

# 4. FRACTIONALIZATION + THE QUANTIZED HALL EFFECT

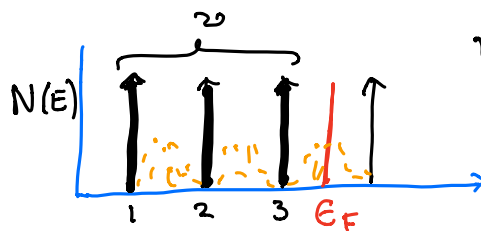
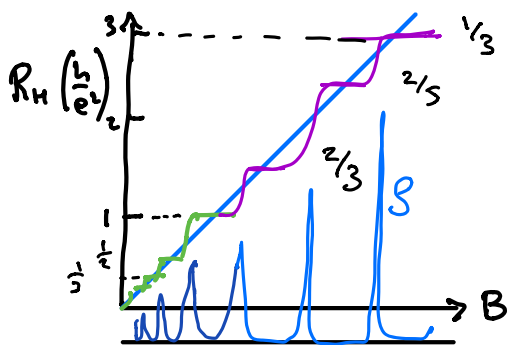
## Overview

1 • Preliminaries. Hall effect, Drude theory.  $R_H = \rho_{xy} = \frac{V_y}{I_x}$

2 • Integer QHE

$$R_H = \frac{h}{e^2} \left( \frac{1}{\nu} \right)$$

$$\nu \in \mathbb{Z}$$



3 • Fractional QHE  $\nu = p/q$

Laughlin wavefunction,

4 • Fractional charge + statistics, composite fermions

5 • Chern-Simons theory.

# INTEGER QHE

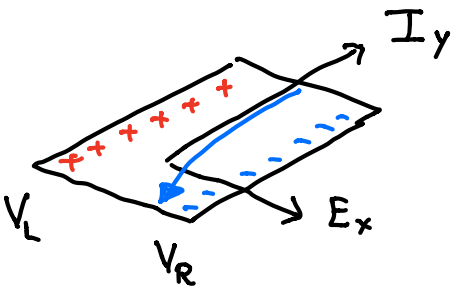
Lorentz Force

$$\vec{F} = q (\vec{E} + \vec{v} \times \vec{B})$$

$$q = -e$$

$$J_\mu = \sigma_{\mu\nu} E_\nu$$

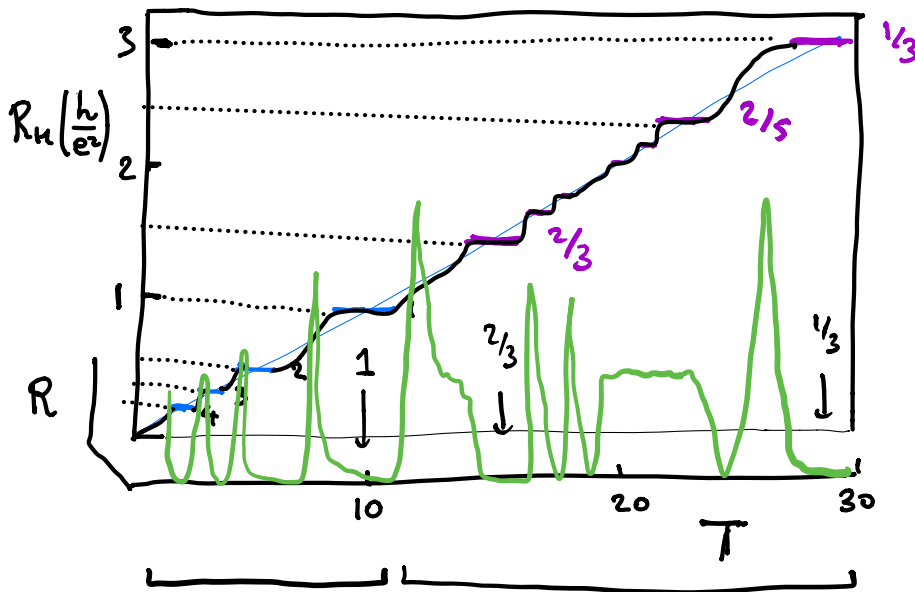
$$E_\mu = \int \sigma_{\mu\nu} J_\nu$$



$$E_x = \rho_{xy} J_y = -\nabla_x V = \frac{V_H}{L_x} = \rho_{xy} \frac{I_y}{L_x}$$

