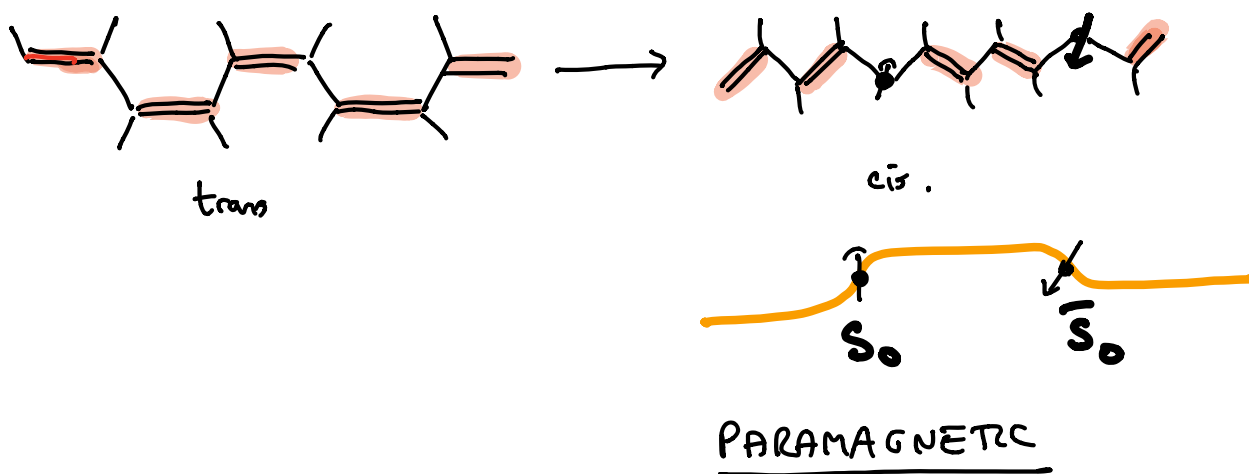


METHODS TO CREATE SOLITONS

1. Neutral solitons

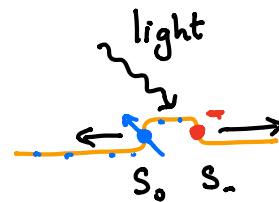
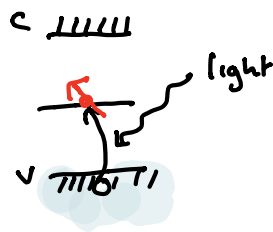
Warming of $\text{cis}-(\text{C}_2\text{H}_2)_x$ causes it to isomerize into $\text{trans}-(\text{C}_2\text{H}_2)_x$, filled with neutral defects



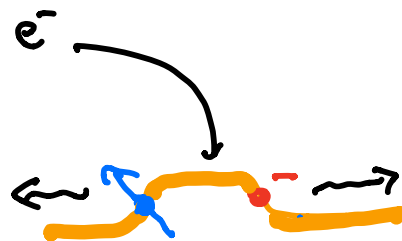
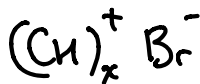
- Exhibit $\chi \sim n_{\text{solitons}} / T \Rightarrow$ paramagnetic
- No IR activity \Rightarrow neutral
- Electron nuclear double resonance (ENDOR!) $\xi \sim 7a$
(Interplay of NMR & ESR)

2. Charged Solitons

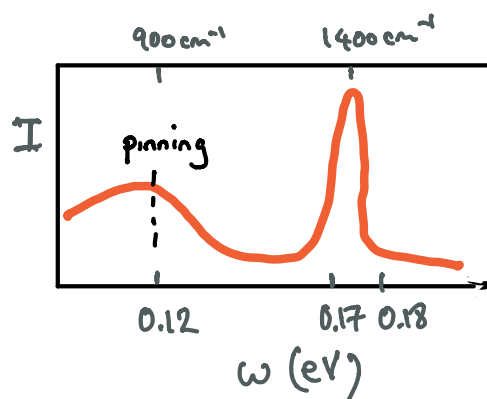
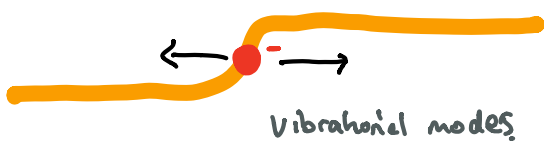
- Photo-excitation
(MID GAP ABSORPTION)



- Charge transfer doping



Generates infrared modes
intra-red absorption



- ESR confirms that

$$\frac{N_s}{N_{ch}} \ll 1$$

$\left| \begin{array}{l} \# \text{ spins} \\ \# \text{ charges} \end{array} \right.$

