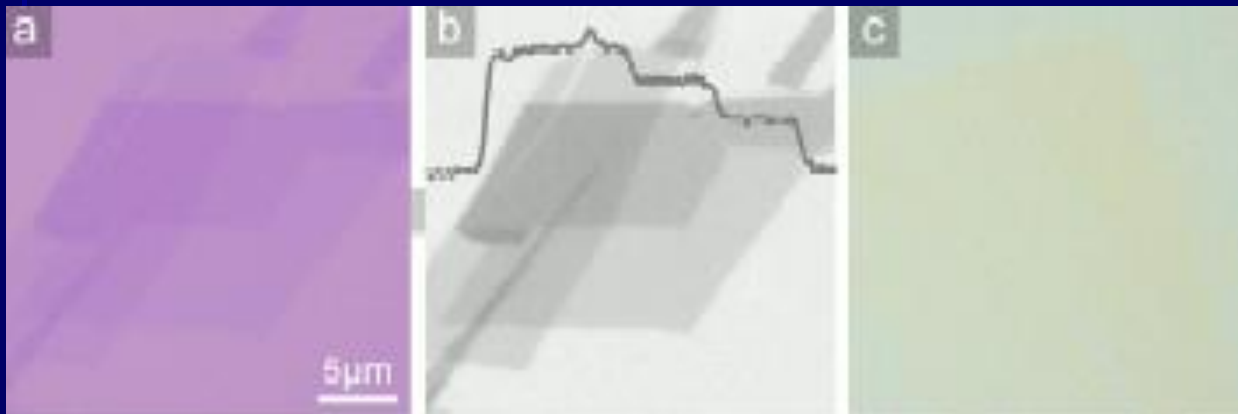
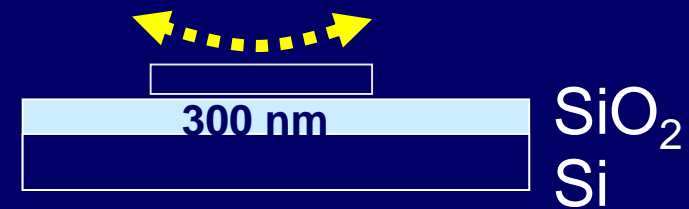
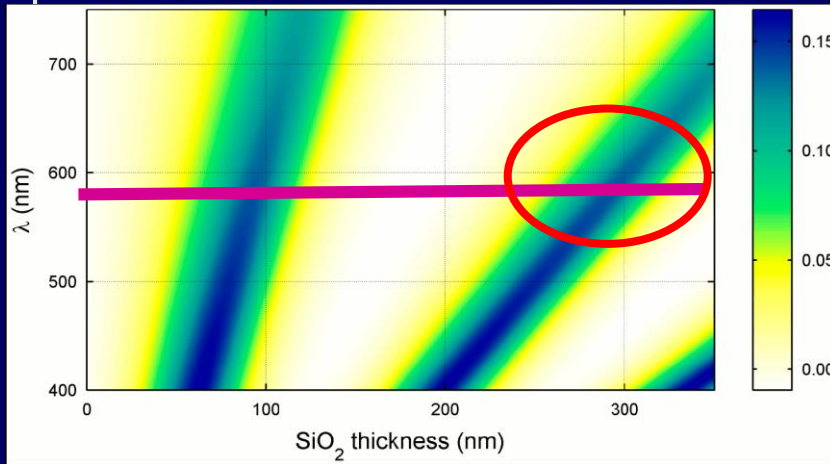
SLICE DOWN TO
ONE ATOMIC PLANE?



Making graphene

P. Blake et.al, 2007
D. S. L. Abergel 2007
S. Roddaro et. al Nano Letters 2007

Novoselev et al (2005)



300nm oxide
White light

300nm oxide
560nm green light

200nm oxide
White light



K. Novoselov

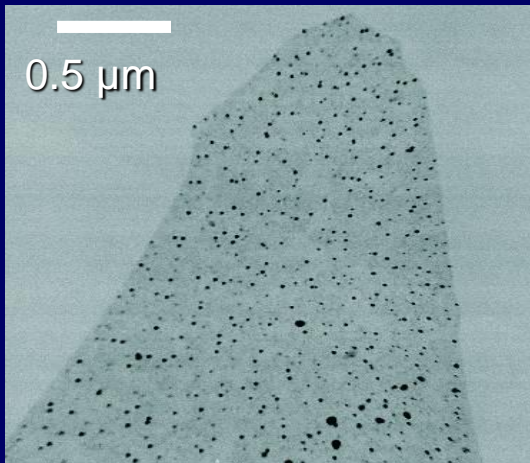
Andre Geim

E.Y. Andrei

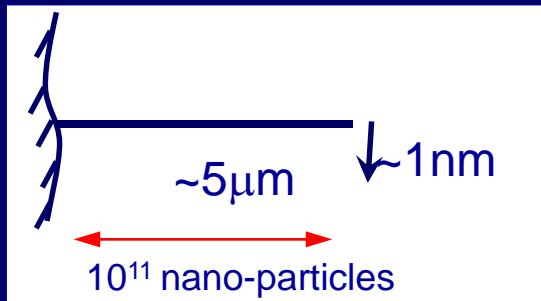


Properties: Mechanical

Unsupported graphene
with Cu particles

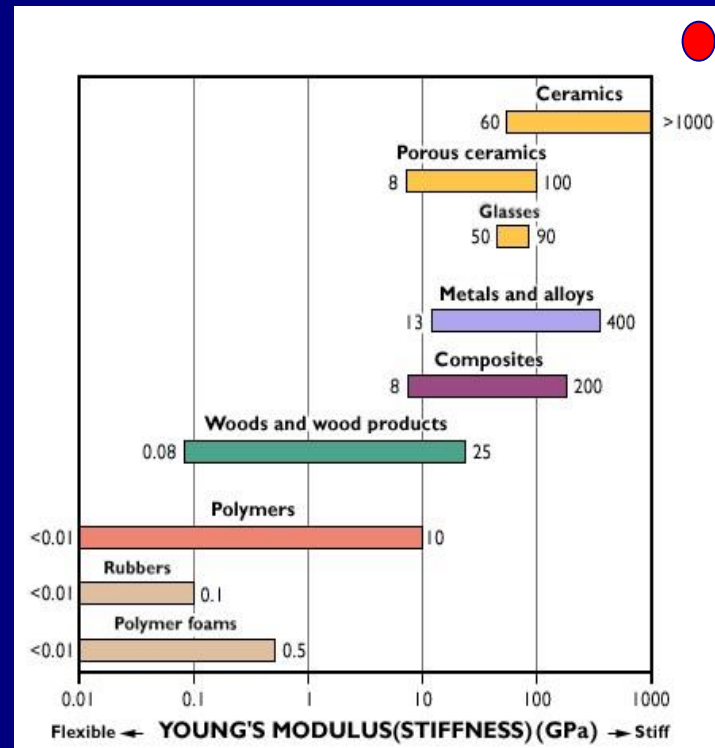


Young's modulus $E \sim 2\text{TPa}$
Stiffest material



T. Booth et al, Nano Letters '08

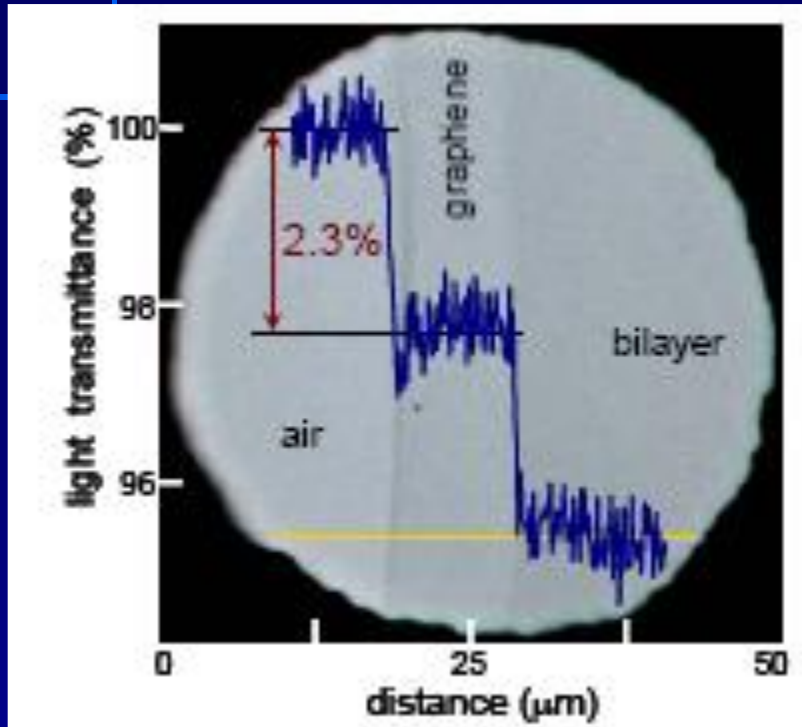
- Young's modulus $\sim 2\text{TPa}$



graphene



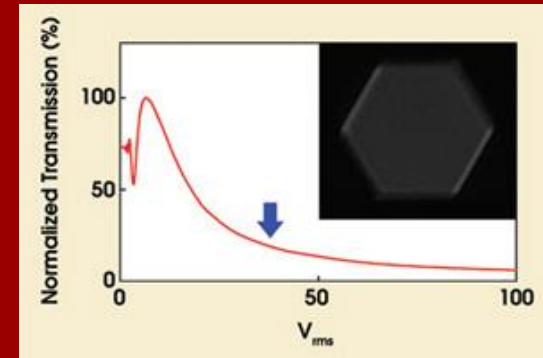
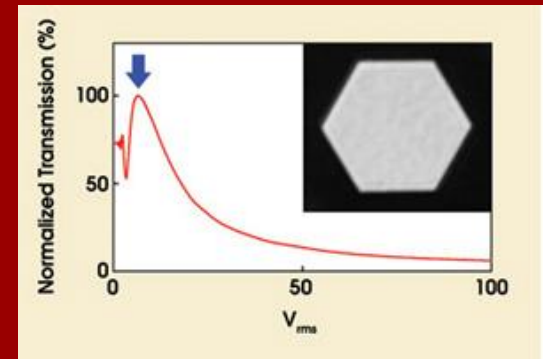
Properties: Optical



Transmittance at Dirac point:

$$T = 1 - \alpha\pi = 97.2\%$$

R.R. Nair et al, Science (2008).

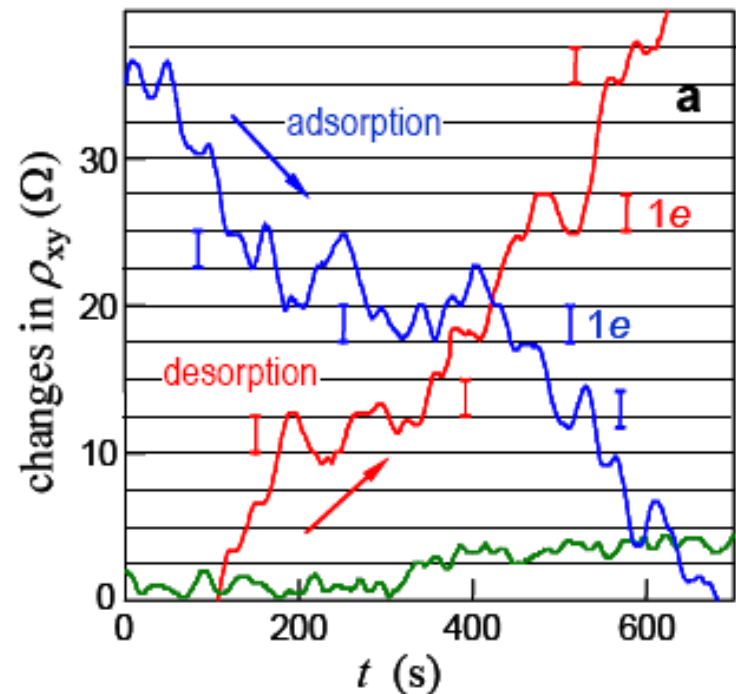
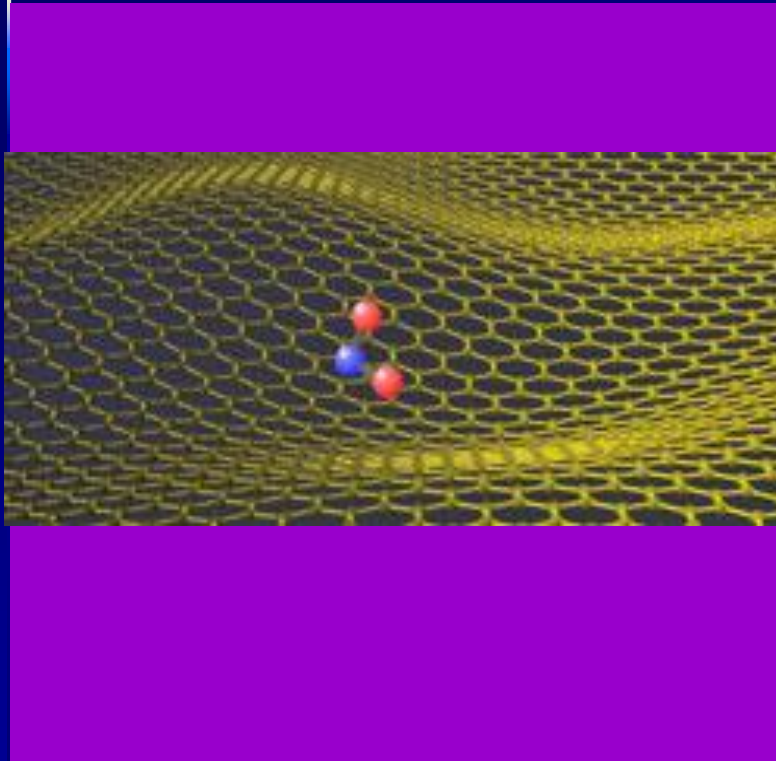


