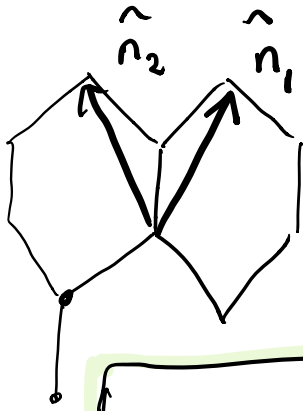


Continuing discussion of the Kitaev Honeycomb Model. Oct 23rd.

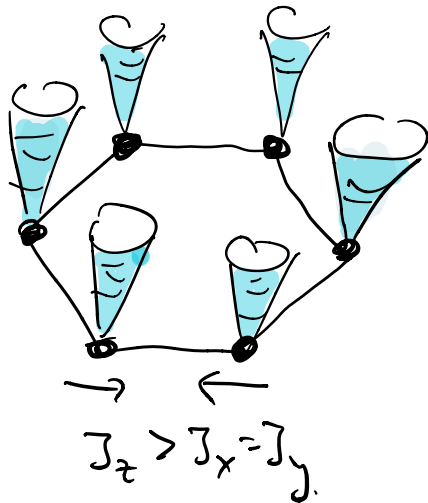


$$\hat{n}_1 = \left( \frac{\sqrt{3}}{2} a, \frac{3}{2} a \right)$$

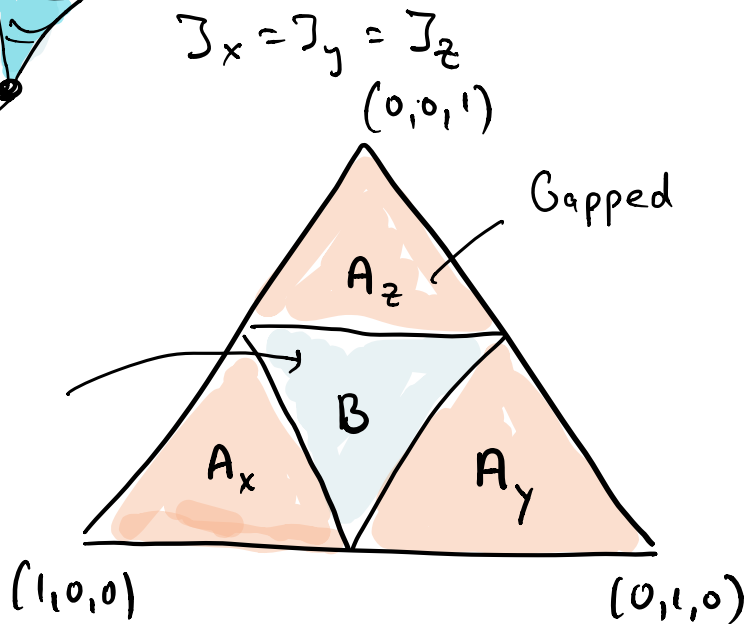
$$\hat{n}_2 = \left( -\frac{\sqrt{3}}{2} a, \frac{3}{2} a \right)$$

$$f(k) = \left( J_z + J_x e^{i\vec{k} \cdot \hat{n}_1} + J_y e^{i\vec{k} \cdot \hat{n}_2} \right)$$

$$E(k) = \pm |f(k)|$$



Gapless.



FERMION QPS

$$\begin{pmatrix} 0 & i f_{\vec{k}} \\ -i f_{\vec{k}}^* & 0 \end{pmatrix} \begin{pmatrix} 1 \\ \mp i e^{-i\theta_{\vec{k}}} \end{pmatrix} = \begin{pmatrix} 0 & |f| e^{i\theta} \\ -i |f| e^{-i\theta} & 0 \end{pmatrix} \begin{pmatrix} 1 \\ \mp i e^{-i\theta} \end{pmatrix}$$

$$f_{\vec{k}} = |f| e^{i\theta_{\vec{k}}} = \pm |f| \begin{pmatrix} 1 \\ -i e^{-i\theta_{\vec{k}}} \end{pmatrix}$$