The neutral soliton does not affect the local charge density. Let $\phi_\lambda(n)$ be the wavefunction of the λ th state, then the local density of states is

$$g_{nn}(E) = \sum_\lambda |\phi_\lambda(n)|^2 \delta(E - E_\lambda)$$

Now

$$\int g_{nn}(E) dE = 1$$

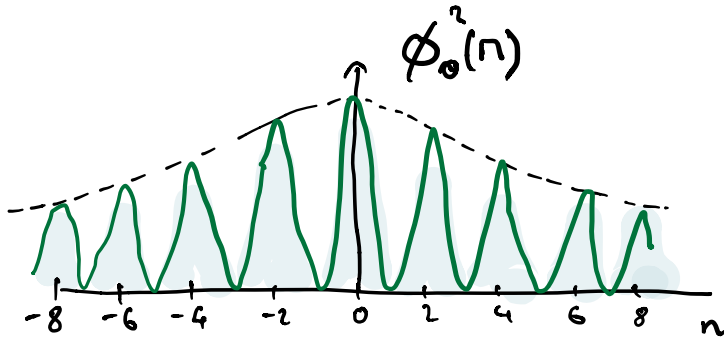
at each site. In the presence of a soliton,

$$g_{nn}^s(E) = \phi_0^2(n) \delta(E) + \underbrace{\tilde{g}_{nn}^s(E)}_{\text{valence \& conduction band}}$$

$$\int_{-\infty}^{\infty} g_{nn}^s(E) dE = \int_{-\infty}^{-\Delta} g_{nn}^s(E) dE + \int_{\Delta}^{\infty} g_{nn}^s(E) dE + \phi_0^2(n) = 1$$

$$\Rightarrow 2 \int_{-\infty}^{-\Delta} dE g_{nn}^{(s)}(E) + \phi_0^2(n) = 1$$

$$\Rightarrow \underbrace{2 \int_{-\infty}^{-\Delta} dE \Delta f_{nn}(E)}_{\text{Compensating reduction in charge density of valence band.}} + \underbrace{\phi_0^2(n)}_{\text{Increase in charge density due to occupancy of zero mode}} = 0$$



For the $Q_s = -e$ charged soliton, the zero mode is empty, so now

$$q_-(n) = 2 \int dE \Delta f_{nn}(E) = -\phi_0^2(n)$$

For the $Q_s = e$ soliton

$$q_+(n) = 2 \int dE \Delta f_{nn}(E) + 2\phi_0^2(n) = +\phi_0^2(n)$$

Calculation of $\phi_0(n) \equiv \phi_n$

We will show

$$\phi_0(n) \sim \frac{1}{\sqrt{\ell}} \cos \frac{\pi n}{2} \frac{1}{\cos n \left(\frac{\pi}{\ell} \right)}$$

Since $E_0 = 0$, we have $H_{n+1} \phi_n = E_0 \phi_n = 0$

$$\Rightarrow t_{n+1, n+2} \phi_{n+2} + t_{n+1, n} \phi_n = E \phi_{n+1} = 0$$

$$\Rightarrow \phi_{n+2} = \begin{pmatrix} -t_{n+1, n} \\ t_{n+2, n+1} \end{pmatrix}$$

$$\phi_n = \begin{pmatrix} -t_{n-1, n-2} \\ t_{n, n-1} \end{pmatrix} \begin{pmatrix} -t_{n-3, n-4} \\ t_{n-2, n-3} \end{pmatrix} \dots \begin{pmatrix} -t_{1, 0} \\ t_{2, 1} \end{pmatrix} \phi_0$$

$$\phi_n = (-1)^{n/2} \exp \left[\sum_{\substack{r=2 \\ \text{even}}}^n \ln \left(\frac{t_{r-1, r-2}}{t_{r, r-1}} \right) \right] \phi_0$$

Let

$$(u_{n+1} - u_n) \sim (-1)^n u \tanh \frac{\pi}{\ell}, \text{ then}$$

$$\ln \left(\frac{t_{r-1, r-2}}{t_{r, r-1}} \right) = \ln \frac{t - 2\alpha u \tanh \pi/\ell}{t + 2\alpha u \tanh \pi/\ell} \approx -\frac{2\alpha u \tanh(\pi/\ell)}{t}$$

$$\begin{aligned}
 \therefore \phi_n &= \cos\left(\frac{\pi n}{2}\right) \exp\left[-\frac{4\alpha u}{t} \sum_{r=2}^{r=n} \tanh\frac{x_r}{l}\right] \\
 &= \cos\left(\frac{\pi n}{2}\right) \exp\left[-\frac{2\alpha u}{t} \int_0^x dx \tanh\frac{x}{l}\right] \\
 &= \cos\frac{\pi n}{2} \exp\left[-\frac{2\alpha u l}{t} \ln\left(\cosh\frac{x}{l}\right)\right]
 \end{aligned}$$