P. Blake et al, Nano Letters, '08



Properties: Chemical

Single molecule detection
 NO_2 , NH_3 , CO

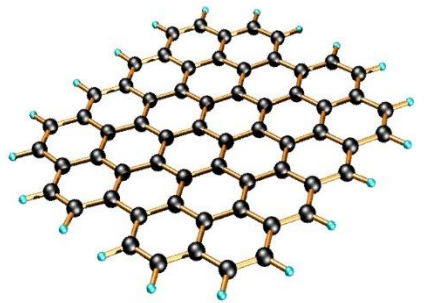


F Schedin *et al*, *Nature Materials* '07



2D Building Blocks

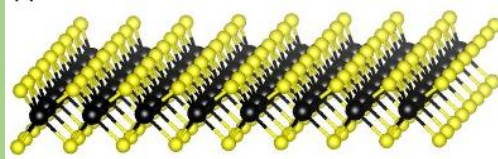
Graphene family



E.g.: Graphene, hBN, Silicene, Germanene, Stanene.

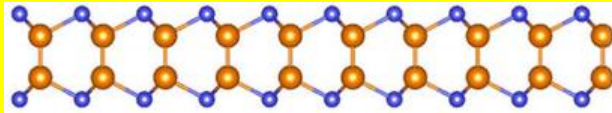
- About 40 2D materials are currently known.
- About half of them have been isolated.
- Others shown to be stable using simulations.

Transition Metal Dichalcogenides (TMDCs)



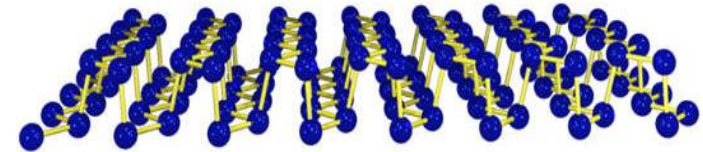
E.g.: MoS_2 , WSe_2 , NbS_2 , TaS_2

Group 13 Monochalcogenides



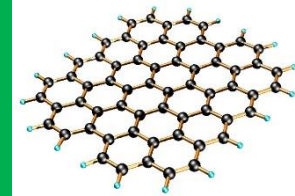
E.g.: GaS , InSe

Phosphorene family



E.g.: Phosphorene, SnS , GeS , SnSe , GeSe

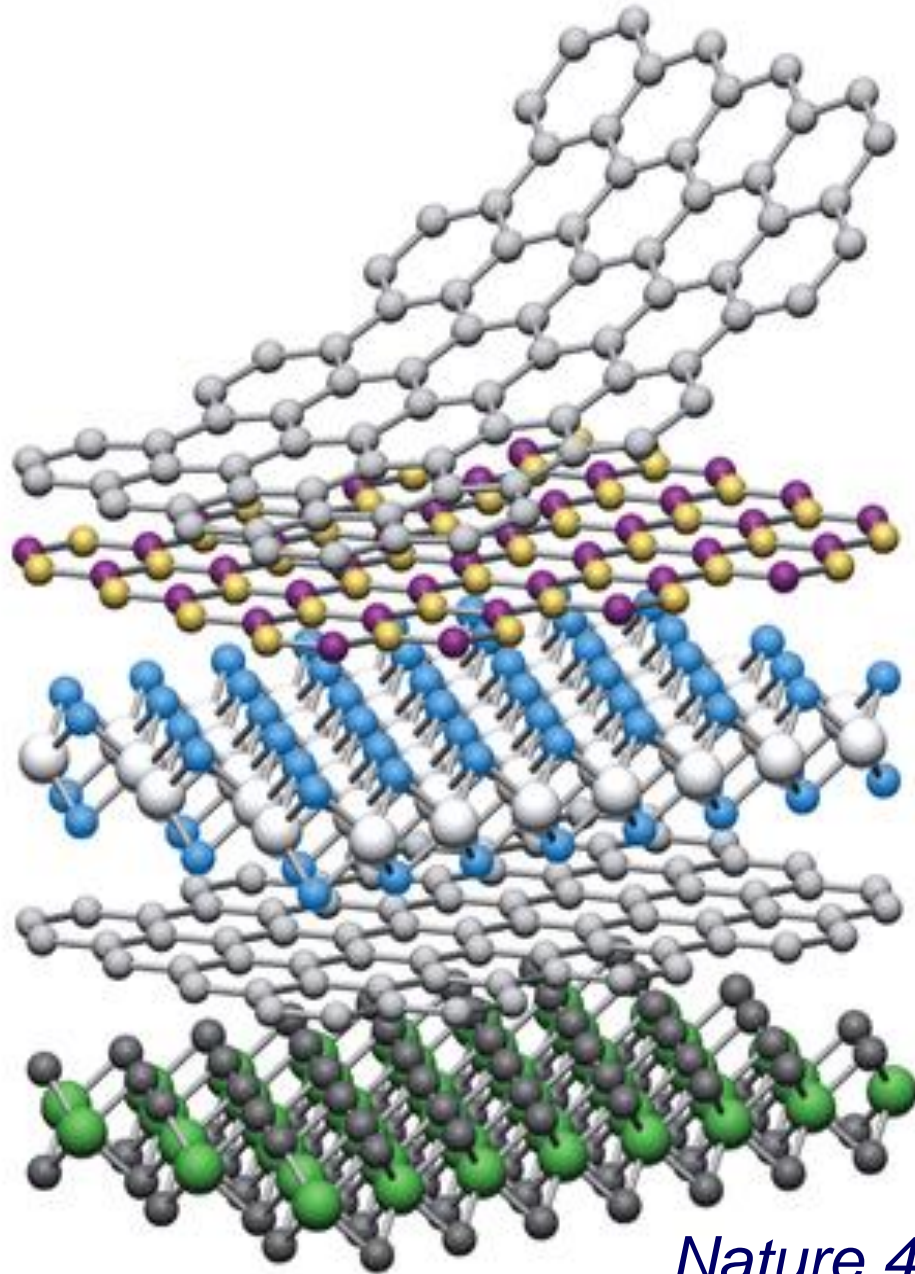
Group 2 Monochalcogenides

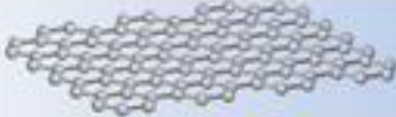

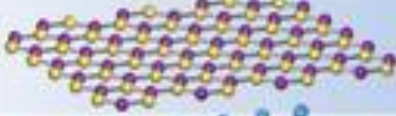





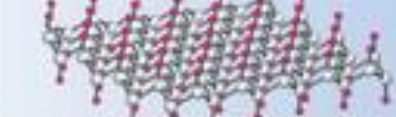



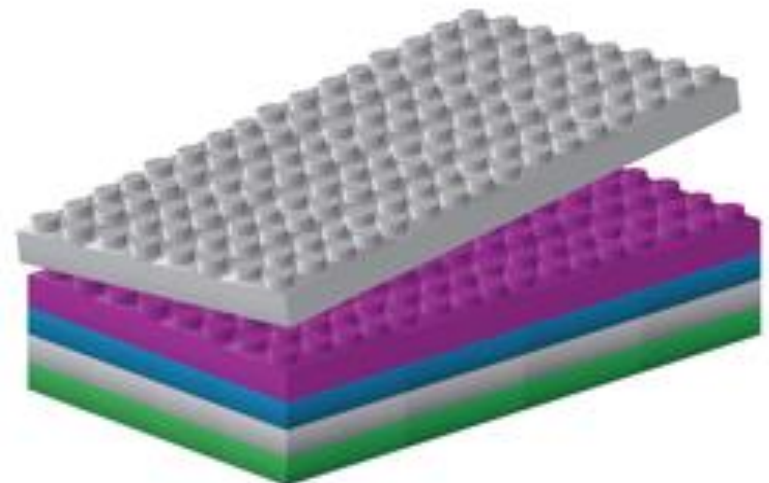
E.g.: BaS , CaS , MgS



Van der Waals heterostructures

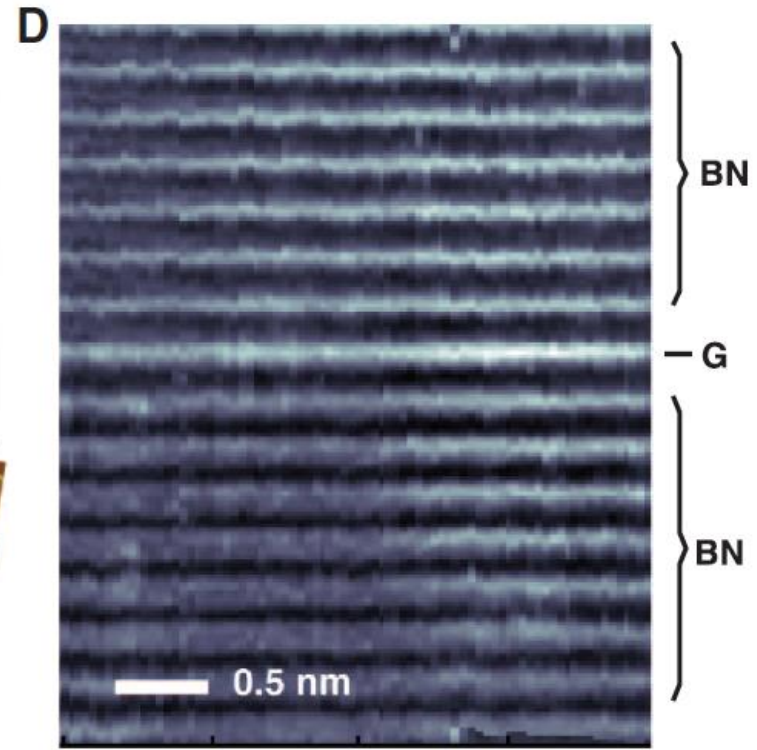
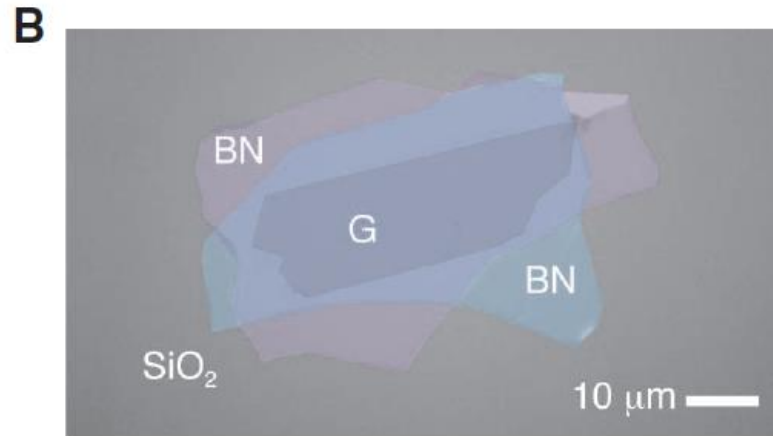
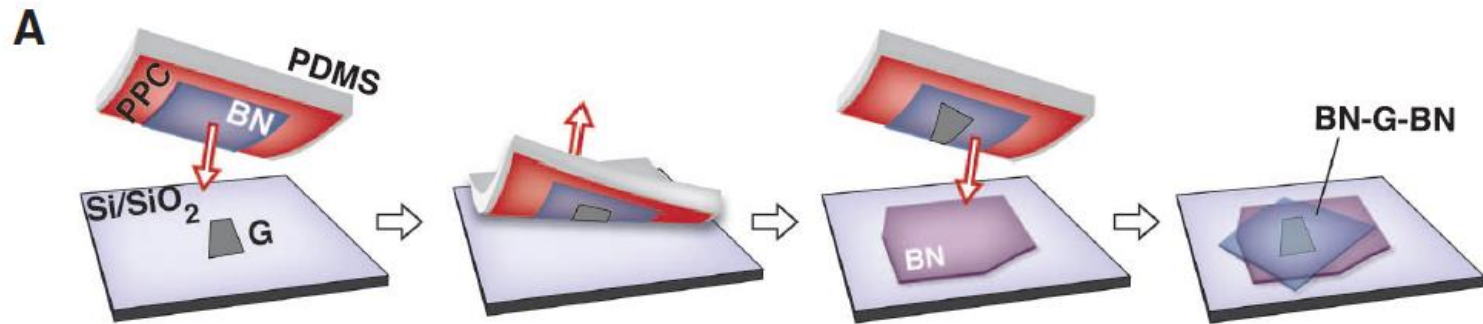