$$|\psi_{\pm}\rangle = |i\rangle \langle i | \psi_{\pm}\rangle \Rightarrow a_{\pm}^{\dagger} = c_i^{\dagger} \langle i | \psi_{\pm}\rangle$$

$$a_{\pm}^{\dagger}(\mathbf{k}) = \frac{1}{\sqrt{2}} \begin{pmatrix} c_{1\mathbf{k}}^{\dagger} \mp i e^{\mp i\theta_{\mathbf{k}}} c_{2\mathbf{k}}^{\dagger} \end{pmatrix}$$

$$\hat{H} = \frac{1}{2} \sum_{\mathbf{k}} \left[ a_{+}^{\dagger}(\mathbf{k}) a_{+}(\mathbf{k}) - a_{-}^{\dagger}(\mathbf{k}) a_{-}(\mathbf{k}) \right]$$

$$f_{\mathbf{k}} = f_{-\mathbf{k}}^* \quad a_{-}^{\dagger}(-\mathbf{k}) = \frac{1}{\sqrt{2}} \begin{pmatrix} c_{1-\mathbf{k}}^{\dagger} + i e^{+i\theta_{\mathbf{k}}} c_{2-\mathbf{k}}^{\dagger} \end{pmatrix}$$

$$\theta_{\mathbf{k}} = -\theta_{-\mathbf{k}} \quad = a_{+}^{\dagger}(\mathbf{k})$$

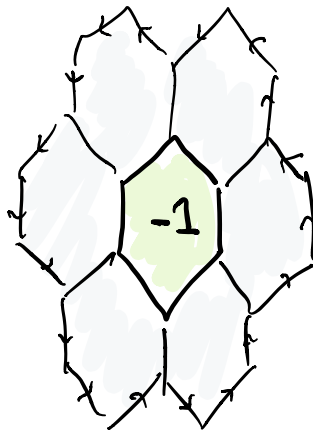
$$\mathcal{H} = \sum_{k \in BZ} |f_k| \left[ a_+^\dagger(k) a_+(k) - \frac{1}{2} \right]$$

- Only positive energy excitations: MAJORANA'S

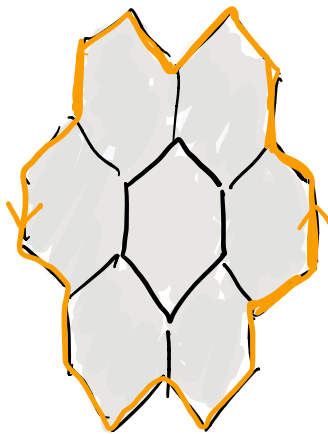
- G.S.E  $E_g = -\frac{1}{2} \sum_k |f_k|.$

## Types of excitation

- Fermions
- Vortices.

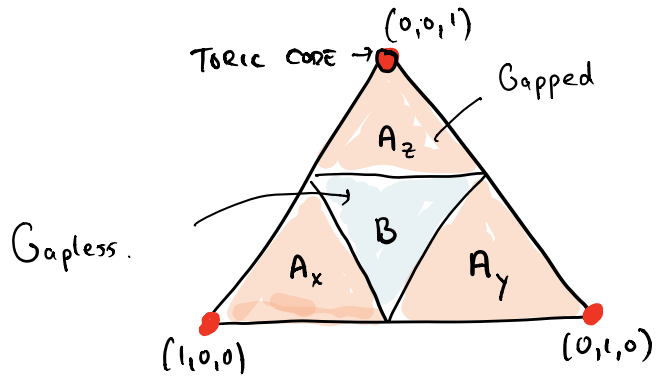


- Edge excitations (broken T.R.)