But $\xi = \frac{v_F}{\Delta} = \frac{2t}{4\alpha u} = \frac{t}{2\alpha u}$ is the o.p coherence

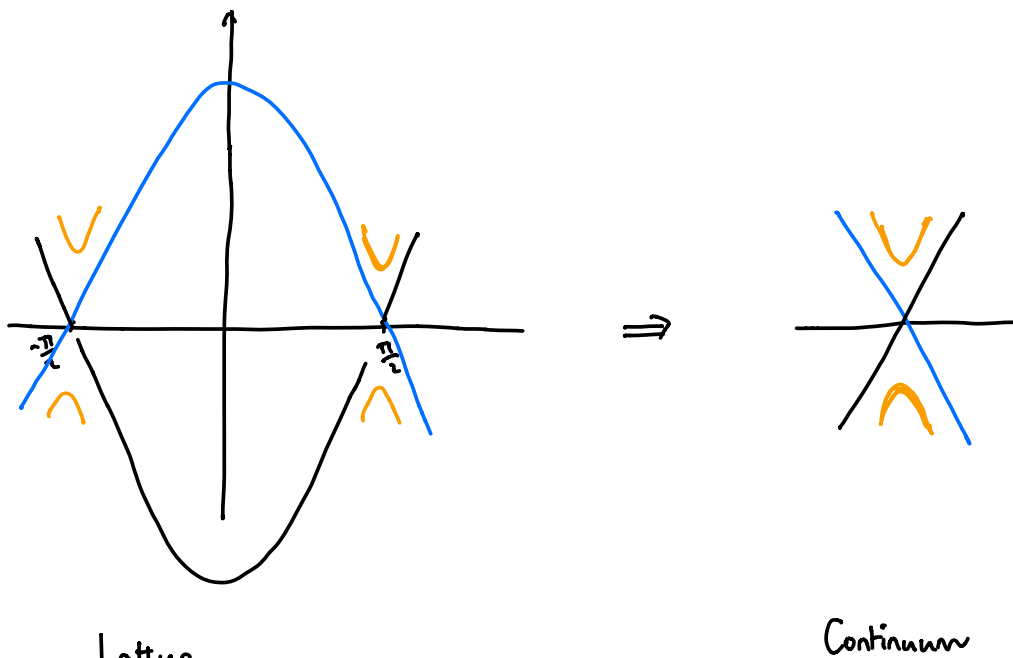
length, and so $\frac{2\alpha u l}{t} = \left(\frac{l}{\xi}\right)$

$$\phi_n = \cos\frac{\pi n}{2} \frac{1}{\left(\cosh\frac{x}{l}\right)^{l/\xi}} \sim \cos\frac{\pi n}{2} \frac{1}{\cosh\left(\frac{x}{l}\right)}$$

if $l \sim \xi$.

Normalization requires $\phi_n \sim \frac{\cos\pi n/2}{\sqrt{l}} \frac{1}{\cosh\left(\frac{x}{l}\right)}$

CONTINUUM MODEL FOR SOLITON



Lattice

Continuum

$$H = \sum_{\mathbf{k}} \psi_{\mathbf{k}}^{\dagger} \left(\epsilon_{\mathbf{k}} \tau_3 + \Delta_{\mathbf{k}} \tau_2 \right) \psi_{\mathbf{k}}$$

$$\mathcal{H} = \int dx \tilde{\psi}^{\dagger}(x) \left[v_F (-i\partial_x) \tau_3 + \Delta(x) \tau_2 \right] \psi(x)$$

RELATIVISTIC THEORY.

$$H = \int dx \left[\frac{\pi^2}{2} + \frac{1}{2} \left(\frac{d\Phi}{dx} \right)^2 + V[\Phi] + \psi^{\dagger} \left(\alpha (-i\partial_x) + \beta g \Phi \right) \psi \right]$$