	Graphene	
	hBN	
	MoS ₂	
	WSe ₂	
	Fluorographene	



Nature 499, 419 (2013)

Stacking 2D Layers



The most important element in nature

Periodic Table of the Elements

I	II											III	IV	V	VI	VII	0
H ¹																	He ²
Li ³	Be ⁴	Transition Metals										B ⁵	C ⁶	N ⁷	O ⁸	F ⁹	Ne ¹⁰
Na ¹¹	Mg ¹²	IIIB	IVB	VB	VIB	VII B	VIII B			IB	IIB	Al ¹³	Si ¹⁴	P ¹⁵	S ¹⁶	Cl ¹⁷	Ar ¹⁸
K ¹⁹	Ca ²⁰	Sc ²¹	Ti ²²	V ²³	Cr ²⁴	Mn ²⁵	Fe ²⁶	Co ²⁷	Ni ²⁸	Cu ²⁹	Zn ³⁰	Ga ³¹	Ge ³²	As ³³	Se ³⁴	Br ³⁵	Kr ³⁶
Rb ³⁷	Sr ³⁸	Y ³⁹	Zr ⁴⁰	Nb ⁴¹	Mo ⁴²	Tc ⁴³	Ru ⁴⁴	Rh ⁴⁵	Pd ⁴⁶	Ag ⁴⁷	Cd ⁴⁸	In ⁴⁹	Sn ⁵⁰	Sb ⁵¹	Te ⁵²	I ⁵³	Xe ⁵⁴
Cs ⁵⁵	Ba ⁵⁶	57-71	Hf ⁷²	Ta ⁷³	W ⁷⁴	Re ⁷⁵	Os ⁷⁶	Ir ⁷⁷	Pt ⁷⁸	Au ⁷⁹	Hg ⁸⁰	Tl ⁸¹	Pb ⁸²	Bi ⁸³	Po ⁸⁴	At ⁸⁵	Rn ⁸⁶
Fr ⁸⁷	Ra ⁸⁸	89-103	Rf ¹⁰⁴	Ha ¹⁰⁵	106	107	108	109									

Lanthanides

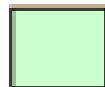
57	58	59	60	61	62	63	64	65	66	67	68	69	70	71
La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu

Actinides

89	90	91	92	93	94	95	96	97	98	99	100	101	102	103
Ac	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr



Metal



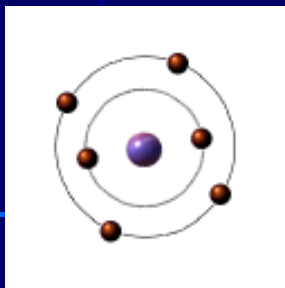
Metalloid



Nonmetal



Carbon chemical bonds



Carbon: $Z=6$
4 valence electrons $2s^2 2p^2$

3s, 3p, 3d
(18 states)

2s, 2p
(8 states)

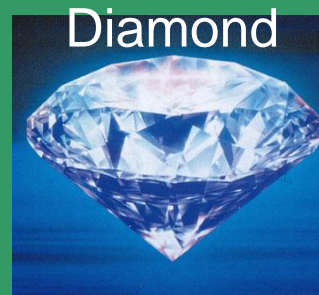
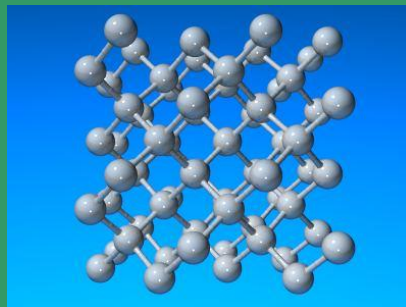
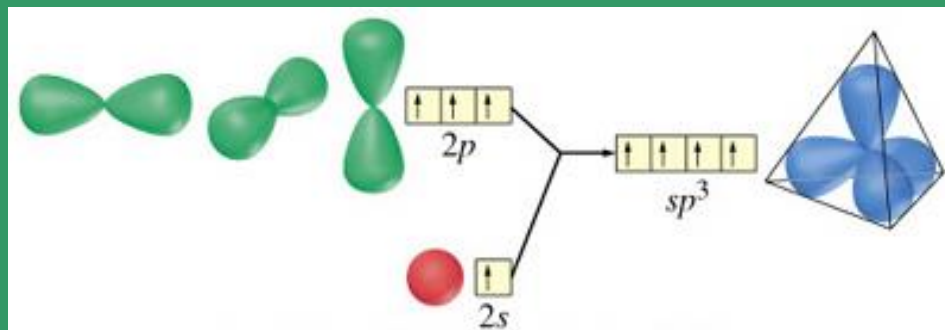
1s
(2 states)

sp^3

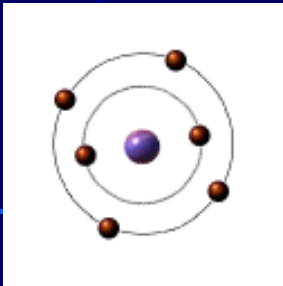
$2s + 2p_x + 2p_y + 2p_z$ hybridize



4 sp^3 orbitals: tetrahedron



Carbon chemical bonds

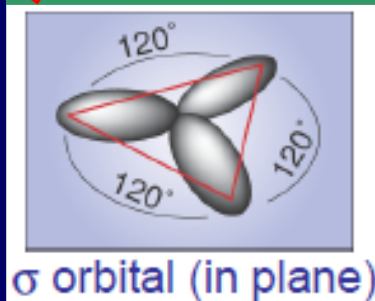
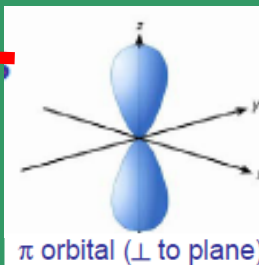
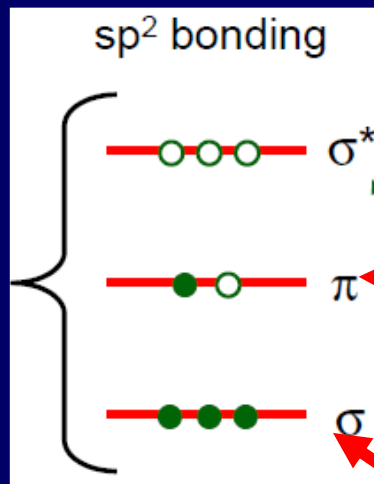


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3s, 3p, 3d
(18 states)

2s, 2p
(8 states)

1s
(2 states)



sp²

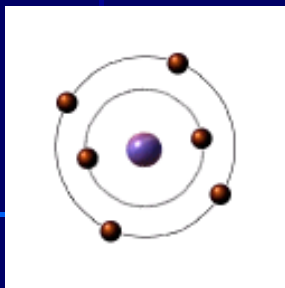
$2S + 2p_x + 2p_y$ hybridize

3 planar σ orbitals: tetragon
1 Out of plane $2p_z$ [" π " orbital]

π electrons allow
conduction

σ bonds: 2D and
exceptional rigidity

Carbon chemical bonds

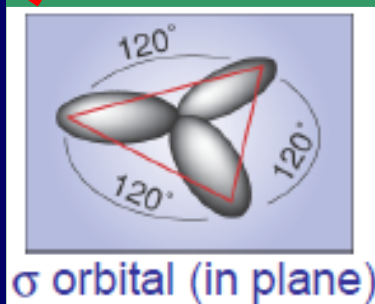
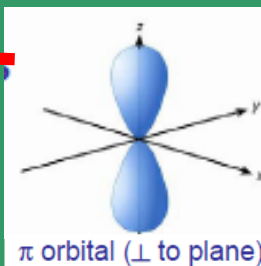
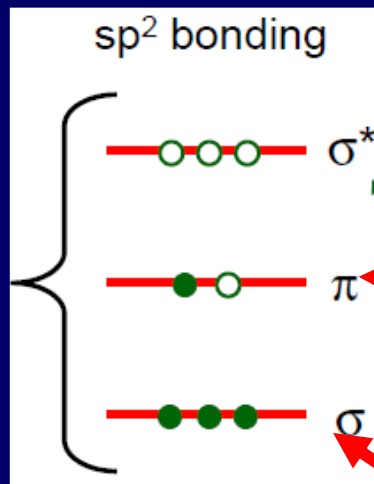


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3s, 3p, 3d
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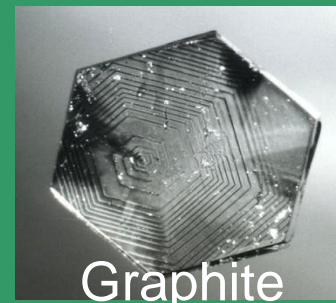
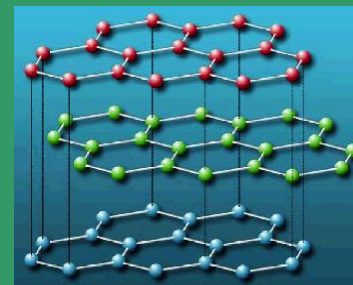
1s
(2 states)



sp²

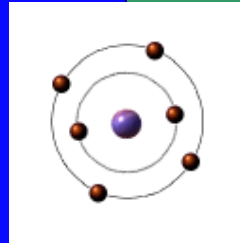
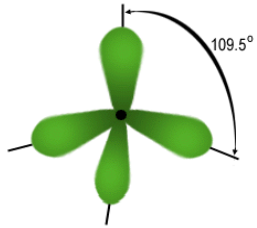
$2S + 2p_x + 2p_y$ hybridize

3 planar σ orbitals: tetragon
1 Out of plane $2p_z$ [" π " orbital]

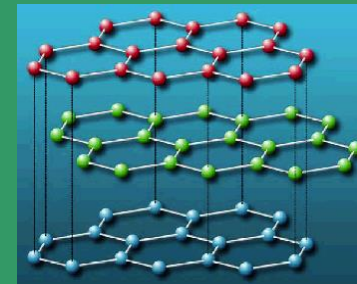
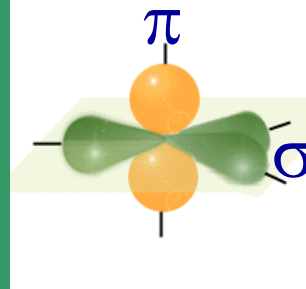