- No need to know the dimensions of sample

- $\rho_{xy} = -\frac{1}{nq} = \frac{1}{n|e|}$  electrons.



$$\rho_{xy} = \frac{h}{e^2} \nu$$

$\nu \in \mathbb{Z}$  integer

$\nu \in \frac{p}{q}$  fractional

Von Klitzing  
IQHE

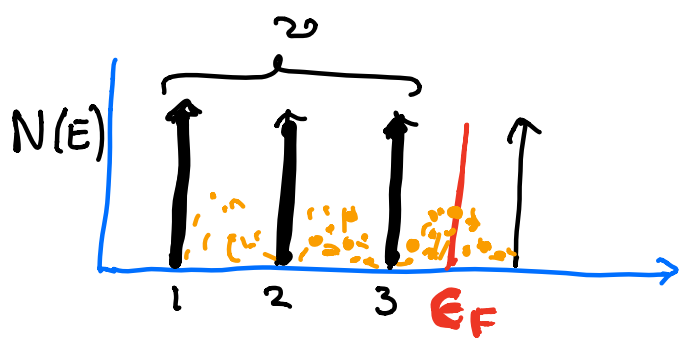
Tsui, Störmer + Gossard.  
FQHE.

$$\begin{aligned}
 R_H &= \frac{1}{ne} = \frac{h}{e^2} \frac{Be}{nh} = \frac{h}{e^2} \left( \frac{BA}{h/e} \right) \frac{1}{nA} \\
 &= \frac{h}{e^2} \frac{\Phi}{\Phi_0} \frac{1}{N} \\
 &= \frac{h}{e^2} \frac{N\phi}{N} = \frac{h}{e^2} \nu
 \end{aligned}$$

$$\boxed{\Phi_0 = h/e}$$

Flux quantum

$\nu = N/N\phi = \# e^-/\text{flux quanta} \equiv \text{filling factor.}$



Requires Disorder

# Drude Theory

$$\dot{\vec{v}} = -\frac{e}{m} (\vec{E} + \vec{v} \times \vec{B}) - \frac{\vec{v}}{\tau}$$

← relaxation time

$$= 0 \text{ in equilibrium} \quad v_F \tau = \ell_{mfp}$$

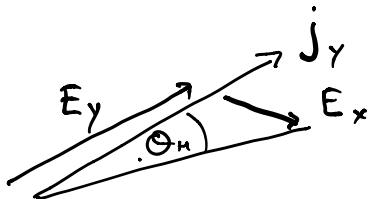
$$\frac{e}{m} (\vec{E} + \vec{v} \times \vec{B}) = -\frac{\vec{v}}{\tau}$$

$$\Rightarrow \vec{v} + \frac{e}{m} \vec{v} \times \vec{B} = -\frac{e \vec{E} \tau}{m}$$

$$\omega_c = \frac{eB}{m}$$

$$\vec{v} - \omega_c \tau \hat{b} \times \vec{v} = -\frac{e \vec{E} \tau}{m}$$

$$\vec{j} = -ne \vec{v}$$



$$\tan \theta_H = \omega_c \tau$$

$$(1 - \omega_c \tau \hat{b} \times) \vec{j} = \frac{ne^2 \tau}{m} \vec{E}$$

$$\sigma = \frac{ne^2 \tau}{m}$$

$$\begin{pmatrix} 1 & \omega_c \tau \\ -\omega_c \tau & 1 \end{pmatrix} \begin{pmatrix} j_x \\ j_y \end{pmatrix} = \sigma \begin{pmatrix} E_x \\ E_y \end{pmatrix}$$

$$\underbrace{\begin{pmatrix} \frac{1}{\sigma} & \frac{B}{ne} \\ -\frac{B}{ne} & \frac{1}{\sigma} \end{pmatrix}}_{\rho_{mv}} \begin{pmatrix} j_x \\ j_y \end{pmatrix} = \begin{pmatrix} E_x \\ E_y \end{pmatrix}$$