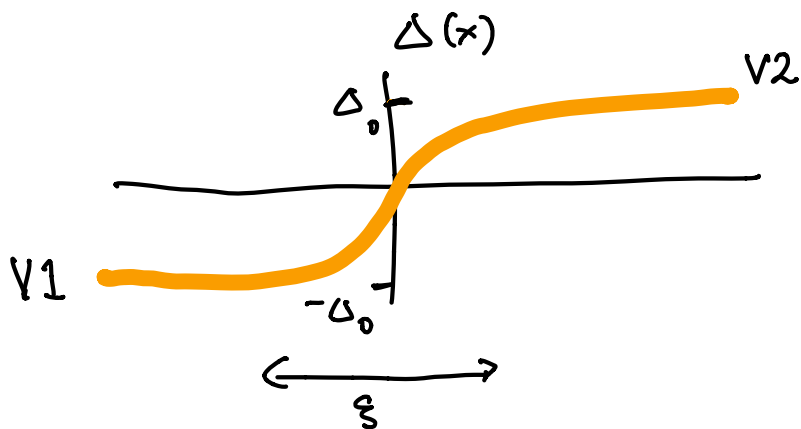
JACKIW + SCHRIEFFER '81

$$v_F (-i\partial_x \tau_3 + \Delta(x) \tau_2) \phi = 0$$

zero mode.

$$\partial_x \phi(x) = -\frac{\Delta(x) \tau_1}{v_F} \phi(x) \quad (\tau_3 \tau_2 = -i\tau_1)$$

$$\Rightarrow \phi(x) = \exp \left[-\int_0^x \frac{\Delta(x')}{v_F} dx' \right] \phi(0)$$



$$\Delta(x) = \Delta_0 \tanh\left(\frac{x}{\xi}\right)$$

Topological defect.

$$\phi(x) = \exp\left[-\frac{\Delta_0}{v_F} \int^x \tanh\frac{x'}{\xi} dx' \tau_1\right] \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$$

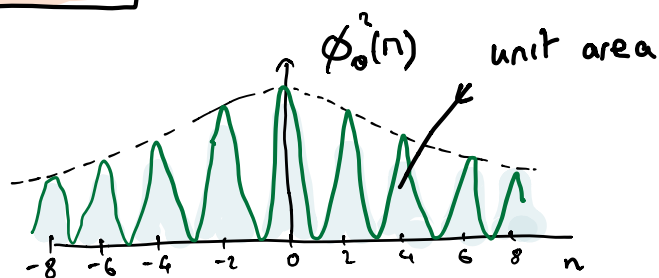
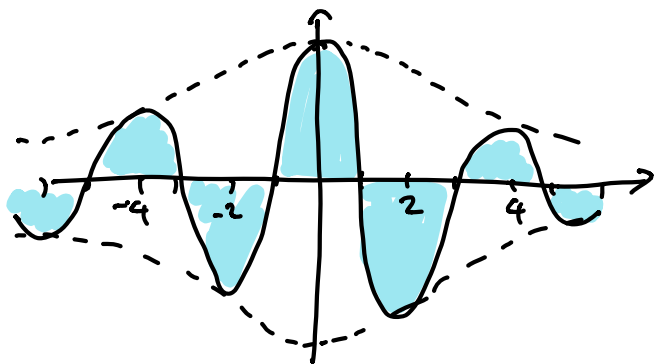
$$= \phi_0 \exp\left[-\frac{\Delta_0 \xi}{v_F} \ln(\cosh x/\xi)\right] \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$= \phi_0 \left(\frac{1}{\cosh x/\xi}\right)^\alpha \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$\xi \sim v_F / \Delta_0 \Rightarrow \alpha = \frac{\Delta_0 \xi}{v_F} \sim 1$$

$$\psi_j = \frac{1}{\sqrt{2}} (\phi_j^1 e^{i\frac{\pi x_j}{2}} + \phi_j^2 e^{-i\frac{\pi x_j}{2}})$$

$$\psi_j = \phi_0 \left(\operatorname{sech} \frac{x_j}{\xi}\right)^\alpha \cos\left(\frac{\pi x_j}{2}\right)$$



$$\phi_0 \sim \frac{1}{\sqrt{\ell}}$$

DETAILS

$$\begin{aligned}\psi_j &= \frac{1}{\sqrt{N}} \sum_{k \in [0, \pi]} \left(c_k + (-1)^j c_{k+\pi} \right) e^{ikR_j} \\ &= \frac{1}{\sqrt{N}} \sum_k \left(c_{k-\frac{\pi}{2}} e^{i(k-\frac{\pi}{2})R_j} + c_{k+\frac{\pi}{2}} e^{i(k+\frac{\pi}{2})R_j} \right) \\ &= \frac{1}{\sqrt{N}} \sum_k \left(\tilde{\psi}_k^2 e^{i(k-\frac{\pi}{2})R_j} + \tilde{\psi}_k^1 e^{i(k+\frac{\pi}{2})R_j} \right) \quad (k+\frac{\pi}{2} = k-\frac{\pi}{2})\end{aligned}$$