Carbon allotropes

sp^3

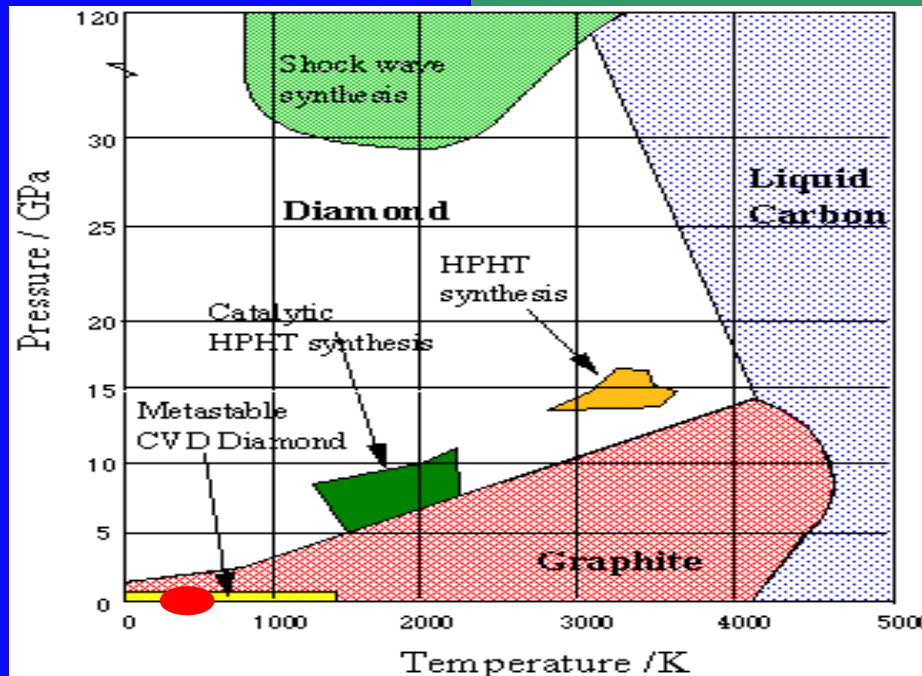


sp^2



Diamond

Graphite

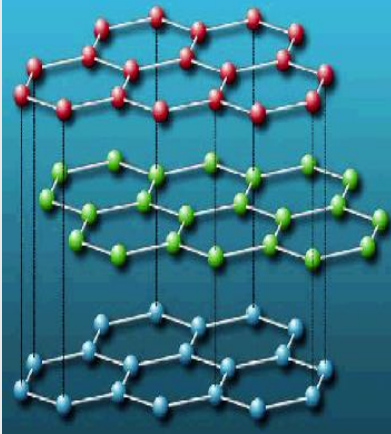


➤ Diamond is Metastable in ambient conditions!!

Carbon Allotropes

sp^2

3D

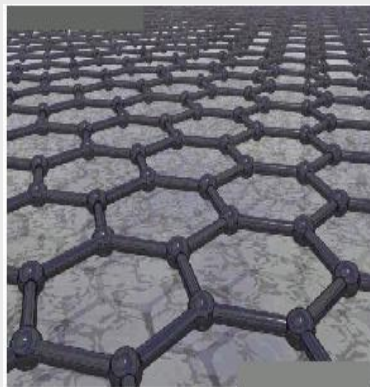


Graphite ~16 century



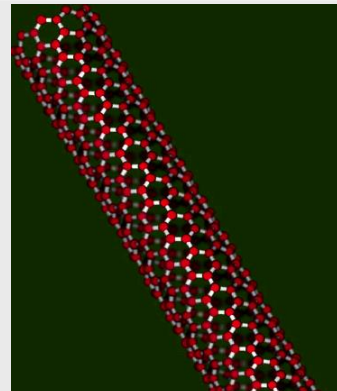
2010

2D



Graphene
Single -layer 2005

“1D”

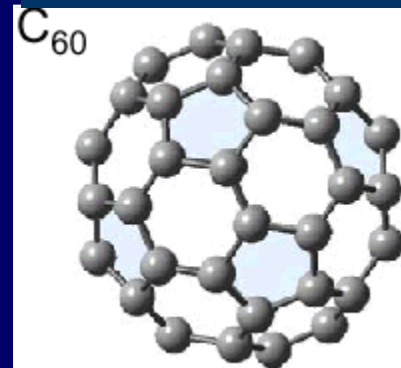


Carbon nanotube
Multi-wall 1991
Single wall 1993



1996

“0D”



Buckyball
1985

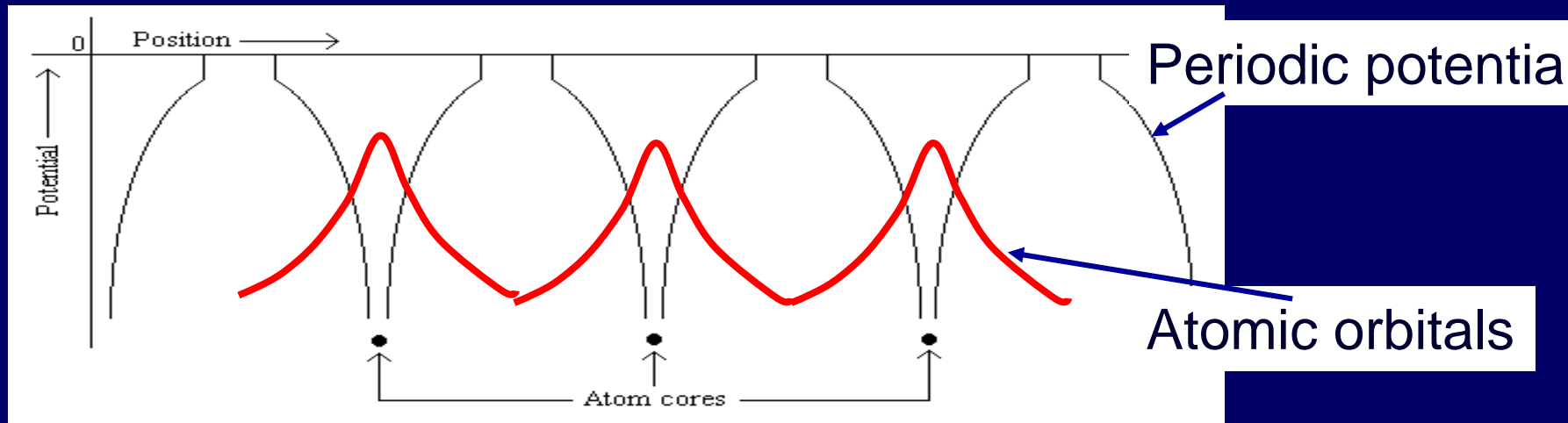


Graphene

- ❖ 2D materials – background
 - Carbon allotropes
 - Graphene Structure and Band structure**
 - Electronic properties
- ❖ Electrons in a magnetic field
 - Onsager relation
 - Landau levels
 - Quantum Hall effect
- ❖ Engineering electronic properties
 - Kondo effect
 - Atomic collapse and artificial atom
 - Twisted graphene



Tight binding model



Eigenstates of the isolated atom:

$$H_{at} |\varphi_\alpha\rangle = E_\alpha |\varphi_\alpha\rangle$$

- Overlaps between orbitals \mapsto corrections to system Hamiltonian

$$H(r) = \sum_R H_{at}(r - R) + \Delta U(r)$$

$$H |\psi_k\rangle = E_k |\psi_k\rangle$$

- Tight binding \mapsto small overlaps \mapsto small corrections ΔU



Tight binding model

Build Bloch waves out of atomic orbitals (Bravais lattice!)

$$|\psi_{k\alpha}(\mathbf{r})\rangle = \sum_{\mathbf{R}_j} e^{i\mathbf{k}\cdot\mathbf{R}_j} |\varphi_\alpha(\mathbf{r} - \mathbf{R}_j)\rangle$$

Lattice vector

Solutions have to satisfy:

1. Normalization

$$\langle\psi_k|\psi_k\rangle = 1$$

2. Eigenstate of the Hamiltonian

$$\langle\psi_k|H|\psi_k\rangle = E_k$$

3. Algebra \mapsto Find Solutions in terms of:

• Nearest neighbor transfer (hopping) integral

$$\langle\varphi_i|H|\varphi_j\rangle = t_{ij}$$

• Nearest neighbour overlap integral

$$\langle\varphi_i|\varphi_j\rangle = s_{ij}$$

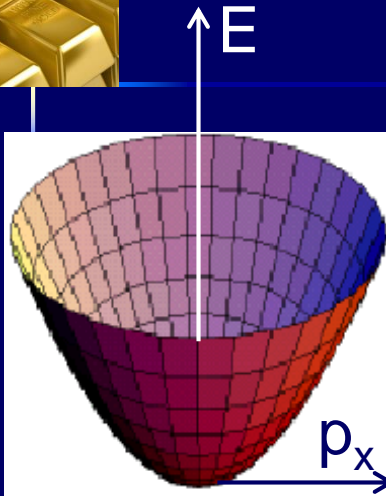
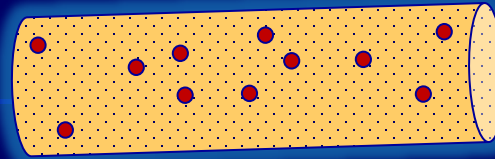
$$H = \sum_{\langle i,j\rangle} t_{ij}c_i^\dagger c_j + h.c.$$



Band Structure

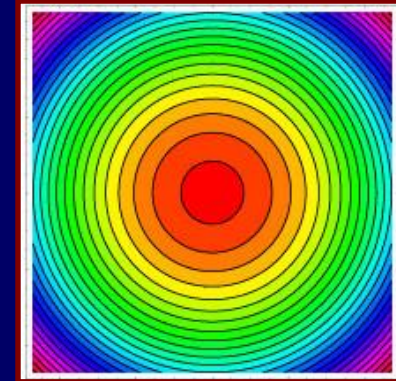


Simple metal

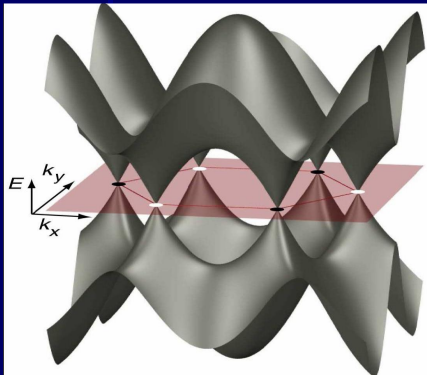


Bravais lattice
➤ **Parabolic dispersion**

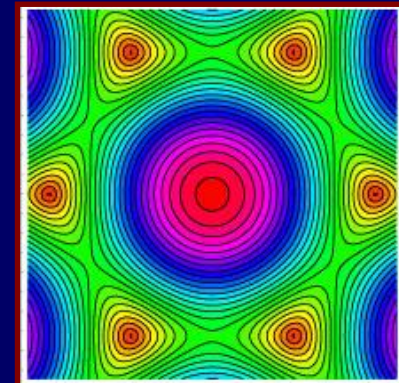
$$E = \frac{p^2}{2m^*}$$



How is graphene different?



honeycomb lattice Not Bravais
➤ **strong diffraction by lattice**
➔ **unconventional dispersion**