$$\frac{\omega_c \tau m}{ne^2 \tau} = \frac{\left(\frac{eB}{m}\right) \tau m}{ne^2 \tau}$$

$$= \frac{B}{ne}$$

$$\rho_{mv}$$

$$\rho^{xy} = \frac{1}{ne} = \frac{V_x}{I_y}$$

# Classical Treatment of electron motion

$$H = \frac{1}{2m} (\underbrace{\vec{p}}_{\text{canonical}} + e\vec{A})^2 - eV = \frac{\underbrace{\Pi^2}_{\text{dynamical}}}{2m} - eA$$

$$\frac{\partial H}{\partial \vec{p}} = \vec{v} = \frac{\vec{p} + e\vec{A}}{m} \quad \{x_i, p_j\} = \delta_{ij}$$

$$\begin{aligned} -\frac{\partial H}{\partial x_i} &= \dot{p}_i = e \nabla_i V - \nabla_i A_j \overbrace{\left( \frac{p_j + eA_j}{m} \right)}^{v_j} \\ &= e \nabla_i V - e v_j \nabla_i A_j \end{aligned}$$

$$m \frac{dv_i}{dt} = \frac{dp_i}{dt} + e \left( \frac{\partial A_i}{\partial t} + \frac{\partial A_i}{\partial x^j} v_j \right)$$

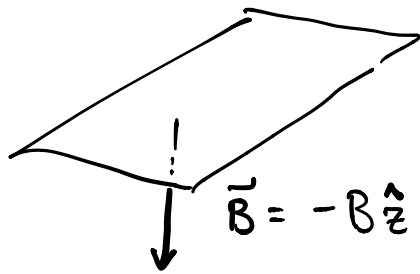
$$= e \left( \nabla_i V + \frac{\partial A_i}{\partial t} \right) + e \left( \frac{\partial A_i}{\partial x^j} v_j - \frac{\partial A_j}{\partial x^i} v_j \right)$$

$$\underbrace{v \times (\nabla \times A)}_{-} = \underbrace{(v_j \nabla_i A_j - v_j \nabla_j A_i)}_{+}$$

$$m \frac{d\vec{v}}{dt} = -e \left[ \left( -\nabla V - \frac{\partial A}{\partial t} \right) + \vec{v} \times (\nabla \times \vec{A}) \right]$$

$$= -e \left[ \vec{E} + \vec{v} \times \vec{B} \right]$$

# LANDAU QUANTIZATION



$$\vec{A} = (0, -Bx, 0)$$

$$B_z = \partial_x A_y - \partial_y A_x = -B.$$

$$\hat{H} = \frac{(\mathbf{p} + e\mathbf{A})^2}{2m} = \frac{p_x^2}{2m} + \frac{(p_y - eBx)^2}{2m}.$$

No dependence on  $y \Rightarrow [p_y, H] = 0$   $p_y$  is conserved.

$$\psi = e^{iky} f(x) \quad p_y = \hbar k$$

$$H = \frac{p_x^2}{2m} + \frac{(\hbar k - eBx)^2}{2m}$$
$$= \frac{p_x^2}{2m} + \frac{m\omega_c^2}{2} \left( x - \frac{\hbar k}{eB} \right)^2$$

$$\ell^2 = \frac{\hbar}{eB}$$

$$H = \frac{p_x^2}{2m} + \frac{m\omega_c^2}{2} \left( x - k\ell^2 \right)^2$$

$$2\pi\ell^2 = \frac{2\pi\hbar}{eB}$$
$$= \frac{\Phi_0}{B}$$

$$\tilde{x} = x - k\ell^2 = x - X$$

$$H = \frac{p_x^2}{2m} + \frac{m\omega_c^2}{2} \tilde{x}^2$$

$$p' = p\ell/\hbar$$
$$x' = \tilde{x}/\ell$$

$$[x', p'] = i$$

$$H = \left( \frac{p'^2}{2} + \frac{(x')^2}{2} \right) \hbar \omega_c = \hbar \omega_c \left( a^\dagger a + \frac{1}{2} \right)$$

$$a = \frac{1}{\sqrt{2}} (p' - ix')$$

$$a^\dagger = \frac{1}{\sqrt{2}} [p' + ix'] = \frac{1}{\sqrt{2}} \left( -i \frac{\partial}{\partial x'} + ix' \right)$$