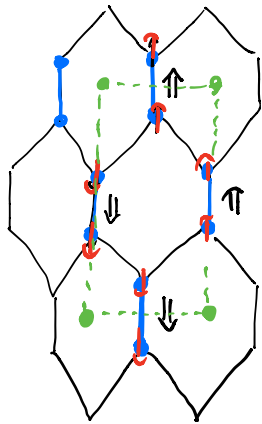


Neutral, chiral  
edge states

# Properties of gapped phases

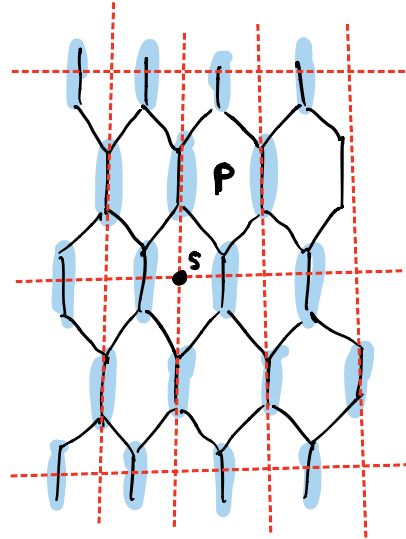


- Around a vortex  $\prod u_{ij} = -1$
- Additional insight is gained from the extreme limit  $J_x = J_y = 0$ , which maps onto the "TORIC CODE"



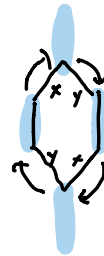
- $$\left. \begin{aligned} |\uparrow\uparrow\rangle &= |\uparrow\uparrow\rangle \\ |\downarrow\downarrow\rangle &= |\downarrow\downarrow\rangle \end{aligned} \right\} \text{effective spin.}$$

- Lie on bonds of a new lattice.



- Doing perturbation theory on the weak links, acting sequentially around a hexagon

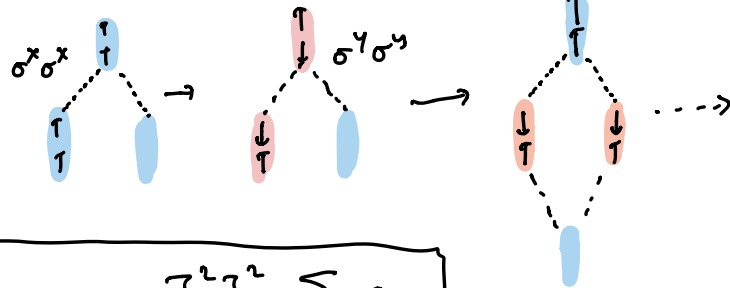
$$H_{\text{eff}}^{(4)} = V G_0 V G_0 V G_0 V$$



$$V = \sigma_j^x \sigma_k^x$$

$$\text{or } \sigma_j^y \sigma_k^y$$

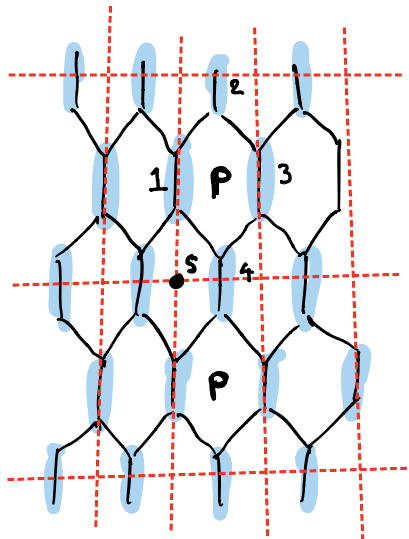
$$\Delta E = 4J_2$$



Gives

$$H_{\text{eff}}^{(4)} = \text{const} - \frac{J_x^2 J_y^2}{16 J_2^3} \sum_P Q_P$$

$$Q_P = \sigma_{P_1}^y \sigma_{P_2}^x \sigma_{P_3}^y \sigma_{P_4}^x$$



"Plaquet terms"  $B_p$

"Star terms"  $A_s$

Rotate about z  $\begin{cases} \sigma_y \rightarrow \sigma_x & \text{on odd S.L} \\ \sigma_x \rightarrow -\sigma_y & \text{on odd SL} \end{cases}$   
 Rotate about x on all sites  $\sigma_y \rightarrow \sigma_z$ .