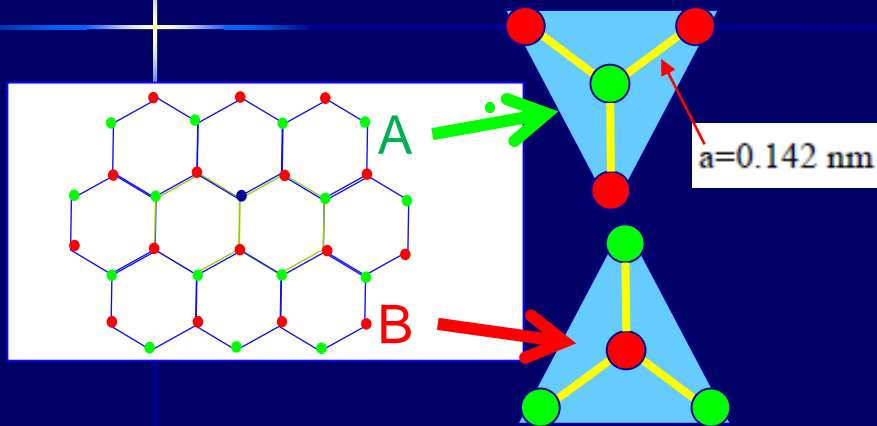


Wallace, 1947



Graphene honeycomb lattice

1. 2D
2. Honeycomb structure (non-Bravais)
3. 2 identical atoms/cell

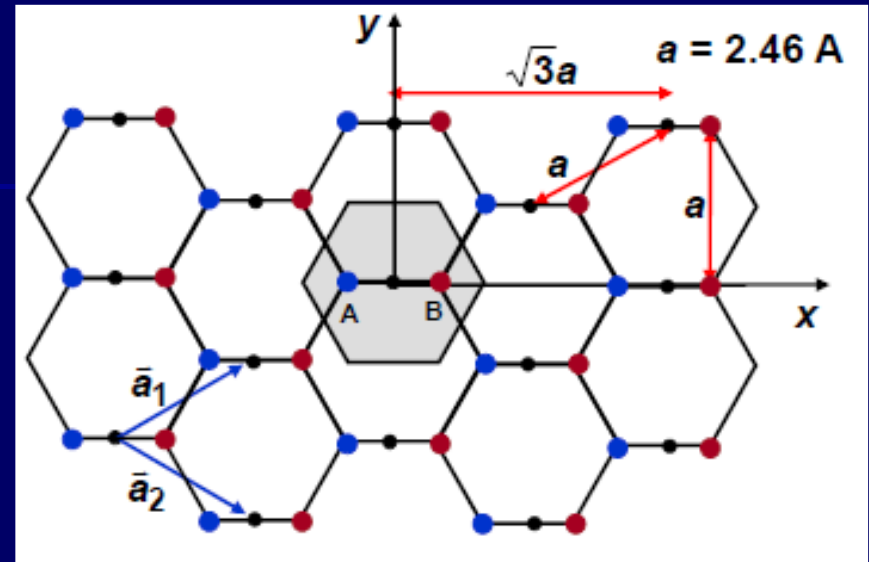
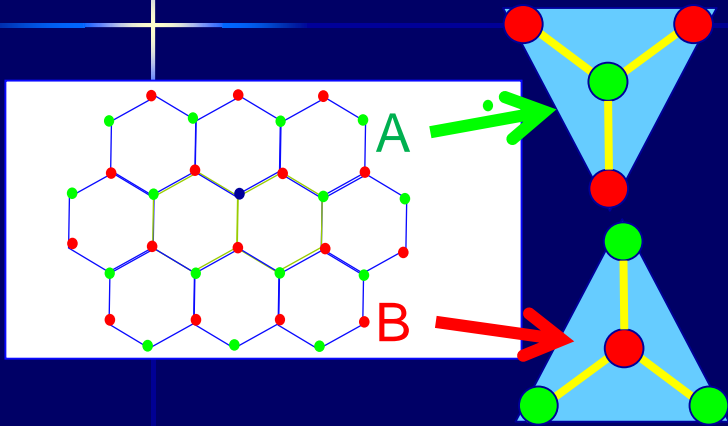


2 interpenetrating Bravais (triangular) lattices



Honeycomb lattice - two sets of Bloch functions

1. 2D
2. Honeycomb structure (non-Bravais)
3. 2 identical atoms/cell

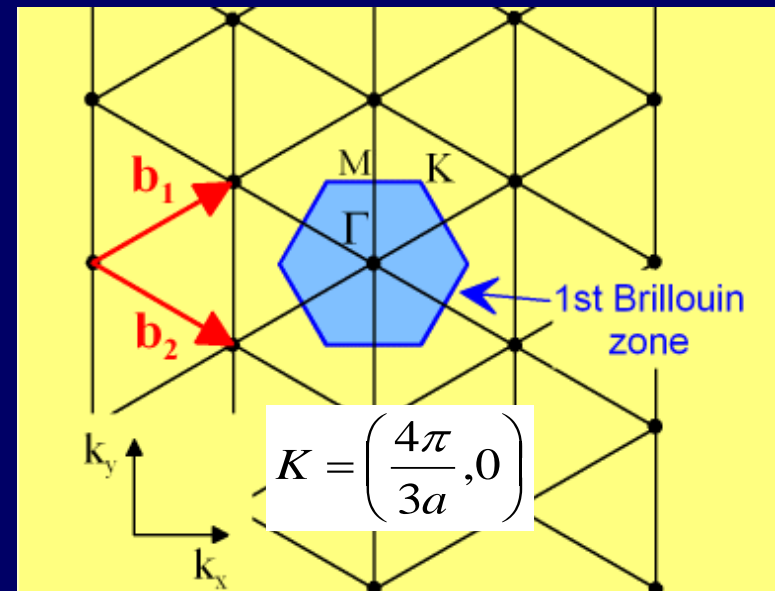


$$\Phi_A(\vec{k}, \vec{r}) = \frac{1}{\sqrt{N}} \sum_{\vec{R}_A}^N e^{i\vec{k} \cdot \vec{R}_A} \varphi_A(\vec{r} - \vec{R}_A)$$

$$\Phi_B(\vec{k}, \vec{r}) = \frac{1}{\sqrt{N}} \sum_{\vec{R}_B}^N e^{i\vec{k} \cdot \vec{R}_B} \varphi_B(\vec{r} - \vec{R}_B)$$

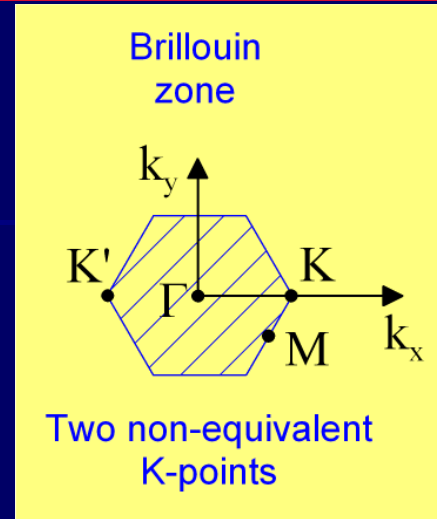
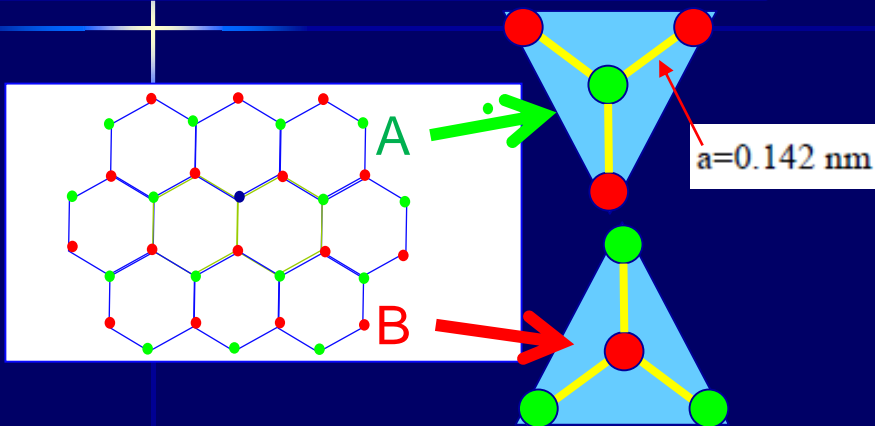
sum over all type
B atomic sites
in N unit cells

atomic
wavefunction



Honeycomb lattice - two sets of Bloch functions

1. 2D
2. Honeycomb structure (non-Bravais)
3. 2 identical atoms/cell



$$K = \frac{4\pi}{3a}(1,0)$$

2 interpenetrating Bravais (triangular) lattices

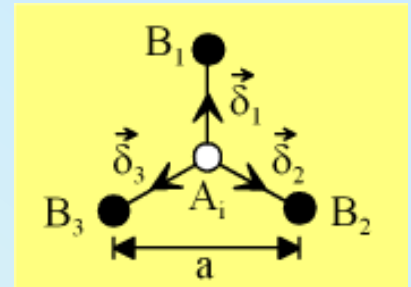
$$\psi_{\vec{k}}(\vec{r}) = \text{green} |\psi_A\rangle + \text{red} |\psi_B\rangle = \begin{pmatrix} \psi_{\uparrow} \\ \psi_{\downarrow} \end{pmatrix}$$

New degree of freedom –like spin up and spin down -
but instead sublattice A or B \mapsto pseudo-spin



Tight binding model of monolayer graphene : Energy solutions

$$H = \begin{pmatrix} \varepsilon_B & -tf(\vec{k}) \\ -tf^*(\vec{k}) & \varepsilon_B \end{pmatrix}; \quad S = \begin{pmatrix} 1 & sf(\vec{k}) \\ sf^*(\vec{k}) & 1 \end{pmatrix}$$



$$\varepsilon_A \equiv \langle \varphi_A | H | \varphi_A \rangle; \varepsilon_B = \langle \varphi_B | H | \varphi_B \rangle$$

$$t \equiv \langle \varphi_A | H | \varphi_B \rangle$$

$$\text{identical atoms} : \varepsilon_0 = \varepsilon_A = \varepsilon_B$$

$$f(\vec{k}) = \sum_{\vec{\delta}_j=1}^3 e^{i\vec{k} \cdot \vec{\delta}_j} = e^{ik_y a / \sqrt{3}} + 2e^{-ik_y a / 2\sqrt{3}} \cos\left(\frac{k_x a}{2}\right)$$

Edward McCann arXiv:1205.4849

Secular equation gives the eigenvalues:

$$\det(H - ES) = 0$$

$$\det \begin{pmatrix} \varepsilon_0 - E & -(t + Es)f(\vec{k}) \\ -(t + Es)f^*(\vec{k}) & \varepsilon_0 - E \end{pmatrix} = 0$$

$$(E - \varepsilon_0)^2 - (t + Es)^2 |f(\vec{k})|^2 = 0$$

$$E = \frac{\varepsilon_0 \pm t |f(\vec{k})|}{1 \mp s |f(\vec{k})|}$$

Note:

If atoms on the two sublattices are different:

$$H = \begin{pmatrix} \varepsilon_A & -tf(\vec{k}) \\ -tf^*(\vec{k}) & \varepsilon_B \end{pmatrix}$$

Solutions more complicated

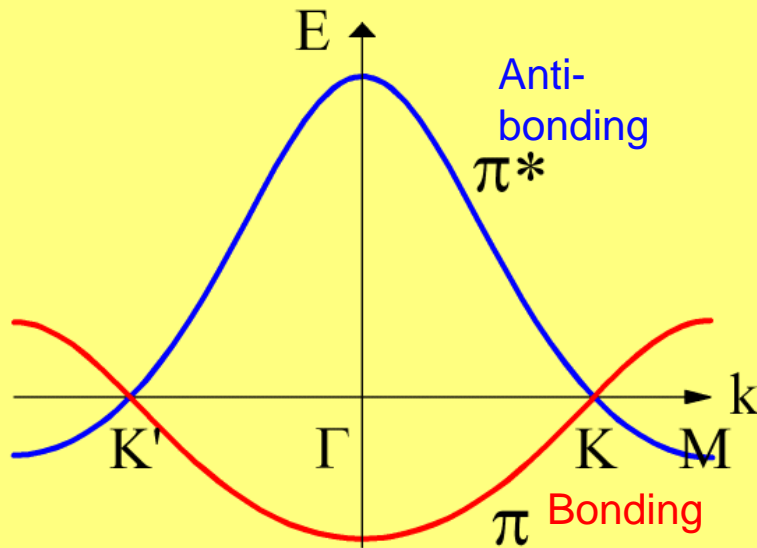
Graphene tight binding band structure

$$E = \frac{\varepsilon_0 \pm t |f(\vec{k})|}{1 \mp s |f(\vec{k})|}$$

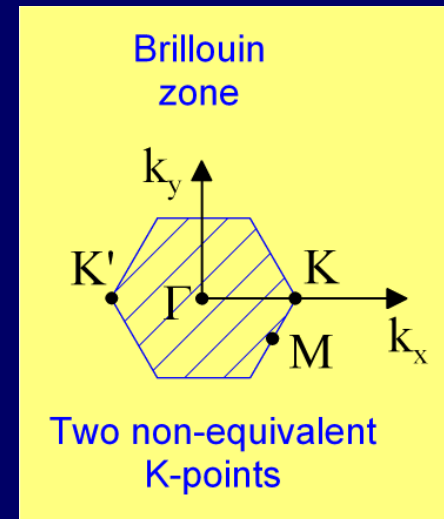
$$f(\vec{k}) = e^{ik_y a / \sqrt{3}} + 2e^{-ik_y a / 2\sqrt{3}} \cos\left(\frac{k_x a}{2}\right)$$