$$|k_y, n\rangle = \frac{(a^\dagger)^n}{\sqrt{n!}} |k_y, 0\rangle$$

$$\psi_0 = e^{iky} \exp\left[-\frac{(x - k\ell^2)^2}{2\ell^2}\right]$$

$$\psi_n = \frac{e^{iky}}{\sqrt{n!}} \left( -i \frac{\partial}{\partial x'} + ix' \right)^n e^{-\frac{(x - k\ell^2)^2}{2\ell^2}}$$

$$\psi_{kn} = e^{iky} H_n\left(\frac{x - k\ell^2}{\ell}\right) e^{-\frac{(x - k\ell^2)^2}{2\ell^2}}$$

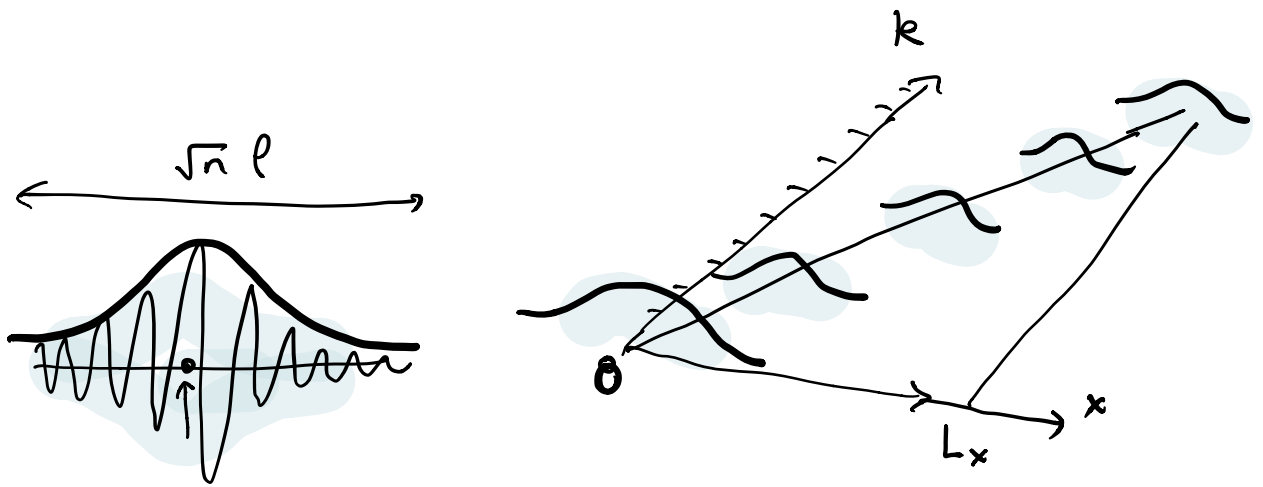
$$E_n = \hbar \omega_c \left( n + \frac{1}{2} \right)$$

- $\frac{\partial E_{kn}}{\hbar \partial k} = 0 \Rightarrow v_y = 0$

- centered at  $x = k\ell^2$

- width in  $x$  direction is  $\sqrt{n} \ell$

$$\left( n \hbar \omega_c \sim \frac{m \omega_c^2}{2} x^2 \Rightarrow x^2 \sim n \frac{\hbar}{m \omega_c} = n \frac{\hbar}{eB} = n \ell^2 \right)$$



Notice that  $\chi_n = k_n l^2 = n \left( \frac{2\pi}{L_y} \right) l^2 \ll \sqrt{n} l$ .

In the  $n$ th Landau level there are

$$\begin{aligned}
 N &= \sum_k 1 = L_y \int_0^{L_x/l^2} \frac{dk}{2\pi} = \frac{L_x L_y}{2\pi l^2} \\
 &= \frac{A e B}{2\pi \hbar} = \frac{AB}{\hbar/e}
 \end{aligned}$$

$$N = \left( \frac{AB}{\Phi_0} \right) = \frac{\Phi}{\Phi_0} = N_\Phi$$

For  $\nu$  levels  $N = \nu N_\Phi$ .