$$H_T = -J_e \sum_s A_s - J_m \sum_p B_p$$

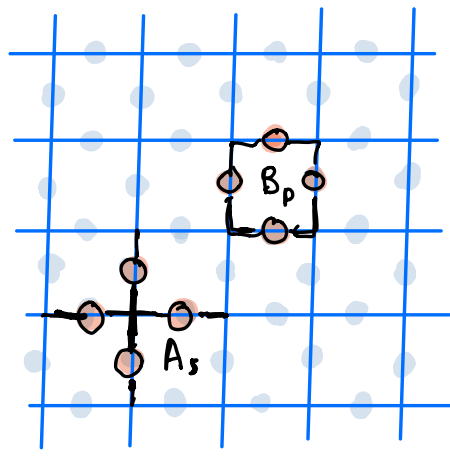
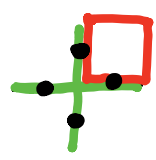
$$A_s = \prod_{\text{star}} \sigma_j^x$$

(FLIP SPINS)

$$B_p = \prod_{\text{plaquet}} \sigma_j^z$$

TORIC CODE

- A's & B's all commute + each other  
 (SHARE ZERO OR TWO EDGES)



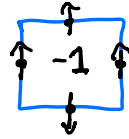
- Vortices correspond to loops with an odd # of spin flips

$$W_p = \prod_{j \in \partial p} S_j$$

$W_p = -1$  VORTEX.

$$\hat{\sigma}_j^z |\psi\rangle = S_j |\psi\rangle$$

$$S_j = \pm 1$$



Minimize energy  $\Rightarrow B_p |\psi\rangle = |\psi\rangle$

Requires states with NO VORTICES

$$\Rightarrow |\psi\rangle = \sum_{\{s: W_p(s) = 1 \forall p\}} c_s |s\rangle$$

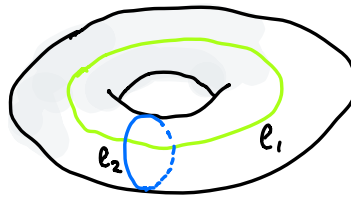
The condition  $A_s |\psi\rangle = |\psi\rangle$  implies the state is unaffected by flipping spins on one star.

$\Rightarrow c_s$  equal for all configurations with no vortices.



## TOPOLOGICAL G.S

$$W_{\ell}(s) = \prod_{j \in \ell} s_j, \quad \ell = \ell_1, \ell_2$$



LARGE  
CYCLES.

Absence of vortices means the  $W_{\ell}(s)$  are the same for all paths  $\ell_1$  & all paths  $\ell_2$ .

$$W_{\ell}(s) = \pm 1$$

"Cohomology class of  
vortex free states"

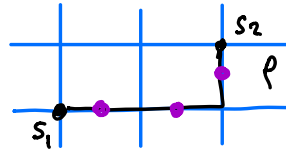
$A_5$  star operator does  
not affect  $W_{\ell}(s)$ .

$$|4\rangle = \sum c_{W_{\ell_1}, W_{\ell_2}} |s\rangle$$

$$D = (4)^g$$

# EXCITATIONS

"Electric Charges"



$$\hat{W}_e^{(E)} = \prod_{j \in \ell} \sigma_j^z$$

$$[W_e^{(E)}, B_p]$$

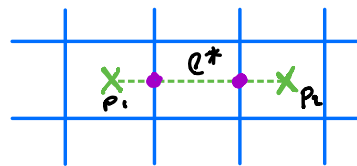
$$W_e^E A_{s_1} = -A_{s_1} W_e^E$$

$$|\psi_{s_1, s_2}^E\rangle = \hat{W}_e^E |\psi_0\rangle$$

costs energy  $\Delta E = 2J_e$ .

CHARGES ARE "DECONFINED"

"Magnetic Charges"



$$W_e^M = \prod_{j \in \ell^*} \sigma_j^z$$

$$\{B_{p_1}, W_e^M\} = 0$$

$$|\psi_{p_1 p_2}^M\rangle = W_e^M |\psi_0\rangle \quad \Delta E = 2J_m$$

In the Honeycomb model, these excitations are degenerate

because  $J_m = J_e = \frac{J_x^2 J_y^2}{16 J_z^3} \cdot$