Typical parameter values :

$$\varepsilon_0 = 0, t = 3.033eV, s = 0.129$$



Two bands: no energy gap at the K-points

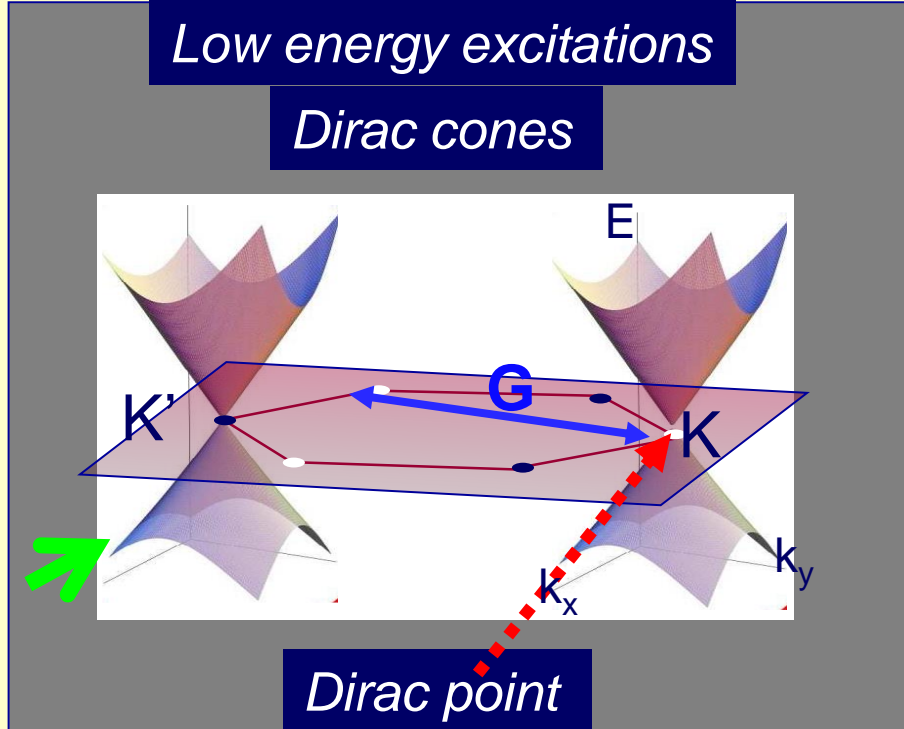
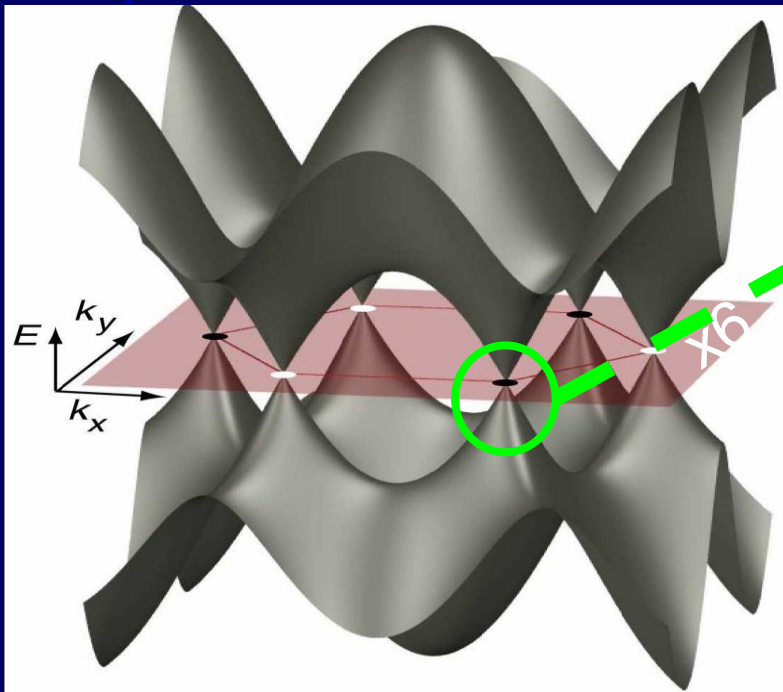


Band Structure

$$\psi_{\vec{k}}(\vec{r}) = c_A |\uparrow_A\rangle + c_B |\uparrow_B\rangle = \begin{pmatrix} \psi_{\uparrow} \\ \psi_{\downarrow} \end{pmatrix}$$

New degree of Freedom

➤ Pseudospin



6 Dirac points, but only 2 independent points K and K', others can be derived with translation by reciprocal lattice vectors.

The Dirac points are protected by 3 discrete symmetries: \mathbb{T} , I , C_3



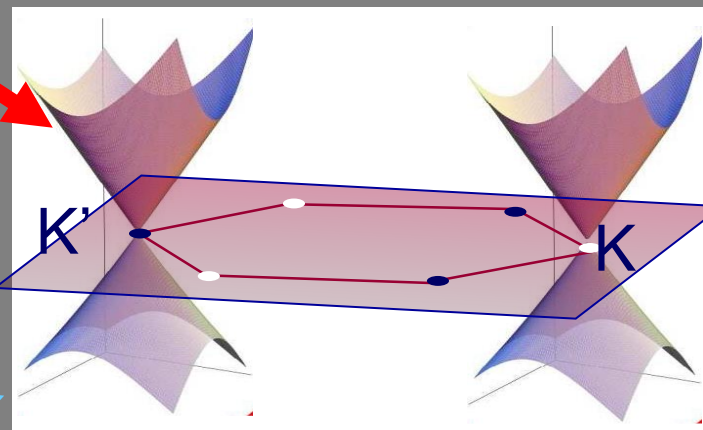
Low energy band structure

Conduction band \Leftrightarrow electrons

Fermi energy E_F
Fermi "surface" = 2 points

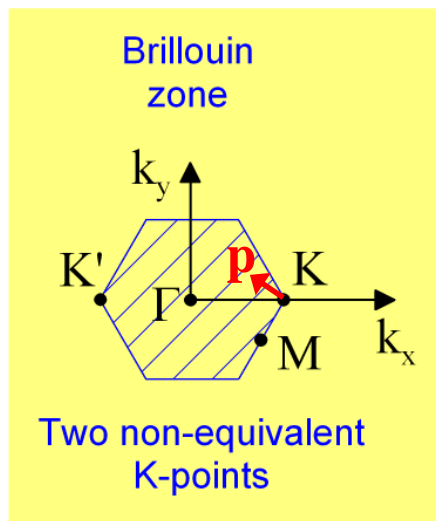
Valence band \Leftrightarrow holes

Dirac cones



Low energy band structure

1 Linear expansion near the K point



Consider two non-equivalent K points:

$$\vec{K}, \vec{K}' = \xi \left(\frac{4\pi}{3a}, 0 \right); \quad \xi = \pm 1$$

and small momentum near them:

$$\vec{k} = \xi \left(\frac{4\pi}{3a}, 0 \right) + \frac{\vec{p}}{\hbar}$$

$$p_x \equiv -i\hbar \frac{\partial}{\partial x}; \quad p_y \equiv -i\hbar \frac{\partial}{\partial y}$$

$$f(\vec{k}) = e^{ik_y a / \sqrt{3}} + 2e^{-ik_y a / 2\sqrt{3}} \cos\left(\frac{k_x a}{2}\right)$$

Linear expansion in small momentum: $f(\vec{k}) = -\frac{\sqrt{3}a}{2\hbar} (\xi p_x - i p_y) + O(pa / \hbar)^2$

$$H = \begin{pmatrix} 0 & -tf(\vec{k}) \\ -tf^*(\vec{k}) & 0 \end{pmatrix} \approx v_F \begin{pmatrix} 0 & \xi p_x - i p_y \\ \xi p_x + i p_y & 0 \end{pmatrix}$$

$$v_F = \frac{\sqrt{3}at}{2\hbar} \approx 10^6 \text{ m/s}$$



Low energy band structure

2. Dirac-like equation with Pseudospin

For one K point (e.g. $\xi=+1$) we have a 2 component wave function,

$$\psi = \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix}$$

with the following effective Hamiltonian (Dirac Weyl Hamiltonian):

$$H = v_F \begin{pmatrix} 0 & p_x - ip_y \\ p_x + ip_y & 0 \end{pmatrix} = v_F (\sigma_x p_x + \sigma_y p_y) = v_F \vec{\sigma} \cdot \vec{p}$$

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Bloch function amplitudes on the AB sites ('pseudospin') mimic spin components of a relativistic Dirac fermion.

Pauli Matrices

➤ Operate on sublattice degree of freedom



Low energy band structure

2. Dirac-like equation with Pseudospin

To take into account both **K** points ($\xi=+1$ and $\xi=-1$) we can use a 4 component wave function,

$$\psi = \begin{pmatrix} \psi_{AK} \\ \psi_{BK} \\ \psi_{AK'} \\ \psi_{BK'} \end{pmatrix}$$

Note: real spin not included.
Including spin gives 8x8 Hamiltonian

with the following effective Hamiltonian:

$$H = v_F \begin{pmatrix} \begin{matrix} 0 & p_x - ip_y \\ p_x + ip_y & 0 \end{matrix} & \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} \\ \begin{matrix} 0 & 0 \\ 0 & 0 \end{matrix} & \begin{matrix} 0 & -p_x - ip_y \\ -p_x + ip_y & 0 \end{matrix} \end{pmatrix}$$

$H(K)$ and $H(K')$ are highlighted in the matrix.

$$H_K = v_F \vec{\sigma} \cdot \vec{p} \quad H_{K'} = -v_F \vec{\sigma}^* \cdot \vec{p}$$

H_K and $H_{K'}$ are related by time reversal symmetry



Massless Dirac fermions

3. Massless Dirac Fermions

Hamiltonian near K point:

$$H = \hbar v_F \begin{pmatrix} 0 & -i\partial_x + \partial_y \\ -i\partial_x - \partial_y & 0 \end{pmatrix} = \hbar v_F (-i\sigma_x \partial_x - i\sigma_y \partial_y)$$

$$E = s v_F p \Leftrightarrow \psi = \frac{1}{\sqrt{2}} e^{i\vec{k}\cdot\vec{r}} \begin{pmatrix} 1 \\ s e^{i\varphi} \end{pmatrix}$$

$\varphi = \arctan(p_y / p_x)$ polar angle

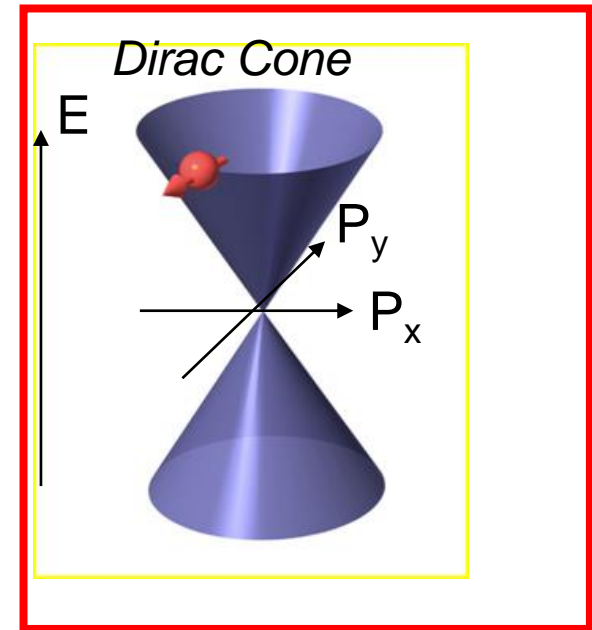
$s = \text{band index}$

Massless particle : $m=0$
..Photons, neutrinos..

$$E = cp$$

➤ But 300 times slower

$$v_F \approx 10^6 \text{ m/s}$$



Pseudospin vector

- Is parallel to the wave vector k in upper band
- Antiparallel to k in lower band ($s=-1$)

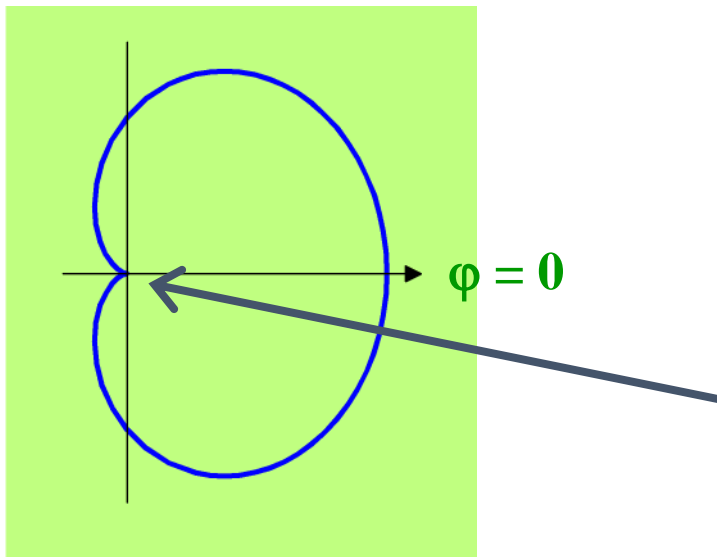


No backscattering within Dirac cone

4. Absence of backscattering within a Dirac cone

$$\psi = \frac{1}{\sqrt{2}} e^{i\vec{k}\cdot\vec{r}} \begin{pmatrix} 1 \\ se^{i\varphi} \end{pmatrix}$$

angular scattering probability:



$$|\langle \psi(\varphi) | \psi(\varphi = 0) \rangle|^2 = \cos^2(\varphi / 2)$$

under pseudospin conservation,
backscattering within one valley
is suppressed



Helicity (Chirality)