$$= \frac{1}{\sqrt{2}} \left(\tilde{\psi}^2(x_j) e^{-i\frac{\pi}{2}R_j} + \tilde{\psi}^1(x_j) e^{+i\frac{\pi}{2}R_j} \right)$$

$$\tilde{\psi}^{1,2}(x) = \frac{1}{\sqrt{2}} \sum_k \psi_k^{(1,2)} e^{ikx}$$

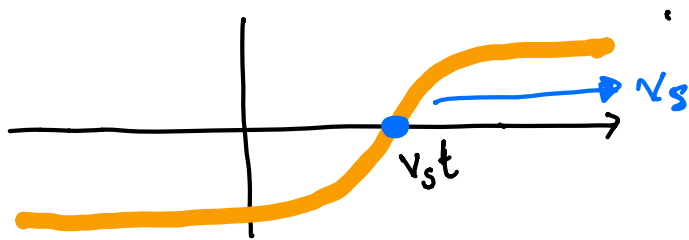
$$\epsilon_{k-\frac{\pi}{2}} \left(c_{k+\frac{\pi}{2}}^+ c_{k-\frac{\pi}{2}} - c_{k-\frac{\pi}{2}}^+ c_{k+\frac{\pi}{2}} \right) \equiv v_F k \left(\psi_k^{1+} \psi_k^1 - \psi_k^{2+} \psi_k^2 \right)$$

$$+i \Delta_{k-\frac{\pi}{2}} \left(c_{k+\frac{\pi}{2}}^+ c_{k-\frac{\pi}{2}} - c_{k-\frac{\pi}{2}}^+ c_{k+\frac{\pi}{2}} \right) = -i \Delta \left(\psi_k^{1+} \psi_k^2 - \psi_k^{2+} \psi_k^1 \right)$$

$$\epsilon_{k-\frac{\pi}{2}} \tau_3 - \Delta_{k-\frac{\pi}{2}} \tau_2 \rightarrow v_F k \tau_3 + \Delta \tau_2$$

$$\rightarrow \left(v_F - i\partial_x \right) \tau_3 + \Delta(x) \tau_2$$

Mass of Soliton



$$\psi_n(t) = u_0 \tanh \left[(na - v_s t) / \xi \right]$$

$$\begin{aligned} \frac{1}{2} M v_s^2 &= \frac{1}{2} M \sum_n (\dot{\psi}_n(t))^2 \\ &= \frac{1}{2} M \sum_n \left(\frac{u_0 v_s}{\xi} \operatorname{sech}^2 \left[(na - v_s t) / \xi \right] \right)^2 \\ &= \frac{1}{2} M \frac{u_0^2 v_s^2}{\xi^2} \int_{-\infty}^{\infty} \frac{dx}{a} \operatorname{sech}^4 \left(\frac{x}{\xi} \right) \\ &= \frac{1}{2} \left(\frac{M u_0^2}{a \xi} \int_{-\infty}^{\infty} du \operatorname{sech}^4 u \right) v_s^2 \\ &= \frac{1}{2} M_s v_s^2 \end{aligned}$$

4/3

$$M_s = \frac{4}{3(\xi/a)} M \left(\frac{u_0}{a} \right)^2 \underset{\text{SH}}{\sim} 6 m_e$$

High Mobility
QUANTUM

$$u_0 \sim 0.04 \text{ \AA}$$

$$a \sim 1.22 \text{ \AA}$$

$$\xi/a \sim 7$$

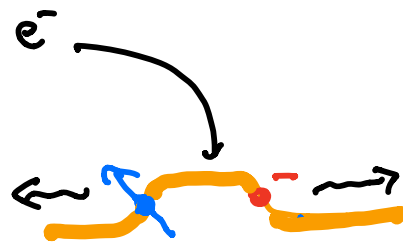
$$M \sim 13 \times 2000 m_e$$

I find:

$$\frac{4}{3(7)} (13 \times 2000) \times \left(\frac{0.04}{1.22} \right)^2 = 5.3$$

TAKE HOME MESSAGES FROM THE SSH MODEL

- First demonstration of fractionalization with experimental support.
- Below the gap electrons fractionalize into spinons + holons.



- A link between topological defects & fractionalization
- In their 1981 paper, Schrieffer + Jackiw write :-

The ideas on charge fractionalization can be carried further. It has been suggested that arbitrary charge fractions can be obtained in fermion-soliton systems, provided charge conjugation is abandoned and the vacuum structure is sufficiently complex. An example with 1/3rd units of charge, which is not obscured by the two spin states has been discussed by SSH' in the condensed matter context of TTF-TCNQ; while related ideas for particle physics have been investigated by Goldstone and Wilczek.