5. Helicity

Hamiltonian at K point:

$$H(K) = v_F \vec{\sigma} \cdot \vec{p} = v_F p \vec{\sigma} \cdot \vec{n}$$

Helicity operator: $\hat{h} = \vec{\sigma} \cdot \vec{n}$

$$H(K) = v_F p \hat{h}$$

Helicity is conserved

Helical electrons
pseudospin direction
is linked to an axis
determined by
electronic momentum.

for conduction band
electrons,

$$\vec{\sigma} \cdot \vec{n} = 1$$

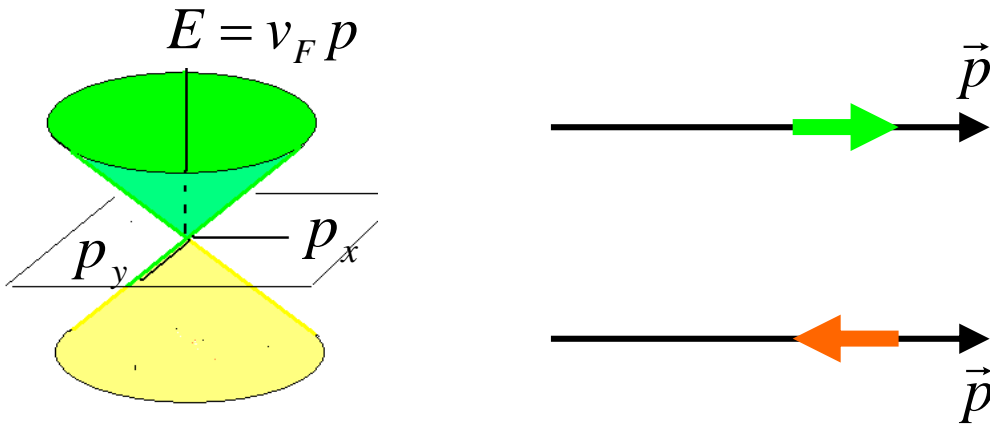
$$\vec{\sigma} \cdot \vec{n} = -1$$

valence band ('holes')



Helicity (Chirality)

5. Helicity



Note: Helicity is reversed in the K' cone

Helical electrons
pseudospin direction
is linked to an axis
determined by
electronic momentum.

for conduction band
electrons,

$$\vec{\sigma} \cdot \vec{n} = 1$$

$$\vec{\sigma} \cdot \vec{n} = -1$$

valence band ('holes')

Helicity conserved \mapsto No backscattering between cones



No Backscattering

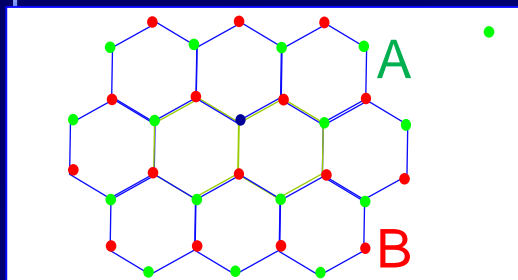


Diagram illustrating the Dirac cones at the K and K' points. The cones are shown as blue cones meeting at a point. A red 'X' is placed over a backscattering path between the cones, indicating that backscattering is forbidden. The momentum vector $\vec{p} = \hbar(\vec{k} - \vec{K})$ is shown. Below the diagram, the Dirac Weyl Hamiltonian is given as:

$$H = v_F \begin{pmatrix} 0 & \vec{\sigma} \cdot \vec{p} \\ -\vec{\sigma}^* \cdot \vec{p} & 0 \end{pmatrix}$$

The terms $\vec{\sigma} \cdot \vec{p}$ and $-\vec{\sigma}^* \cdot \vec{p}$ are circled in red. Below the Hamiltonian, the conserved helicity is given as:

$$\text{conserved Helicity} \quad \vec{\sigma} \cdot \vec{p} \propto \hbar$$

At the bottom, a red-bordered box contains the following points:

- No backscattering
- High carrier mobility

No backscattering between cones

No backscattering within cones

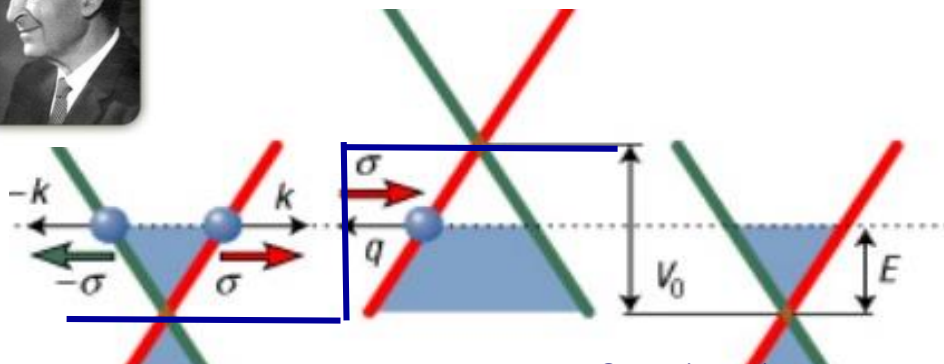


Pristine graphene

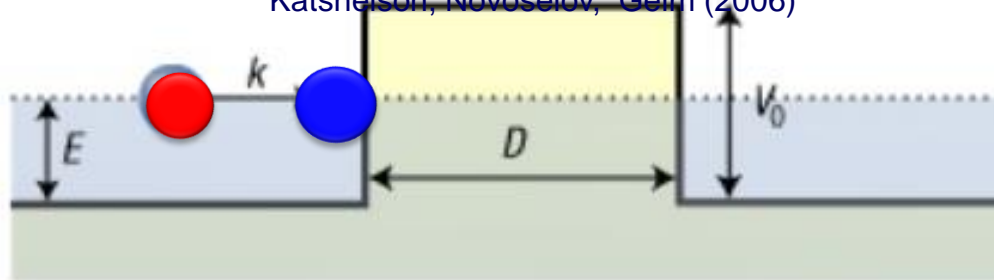
Pseudospin + chirality \mapsto Klein tunneling



Klein Tunneling



Katsnelson, Novoselov, Geim (2006)



Katsnelson, Novoselov, Geim (2006)

No electrostatic confinement

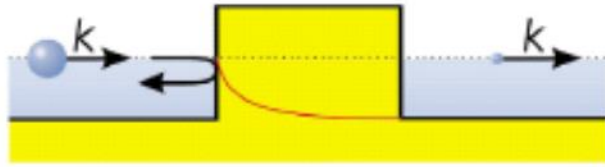


- No quantum dots
- No switching
- No guiding

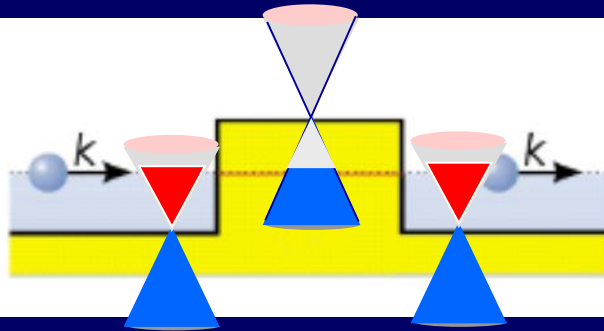


Klein tunneling

normal



Massless DF

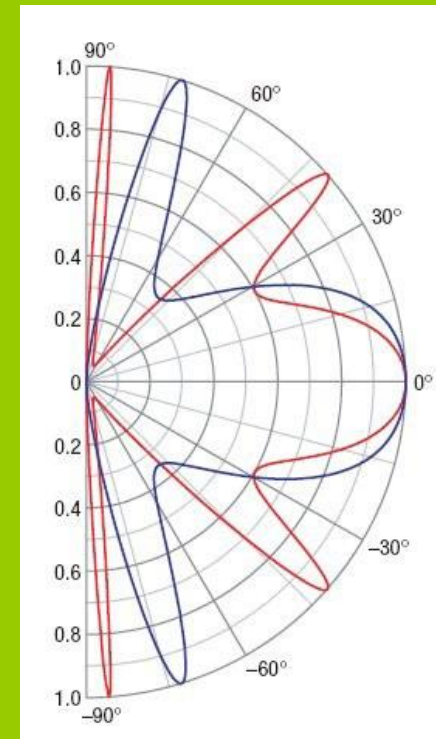


Klein “paradox”

- Transmission of relativistic particles unimpeded even by highest barriers
- Physical picture: particle/hole conversion

Angular dependence of transmission

Katsnelson et al Nature physics (2006)



Carrier density outside the barrier is $0.5 \times 10^{12} \text{ cm}^{-2}$. Inside the barrier, hole concentration is 1×10^{12} and $3 \times 10^{12} \text{ cm}^{-2}$ for the red and blue curves.



The Berry phase

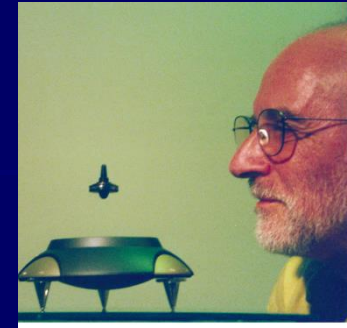


Proc. R. Soc. Lond. A **392**, 45–57 (1984)

Printed in Great Britain

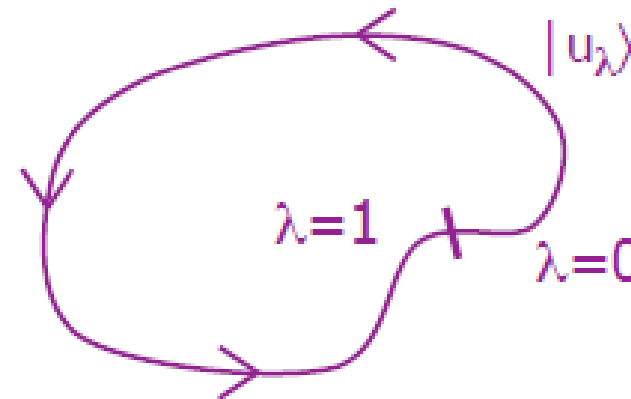
Quantal phase factors accompanying adiabatic changes

BY M. V. BERRY, F.R.S.



Imagine a quantal system in a slowly changing environment, and hence \hat{H} , is said to be adiabatic (Berry, 1962) that at any instant the system is in an instantaneous eigenstate of \hat{H} . In particular, if the system is initially in an eigenstate and returns to its original state after a cycle, the phase factor is observable by interference if the system is not separated from it at an earlier time.

My purpose here is to derive the phase factor component $\exp(i\gamma)$ in the wave function which accompanies the evolution of the system in terms of the eigenstates of \hat{H} . In the case of degeneracy in the spectrum, the formula derived in § 3; this corresponds to the case of the eigenstates of



$$\phi = -\text{Im} \oint d\lambda \langle u_\lambda | \frac{du_\lambda}{d\lambda} \rangle$$

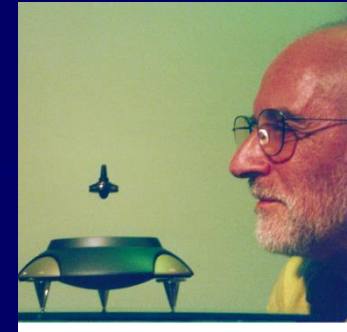
$$\phi = -\text{Im} \oint d\lambda \langle u_\lambda | \frac{d}{d\lambda} | u_\lambda \rangle$$

effects of an unphysical perturbation. If the environment is slowly changed, the theorem (Messiah 1961) that the system will remain in an instantaneous \hat{H} eigenstate holds. The phase factor is observable by interference if the system is not separated from it at an earlier time.

The phase factor is circuit-dependent and is given by $\exp(-iEt/\hbar)$. The general formula for γ in the case of degeneracy is close to a form which will be derived in § 3; this corresponds to the case of the eigenstates of well as Hermitian

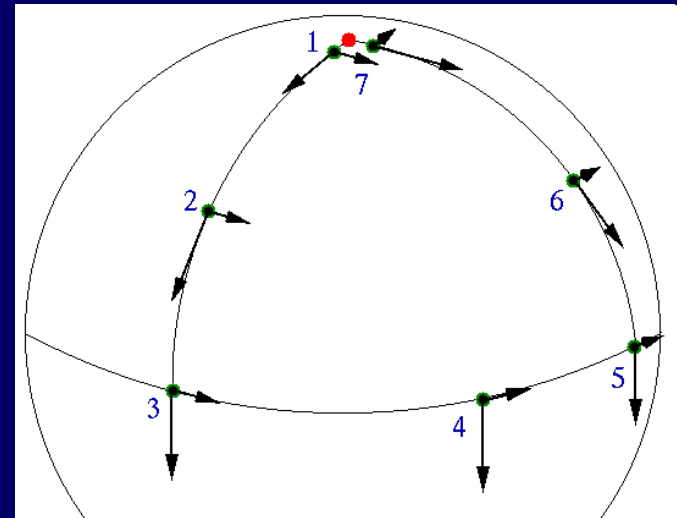


Berry phase



■ Examples

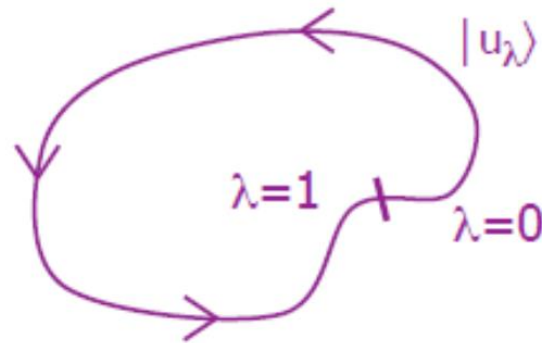
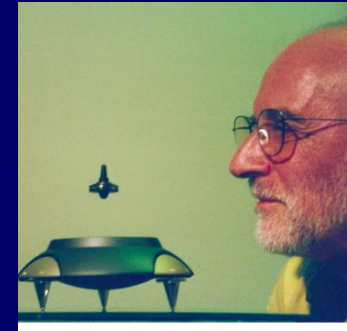
- Curved space
- Möbius strip
- Twisted optical fiber
- Bohm Aharonov phase
- Dirac belt



Berry Phase Tutorial:

<https://www.physics.rutgers.edu/grad/682/textbook/ch-3.pdf>

Berry phase



$$\phi = -\text{Im} \oint d\lambda \langle u_\lambda | \frac{du_\lambda}{d\lambda} \rangle$$

$$\phi = -\text{Im} \oint d\lambda \langle u_\lambda | \frac{d}{d\lambda} | u_\lambda \rangle$$

$$\psi(\varphi) = \frac{1}{\sqrt{2}} e^{i\vec{k} \cdot \vec{r}} \begin{pmatrix} 1 \\ e^{i\varphi} \end{pmatrix}$$

$$\varphi \equiv 2\pi\lambda \Rightarrow \varphi[0, 2\pi] \rightarrow \lambda[0, 1]$$



$$\psi_\lambda = \frac{1}{\sqrt{2}} e^{ikr \cos(2\pi\lambda)} \begin{pmatrix} 1 \\ e^{i2\pi\lambda} \end{pmatrix}$$

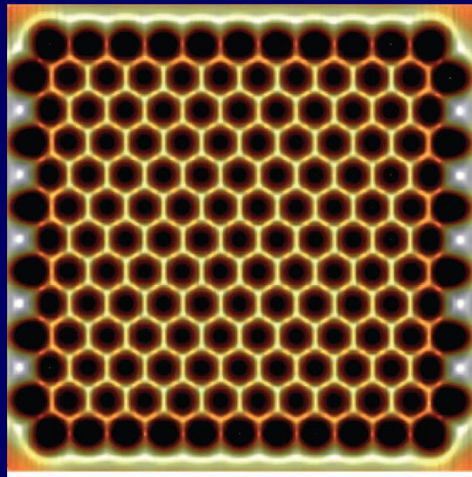
$$\text{Berry phase} = -\text{Im} \oint_\lambda d\lambda \left[\psi_\lambda \frac{d\psi_\lambda}{d\lambda} \right] = \pi$$

Homework:
Prove this relationship



Graphene Relatives

- Many natural materials have Dirac cones in their bandstructure (e.g. highTc compnds). But the Fermi surface of these materials contains many states that are not on a Dirac cone. As a result the electronic properties are controlled by the normal electrons and the Dirac electrons are invisible.
- Graphene is the **ONLY** naturally occurring material where the Fermi surface consists of Dirac points alone. As a result all its electronic properties are controlled by the Dirac electrons.



Graphene - bifiskötsture

Ingredients



1. 2D
2. Honeycomb structure
3. 2 identical atoms/cell

B Boron 10.811	C Carbon 12.0107	N Nitrogen 14.0067
Al Aluminium 26.9815386	Si Silicon 28.0855	P Phosphorus 30.973762
Ga Gallium 69.723	Ge Germanium 72.64	As Arsenic 74.92160
In Indium 114.818	Sn Tin 118.710	Sb Antimony 121.760
Tl Thallium 204.3833	Pb Lead 207.2	Bi Bismuth 208.98040

Graphene (2005)

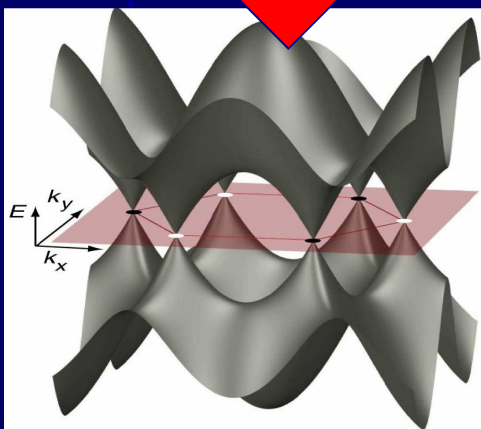
Silicene (2010)

Germanine (2014)

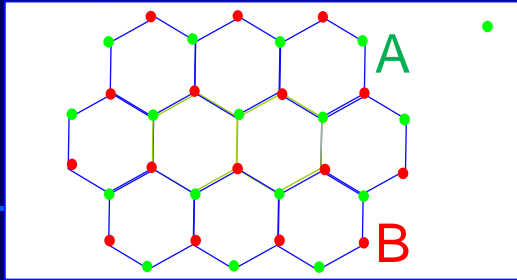
Stanene (2013)

Phosphorene (2014)

Borophene B₃₆ (2014)

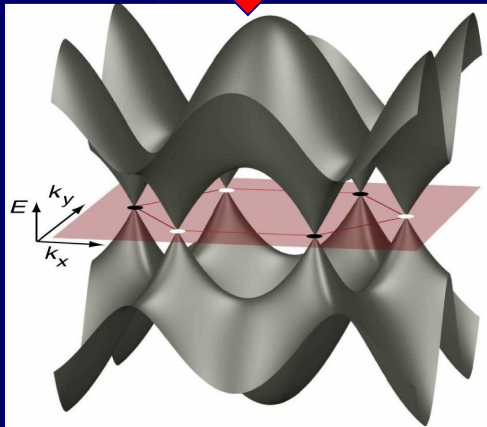
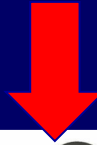


Artificial Graphene



Ingredients:

1. 2D
2. Honeycomb structure
3. Identical populations

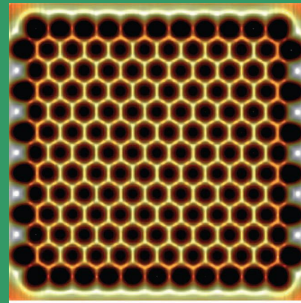


LETTER

doi:10.1038/nature10941

Designer Dirac fermions and topological phases in molecular graphene

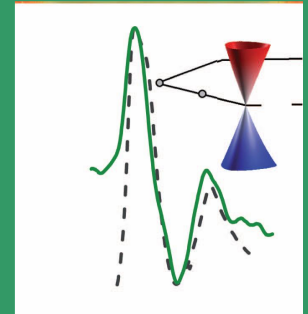
Kenjiro K. Gomes^{1,2*}, Warren Mar^{2,3*}, Wonhee Ko^{2,4*}, Francisco Guinea⁵ & Hari C. Manoharan^{1,2}



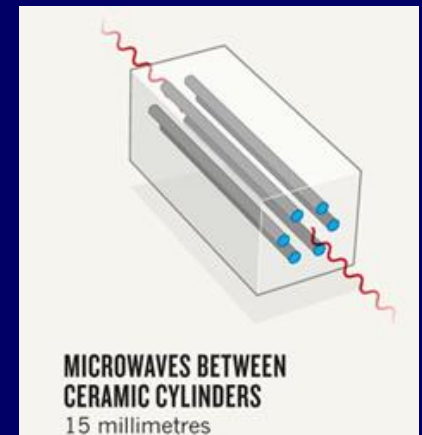
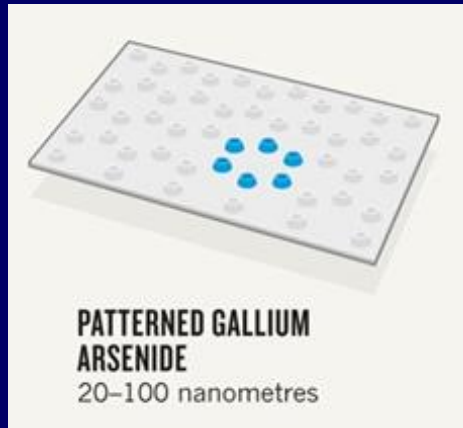
$$a \sim 2nm$$

$$t \propto \frac{\hbar^2}{m^* a^2} \approx 120meV$$

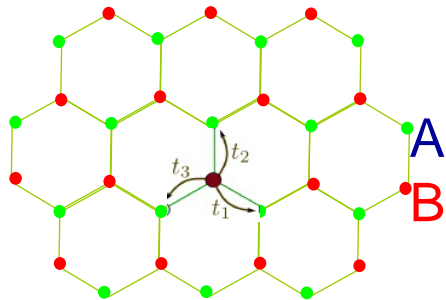
$$v_F = t \frac{3a}{2\hbar} \approx 3 \times 10^5 m/s$$



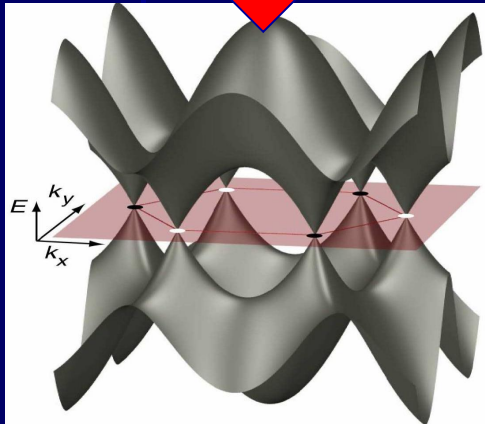
Also:



Graphene bandstructure



1. 2D
2. Honeycomb structure
3. 2 identical atoms/cell
4. $t_1=t_2=t_3=t$



Can one control/manipulate the bandstructure?

- Relax the condition: $t_1=t_2=t_3$
 - ✓ Strain
 - ✓ Impose external potential
 - ✓ Hybridization
- Electrostatic potential
- Defects



Summary

1. 2D
2. Honeycomb structure (non-Bravais)
3. 2 identical atoms/cell

$$H = v_F \vec{\sigma} \cdot \vec{p}$$

$$E = s v_F p \quad ; \quad \psi = \frac{1}{\sqrt{2}} e^{i\vec{k} \cdot \vec{r}} \begin{pmatrix} 1 \\ s e^{i\varphi} \end{pmatrix}$$

$s = \pm 1$ band index

Pseudospin ; Helicity

- Chiral quasiparticles
- No backscattering

Berry phase π

Density of states

$$g(E) = 2 \frac{L^2}{\pi (\hbar v_F)^2} |E|$$

